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Common-sense reasoning for human action recognition

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1 **Common Sense Reasoning for Human Action Recognition**

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6 7 **Abstract**

8 This paper presents a novel method combining computer vision and artificial
9 intelligence techniques for action recognition. The proposed methodology is
10 decomposed into two stages. First, a machine learning based algorithm – bag of
11 words- gives a first estimate of action classification from video sequences. Those
12 results are passed to a common sense reasoning algorithm, which allows analysing,
13 selecting and correcting the initial action estimates. Experiments are performed in
14 realistic conditions, where poor recognition rates by the machine learning technique
15 are significantly improved by the second stage based on reasoning. This
16 demonstrates the value of integrating common sense reasoning into a computer
17 vision pipeline.

18 *Keywords:* Common sense reasoning, artificial intelligence, action recognition, bag of
19 words, computer vision

20 21 **1. Introduction**

22 In the last decade, the automated recognition of human actions from video
23 sequences has become an essential field of research in computer vision. Not only

24 does it have applications in video surveillance, but also in indexing of film archives,
25 sports video analysis and human-computer interactions. However, the task of action
26 recognition from a single video remains extremely challenging due to the huge
27 variability in human shape, appearance, posture, the individual style in performing
28 some actions, and external contextual factors, such as camera view, perspective and
29 scene environment.

30 During the last few years, thanks to the availability of many datasets suitable for
31 training action recognition algorithms, the field has made enormous progress to the
32 point that the automatic annotation of the KTH (Schuldt et al., 2004) and Weizzman
33 (Blank et al., 2005) databases is now considered solved. For more complex data, i.e.
34 IXMAS (Weinland et al., 2006) and UT-Interaction (Ryoo and Aggarwal, 2009),
35 accuracy rates around 80% are now claimed by state-of-the-art approaches
36 (Waltisberg et al., 2010; Weinland et al., 2010; Nebel et al., 2011). Unfortunately, all
37 those action recognition experiments are conducted with videos that are not
38 representative of real life data, which led a recent review to conclude that none of
39 existing techniques would be currently suitable for real visual surveillance
40 applications (Nebel et al, 2011). This is further confirmed by the poor performance,
41 obtained on videos captured in uncontrolled environments, such as Hollywood 1 and
42 2 datasets (Laptev et al. 2008) and Human Motion DataBase (HMDB51) (Kuehne et
43 al., 2011), where accuracies are 32%, 51% and 20% respectively (Kuehne et al.,
44 2011). In addition, these challenging datasets only display a fraction of the
45 complexity exhibited by the real world, e.g. at most 51 different actions are
46 considered. Consequently, usage of video-based action recognition remains a very
47 distant aspiration for most actual applications.

48 On the other hand, the human brain seems to have perfected the ability to recognise
49 human actions despite their high variability. This capability relies not only on
50 acquired knowledge, but also on the aptitude of extracting information relevant to a
51 given context and logical reasoning. In contrast, machine learning based action
52 recognition methodologies tend to learn isolated actions from a set of examples.
53 Although only a few and limited attempts to introduce contextual information have
54 been made (Waltisberg et al., 2010; Chen and Nugent, 2009; Akdemir et al. 2008;
55 Vu et al. 2002; Ivano and Bobick, 2000), their performance supports the idea that
56 action recognition can benefit greatly from combining traditional computer vision
57 based algorithms with knowledge based approaches.

58 In this paper, we propose a novel method relying on common sense reasoning and
59 contextual information which allows analysing, selecting and correcting annotation
60 predictions made by a video-based action recognition framework. The presented
61 approach is decomposed into two stages. First, a classic action recognition algorithm
62 classifies actions independently according to similarity to the training set. Secondly,
63 results are refined using reasoning. More specifically, contextual information is
64 exploited using common sense reasoning.

65 **2. Relevant work**

66

67 **a. Video-based Human Action Recognition**

68 Video-based activity recognition algorithms can be classified into two different
69 classes: machine learning and knowledge based techniques. The first and main
70 category includes action descriptors based on Hidden Markov Models (Vezzani et
71 al., 2010; Kellokumpu et al, 2008; Martinez et al. 2009; Ahmad and Lee, 2008;

72 Weinland et al., 2007), Conditional Random Field (Zhang and Gong, 2010; Natarajan
73 and Nevatia, 2008; Wang and Suter, 2007), Bag of Words (Laptev et al., 2008; Liu
74 and Shah, 2008; Matikainen et al., 2010; Ta et al., 2010; Liu et al., 2008; Kovashka
75 and Grauman, 2010) and low dimension manifolds (Wang and Suter, 2007b, 2008;
76 Fang et al. 2009; Jia and Yeung, 2008; Blackburn and Ribeiro, 2007; Richard and
77 Kyle, 2009; Turaga et al. 2008; Lewandowski et al. 2010, 2011). Since those
78 approaches do not include any reasoning capability, their efficiency relies on a
79 training set which is supposed to cover the variability of all actions present in the
80 target videos. Given that this condition can only be valid in the most controlled
81 scenarios, it has been proposed to extend these techniques by adding some form of
82 reasoning based on either rules or logic.

83 The inclusion of reasoning has been sparsely used and mostly for specific
84 applications. It should be noted it is particularly popular in intelligent surveillance for
85 the detection of unusual events (Makris et al. 2008). Since training data do not exist
86 to define those events, rules and reasoning are the only available tools. Usually,
87 activities which do not match those present in the training set are classified as
88 unusual. In the most specific field of action recognition, reasoning rules have proved
89 particularly successful when dealing with interactions between subjects (Waltisberg
90 et al. 2010). Indeed, following initial action recognition on each character individually
91 using a Random Forest framework, analysis of those actions allows inferring the
92 nature of their interaction. As reported by Waltisberg et al. (2010), this scheme
93 outperforms the standard approach which deals with all characters at once and is the
94 current state of the art on the UT-Interaction dataset (Ryoo and Aggarwal, 2009).
95 These results support our hypothesis that additional knowledge and reasoning will
96 lead to better performance.

97 The second class of video-based activity recognition algorithms exploits a common
98 knowledge-base or ontology of human activities to perform logical reasoning. Since
99 ontology design is empirical in nature and labour intensive - symbolic action
100 definitions are based on manual specification of a set of rules -, current ontologies
101 are only suitable for very specific scenarios. In the field of video surveillance,
102 ontologies have been proposed for analysis of social interaction in nursing homes
103 (Chen et al., 2004), classification of meeting videos (Hakeem and Shah, 2004) and
104 recognition of activities occurring in a bank (Georis et al., 2004). However, there is a
105 need for an explicit commonly agreed representation of activity definitions
106 independently of domain and/or algorithmic choice. Such common knowledge base
107 and its exploitation through rules would facilitate portability, interoperability and
108 sharing of reasoning methodologies applied to activity recognition. Several attempts
109 have been made to design ontologies for visual activity recognition in a more
110 systematic manner (Akdemir et al., 2008, Hobbs et al., 2004, Francois et al, 2005) so
111 that they can cover different scenarios, e.g. both bank and car park monitoring
112 (Akdemir et al., 2008). However, they remain limited to a few domains - up to 6
113 (Hobbs et al., 2004).

