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Optical Coherence Tomography for the Monitoring of Neovascular Age-Related Macular Degeneration: A Systematic Review

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1 **Optical coherence tomography for the monitoring of neovascular**
2 **age-related macular degeneration: a systematic review**

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29

30 **Abstract**

31 **Topic:** To compare the accuracy of Optical Coherence Tomography (OCT) with alternative
32 tests for monitoring neovascular age-related macular degeneration (nAMD) and detecting
33 disease activity among eyes previously treated for this condition

34 **Clinical Relevance:** Traditionally FFA has been considered the reference standard to
35 detect nAMD activity but FFA is costly and invasive. Replacement of FFA by OCT can be
36 justified if there is a substantial agreement between tests.

37 **Methods:** Systematic review and meta-analysis. Index test: OCT. Comparator tests: visual
38 acuity, clinical evaluation (slit lamp), Amsler chart, colour fundus photographs, infra-red
39 reflectance, red-free images/blue reflectance, fundus autofluorescence imaging (FAF),
40 indocyanine green angiography (ICGA), preferential hyperacuity perimetry (PHP) and
41 microperimetry. We searched the following databases: MEDLINE, MEDLINE In Process,
42 EMBASE, Biosis, SCI, the Cochrane Library, DARE, MEDION and HTA database, last
43 literature search: March 2013. We used QUADAS-2 to assess risk of bias.

44 **Results:** We included eight studies involving over 400 participants. Seven reported the
45 performance of OCT (three TD-OCT, three SD-OCT, one both types) and one the
46 performance of ICGA in the detection of nAMD activity. We did not find studies directly
47 comparing tests in the same population. The pooled sensitivity and specificity (95% CI) of
48 TD-OCT and SD-OCT for detecting active nAMD was 85% (72% to 93%) and 48% (30% to
49 67%), respectively. One study reported ICGA, with sensitivity of 75.9% and specificity of
50 88.0% for the detection of active nAMD. Half of the studies were considered to have high
51 risk of bias.

52 **Conclusions:** There is a substantial disagreement between OCT and FFA findings in
53 detecting active disease in patients with nAMD who are being monitored. Both modalities
54 may be needed to comprehensively monitor patients with nAMD.

55 **Introduction**

56 Anti-vascular endothelial growth factor (VEGF) therapies have revolutionized the treatment
57 of neovascular age-related macular degeneration (nAMD). Visual outcomes following anti-
58 VEGF therapy¹⁻⁴ have been unparalleled by previous therapies which included laser
59 photocoagulation⁵⁻⁶ and photodynamic therapy.⁷ The effectiveness of anti-VEGF drugs
60 depends, however, on frequent monitoring and early diagnosis of reactivation of the
61 condition. “Fundus fluorescein angiography (FFA) interpreted by an ophthalmologist was in
62 the recent past the reference standard for the detection of active nAMD among those eyes
63 already treated^{8,9} as it directly detects the presence of the active neovascularisation.
64 However, FFA is an invasive and a time-consuming test with, although rare, potentially
65 serious side effects. Other alternative monitoring technologies are available of which the
66 most widely used is optical coherence tomography (OCT).“

67 OCT, including time-domain (TD-OCT) and the most recently developed spectral-domain
68 (SD-OCT), is a light-wave based technology that allows the imaging of the retina and
69 choroid, obtaining “sections” through areas with neovascularisation and surrounding tissues.
70 Scan rates and resolution parameters have greatly improved over the last decade and
71 continue to develop. OCT is a non-invasive, non-contact test typically undertaken by trained
72 medical photographers or technicians and interpreted by ophthalmologists. If OCT were to
73 be able to accurately detect the re-activation of nAMD then FFA would not be needed.

74 The aim of this study was to evaluate the accuracy, interpretability and acceptability
75 of OCT alone or in combination with other tests compared with clinical evaluation of FFA for
76 the detection of active disease in patients with nAMD under treatment and surveillance.

