



**QUEEN'S  
UNIVERSITY  
BELFAST**

## **An in situ Comparison of Electron Acceleration at Collisionless Shocks under Differing Upstream Magnetic Field Orientations**

Masters, A., Sulaiman, A. H., Stawarz, Reville, B., Sergis, N., Fujimoto, M., Burgess, D., Coates, A. J., & Dougherty, M. K. (2017). An in situ Comparison of Electron Acceleration at Collisionless Shocks under Differing Upstream Magnetic Field Orientations. *Astrophysical Journal*, 843(2), 1-9. Article 147.  
<https://doi.org/10.3847/1538-4357/aa76ea>

**Published in:**  
Astrophysical Journal

**Document Version:**  
Publisher's PDF, also known as Version of record

**Queen's University Belfast - Research Portal:**  
[Link to publication record in Queen's University Belfast Research Portal](#)

**Publisher rights**  
© 2017. The American Astronomical Society. All rights reserved. This work is made available online in accordance with the publisher's policies. Please refer to any applicable terms of use of the publisher

**General rights**  
Copyright for the publications made accessible via the Queen's University Belfast Research Portal is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

**Take down policy**  
The Research Portal is Queen's institutional repository that provides access to Queen's research output. Every effort has been made to ensure that content in the Research Portal does not infringe any person's rights, or applicable UK laws. If you discover content in the Research Portal that you believe breaches copyright or violates any law, please contact [openaccess@qub.ac.uk](mailto:openaccess@qub.ac.uk).

**Open Access**  
This research has been made openly available by Queen's academics and its Open Research team. We would love to hear how access to this research benefits you. – Share your feedback with us: <http://go.qub.ac.uk/oa-feedback>



# An in situ Comparison of Electron Acceleration at Collisionless Shocks under Differing Upstream Magnetic Field Orientations

A. Masters<sup>1</sup>, A. H. Sulaiman<sup>2</sup>, Ł. Stawarz<sup>3</sup>, B. Reville<sup>4</sup>, N. Sergis<sup>5,6</sup>, M. Fujimoto<sup>7,8</sup>,  
D. Burgess<sup>9</sup>, A. J. Coates<sup>10,11</sup>, and M. K. Dougherty<sup>1</sup>

<sup>1</sup> The Blackett Laboratory, Imperial College London, Prince Consort Road, London, SW7 2AZ, UK; [a.masters@imperial.ac.uk](mailto:a.masters@imperial.ac.uk)

<sup>2</sup> Department of Physics and Astronomy, University of Iowa, Iowa City, IA 52242, USA

<sup>3</sup> Astronomical Observatory, Jagiellonian University, ul. Orła 171, 30-244 Krakow, Poland

<sup>4</sup> School of Mathematics and Physics, Queens University Belfast, Belfast BT7 1NN, UK

<sup>5</sup> Office of Space Research and Technology, Academy of Athens, Soranou Efessiou 4, 11527 Athens, Greece

<sup>6</sup> Institute of Astronomy, Astrophysics, Space Applications and Remote Sensing, National Observatory of Athens, Athens, Greece

<sup>7</sup> Institute of Space and Astronautical Science, Japan Aerospace Exploration Agency, 3-1-1 Yoshinodai, Chuo-ku, Sagami-hara, Kanagawa 252-5210, Japan

<sup>8</sup> Earth-Life Science Institute, Tokyo Institute of Technology, 2-12-1 Ookayama, Meguro, Tokyo 152-8551, Japan

<sup>9</sup> School of Physics and Astronomy, Queen Mary University of London, London E1 4NS, UK

<sup>10</sup> Mullard Space Science Laboratory, Department of Space and Climate Physics, University College London, Holmbury St. Mary, Dorking RH5 6NT, UK

<sup>11</sup> The Centre for Planetary Sciences at UCL/Birkbeck, Gower Street, London WC1E 6BT, UK  
Received 2017 March 7; revised 2017 May 12; accepted 2017 May 31; published 2017 July 14

## Abstract

A leading explanation for the origin of Galactic cosmic rays is acceleration at high-Mach number shock waves in the collisionless plasma surrounding young supernova remnants. Evidence for this is provided by multi-wavelength non-thermal emission thought to be associated with ultrarelativistic electrons at these shocks. However, the dependence of the electron acceleration process on the orientation of the upstream magnetic field with respect to the local normal to the shock front (quasi-parallel/quasi-perpendicular) is debated. *Cassini* spacecraft observations at Saturn's bow shock have revealed examples of electron acceleration under quasi-perpendicular conditions, and the first in situ evidence of electron acceleration at a quasi-parallel shock. Here we use *Cassini* data to make the first comparison between energy spectra of locally accelerated electrons under these differing upstream magnetic field regimes. We present data taken during a quasi-perpendicular shock crossing on 2008 March 8 and during a quasi-parallel shock crossing on 2007 February 3, highlighting that both were associated with electron acceleration to at least MeV energies. The magnetic signature of the quasi-perpendicular crossing has a relatively sharp upstream–downstream transition, and energetic electrons were detected close to the transition and immediately downstream. The magnetic transition at the quasi-parallel crossing is less clear, energetic electrons were encountered upstream and downstream, and the electron energy spectrum is harder above  $\sim 100$  keV. We discuss whether the acceleration is consistent with diffusive shock acceleration theory in each case, and suggest that the quasi-parallel spectral break is due to an energy-dependent interaction between the electrons and short, large-amplitude magnetic structures.