114

115 **b. Common Sense Reasoning**

116 Within the artificial intelligence (AI) community, the usage of video as information
117 source for reasoning has not been extensively applied (Moore et al., 1999; Duong et
118 al., 2005). This is due to the lack of robustness and consistency of video features in
119 real world scenarios, where the huge variability of the conditions impact considerably
120 on activity recognition. As a consequence, AI researchers have focused on using
121 sensors which are more reliable and consistent, but more intrusive, sensors to

122 gather an actor's behavioural information (Wang et al. 2007c). They include
123 wearable sensors based on inertial measurement units (e.g. accelerometers,
124 gyroscopes, magnetometers) and RFID tags attached to the actors and/or to objects.
125 In such set-up, complex reasoning is possible and successful artificial intelligence
126 approaches have flourished (Wang et al., 2007c; Philipose et al., 2004; Tapia et al.,
127 2004). However, most of these sensors are not suitable in most real life applications
128 due to either their intrusive nature, e.g. subjects may refuse to wear them, or
129 technical factors, such as size, ease of use and battery life.

130 Among the AI approaches which could be considered for video based human action
131 recognition, commonsense, probabilistic and ontological reasoning, as described in
132 the previous subsection, are of particular interest. Ontological languages such as
133 OWL (Dean et al., 2011a) and RDF (Dean et al., 2011b) use a syntax that imposes
134 severe restrictions in the type of information that can be represented. First,
135 relationships involving more than two entities cannot be considered since they may
136 lead to hold a-priori inconsistent information, which is not allowed in this
137 methodology. Secondly, since reasoning is limited to checking the consistency of the
138 knowledge base, new information cannot be inferred. Both commonsense and
139 probabilistic reasoning are able to address those limitations. However, their nature is
140 very different since they can be classified as techniques based on either qualitative
141 or quantitative reasoning. A weakness of quantitative reasoning comes from the
142 complexity of estimating accurate probabilities for activities of interest: in practice it is
143 unfeasible when dealing with unconstrained and realistic scenarios (Kuipers, 1994).
144 On the other hand, qualitative reasoning has the ability of considering causality and
145 expected behaviour based on logics, i.e. reasoning can provide explanations

146 rationalising or motivating a given action, whereas probabilistic reason can only
147 support decisions according to probability associated to actions.

148 As a consequence, common sense reasoning (McCarthy, 1968, 1979; Minsky, 1986;
149 Lenat, 1989, 1990) appears particularly suited to video based human action
150 recognition. It provides the capability of understanding the context situation, given
151 the general knowledge that dictates how the world works, which allows correcting
152 mistakes made by the video analysis system. McCarthy proposes an approach to
153 build a system with the capability to solve problems in the form of an “advice taker”
154 (McCarthy, 1968). In order to do so, he reckons that such an attempt should be
155 founded in the knowledge of the logical consequences of anything that could be told,
156 as well as the knowledge that precedes it. In that work, he postulates that “a program
157 has common sense if it automatically deduces from itself a sufficiently wide class of
158 immediate consequences of anything it is told and what it already knows”. Following
159 McCarthy and Minsky’s studies (McCarthy, 1968; Minsky, 1986), it appears a way of
160 enhancing systems with the capability to understand and reason about the context is
161 by introducing commonsense knowledge similar to that humans hold.

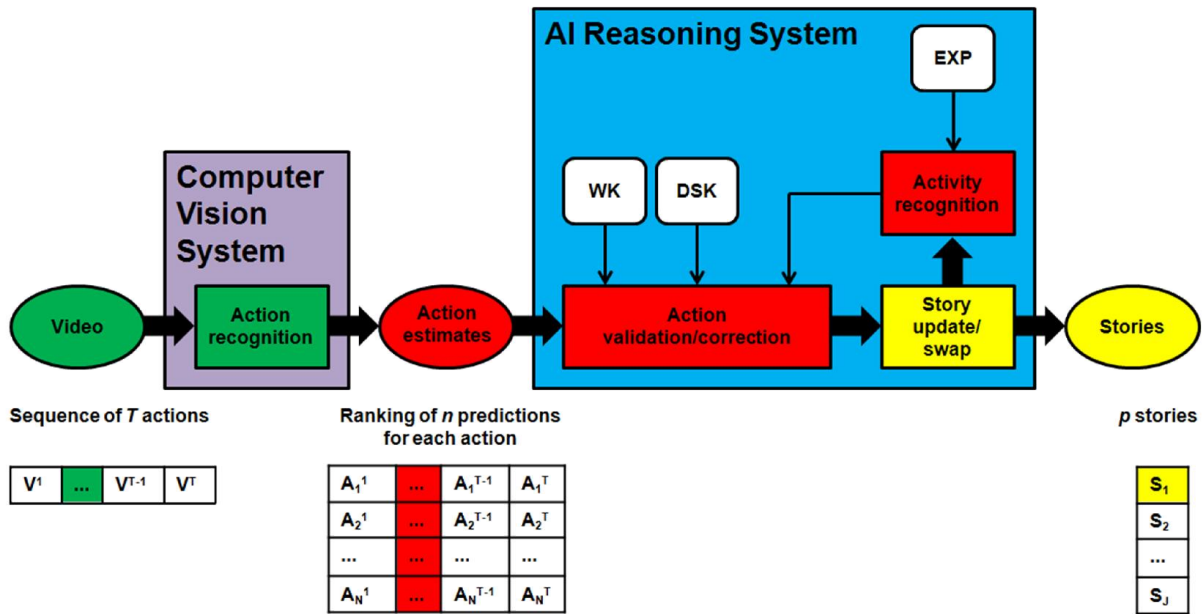
162 In this work, we propose the integration of commonsense reasoning within a video
163 human activity recognition framework in order to improve accuracy. First, a machine
164 learning based action recognition algorithm processes videos to generate data
165 appropriate for logical inferences. Consequently, video data become a suitable
166 information source for reasoning. Secondly, common sense reasoning increases
167 accuracy of the computer vision algorithm by introducing general and context-
168 independent knowledge. This addition should allow usage of video based systems
169 within real life applications.

170 **3. Novel action recognition framework**

171

172 **a. Principles**

173 We propose a novel two-stage framework where initial action predictions made by a
 174 machine learning approach are analysed, refined and, possibly, corrected by
 175 common sense reasoning.



