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Col-OSSOS: Colors of the Interstellar Planetesimal 1I/‘Oumuamua

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Abstract

The recent discovery by Pan-STARRS1 of 1I/2017 U1 (‘Oumuamua), on an unbound and hyperbolic orbit, offers a rare opportunity to explore the planetary formation processes of other stars and the effect of the interstellar environment on a planetesimal surface. 1I/‘Oumuamua’s close encounter with the inner solar system in 2017 October was a unique chance to make observations matching those used to characterize the small-body populations of our own solar system. We present near-simultaneous g' , r' , and J photometry and colors of 1I/‘Oumuamua from the 8.1 m Frederick C. Gillett Gemini-North Telescope and gri photometry from the 4.2 m William Herschel Telescope. Our $g'r'J$ observations are directly comparable to those from the high-precision Colours of the Outer Solar System Origins Survey (Col-OSSOS), which offer unique diagnostic information for distinguishing between outer solar system surfaces. The J -band data also provide the highest signal-to-noise measurements made of 1I/‘Oumuamua in the near-infrared. Substantial, correlated near-infrared and optical variability is present, with the same trend in both near-infrared and optical. Our observations are consistent with 1I/‘Oumuamua rotating with a double-peaked period of 8.10 ± 0.42 hr and being a highly elongated body with an axial ratio of at least 5.3:1, implying that it has significant internal cohesion. The color of the first interstellar planetesimal is at the neutral end of the range of solar system $g - r$ and $r - J$ solar-reflectance colors: it is like that of some dynamically excited objects in the Kuiper Belt and the less-red Jupiter Trojans.

Key words: minor planets, asteroids: individual (1I/2017 U1 (‘Oumuamua))

1. Introduction

The first detection of an interstellar minor planet, 1I/2017 U1 (‘Oumuamua), came on 2017 October 19 at $m_V = 19.6$ by Pan-STARRS1 (Chambers et al. 2016; Bacci et al. 2017; Meech et al. 2017). In 19 years of digital-camera all-sky surveying, it is the first definitively interstellar, decameter-scale object to be found. The earlier lack of detections has implied a low density of interstellar planetesimals (Francis 2005; Cook et al. 2016; Engelhardt et al. 2017). Several Earth masses of ejected bodies are expected per star during planetary formation and migration (e.g., Levison et al. 2010; Barclay et al. 2017). Given the number of Galactic orbits since the major ejection of planetesimals from the solar system, 1I/‘Oumuamua is statistically unlikely to originate from the solar system.

1I/‘Oumuamua’s orbit⁹ has a securely extrasolar origin. 1I/‘Oumuamua came inbound to the Sun on a hyperbolic and highly inclined trajectory that radiated from the solar apex, with an orbital eccentricity of $e = 1.1994 \pm 0.0002$ and inclination of $122^\circ.7$, avoiding planetary encounters. Such orbits are not bound to our solar system. The planetesimal was traveling at a startlingly high velocity of $v_\infty = 26.02 \pm 0.40$ km s⁻¹. This velocity is typical for the mean Galactic velocity of stars in the solar neighborhood (Mamajek 2017).

The physical properties of 1I/‘Oumuamua are not yet well constrained. Its approach geometry and fast passage left only a brief window when it was observable, after its 0.16 au minimum approach to Earth on 2017 October 14. As the *NEOWISE* scans missed its outbound trajectory (J. Masiero 2017, personal communication), no albedo is yet known. 1I/‘Oumuamua has an absolute magnitude of $H_V = 22.08 \pm 0.45$,¹⁰ implying a size of $\lesssim 200$ m, assuming it has an albedo in the range seen for either carbonaceous asteroids or Centaur albedos of $p_V = 0.06\text{--}0.08$ (Bauer et al. 2013; Nugent et al. 2016). No detection was seen in *STEREO HI-1A* observations (limiting magnitude of $m \sim 13.5$) near 1I/‘Oumuamua’s perihelion passage at 0.25 au on 2017 September 9 (K. Battams 2017, personal communication). Consistent with this earlier non-detection, it was a point source in deep VLT imaging on 2017 October 24, with no coma (Meech et al. 2017), and upper limits of surface brightness of 28–30 mag arcsec⁻² within $\sim 5''$ radial distance were set by Ye et al. (2017) on October 26 and Knight et al. (2017) on October 30. Additionally, no meteor activity from associated dust was seen (Ye et al. 2017). This implies observations of 1I/‘Oumuamua directly measure its surface.

Measurement of the surface reflectivity of 1I/‘Oumuamua will provide the first-ever comparison between solar planetesimals and those from another star. Such measurements could

⁹ JPL Horizons heliocentric elements, as of 2017 November 14: <https://ssd.jpl.nasa.gov/sbdb.cgi?sstr=2017%20U1>.