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80 **Methods**

81 The target condition was nAMD of any phenotype. Eligible participants were individuals who
82 had been previously treated for nAMD with any type of treatment, and who were monitored
83 to detect active disease. Thus, patients could have active or stable neovascular disease

84 “The index test was OCT, alone or in combination with other tests, i.e., we included studies
85 that used OCT alone or associated with other test or tests to detect nAMD disease activity,
86 including any of the following: clinical evaluation with slit lamp biomicroscopy, visual acuity,
87 Amsler grid, colour fundus photography, infra-red reflectance, red-free images, fundus
88 autofluorescence imaging (FAF), indocyanine green angiography (ICGA), preferential
89 hyperacuity perimetry (PHP) and microperimetry. The reference standard was FFA.
90 Participants were individuals with known, treated nAMD, with any type of treatment, and who
91 were monitored for the condition to detect active disease. We considered direct (head-to-
92 head) comparisons in which all participants received the index test, comparator test(s) and
93 the reference standard; indirect comparisons (e.g. case control studies) in which estimates
94 of the accuracy of the respective tests were obtained in different study groups, and
95 randomised controlled trials evaluating effectiveness outcomes where e.g. treatment was
96 based on OCT compared with FFA findings. We also included studies evaluating the
97 acceptability and/or interpretability of the tests.

98 We identified published, unpublished and ongoing studies from searches of electronic
99 databases (from 1995 to March 2013) and appropriate websites. There were no language
100 restrictions. We searched MEDLINE, MEDLINE In-Process, EMBASE, Biosis and Science
101 Citation Index (SCI) for all reviews. We searched the Cochrane Central Register of
102 Controlled Trials (CENTRAL) for additional reports of RCTs reporting effectiveness
103 outcomes and PsycINFO and ASSIA for studies reporting acceptability data. The Cochrane
104 database of Systematic Reviews (CDSR), Database of Abstracts of reviews of Effects
105 (DARE), MEDION and HTA database were searched for relevant systematic reviews and

106 HTA reports. We searched abstracts and presentations from recent conferences (from
107 January 2009 to September 2012) of the Academy of Ophthalmology (AAO), the Association
108 for Research in Vision and Ophthalmology (ARVO), and the European Association for Vision
109 and Eye Research (EVER) and also the WHO International Clinical Trials Registry Platform
110 (ICTRP), Clinical Trials.gov and EU Clinical Trials Register for ongoing studies. Websites of
111 key journals, professional organisations and manufacturers of equipment were also
112 consulted. We also evaluated reference lists of all included studies for possible inclusion
113 and we contacted authors for details of additional potentially relevant reports.

114 Two reviewers independently screened the titles and abstracts (if available) of all reports
115 identified by electronic searches. We obtained full-text copies of all potentially relevant
116 papers and two reviewers independently assessed them for inclusion. Two reviewers
117 independently assessed the risk of bias and applicability concerns of included full-text
118 studies, using an adapted version of the updated quality assessment of diagnostic accuracy
119 studies (QUADAS-2) checklist.¹⁰ The QUADAS-2 checklist is designed to be adapted to the
120 specific review topic. The investigators resolved disagreements by consensus or arbitration
121 by a third reviewer. QUADAS-2 consists of four key domains covering (1) patient selection,
122 (2) index test, (3) reference standard, and (4) flow of patients through the study and timing of
123 the index test(s) and reference standard. Each domain is assessed in terms of the risk of
124 bias. The first three domains are also assessed for concerns regarding their applicability in
125 terms of whether (i) the participants and setting, (ii) index test, its conduct or interpretation
126 and (iii) target condition as defined by the reference standard match the question being
127 addressed by the review. Within each domain signaling questions are included to assist in
128 making a judgment about the risk of bias, with the standard tool containing 11 such
129 questions across the four domains.

130 QUADAS-2 was designed to be adaptable to a specific review topic. For this review,
131 QUADAS-2 was modified by adding an additional signaling question to domain 1 (patient
132 selection) to assess whether participant pre-selection had been avoided. Domains 2 (index

133 test), 3 (reference standard) and 4 (flow and timing) were retained in their entirety.
134 Therefore the modified tool contained 12 signaling questions, with each worded so that a
135 rating of 'Yes' was always optimal in terms of methodological quality. If any signaling
136 questions within a domain were rated 'No' then that domain was judged to be at high risk of
137 bias. With regard to question 9 in the modified tool (appropriateness of the time interval
138 between the index test and the reference standard), it was agreed that to be considered
139 appropriate, the time interval between the index test and reference standard should be no
140 longer than one week."