176

177 Figure 1: Action recognition framework

178 Given a video, V , which can be divided into a sequence of T actions and a computer
 179 vision system (CVS) trained to recognise N types of actions, each action, V^t , is
 180 processed independently and is associated to an action estimation vector, A^t , which
 181 ranks the N types of actions according to their similarity to V^t . Eventually, the CVS
 182 generates an action estimation matrix, A , of dimensions $(T \times N)$, where A_j^t represents
 183 the j^{th} most likely type of the t^{th} action occurring in the video. Each action estimate
 184 generated by the CVS is passed as input to the AI reasoning system (AIRS) which
 185 produces, in an online manner, J stories, S_j . These stories are generated and
 186 updated according to every new estimate A^t .

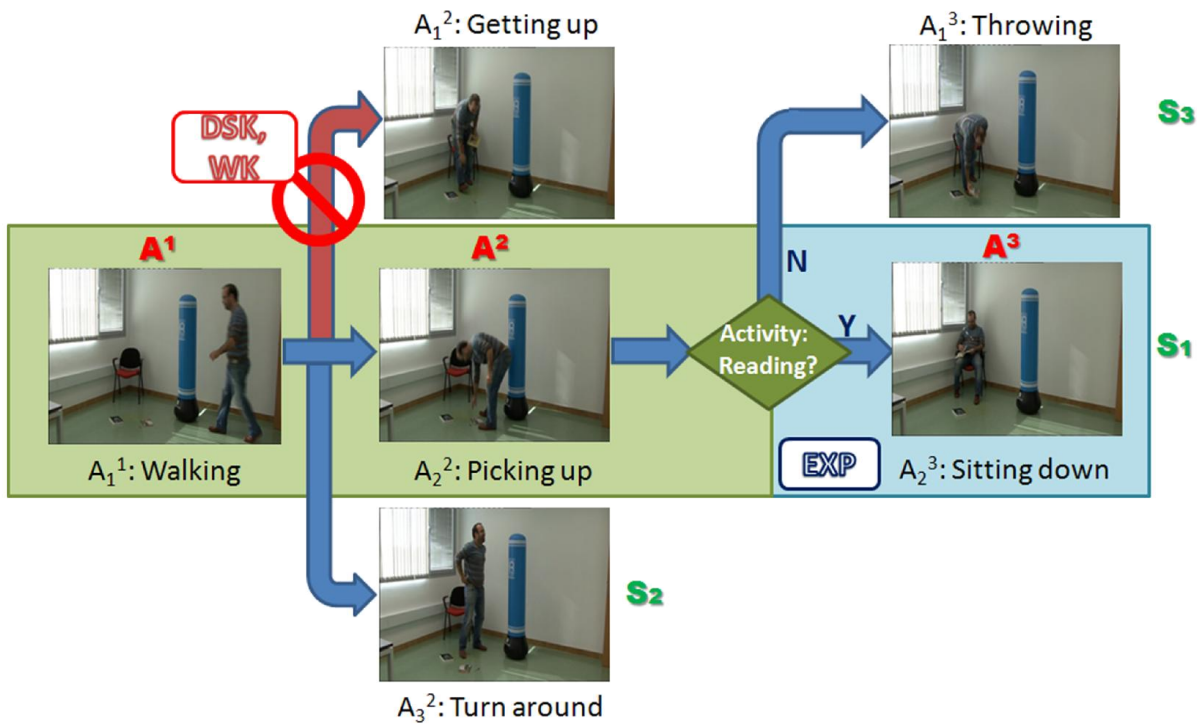
187 In this paper, we define a ‘story’ as a coherent list of action types describing a video
188 of interest. Coherence is defined by respect to both world and domain specific
189 knowledge, WK and DSK respectively. Selection of action types relies on common
190 sense reasoning applied to the action estimations A , and possible recognition of
191 activities defined in the expectation knowledge, EXP. Note that a story may contain
192 ‘unknown action’ labels when, for a given action, none of the estimations allows
193 coherent annotation. Stories are ordered by the AIRS and the most likely one is
194 always first, in the same way that actions have been ordered and prioritised by the
195 CVS.

196 The AIRS processes every action estimation vector, A^t , according to the J stories S_j
197 existing at $t-1$. First, the validity of each action estimates A_j^t is verified within the
198 context of each story S_j using knowledge contained in WK and DSK. This is done
199 inside the block Action validation/correction depicted in Figure 1. Secondly, if the
200 sequence of previous actions stored in S_j led to the recognition by EXP of an activity
201 (Figure 1, block Activity Recognition) which expected a specific action type in order
202 to be completed, and if that type is not present in A^t , a correction of A^t is performed,
203 i.e. the expected type is added to the story S_j instead of A^t . Finally, each valid action
204 of A^t updates an existing story (Figure 1, block story update/swap). If a valid action
205 cannot be allocated to a story, a new story is created. Since during the process, the
206 most likely action estimates have priority to be allocated to the first stories, S_1 is the
207 story which is the most likely to describe accurately the video of interest. However, if
208 any other S_j shows a more likely storyline, the position of S_1 as ‘main story’ may be
209 swapped with S_j (Figure 1, block story update/swap).

210 We illustrate some of the reasoning performed by AIRS with an example, see Figure
211 2: an activity (‘Getting up’) incompatible with the current story (S_1) is rejected

212 according to the world and domain specific knowledge; valid actions ('Throwing' &
 213 'Sitting down') are assigned to parallel stories (S_2 and S_3); an activity ('Reading') is
 214 recognised based on expectations, consequently the expected action ('Sitting down')
 215 is prioritised.

216



217

218 Figure 2: Example of reasoning performed by AIRS. Blue and red arrows represent,
 219 respectively, valid and invalid actions. Green box depicts the sequence of action
 220 which led to the recognition of an activity (reading) based on expectations. Blue box
 221 shows the expected action (sitting down).

222 **b. Common sense reasoning algorithm**

223 The AIRS assigns and evaluates correspondences between action estimations in
 224 vector A^t and the stories S existing at $t-1$. The validity of each action estimate A_i^t is
 225 verified sequentially within the context of the main story S_1 using knowledge
 226 contained in WK and DSK. Once action allocation, if any, has been completed for the
 227 main story, the same process is followed for all the other stories S_j using the
 228 remaining action estimates. This double sequentiality in the assignment of actions to

229 stories deals with the fact that both stories and actions are ordered, where the first
230 actions/stories are always the most likely.

231 The n first action estimates are all considered as possible alternatives. Therefore,
232 new stories are created if they do not fit any of the existing ones. The rationale
233 behind this is that, although the first estimate provided by the CVS is not always
234 correct, the CVS is quite robust since the correct action is likely to be present among
235 the first n estimates (see 'Experimental results' section). During the allocation
236 process of a given time step, some stories may not be allocated to any action, if
237 none of the available action estimates is valid in their context according to WK and
238 DSK.