¹⁰ See footnote 9.

be used to infer the formation environment of this object or to provide evidence for a surface composition that is distinct from solar planetesimals. However, 1I/‘Oumuamua’s surface composition may have experienced substantial alteration during its exposure of Myr, and potentially Gyr, in interstellar space. No star has yet been confirmed as a potential origin (Mamajek 2017); therefore, the upper bound on 1I/‘Oumuamua’s age is around 10 Gyr, after the formation of stars of moderate metallicity.

Compositional information on minor planets as small as 1I/‘Oumuamua is limited. In reflectance relative to the color of the Sun, larger solar system objects range from neutral to substantially more red (e.g., Jewitt 2015 and references therein), with spectra ranging from featureless (C-type asteroids) to strong absorption bands (S-type asteroids; Reddy et al. 2015; Rivkin et al. 2015). Initial observations of 1I/‘Oumuamua with 4–5 m class telescopes show a featureless spectral slope that is similar to many small trans-Neptunian objects (TNOs): moderately redder than solar. Optical spectra in the wavelength range 400–950 nm from 2017 October 25 to 26 include slopes of $30\% \pm 15\%$ (Masiero 2017), $17\% \pm 2\%$ (Fitzsimmons et al. 2017), and $10\% \pm 6\%$ (Ye et al. 2017) per 1000 Å.

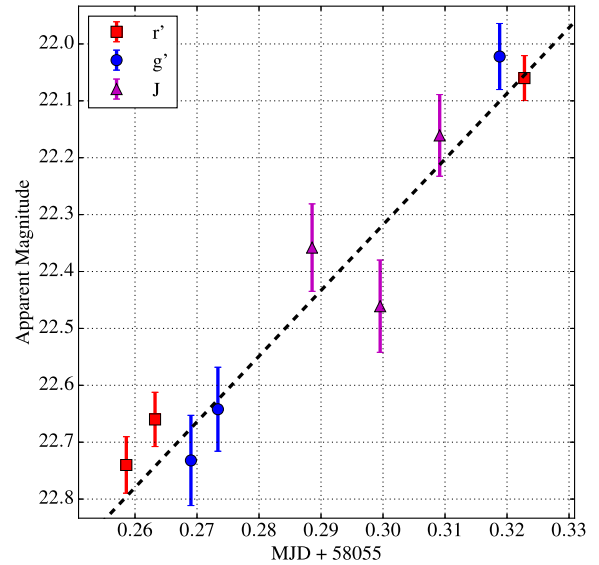
Both optical and near-infrared spectral information beyond $\sim 1 \mu\text{m}$ are necessary to distinguish the compositional classes seen in the outer solar system (Fraser & Brown 2012; Dalle Ore et al. 2015; Pike et al. 2017). Thus, *J*-band photometry is key for establishing the relationship of 1I/‘Oumuamua’s surface type to the solar system. We present near-simultaneous *grJ* photometry and colors of 1I/‘Oumuamua in the optical and near-infrared and compare to the colors of known solar system bodies.

2. Observations and Analysis

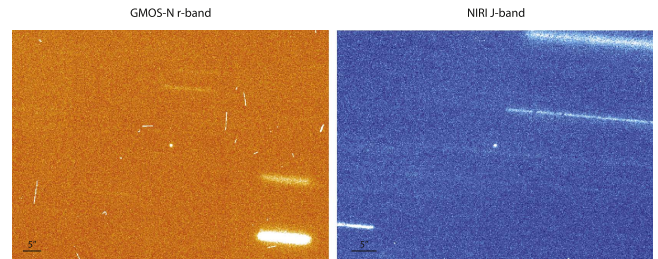
2.1. Observations

We observed 1I/‘Oumuamua with two telescopes on 2017 October 29. First, we observed 1I/‘Oumuamua with the 8.1 m Frederick C. Gillett Gemini-North Telescope on Maunakea, during 05:50–07:55 UT. JPL Horizons¹¹ predicted from the available 15 day arc that 1I/‘Oumuamua was then at a heliocentric distance of 1.46 au and geocentric distance of 0.53 au (phase angle $\alpha = 24^\circ$), producing a rapid rate of on-sky motion of R.A. $160'' \text{ hr}^{-1}$ and decl. $14'' \text{ hr}^{-1}$. We therefore tracked the telescope non-sidereally at 1I/‘Oumuamua’s rates (Figure 1). The observations were in photometric skies between airmass 1.04–1.14, with seeing in *r'* of $0''.7\text{--}0''.5$. The waxing 63% illuminated Moon was 39° away, producing a sky brightness of $\sim 20 \text{ mag arcsec}^{-2}$ in *r'*.