141 Regarding the statistical analysis we calculated sensitivity and specificity of individual
142 studies when possible. Where two or more studies reported sufficient data we planned to
143 create summary receiver operating characteristic (SROC) curves. We intended to fit meta-
144 analysis models using the hierarchical summary receiver operating characteristic (HSROC)
145 model¹¹ with the SAS software (version 9.1) when possible. We used a symmetric SROC
146 model, as it allows estimation of random effects for the threshold and accuracy effects
147 accounting for the active and non-active sample sizes in each study. We arranged to
148 produce the SROC curves from the HSROC models on the corresponding SROC plots. We
149 planned to report a point estimate and 95% confidence interval (CI) for each model for the
150 summary sensitivity, specificity, positive and negative likelihood ratios and diagnostic odds
151 ratios (DORs).

152

153 **Results**

154 We identified 4682 titles and abstracts, of which 179 reports were evaluated in full-text
155 (Figure 1). Eight^{12,13,14,15,16,17,18,19} were monitoring studies involving people previously
156 diagnosed with nAMD and under follow-up surveillance; one study, by Salinas-Alaman et
157 al.,¹⁷ reported results for both diagnosis and monitoring (Table 1). No studies evaluated the
158 performance of OCT associated with other test(s).

159 Of the eight included studies, four were prospective,^{15,16,17,19} three were retrospective^{12,13,14}
160 while in the study by van de Moere et al.¹⁸ this information was not reported. In five studies
161 the participants were a consecutive sample.^{13,14,16,17,19} The eight studies enrolled 463
162 participants.

163 Four studies were judged to be high risk of bias for reasons such as inappropriate exclusions
164 and pre-selection of participants, length of time between the index test and the reference
165 standard, and not all participants being included in the analysis (Figure 2). All of the
166 monitoring studies were judged to be applicable to our study question.

167 Some studies reported the “eye “as the unit of analysis, i.e., only data of a single
168 examination/comparison of an eye at one point in time was included in the study. Other
169 studies reported an “examination” as the unit of analysis, i.e., a patient or eye was examined
170 several times over a period of time, and the authors included data of several examinations of
171 the same patient and eye. Of the seven studies reporting OCT, five used the eye as the unit
172 of analysis (number of eyes analysed = 363);^{12,13,14,18,19} in four of these one eye per patient
173 was analysed (n = 304 eyes).^{13,14,18,19} Two studies reported examination as the unit of
174 analysis (both TD-OCT).^{15,17} Two studies reported detection of classic and occult CNV;¹⁴
175 pigment epithelial detachment (PED) and cystoid macular oedema.¹⁸ The studies by
176 Henschel et al.¹⁵ and van de Moere et al.¹⁸ also reported the performance of OCT in
177 detecting intraretinal and subretinal fluid.

178 In two studies^{12,14} the participants had received anti-vascular endothelial growth factor (anti-
179 VEGF) therapy while in five^{13,15,17,18,19} the treatment was photodynamic therapy (PDT). In the
180 study reporting ICGA¹⁶ the participants had received laser photocoagulation.

181 The median (range) prevalence of active nAMD across five studies where this information
182 was available at participant level was 57.9% (49.2% to 83.3%).^{12,13,14,18,19}

183 Three TD-OCT studies^{12,13,19} and two SD-OCT reports,^{12,14} with the eye as the unit of
184 analysis, reported both sensitivity and specificity, providing sufficient data for inclusion in a

185 meta-analysis. Figure 3 shows forest plots of the sensitivity and specificity of the individual
186 studies and SROC curves for (a) all OCT studies, (b) the three TD-OCT studies and (c) the
187 two SD-OCT studies, respectively. Table 2 shows the pooled estimates for these studies.
188 For all OCT studies, the pooled sensitivity and specificity (95% CI) was 85% (72% to 93%)
189 and 48% (30% to 67%) respectively. For TD-OCT, the pooled sensitivity and specificity
190 (95% CI) was 70% (56% to 80%) and 65% (48% to 79%). For TD-OCT and the group of all
191 four OCT studies the likelihood ratio and DOR values reported were below the level
192 suggestive of strong evidence. It was not possible to calculate pooled estimates for the two
193 SD-OCT studies due to insufficient data. These studies reported sensitivities of 94%¹⁴ and
194 90%¹² and specificities of 27%¹⁴ and 47%,¹² which suggests that SD-OCT has higher
195 sensitivity than TD-OCT but lower specificity.