239 A second level of reasoning is introduced by exploiting the concept of activity
240 recognition. This is modelled in our system through the expectation knowledge, EXP.
241 For each story S_j , if the sequence of previous actions leads to the recognition of an
242 activity by EXP, the next action assigned to the story S_j must match the expected
243 one, eA . In case where the expected action type is not present in A^t , A^t is corrected
244 by including eA in the estimate vector so that eA can be assigned to story S_j . This
245 mechanism provides a higher level of reasoning, going further than the validation
246 mechanism provided by the DSK and WK, which allows correcting estimate failures
247 of the CVS. However, in order to avoid over-reasoning errors, corrections are
248 introduced only when, in addition to validation, a unique activity is recognised, i.e.
249 when there is no doubt regarding the type of the expected action.

250

251 Through the previously described process, the AIRS gives priority to the most likely
252 action estimates in their allocations to the first stories. As a consequence, the AIRS

253 output is an ordered set of stories, where S_1 is the story which is the most likely to
254 describe accurately the video of interest.

255 However, the accuracy of the CVS may depend of the nature of the action and vary
256 over time during video processing, which may lead to the correct estimates to be
257 lower in the action estimation vectors. Consequently, after a while S_1 may not
258 contain the most likely story. The AIRS addresses this issue using a story swapping
259 mechanism. When the AIRS is able to allocate systematically actions to a given story
260 S_j and activities kept being recognised according to the expectations, this story is
261 accepted as the main story and swapped with S_1 . Empirical experimentations have
262 shown that the story swapping mechanism should be triggered when a story displays
263 two consecutive activity recognitions, $TH=2$.

264

265 This reasoning algorithm is presented through the following pseudo code. First, the
266 main variables are defined. Then, the core of the algorithm is detailed. Finally, the
267 main functions are described. Note that functions are colour-coded to allow better
268 readability of the algorithm.

```
269  
270 //////////////////////////////////////////////////////////////////////////////////////////////////////////////////////////////////  
271 // INPUT  
272 //////////////////////////////////////////////////////////////////////////////////////////////////////////////////////////////////  
273 // Expert systems  
274 Expert DSK,WK,ExP;  
275 //An action is a primitive  
276 Action eA;          // expected action  
277 Action At[N];      // alternative actions predicted for time t,  
278                    // At are ranked according to CVS's prediction confidence  
279 Int N;              // number of alternative actions at time t  
280 //A story is a list of actions  
281 Story S[J];        // existing stories  
282 Int J=1;           // number of existing stories, one starts with 1 story  
283 S[1]=null;        // the initial story is empty  
284  
285 //Each story is associated to a list of possible activities containing  
286 future actions for the next time t  
287 Typedef Action[] Activity;  
288 Activity PossibleActiv[][J]=[ ALL ][J]; // set of activities, initially all  
289                                       // activities are possible  
290 Int expect_fulfill[J]=zeros(1,J); // story counter for swapping mechanism
```



```

348 function [Action a]=f_activity_recognition(Activity pred)
349     if (size(pred)==1)
350         return pred(1);
351     else
352         return null;
353     end

```

354 If any of the n observed actions of A^t matches eA , this action is set as allocated to
355 avoid inclusion in any other story (function `f_action_allocation`).

```

356 function [bool b]=f_action_allocation(bool b, Action a, Action[] v)
357     for i=1:size(v)
358         if (v(i)==a)
359             b=1;
360         end
361     end
362     return b;

```

363 When an action has been judged suitable to be added to a story, the current story is
364 updated (function `f_story_update`). This also involves updating the list of possible
365 ongoing activities, i.e. knowledge about possible actions for time $t+1$:
366 `PossibleActiv(j)`. This is achieved by, first, retrieving all expected activities in the
367 knowledge of action a at time t , p_2 , (function `retrieve_expected_activities`)
368 and, then, by finding the intersection between this list and the one predicted for time
369 t , p , (function `intersection`). If no intersection exists, i.e. either CVS has failed or
370 reasoning has been erroneous, since it is not possible to distinguish the source of
371 the failure, expected activities are reset to p_2 to avoid propagating errors.

```

372 function [Activity p, Story s]=f_story_update
373     (Action a, Activity p, Story s, Exp e)
374     Activity p2=null;
375     s=[s a]; // add action a to current story s
376     p2=retrieve_expected_activities(e,a);
377     p=intersection(p,p2); // new list of expected activities
378     if (size(p)==0)
379         p=p2;
380     end;
381     return [p,s];

```

382 If the activity recognition algorithm was able to detect unequivocally the nature of an
383 ongoing activity within a story, S_j , confidence in that story is increased. This is stored
384 in the variable `expect_fulfill`. The value of that variable is evaluated during the

385 story swapping mechanism (function `f_storySwapping`). If it shows that the story S_j
386 has consecutively recognised activities (in our case twice $TH=2$), the story S_j is
387 swapped with S_i and becomes the main story, i.e. the most likely one.

```
388 function [Story s[], int[] f]=f_storySwapping(Story s[], int[] f, int indx)
389     Story s_tmp;
390     f(indx)++;
391     if f(indx)>=TH
392         // s(indx) is moved as top story and all the others are shifted down
393         s = [s(indx) s(1: indx-1) s(indx-1:end)];
394         f = zeros(1,J);
395     end
396     return [s,f];
```

397 If the activity recognition mechanism does not detect any ongoing activity or several
398 activities are possible, action allocation only relies on action validity. This is
399 evaluated according to the action global coherence with the world WK and the
400 domain specific knowledge DSK within the context of a story (function
401 `f_action_validation`).

```
402 function bool=f_action_validation(Action a,DSK d,WK w,Story s)
403     return validate(a,d,s,w);
```

404 If an action is judged as valid, the action is assigned to the story and expected
405 activities are updated (function `f_story_update`). After the assignment, boolean
406 vectors, `assigned_action` and `updated_story`, are updated to make sure that each
407 action is assigned at most to one story and that each story is not updated more than
408 once for a given time t.

409 Finally, if an action is valid but has not been assigned to any current story, a new
410 story is created (function `f_story_creation`).

```
411 function [Activity p, Stories s, int[] f]=f_story_creation(Stories s,
412 Action a, EXP e, Activity p, int[] f)
413     Activity Activnew=[All];
414     Story Snew=[];
415     [Activnew, Snew]=f_story_update(a,Activnew,Snew,e);
416     J=J+1;
417     s(J)=Snew;
418     p(J)= Activnew;
419     expect_fulfill(J)= 0;
420     return [p,s];
```