g', *r'*, and *J* imaging were obtained using the imaging mode of the Gemini Multi-object Spectrograph (GMOS-N; Hook et al. 2004) and the Near-infrared Imager (NIRI; Hodapp et al. 2003). The predicted apparent magnitude of 1I/‘Oumuamua was $m_v = 22.7$; exposure times are in Table 1. We observed with GMOS in two filters: *r_G0303* ($\lambda = 6300 \text{ \AA}$, $\delta\lambda = 1360 \text{ \AA}$) and *g_G0301* ($\lambda = 4750 \text{ \AA}$, $\delta\lambda = 1540 \text{ \AA}$), which we refer to as *r'* and *g'*, and which are similar to *r* and *g* in the Sloan Digital Sky Survey (SDSS) photometric system (Fukugita et al. 1996). GMOS was configured with the upgraded red-sensitive CCDs from



Color-corrected Gemini photometry of 1I/‘Oumuamua in *g'*, *r'*, and *J* (Table 1). The line indicates best fit.



Imaging of 1I/‘Oumuamua with non-sidereal tracking on the R.A. $160''/\text{hr}$ motion rates of 1I/‘Oumuamua; a single 300 s GMOS exposure in *r* (left) and a stack of 11 NIRI 120-s exposures in *J* (right). 1I/‘Oumuamua was free of cosmic rays in all exposures.

Figure 1. Observations and photometry of 1I/‘Oumuamua from Gemini-North on 2017 October 29.

Hamamatsu Photonics. The target was kept on the middle GMOS CCD, and 2×2 binning was used, resulting in an effective pixel scale of $0''.1614$. NIRI *J*-band ($\lambda = 12500 \text{ \AA}$, 11500–13300 Å coverage) images were acquired using the f/6 camera (pixel scale of $0''.116$). For both instruments, we dithered between exposures in the same filter.

Any significant magnitude changes due to rotational variability of 1I/‘Oumuamua will affect its measured colors. Our observing program therefore employed the design of the Colours of the Outer Solar System Origins Survey (Col-OSSOS; full detail of the techniques used will appear in M. E. Schwamb et al. 2017, in preparation). Col-OSSOS uses repeated measurements in each band to distinguish any light curve effects from the change in surface reflectance during the observing sequence, bracketing the NIRI observations with GMOS imaging in an *r' g' Jg' r'* filter pattern. With this cadence, we can identify if 1I/‘Oumuamua is variable on the timescale of our observations, and apply a correction, assuming that all filters are similarly affected (see Section 2.3). This is reasonable as brightness variations for solar system objects in 1I/‘Oumuamua’s size regime are due to object shape; use of filter bracketing during Col-OSSOS has been effective at removing light curve effects (Pike et al. 2017).

¹¹ <https://ssd.jpl.nasa.gov/horizons.cgi>

Table 1
Photometry of II/‘Oumuamua with the 8.1 m Frederick C. Gillett Gemini-North Telescope and the 4.2 m William Herschel Telescope

MJD	Filter	Effective Exposure (s)	m_{filter}	Note
GMOS-N and NIRI (Gemini-North)				
58055.25860	<i>r</i> _G0303	300	22.74 ± 0.03	...
58055.26323	<i>r</i> _G0303	300	22.66 ± 0.03	...
58055.26902	<i>g</i> _G0301	300	23.11 ± 0.07	...
58055.27337	<i>g</i> _G0301	300	23.02 ± 0.06	...
58055.28856	<i>J</i>	840	21.19 ± 0.07	7-image stack
58055.29954	<i>J</i>	840	21.29 ± 0.08	7-image stack
58055.30914	<i>J</i>	720	20.99 ± 0.07	6-image stack
58055.31881	<i>g</i> _G0301	300	22.40 ± 0.03	...
58055.32281	<i>r</i> _G0303	300	22.08 ± 0.02	...
ACAM (WHT)				
58055.82845	<i>r</i> _ING702	600	22.47 ± 0.09	6-image stack
58055.83740	<i>g</i> _ING701	600	23.28 ± 0.30	6-image stack
58055.84646	<i>r</i> _ING702	700	22.83 ± 0.11	7-image stack
58055.86336	<i>i</i> _ING703	1000	22.81 ± 0.08	10-image stack
58055.87835	<i>r</i> _ING702	600	23.40 ± 0.18	6-image stack

Note. GMOS-N (Gemini) photometry is color term corrected, in the Gemini-Hamamatsu system. ACAM (WHT) photometry is in the SDSS system. For both, shot noise, calibration, and aperture correction uncertainties are incorporated in quadrature.