196 Two studies used examination as the unit of analysis. Henschel et al.,¹⁵ in an analysis of 61
197 pairs of TD-OCT and FFA examinations from 14 patients, reported sensitivity of 96.8% and
198 specificity of 36.7% for CNV based on detection of intraretinal and/or subretinal fluid.
199 Salinas-Alaman et al.,¹⁷ in an analysis of 176 pairs of TD-OCT and FFA examinations
200 (number of patients not stated), reported sensitivity of 95.7% and specificity of 59.0% based
201 on detection of intraretinal or subretinal fluid.

202 Four studies^{12,14,15,18} reported the sensitivity of OCT in detecting active nAMD phenotypes or
203 active nAMD based on detection of intraretinal/subretinal fluid (see Table 3). The study by
204 Giani et al.¹⁴ reported high sensitivity for the detection by SD-OCT of both classic and occult
205 CNV activity (90.9% and 100% respectively). In the studies by Henschel et al.¹⁵ (unit of
206 analysis: examination) and van de Moere et al.¹⁸ (unit of analysis: eye) sensitivity was higher
207 for nAMD activity based on detection of intraretinal fluid (90.3% and 82.9% respectively)
208 compared with subretinal fluid (71.0% and 47.1% respectively). Van de Moere et al.¹⁸ also
209 reported sensitivity of TD-OCT for detection of cystoid macular oedema and pigment
210 epithelial detachment, both low at 22.9% and 5.7% respectively. In the study by Khurana et

211 al¹² the sensitivity of SD-OCT was higher than that of TD-OCT for nAMD activity based on
212 the detection of intraretinal fluid, retinal cystoid abnormalities or subretinal fluid.

213 One study, by Khurana et al.,¹² compared TD-OCT with SD-OCT in an analysis of 59 eyes of
214 56 participants. Although sensitivity was considerably higher for SD-OCT than for TD-OCT
215 (89.7% versus 58.6%), specificity was lower (46.7% versus 63.3%).

216 One study, by Regillo et al.,¹⁶ in an analysis of 54 pairs of indocyanine green angiograms
217 compared with fluorescein angiograms, obtained from 24 eyes of 21 patients, reported
218 sensitivity of 75.9% and specificity of 88.0% in detecting nAMD activity.

219 No studies were identified that met our inclusion criteria providing information on clinical
220 effectiveness outcomes (e.g. visual acuity) when treatment was based on OCT compared
221 with FFA findings. Only one monitoring study, by van de Moere et al.,¹⁸ reported
222 information relating to the interpretability of the tests. This TD-OCT study reported that, of
223 136 participants enrolled, 17 (12.5%) were excluded from the analysis due to the poor
224 quality of the OCT or FFA images. The study did not specify how many of these poor quality
225 images were OCT images and how many were FFA. No studies were identified that met our
226 inclusion criteria reporting the acceptability of the tests, either to those providing the tests or
227 to those receiving them.

228

229 **Discussion**

230 Due to the burden of nAMD to patients and health care providers, an effective and efficient
231 monitoring strategy to detect active disease is needed. The use of frequent (monthly or
232 two-monthly) FFA is not recommended. FFA is hampered by its cost, the fact that it is a
233 relatively time-consuming invasive imaging technology and, although rare, possible risks.
234 Current Preferred Practice Patterns by the AAO advise the use of FFA "depending on the
235 clinical findings and judgement of the treating ophthalmologist".⁹ OCT is now routinely used
236 for monitoring eyes with nAMD previously treated.

237 We identified a relatively small body of evidence comparing OCT against a reference
238 standard of FFA for the diagnosis of active nAMD in patients under surveillance and treated
239 for this condition. We included eight monitoring studies (all full-text) involving over 400
240 participants. Seven reported the performance of OCT (five TD-OCT, one SD-OCT, one both
241 types) and one the performance of ICGA in the detection of nAMD activity.