421 **4. Implementation**

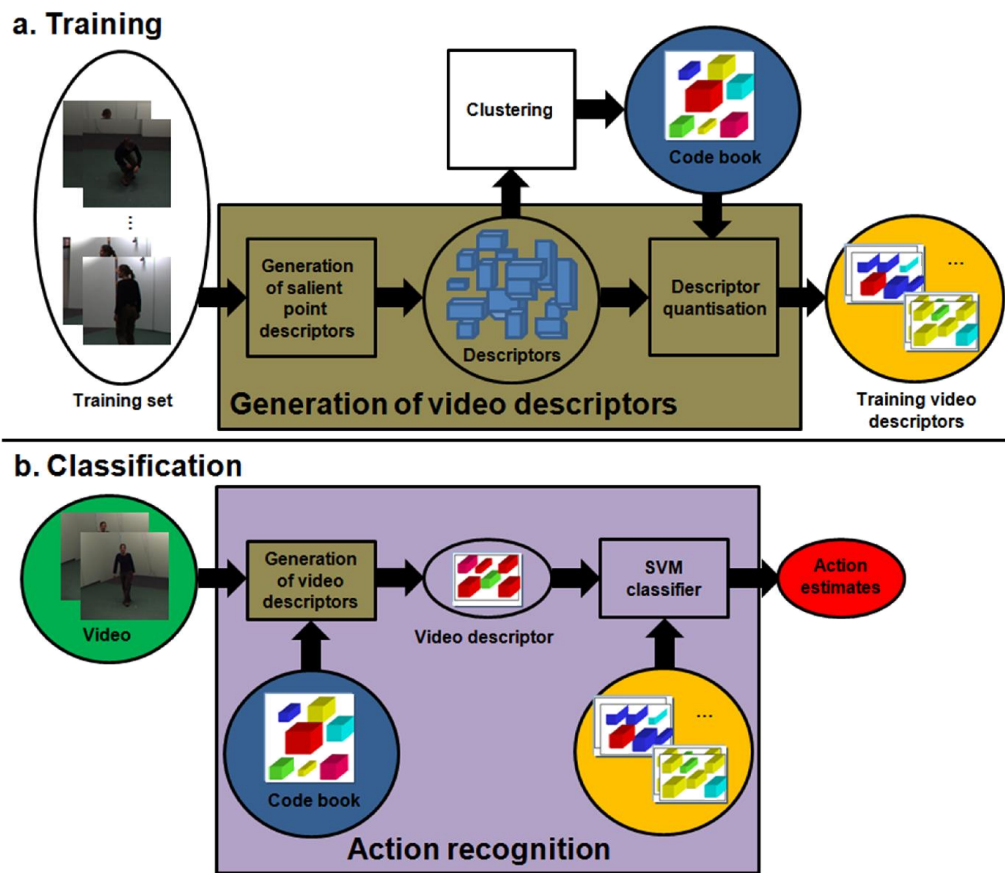
422

423 **a. Computer vision based action recognition**

424 Although computer vision based action recognition has been a very active field of
425 research, only a few approaches have been evaluated on view independent
426 scenarios. Accurate recognition has been achieved using multi-view data with either
427 3D exemplar-based HMMs (Weinland et al., 2007) or 4D action feature models (Yan
428 et al. 2008). But, in both cases performance dropped significantly in a monocular
429 setup. This was addressed successfully by representing videos using self-similarity
430 based descriptors (Junejo et al., 2008). However, this technique assumes a rough
431 localisation of the individual of interest which is unrealistic in many applications.
432 Similarly, the good performance of a SOM based approach using motion history
433 images is tempered by the requirement of segmenting characters individually (Orrite
434 et al. 2008). More recently a few approaches have produced accurate action
435 recognition from simple extracted features: two of them rely on a classifier trained on
436 bags of words (Kaaniche and Bremond, 2010; Liu et al. 2008) whereas the other one
437 is based on a nonlinear dimensionality reduction method designed for time series
438 (Lewandoski et al. 2010).

439 Among those approaches, the Bag of Words (BoW) framework is particularly
440 attractive since, not only it is one of the most accurate methods for action
441 recognition, but its computational cost is low. Moreover, BoW can be applied directly
442 on video data without the need of any type of segmentation. The versatility of that
443 framework has been demonstrated on a large variety of datasets including film-
444 based ones (Laptev and Perez, 2007). Consequently, in this study, we decided to

445 base the computer vision system of our action recognition framework on a BW
446 methodology.



447
448 Figure 3: BoW framework: a) Training and b) classification pipelines

449 BoW is a learning method which was used initially for text classification (Joachims,
450 1998). It relies on, first, extracting salient features from a training dataset of labelled
451 data. Then, these features are quantised to generate a code book which provides
452 the vocabulary in which data can be described and analysed. Here, we based our
453 implementation on that proposed by (Csurka et al., 2004).

454 The BoW training stage aims at, first, producing a codebook of feature descriptors
455 and, secondly, generating a descriptor for each action video available in the training
456 set, see Figure 3 a). The training pipeline starts by detecting salient feature points in
457 each video using a spatio-temporal detector (Harris 3D) and describing each
458 individual point by a histogram of optical flow (STIP) (Laptev, 2005). Once feature

459 points are extracted from all training videos, the k-means algorithm is employed to
460 cluster the salient point descriptors into k groups, where their centres are chosen as
461 group representatives. These points define the codebook which is then used to
462 describe each video of the training set. Finally, those video descriptors are used to
463 train SVM classifiers – one per action of interest - with a linear kernel.

464 In order to recognise the action performed in a video, Figure 3 b), salient feature
465 points are first detected. Then, their descriptors are quantified using the codebook in
466 order to generate a video descriptor. Finally, the video descriptor is fed into each
467 SVM classifier, which allows quantifying the fit between the video and each trained
468 action type. Therefore, an action estimation vector A can be generated where action
469 types are ranked according to their fit.

470 **b. Knowledge-Base System for Common Sense Reasoning**

471 Automating common sense reasoning requires an expressive-enough language, a
472 knowledge base and a set of mechanisms capable of processing this knowledge to
473 check consistency and infer new information. A few knowledge-based approaches
474 offer such features, i.e. Scone (Chen and Fahlman, 2008; Fahlman, 2006), Cyc
475 (Lenat et al. 1989, 1990), WordNet (Fellbaum, 1998) or ConceptNet (Eagle et al.,
476 2003). Among them, the open-source Scone project is of particular interest since,
477 instead of placing its focus on collecting commonsense knowledge, it provides
478 efficient and advanced means for accomplishing search and inference operations.

479 The main difference between this and other approaches lies in the way in which
480 search and inference are implemented. Scone adopts a marker-passing algorithm
481 (Fahlman, 2006), which is not a general theorem-prover, but is much faster and
482 supports most of the search and inference operations required in commonsense

483 reasoning: inheritance of properties, roles, and relations in a multiple-inheritance
484 type hierarchy; default reasoning with exceptions; detecting type violations; search
485 based on set intersection; and maintaining multiple, immediately overlapping world-
486 views in the same knowledge base. In addition, Scone provides a multiple-context
487 mechanism which emulates humans' ability to store and retrieve pieces of
488 knowledge, along with matching and adjusting existing knowledge to similar
489 situations.