We acquired specific observations for calibration temporally adjacent to our science data. The optical sequence was bracketed by a single 150 s sidereally tracked exposure in each optical filter, centered close to II/‘Oumuamua’s predicted location. For the NIR calibration, we observed NIR standard GD 246 at two different airmasses, with a set of nine dithered, sidereally tracked exposures at the beginning and end of our observing sequence.

Thirteen hours after the Gemini observations, we observed II/‘Oumuamua, then at very similar geometry with a phase angle of $\alpha = 24^\circ.4$, with the 4.2 m William Herschel Telescope (WHT) on La Palma from 19:45 UT–21:52 UT. Non-sidereally guided imaging was obtained in photometric conditions and $\sim 1''$ seeing, at airmass 1.3–1.1, with the imaging mode of the ACAM imager/spectrograph (Benn et al. 2008; pixel scale of $0''.253$). The data were acquired with four filters: ING Filter #701, #702, #703, and #704, corresponding to *g*, *r*, *i*, *z* in the SDSS photometric system. Individual exposures were 100 s, in a sequence $6r - 6g - 7r - 10i - 6r - 12z - 6r$ (Table 1), with the repetition of *r* to test for variability.

2.2. Data Reduction

The Gemini and WHT images¹² were prepared for analysis using standard reduction techniques. The GMOS observations

were bias-subtracted and flat-fielded using standard methods with Gemini IRAF v1.14 in AstroConda, with a master flat frame built from the last month of GMOS twilight flats, and the CCD chips mosaicked into a single extension. For the NIRI sequence, flat-fielding was with a master flat frame built from the Gemini facility calibration unit flats. A sky frame was produced for each image of II/‘Oumuamua from the unshifted 14 frames closest in time to the image, and subtracted from that image. We removed cosmic rays from each NIRI image with L. A. Cosmic (van Dokkum 2001), then aligned and stacked 20 of the 21 science 120 s NIRI exposures, rejecting one frame where II/‘Oumuamua was in front of a background source. To assess for any variability in II/‘Oumuamua, we built independent stacks from each third of the *J* image sequence (Table 1). The WHT imaging were bias-subtracted and flat-fielded with archival sky flats from 2017 August, as the sky flats taken prior to the observations had strong gradients across the field due to scattered moonlight and twilight variations.

Photometric measurements on all Gemini data (Table 1) were performed with TRIPPy (Fraser et al. 2016), using a round aperture with a radius of $3.0\times$ the point-spread function’s (PSF) FWHM. Because of the non-sidereally tracking of our target, the elongated stars in the II/‘Oumuamua images cannot be used to compute a point-source aperture correction. We instead derived a mean stellar PSF profile from the calibrator images for each data set and used this profile to calculate both the FWHM radius and an aperture correction, for photometry on the non-sidereally II/‘Oumuamua images. For the optical GMOS photometry, the four bracketing sidereally tracked images were calibrated to the SDSS system. Image zeropoints and a linear color term were fit using the instrumental SDSS magnitudes of stars in the calibration images to scale the SDSS system to the Gemini filter system. The zeropoints of the science images were set from those of the calibrator temporally closest to each science image, introducing 0.02 mag of uncertainty in the calibration of those frames to encompass the size of the variation in the zeropoint. An additional 0.02 mag uncertainty is due to the indirect measure of the aperture correction (Fraser et al. 2016). For the *J*-band NIRI photometry, we apply a mean aperture correction of 0.03 mag on the II/‘Oumuamua data. While this does not account for seeing variation along the longer *J*-band sequence, the highly stable sky conditions during our observations and the use of a large aperture mitigate the need for a variable aperture correction. The magnitudes of II/‘Oumuamua in Table 1 from the Gemini observations are thus in the Gemini filter system.

Photometry on the WHT imaging (Table 1) used a slightly different analysis, as we did not have sidereally tracked calibrator frames. The photometry of trailed stars in all images were measured using TRIPPy pill-shaped apertures, with length equal to the known rate of motion during the image. Stellar centroids were found with Source Extraction and Photometry in Python (Barbary et al. 2017), using a custom linear kernel of the trail length and angle, which was convolved with a Gaussian to simulate the appropriate stellar shape. A filter-dependent FWHM of $0''.7-1''.3$ was measured directly from II/‘Oumuamua. It was not visible in the *z* stack or in the fourth *r* stack, and thus we do not report *z* photometry. Apertures 7 pixels in radius ($1''.8$) were used for both the round aperture used to measure the flux from II/‘Oumuamua and the pill apertures used on the stars. As this implicitly assumes identical

¹² All reduced data are available at <http://doi.org/10.11570/17.0010>.