242 To compare the performance of diagnostic tests ideally direct comparisons of the accuracy
243 of different tests applied to the same population would be most informative. Alternatively it
244 is possible to evaluate studies of different tests applied to different populations against a
245 common reference standard, using models to indirectly compare tests. In this review four of
246 the OCT studies provided sufficient data for inclusion in a meta-analysis. The pooled
247 sensitivity (95% CI) for all OCT was moderately high at 85% (72% to 93%) but with low
248 specificity at 48% (30% to 67%). For TD-OCT, the pooled sensitivity and specificity was
249 moderate at 70% (56% to 80%) and 65% (48% to 79%) respectively. It was not possible to
250 calculate pooled estimates for the two SD-OCT studies using hierarchical summary receiver
251 operating characteristic (HSROC) methodology due to insufficient data. These studies
252 reported sensitivities of 94%¹⁴ and 90%¹² and specificities of 27%¹⁴ and 47%.¹² Other than
253 OCT, one study reported ICGA, with sensitivity of 75.9% and specificity of 88.0% for the
254 detection of active nAMD. We did not find other studies reporting the performance of
255 alternative technologies.

256 This study suggests that SD-OCT may be more sensitive but less specific in detecting active
257 nAMD than TD-OCT. It is likely that SD-OCT can detect small amounts of fluid in the retina
258 (due to its high resolution) better than TD-OCT. However, fluid does not always indicate
259 active CNV but may indicate RPE malfunctioning, for instance related to RPE atrophy, which
260 has now been recognised to occur frequently in eyes with nAMD undergoing anti-VEGF
261 therapies.^{2, 3, 20, 21} Some of the observed heterogeneity among studies results can be
262 explained by the different populations, phenotypes, proportion of active cases, type of
263 treatment, and methodological quality.

264 In terms of strengths of this study, a comprehensive literature search was undertaken and
265 both English and non-English language studies were included. We assessed risk of bias
266 using a modified version of the QUADAS-2 questionnaire, tailored to the needs of this
267 review. We used a robust method, HSROC model, for the analysis, which takes account of
268 the trade-off between true/false positives and models between-study heterogeneity.²²

269 The reference standard test used for this review was FFA interpreted by an ophthalmologist,
270 and therefore was assumed to have perfect sensitivity and specificity. Consequently it was
271 not possible to address the question of whether OCT might actually be a better test than
272 FFA and have higher sensitivity or specificity than the current reference standard. One
273 approach that has been suggested for determining when a new test should replace the
274 reference standard is that proposed by Glasziou et al.²³ Glasziou et al. suggested the use of
275 a third, 'fair umpire' test, which although potentially less accurate than either the new test or
276 the reference standard, could be considered nonetheless a fair umpire test if its errors were
277 independent of the other tests.²³ However, the authors acknowledged that this would usually
278 be difficult to demonstrate. Unfortunately, none of the included OCT studies involving a third
279 test provided a sufficient level of detail to allow us to explore this approach.

280 The false positive rate of OCT was high. A few studies suggested possible explanations for
281 their false positive results. Subretinal or intraretinal fluid, readily detected by OCT,
282 especially by SD-OCT, may not necessarily indicate active neovascular AMD and may be
283 seen over disciform scars and even atrophic areas.²⁴ If the diagnosis of active nAMD is
284 established by the presence of fluid on OCT false positives could, thus, be made, leading to
285 unnecessary treatments. Similar lack of agreement between OCT and FFA to diagnose
286 nAMD in high risk eyes have been observed by Do et al.²⁵

287 The clinical implications of this review are potentially important as we found evidence of
288 substantial lack of agreement between OCT and FFA to determine activity of nAMD lesions.
289 There are also potential implications regarding the interpretation of results of landmark
290 studies that have used only OCT to guide decisions to treat nAMD, such as the prn arms of

291 the CATT study² and the HARBOR study.²⁶ It is possible the differences in efficacy between
292 monthly and prn arms might be explained in part by a sub-optimal of diagnostic accuracy of
293 OCT to detect active nAMD.

294 In conclusion, our review identified a relatively small number of studies, of variable quality,
295 on the performance of OCT in the monitoring of people with treated nAMD under
296 surveillance to detect disease activity. The available evidence suggests that although OCT
297 is a sensitive test for detecting reactivation of nAMD, it has poor specificity. Consequently, it
298 is not recommended that OCT is used alone to detect reactivation of nAMD in patients under
299 surveillance. According to current evidence OCT should not replace the reference standard
300 of FFA for monitoring patients with nAMD.

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309

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391 **Figure legend**

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393 **Figure 1.** Flow diagram outlining the screening and selection process of articles

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