490 In our framework, the algorithm described in section 3b was implemented using
491 Scone in order to encode formal definitions and their applications for WK, DSK and
492 EXP. It is important to note that, although we took advantage of the proposed multi-
493 context mechanism (Chen and Fahlman, 2008), we exploited it for a usage it was not
494 originally intended for, extending its application for a wider purpose. In particular, we
495 propose the usage of multi-context for the management of alternative stories
496 describing coherent explanations of the video of interest.

497 The three sources of knowledge exploited in our implementation, i.e. WK, DSK and
498 EXP, are described below:

499 1. World knowledge, WK, comprises all relevant commonsense knowledge that
500 describes "how the world works". This information is independent of the
501 application domain, in the sense that it only considers general knowledge
502 rather than specific or expert knowledge about a specific field. As an example,
503 we provide below the description of the implications of performing the action
504 of 'scratching the head'.

```
505 (new-event-type {scratch} '({event}))  
506 :roles  
507 ((:type {scratcher} {animated thing}))  
508 (:type {scratched thing} {thing})))
```

```

509 (new-event-type {scratch head}
510 '({scratch} {action}))
511 :roles
512 ((:rename {scratched thing} {scratched head})
513 (:rename {scratcher} {scratcher hand}))
514 :throughout
515 ((new-is-a {scratcher hand} {hand}))
516 :before
517 ((new-statement {scratcher hand} {approaches} {scratched head})
518 (new-not-statement {scratcher hand} {is in direct contact to}
519 {scratched head}))
520 :after
521 ((new-statement {scratcher hand} {is in direct contact to}
522 {scratched head}))

```

523 2. Domain specific knowledge, DSK, describes a given application domain in
524 terms of the entities that are relevant for that specific context, as well as, the
525 relationships established among those. The description of an element
526 “punching ball” as part of the layout of a specific room is an example of
527 domain specific information.

```

528 (new-type {bouncing element} {thing})
529 (new-type {punching ball} {thing})
530 (new-is-a {punching ball} {bouncing element})
531 (new-indv-role {punching ball location} {punching ball} {location})
532 (new-statement {punching ball} {is in} {test room})
533 (new-statement {punching ball} {rests upon} {test room floor})
534

```

535 3. Expectations, EXP, consist in sequences of actions that are expected to
536 happen one after the other. It encapsulates logical concepts such as causality,
537 motivation and rationality, which are expected in human action recognition.
538 For example, in a waiting room context, if a person picks up a magazine, that
539 person is expected to sit down and read the magazine. Expectations are part
540 of the domain specific knowledge since described behavioural patterns are
541 context specific.

```

542 (new-indv {picking up a book for reading it} {expectations})
543 (the-x-of-y-is-z {has expectation} {picking up a book for reading it} {walk
544 towards})
545 (the-x-of-y-is-z {has expectation} {picking up a book for reading it} {pick
546 up})
547 (the-x-of-y-is-z {has expectation} {picking up a book for reading it} {turn
548 around})
549 (the-x-of-y-is-z {has expectation} {picking up a book for reading it} {sit
550 down})

```

551 (the-x-of-y-is-z {has expectation} {picking up a book for reading it} {get
552 up}))
553

554 **5. Experimental results**

555

556 **i. Dataset and Experimental Setup**

557 In order to perform action recognition experiments which are relevant to real life
558 applications, videos under study should display realistic scenarios. In addition, a
559 suitable training set must be available, i.e. it must be able to cover a variety of
560 camera views so that recognition is view-independent and the set should include a
561 sufficiently large amount of instances of the actions of interest. These instances must
562 be not only annotated but perfectly segmented and organised to simplify the training.

563 The only suitable training sets which fulfil these requirements are IXMAS (Weinland
564 et al., 2006) and Hollywood (Laptev et al. 2008), as stated in the introduction.
565 Whereas the Hollywood dataset is oriented towards event detection which includes
566 significant actions but largely independent from each other (drive car, eat, kiss,
567 run...), IXMAS is focused on standard indoor actions which allows providing quite an
568 exhaustive description of possible actions in this limited scenario. Therefore, IXMAS
569 actions may be combined to describe simple activities, i.e. sit down-get up, pick up-
570 throw, punch-kick and walk-turn around, and eventually provide complete
571 representations of sets of actions performed by individual, i.e. recognition of whole
572 stories.

573 Thus, for training, the publicly available multi-view IXMAS dataset is chosen
574 (Weinland et al., 2006). It is comprised of 13 actions, performed by 12 different
575 actors. Each activity instance was recorded simultaneously by 5 different cameras.

576 Since no suitable standard videos are available in order to describe the complexity of
577 a real life application with a significant number of complex activities, we create a new
578 dataset, called the Waiting Room dataset “WaRo11” (Santofimia et al., 2012), that
579 we make available to the scientific community. In addition, using very different
580 datasets for training and testing allows us to show the generality of our framework,
581 its capabilities for real-world applications and its performance under a challenging
582 situation.

583 Since the “WaRo11” dataset has been designed for being representative of the
584 variability existing in a real life scenario, but also for integrating most of the actions
585 trained for the CVS, a specific setup was configured to simulate a waiting room. In
586 this setup, actions happen without giving any instructions to the subjects. They are
587 performed as natural part of their behaviour and motivation as human beings. This is
588 facilitated thanks to the presence of several elements interrelated to each other,
589 which may introduce causality and sequentiality as it is found in a real situation. For
590 instance, the presence of a book and a chair could motivate a subject to first pick up
591 the book and then sit down to carry out the action reading. Alternatively, a subject
592 may pick up the book, realises its topic of no interest and decides to throw it away.

593 This waiting room setup was implemented in a single room and filmed by a single
594 fixed camera. A book was positioned on the floor, a chair was left in a corner and a
595 punching ball was placed in another corner. Eleven sequences were recorded with
596 eleven different actors of both genders comprising a wide range of ages (19-57) and
597 morphological differences. No instruction was given to the actors further than “go to
598 the room and wait for 5 minutes and feel free to enjoy the facilities while you wait”.
599 The resulting variability in the actions performed is depicted in Table 1.

| Sequence | Age | Sex | Number of actions |
|--------------|-----|-----|-------------------|
| Actor 1 | 34 | M | 31 |
| Actor 2 | 33 | M | 25 |
| Actor 3 | 35 | M | 10 |
| Actor 4 | 57 | F | 12 |
| Actor 5 | 19 | M | 9 |
| Actor 6 | 19 | M | 18 |
| Actor 7 | 20 | F | 15 |
| Actor 8 | 19 | M | 9 |
| Actor 9 | 22 | F | 5 |
| Actor 10 | 19 | M | 12 |
| Actor 11 | 20 | F | 9 |
| Total | | | 155 |

| Actions | Instances |
|--------------|-----------|
| check watch | 4 |
| cross arms | 0 |
| scratch head | 2 |
| sit down | 13 |
| get up | 12 |
| turn around | 18 |
| walk | 53 |
| wave hand | 9 |
| punch | 26 |
| kick | 10 |
| point | 3 |
| pick up | 13 |
| throw | 0 |

600 Table 1: a) Number of actions performed by each actor. b) Number of instances of
601 the trained actions found in the WaRo11 dataset.