Table 2
Optical–NIR SDSS Colors of 11/‘Oumuamua

Filters	Measured Color	Observations
$g - r$	0.47 ± 0.04	Gemini
$g - r$	0.63 ± 0.31	WHT
$r - i$	0.36 ± 0.16	WHT
$r - J$	1.20 ± 0.11	Gemini

Note. Gemini $g - r$ and $r - J$ colors are near-simultaneous; WHT $g - r$ and $r - i$ are from ~ 13 hr later.

aperture corrections for both aperture shapes, we adopt 0.02 mag as a conservative estimate of measurement uncertainty, induced by the use of a fixed aperture. Stellar calibration magnitudes were extracted from the Pan-STARRS1 catalog (Chambers et al. 2016) and converted to SDSS using the Tonry et al. (2012) transformations. As insufficient stars were available to measure a color term, we required the SDSS stars to have similar colors to 11/‘Oumuamua: $0.1 < (g - r) < 0.7$. This induced a 0.02 mag uncertainty in calibration. The magnitudes of 11/‘Oumuamua in Table 1 from the WHT observations are thus in the SDSS system.

2.3. Color Computation

For the $g - r$ and $r - J$ colors of 11/‘Oumuamua, a best-fit line and an average $g - r$ color term were fit to the higher-precision Gemini optical data, in the Gemini system (Figure 1). A mean $r - J$ color was found by estimating the r value from the fitted line at each J stack epoch. Uncertainties in the fit and in the individual J measurements were folded together. The $g - r$ and $r - J$ colors thus determined were then converted to the SDSS system using the color terms determined from the initial calibration, carrying uncertainties in the color term appropriately.

The colors from the WHT measurements are consistent, but substantially more uncertain, and we subsequently consider only those from Gemini. We note the $r - i$ color corresponds to a spectral slope of $22\% \pm 15\%$, consistent with the earlier reports. The measured SDSS colors of 11/‘Oumuamua are in Table 2.

3. Variability and Shape of 11/‘Oumuamua

There are significant and correlated brightness increases in optical and NIR for 11/‘Oumuamua during our Gemini observations, with the variability in J band tracking that in the r' and g' bands (Figure 1). Over the 0.48 hr of J -band imaging, 11/‘Oumuamua brightens systematically by 0.183 ± 0.065 mag, and likewise brightens by 0.66 ± 0.03 and 0.71 ± 0.05 mag between the first and last r' and g' observations, which respectively span 1.54 and 1.19 hr. These are significant variations given the short timeframe (Table 1). As they correlate across filters, the brightening is most likely due to 11/‘Oumuamua’s shape rather than to variability in albedo or surface spectral reflectance. This is supported by the general consistency of the WHT colors, observed at a different part of 11/‘Oumuamua’s light curve (Figure 2; discussed below). Our image stacks all had point-like PSFs, and we thus do not assess upper limits for the presence of coma. However, the overall periodicity of 11/‘Oumuamua’s brightness

(Figure 2) also implies our observed increase in brightness in the Gemini data is not from dust emission.

We assess the light curve of 11/‘Oumuamua by combining our optical photometry from 2017 October 29 (Table 1) with the optical photometry of Knight et al. (2017) with the 4.3 m Discovery Channel Telescope (DCT) on 2017 October 30. The combined, geometrically corrected photometry is in Figure 2. We analyze the photometry for periodicity using both the Lomb–Scargle technique (Lomb 1976) and a modified phase-dispersion minimization (PDM) fitting technique (Stellingwerf 1978). Instead of the typical PDM model that bins the data and looks for the place where the points in the bins are not as dispersed as other periods, our modified PDM goes through every possible period and folds the data, then fits a second-order Fourier series to each folded light curve. The quality of fit is calculated from the residuals, after which the best fit is chosen (M. W. Buie 2017, personal communication). We obtain a consistent double-peaked period of 8.10 ± 0.42 hr, with a peak-to-peak amplitude from the fitted model of $\Delta m = 1.8$ mag. We note that the last pair of Gemini observations implies an excursion from our light curve model; the data are entirely reliable, given the consistent stability of the observing conditions and the calibrations and from careful inspection of the images. Our results using only our Gemini and WHT photometry with that from the DCT are independently in agreement with those of Bolin et al. (2017), which used photometry from the 3.5 m Apache Point Observatory and the DCT photometry.

Its light curve implies that 11/‘Oumuamua is either a very elongated object or a contact binary system of two equal-sized, prolate components aligned for maximum elongation (Leone et al. 1984; Sheppard & Jewitt 2004). From simulations for resolved Centaur binaries in our solar system (Noll et al. 2006), a contact binary would stay intact through perihelion. The light curve yields consistent results in either case. We consider 11/‘Oumuamua’s elongation and density assuming it is a prolate ellipsoid with semi-axes $a > b = c$. The observed $\Delta m = 1.8$ mags would require an axis ratio of $a/b = 5.3$, or larger if 11/‘Oumuamua was not observed equator-on (Lacerda & Luu 2003). Such an ellipsoid spinning in $P = 8.1$ hr with $a/b = 5.3$ (Figure 2) would require a density of at least $\rho = (a/b)^2(3\pi)/(GP^2) = 5.9 \text{ g cm}^{-3}$ to prevent it from shedding regolith, consistent with the observed absence of coma. If it is instead a contact binary of two prolate components, each with axes ratio $0.5(a/b)$ (to produce the same Δm) a similar density of 5.9 g cm^{-3} is required to hold the components in mutual orbit. As these densities are unreasonably higher than those of likely compositions of silicate or icy materials, it requires that 11/‘Oumuamua has internal strength.

Small TNOs with $H < 9$ and Centaurs with $H < 11$ typically have 7–9 hr rotation periods with peak-to-peak variations of ~ 0.3 mag (Duffard et al. 2009), though light curve amplitude changes of a magnitude or more have been measured for TNOs in this size range (Benecchi & Sheppard 2013). Small asteroids with 11/‘Oumuamua’s degree of elongation are rare but not unknown; examples include the ~ 200 – 300 m diameter Near-Earth Asteroids 2001 FE90 and 2007 MK13, both with light curve amplitudes ≥ 2.1 mag (Warner et al. 2009).

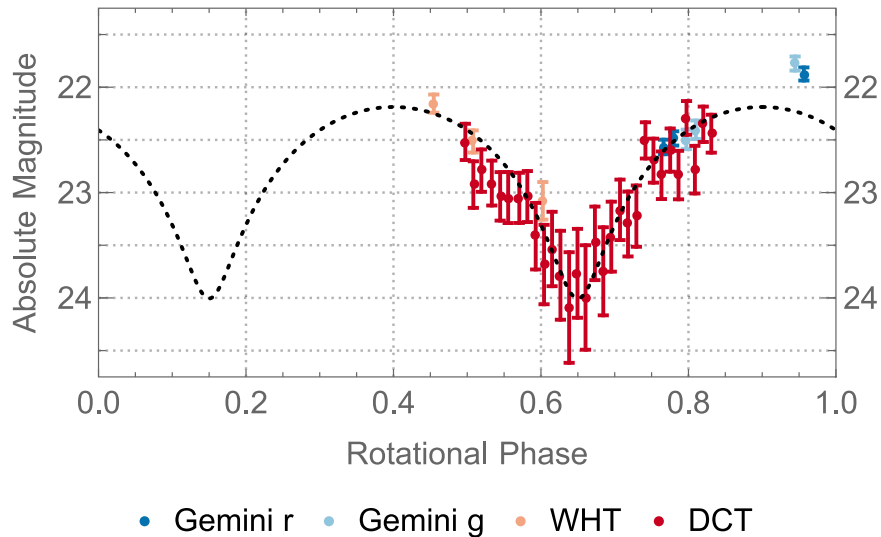


Figure 2. Light curve of 1I/'Oumuamua during 2017 October 29–30. Absolute magnitude assumes a linear phase function with slope of $0.03 \text{ mag deg}^{-1}$. Rotational phase starts at MJD 58055.0 and assumes a spin period $P = 8.1 \text{ hr}$. Overplotted is a model based on a prolate ellipsoid with axes ratio $a/b = 5.3$, which has $\Delta m = 1.8 \text{ mag}$. A similar curve would be produced by a contact binary with equal-sized, prolate components each with $a/b \sim 2.7$, elongated along a line connecting their centers.

4. 1I/'Oumuamua in Context with the Colors of the Minor Planets of the Solar System