602 Each of the recorded sequence was manually groundtruthed: first, the video of
603 interest was segmented into a set of independent actions, then each action was
604 labelled. Note that the segmentation of a video into independent actions is outside
605 the scope of this study. Therefore, when testing our algorithms, we processed
606 manually segmented actions. Readers interested in automatic action segmentation
607 should refer to (Rui and Anandan, 2002; Black et al., 1997; Ali and Aggarwal, 2001;
608 Shimosaka, 2007; Shi, 2011).

609 ii. Results

610 a) Performance of the computer vision system

612 First the CVS was applied to IXMAS sequences using the leave-one-out strategy
613 followed by (Weinland et al., 2007; Yan et al., 2008; Junejo et al., 2008; Richard and
614 Kyle, 2009). In each run, we select one actor for testing and all remaining subjects
615 for training. Secondly, using the whole of the IXMAS dataset for training, the CVS
616 was applied to WaRo11. Accuracy performances for both experiments are provided
617 in Table 2.

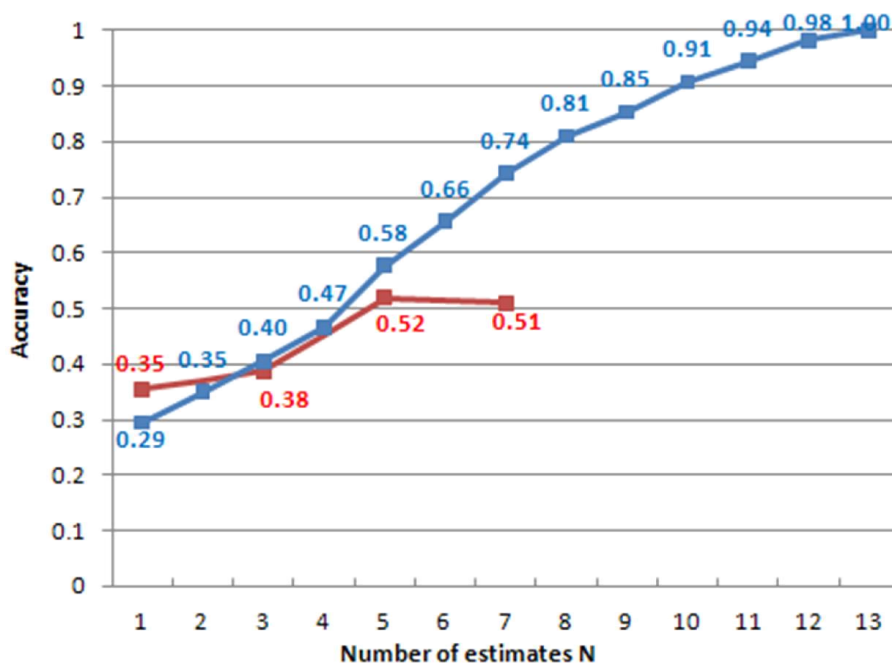
618 Table 2. Average recognition rate for all the actions on the datasets obtained by the
619 computer vision system based on BoW

| | IXMAS | WaRo11 |
|----------|-------|--------|
| CVS: BoW | 63.9% | 29.4% |

620

621 The BoW based technique displays results comparable to those of the state of the
622 art on the IXMAS dataset (Nebel et al. 2011). However, when applied to a more

623 realistic environment, performances decrease considerably. This shows the
 624 limitations of the CVS methodology under real circumstances, when the testing
 625 conditions differs significantly from the training. On the other hand, when
 626 performance is analysed in terms of average cumulative recognition curve (ACR) -
 627 Figure 4, blue -, i.e. percentage that an action is accurately recognised within a set of
 628 estimates,- one can see that considering the first few ranks may improve significantly
 629 accuracy. For example, accuracy would jump from 29 to 66% if the best solution
 630 could be detected within the 6 first estimates. This confirms that additional
 631 information is contained within the action estimation vector generated by BoW, and,
 632 therefore, there is scope to exploit it to improve the initial annotation. This is exactly
 633 what our reasoning system intends to do.



634

635 Figure 4: Blue: Average Cumulative Recognition curve for a number of estimations
 636 from 1 to 13. Red: Recognition rate obtained by our approach depending on the
 637 number of considered action estimates.

638 *b) Performance of the whole framework*

639 The proposed framework integrating AIRS has been tested using the 11 sequences
 640 of WaRo11. Experiments were conducted considering the $N=\{1,3,5,7\}$ most likely
 641 actions estimates – as calculated by CVS - for AIRS analysis. Performance results
 642 are evaluated against the CVS only system in Table 3, where average and
 643 recognition rates per sequence are provided. In addition, they are compared with the
 644 CVS cumulative recognition rate, Figure 4, red.

645 Table 3. Recognition rates obtained using either CVS or the combination of CVS and
 646 AIRS on WaRO11 dataset.

| Actor | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | Average per action |
|----------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------------|
| CVS | 35.5% | 16.0% | 30.0% | 58.3% | 44.4% | 22.2% | 40.0% | 15.4% | 40.0% | 16.7% | 33.3% | 29.4% |
| CVS+AIRS (n=1) | 38.7% | 24.0% | 30.0% | 58.3% | 44.4% | 22.2% | 33.3% | 30.8% | 60.0% | 25.0% | 33.3% | 35.5% |
| CVS+AIRS (n=3) | 41.9% | 28.0% | 40.0% | 66.7% | 44.4% | 38.9% | 20.0% | 30.8% | 60.0% | 25.0% | 33.3% | 38.7% |
| CVS+AIRS (n=5) | 64.5% | 52.0% | 50.0% | 75.0% | 55.6% | 66.7% | 40.0% | 30.8% | 60.0% | 25.0% | 33.3% | 51.9% |
| CVS+AIRS (n=7) | 61.3% | 40.0% | 60.0% | 75.0% | 55.6% | 66.7% | 33.3% | 30.8% | 40.0% | 25.0% | 33.3% | 51.0% |

647
 648 These results show a considerable increase of performance due to the inclusion of
 649 the reasoning system, i.e. accuracy raises from 29% to 52%, in the best case. Our
 650 framework outperforms significantly the CVS system, even for the case where only 1
 651 action prediction is considered by the AIRS. Moreover, it can be noticed that
 652 accuracy is only rarely deteriorated by reasoning: the system does not seem to
 653 suffer from either reasoning errors or over reasoning. Only in sequences 7 and 11
 654 performance are either deteriorated or unaffected by the inclusion of the AIRS.
 655 Detailed analysis of these two sequences permits to identify, first, absence of
 656 continuity or causality between their composing actions and, secondly, a high
 657 percentage of unconstrained actions, i.e. actions that are not linked to any other and
 658 that can be performed at any instant ('cross arms', 'check watch', 'scratch head').