For comparison with 1I/'Oumuamua, we collated colors of minor planet populations in our solar system from four data sets of optical and near-IR measurements: SMASS for asteroids (Emery et al. 2011), Marsset et al. (2014) for Jupiter Trojans, MBOSS for a variety of distant populations, and Col-OSSOS for TNOs. The Small Main-Belt Asteroid Spectroscopic Survey (SMASS¹³; Xu et al. 1995; Burbine & Binzel 2002; Bus & Binzel 2002b) measured spectra for a range of asteroid dynamical groups, from near-Earth objects through the main belt to Mars-crossing asteroids. SMASS provided 157 objects with both optical and NIR spectra, representing 23 of the 26 spectral types in the Bus & Binzel (2002a) taxonomy (the Cg, D, and Q types were not available in NIR). For P and D types, we used the 38 Jupiter Trojans with NIR spectra from Emery et al. (2011) for which Marsset et al. (2014) collated optical spectra. We converted each spectra to grJ colors by convolving the filter bandpasses. The mean and range for each spectral type are shown in Figure 3. The Minor Bodies in the Outer Solar System (MBOSS; Hainaut et al. 2012) database¹⁴ indexes the reported colors for objects in outer solar system dynamical populations, including Jupiter Trojans, short- and long-period comets, Centaurs, and TNOs. Forty-seven objects in the MBOSS tabulation have measurements in comparable filters and of sufficiently high signal-to-noise ratio to provide comparison to our grJ measurements of 1I/'Oumuamua. The MBOSS colors were converted to $g - r$ and $r - J$ assuming a linear spectrum through the V, R, g, r range. We retained objects if the uncertainties on their color measurements had $d(V - R) < 0.4$ and $d(V - J) < 0.4$. Col-OSSOS provides high-precision grJ colors for $m_r < 23.6$ TNOs from the Outer Solar System Origins Survey (Bannister et al. 2016), acquired in the same manner as our observations of 1I/'Oumuamua with Gemini (Section 2.1). We use the 21 Col-OSSOS TNOs with grz photometry discussed in Pike et al. (2017); the grJ

measurements are forthcoming in M. E. Schwamb et al. (2017, in preparation).

The grJ colors of 1I/'Oumuamua are at the neutral end of the solar system populations (Figure 3). About 15% of the TNOs have colors consistent with 1I/'Oumuamua, all in dynamically excited populations. 1I/'Oumuamua's color is also consistent with that of the less-red Jupiter Trojans, which are P type (Emery et al. 2011), and with Bus & Binzel (2002a) and DeMeo et al. (2009) X type in the asteroids, which encompasses the Tholen (1984) E, M, and P classifications. As its albedo is unknown, we do not describe 1I/'Oumuamua as consistent with the Tholen (1984) P type.

Notably, 1I/'Oumuamua does not share the distinctly redder colors of the cold classical TNOs (Tegler et al. 2003; Pike et al. 2017), which may be on primordial orbits. Nor is its color among the red or “ultra-red” colors of the larger TNOs on orbits that cross or are well exterior to the heliopause (Sheppard 2010; Trujillo & Sheppard 2014; Bannister et al. 2017). The cause of ultra-red coloration of these TNOs is unknown, but has been attributed to long-term cosmic-ray alteration of organic-rich surfaces (Jewitt 2002), such as would be expected during the long duration of interstellar travel.

While this work was under review, several other well-constrained color and spectral measurements were reported. Our optical color is compatible with that observed in BVR by Jewitt et al. (2017), but 3σ discrepant from the mean $g - r = 0.84 \pm 0.05$ over multiple nights of Meech et al. (2017). Our measurements confirm consistent color over roughly a quarter of 1I/'Oumuamua's surface, so the discrepancy likely indicates a change in surface color elsewhere on the body. This is supported by considering spectral slope through the near-IR; our $r - J$ corresponding to $3.6\%/100 \text{ nm}$ is more neutral than the $7.7\% \pm 1.3\%/100 \text{ nm}$ observed by Fitzsimmons et al. (2017) over $0.63 \mu\text{m}$ to $1.25 \mu\text{m}$. Note that our $1.15\text{--}1.33 \mu\text{m}$ J -band data is at longer wavelengths than the Meech et al. (2017) Y -band ($0.97\text{--}1.07 \mu\text{m}$), so we make no direct comparison there. More extensive modeling of surface color patchiness and non-

¹³ <http://smass.mit.edu/smass.html>

¹⁴ <http://www.eso.org/~ohainaut/MBOSS/>

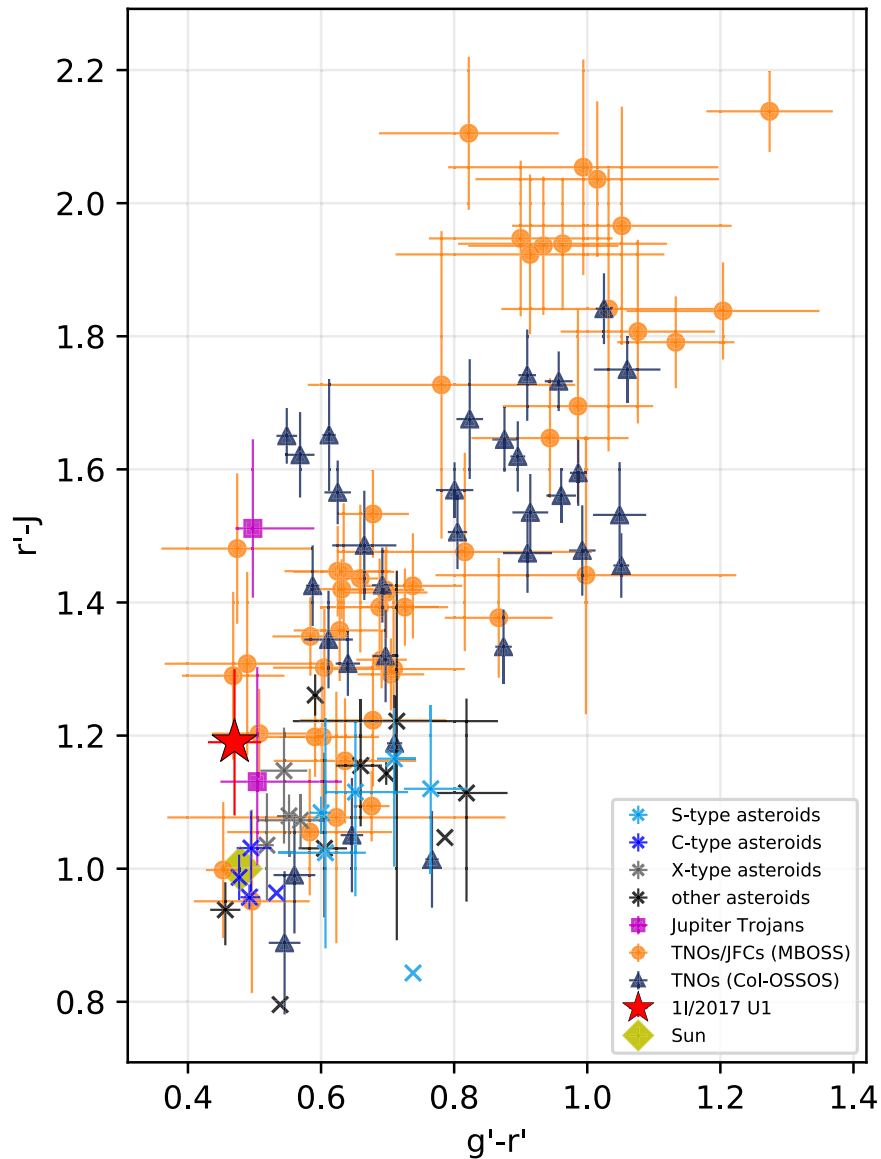


Figure 3. grJ colors of 1I/'Oumuamua in the context of the known solar system. The mean color and range of asteroids and the two color classes of Jupiter Trojans are given from 157 asteroids in 23 of the 26 (Bus & Binzel 2002a) spectral types as recorded in SMASS and 38 Jupiter Trojans (Emery et al. 2011; Marsset et al. 2014). The much more sparsely sampled distant populations are shown with individual objects, with their measurement uncertainties from MBOSS and Col-OSSOS. The observations of 1I/'Oumuamua and of Col-OSSOS were performed in the same way.

principal axis rotation (tumbling) of 1I/'Oumuamua is considered by Fraser et al. (2017).

1I/'Oumuamua's largely neutral color opens up a number of possibilities. It could imply that the correlation of ultra-redness with heliocentric distance has an alternative cause. It could suggest that 1I/'Oumuamua formed with an organics-poor surface within its star's water ice line. 1I/'Oumuamua's color being within the observed range for minor planets in the solar system could support that 1I/'Oumuamua originated from a star from the Sun's birth cluster, which should have a similar chemistry. A possible additional complication could be resurfacing due to surface activity, which would affect surface color. This seems unlikely as no surface activity was detected during 1I/'Oumuamua's perihelion passage, but 1I/'Oumuamua could have had past activity in its origin system or in another close encounter. We emphasize that our observations only probe the top few microns of 1I/'Oumuamua's surface.

Our Gemini and WHT observations provide high-precision, light-curve-independent optical and NIR color measurements for 1I/'Oumuamua. With its period of 8.1 ± 0.4 hr, highly elongated $\geq 5.3:1$ ellipsoidal or prolate-binary shape, and neutral grJ color, 1I/'Oumuamua is within the known parameters of minor planets from the solar system, but lies at the extreme ends of the physical ranges.

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Facilities: Gemini:Gillett (GMOS-N, NIRI), ING: Herschel (ACAM).

Software: astropy (The Astropy Collaboration et al. 2013), TRIPPy (Fraser et al. 2016), SExtractor (Bertin & Arnouts 1996), SEP (Barbary et al. 2017), AstroConda (<http://astroconda.readthedocs.io/>), L. A. Cosmic (van Dokkum 2001).

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