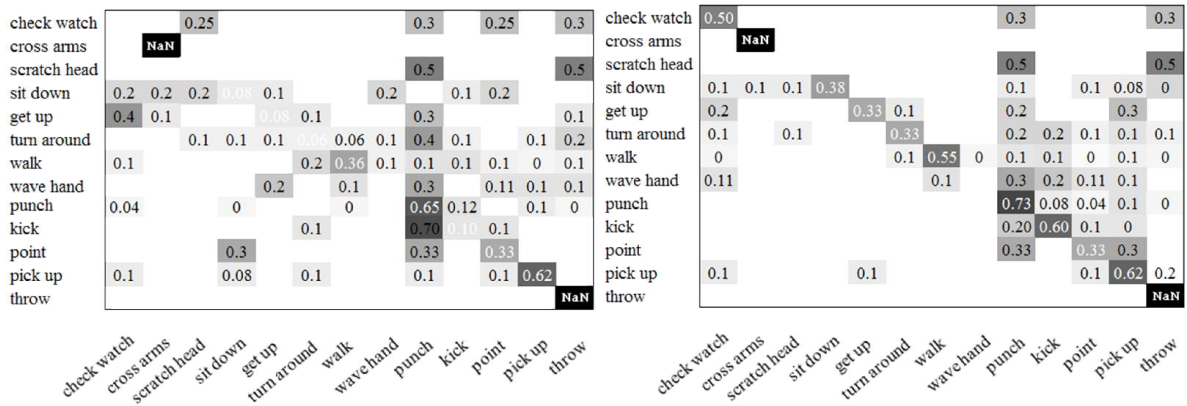
659 These two factors explain why no effective reasoning can be performed to improve
660 recognition.

661 A more detailed analysis of the AIRS can be obtained by comparing the performance
662 of our approach when varying the number of predictions considered in the action
663 estimate vector. When only considering the most likely action estimate (N=1), the
664 reasoning system is already able to improve on the CVS. This demonstrates the
665 value of one of the AIRS reasoning mechanisms, i.e. activity recognition based on
666 expectations. In this context, the AIRS is comparable to the state-of-art techniques in
667 video-based systems based on simple ontologies and rules.

668 When several action estimates are available, the AIRS's second mechanism, i.e.
669 common sense action validation and the coherent assignation of actions to stories,
670 can be exploited, which leads to deeper reasoning. Performance of the total system
671 – i.e. 38% and 52% for N=3 and 5 estimates, respectively - compared with those
672 displayed by the ACR – 40% and 57%- shows that the complete reasoning system is
673 quite efficient at selecting an action among the N best estimates (see Figure 4, red).
674 Finally, when more estimates are considered, it seems that the added noise prevents
675 the reasoning system to further improve accuracy, i.e. 51% for N=7.

676 Figure 5 provides confusion matrices with (CVS+AIRS for the best case, i.e. N=5)
677 and without reasoning (CVS only) to visualise improvement on the recognition rate
678 per action. For many actions, such as 'sitting down', 'getting up', 'turn around', 'check
679 watch' or 'kick', the system is able to move from a recognition rate of almost 0% to a
680 situation where the action is recognised correctly in a majority of instances. This is
681 particularly remarkable in the case of 'sitting down' where the CVS was trained using
682 sequences of individuals sitting on the floor, whereas in WaRO11, they sit on a chair.

683 Such achievement could not have been reached without usage of world and
 684 contextual information. As discussed earlier, recognition rate of an unconstrained
 685 action such as 'scratch head' does not benefit from reasoning.



686
 687 Figure 5. Confusion matrices obtained with CVS (left) and CVS+AIRS (right)

688 Table 4: Outputs of CVS (N=5) and AIRS for the first 10 actions of WaRo11 seq. 1

| | | | | | |
|-----------------|-----------|--------------|-------------|-------------|-------------|
| | | | | | |
| Frames | 220-271 | 271-310 | 310-344 | 344-373 | 373-394 |
| Ground truth | Walk | Pick up | Turn around | Sit down | Get up |
| CVS 1 | Walk | Pick up | Kick | Sit down | Check watch |
| CVS 2 | Kick | Point | Point | Throw | Throw |
| CVS 3 | Point | Throw | Turn around | Check watch | Kick |
| CVS 4 | Wave hand | Scratch head | Pick up | Pick up | Point |
| CVS 5 | Sit down | Sit down | Cross arms | Cross arms | Pick up |
| AIRS main story | Walk | Pick up | Turn around | Sit down | Get up |
| | | | | | |
| Frames | 394-432 | 432-1243 | 1243-1276 | 1276-1326 | 1326-1533 |
| Ground truth | Pick up | Sit down | Get up | Pick up | Punch |
| CVS 1 | Pick up | Cross arms | Punch | Pick up | Punch |
| CVS 2 | Get up | Point | Point | Throw | Kick |

| | | | | | |
|-----------------|---------------------|---------------------|----------------|--------------------|--------------------|
| CVS 3 | Throw | Check watch | Kick | Get up | Throw |
| CVS 4 | Scratch head | Scratch head | Pick up | Point | Point |
| CVS 5 | Turn around | Sit down | Throw | Check watch | Check watch |
| AIRS main story | Turn around | Sit down | Get up | Pick up | Punch |

689 Table 4 illustrates the importance of reasoning to improve performance by showing
690 outputs of CVS (N=5) and AIRS for the first 10 actions of sequence 1. When CVS
691 failed to identify the correct actions as its first estimate, AIRS was able to choose the
692 correct annotations among the other 4 estimates, i.e. ‘turn around’ and ‘sit down’
693 actions. Moreover, when none of the CVS outputs was suitable, AIRS managed to
694 correct those estimates by inferring a new action consistent with common sense
695 reasoning – ‘get up’ actions. An error of reasoning occurred in the 6th action, where
696 the AIRS contradicted the correct CVS estimation. This error is explained by the
697 unexpected presence of a second object on the floor, i.e. a pen, which was not
698 known by the DSK. Consequently, the rule imposing that a second object could be
699 picked only after releasing the first one proved invalid.

700 **6. Conclusions**

701

702 We present a novel approach for action recognition based on the combination of
703 statistical and knowledge based reasoning. The inclusion of artificial intelligence
704 strategies, based on common sense, allows outperforming significantly the state of
705 the art technique in computer vision when dealing with realistic datasets. Our main
706 contributions are the creation of the first integrated framework combining computer-
707 vision-based and artificial-intelligence-based action recognition techniques which is
708 fully context and scenario independent, and the implementation of a common sense
709 reasoning schema which outperforms machine learning methodologies.

710 Results are highly encouraging and confirm the validity of our hypothesis: the
711 computer vision community should not focus exclusively on classical statistical
712 reasoning, but should integrate ideas and methodologies from artificial intelligence in
713 order to overcome the limitations of current applications under real-life conditions.

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