**Key words:** acceleration of particles – methods: data analysis – methods: observational – plasmas – shock waves – solar wind

## 1. Introduction

Collisionless shock waves are ubiquitous in the highly tenuous space plasma environments of our Solar System, as well as in a diverse range of similarly collisionless astrophysical plasma environments (see the review by Treumann 2009). Like shocks in collisional neutral fluids, collisionless plasma shocks also form when the speed of flow relative to an obstacle is greater than the speed at which information can be transferred via the medium. However, at collisionless plasma shocks the dissipation of energy is achieved by interactions between the charged particles and the electromagnetic field, and the relevant information transfer speed is the speed of fast magnetosonic waves, whereas it is the speed of sound waves in the case of collisional neutral fluids.

Key parameters used to describe collisionless shocks include the shock Mach numbers and the shock angle. A Mach number is defined in the shock rest frame as the upstream flow speed normal to the shock surface divided by an upstream wave speed. The fast magnetosonic Mach number ( $M_f$ ) and the Alfvén Mach number ( $M_A$ ) are related to the upstream speed of fast magnetosonic and Alfvén waves, respectively, where the

fast magnetosonic Mach number indicates how much bulk flow kinetic energy must be dissipated at the shock. The shock angle ( $\theta_{Bn}$ ) is the angle between the upstream magnetic field and the local normal to the shock surface. Changing this parameter has a significant impact on the physics of the shock, since the upstream field orientation strongly influences particle trajectories, including the motion of suprathermal particles across the shock front. Typically, shocks with  $\theta_{Bn} < 45^\circ$  are referred to as quasi-parallel, whereas those with  $\theta_{Bn} > 45^\circ$  are referred to as quasi-perpendicular.

Much of the drive to understand how collisionless shocks work is motivated by the historic cosmic ray problem. The leading mechanism for producing cosmic rays at energies from  $\sim 10^{10}$  eV up to  $\sim 10^{15}$  eV is acceleration at the shock waves that surround young ( $< 1000$  year old) supernova remnants (SNRs; e.g., Blandford & Eichler 1987). The sub-relativistic collisionless shocks surrounding these SNRs have very high Mach numbers, and the process thought to accelerate a fraction of the thermal pool particles to very high energies with high overall efficiency is known as diffusive shock acceleration (DSA; e.g., Bell 1978a, 1978b; Drury 1983; Blandford &

Eichler 1987; Jones & Ellison 1991). DSA is a first-order Fermi process where particles bounce between scattering centers located both upstream and downstream of the shock front, gaining energy in the process due to the convergence of the scattering centers that result from bulk-flow deceleration across the shock. The proposed scattering centers are magnetohydrodynamic (MHD) fluctuations.

Electron acceleration at young SNR shocks is of particular interest, since remote evidence supporting the operation of DSA at these shocks is provided by the detection of radio, x-ray, and gamma-ray non-thermal emission associated with ultrarelativistic electrons (Aharonian et al. 2004; Uchiyama et al. 2007; Reynolds 2008; Abdo et al. 2011; Helder et al. 2012). The process by which thermal electrons are accelerated to energies at which they interact with MHD-scale fluctuations has been debated (the so-called electron “injection” problem), as well as how the subsequent DSA of electrons is influenced by local conditions, particularly the shock angle (Jokipii 1987). These debates have often been centered on the remnant of SN1006 (e.g., Koyama et al. 1995), where the regions of more and less intense x-ray emission surrounding the remnant are thought to result from differing local upstream magnetic field orientations (e.g., Bocchino et al. 2011).

In situ observations of collisionless shocks in the solar wind have allowed significant progress in this field (see the reviews by Russell 1985; Smith 1985; Burgess 2007); however, these shocks have generally far lower Mach numbers than those that surround young SNRs. Recently, data taken by the *Cassini* spacecraft at the bow shock wave that stands in the solar wind in front of Saturn have been analyzed. Due to increasing solar wind Mach numbers with distance from the Sun (e.g., Slavin & Holzer 1981), this shock wave is one of the highest Mach-number shocks ever observed in situ, occasionally bridging the gap to the young SNR Alfvén Mach number regime (Achilleos et al. 2006; Masters et al. 2011). Studies based on *Cassini* observations have provided the first evidence for shock reformation at high Mach numbers (Sulaiman et al. 2015, 2016). Furthermore, evidence that electron “injection” occurs at all shock angles at sufficiently high Mach numbers (like those of young SNR shocks) has been provided by a study that reported the first evidence for electron acceleration at a quasi-parallel shock (Masters et al. 2013), consistent with numerical modeling work (Guo & Giacalone 2015). A later study that examined electron acceleration at hundreds of *Cassini* shock crossings also supports this conclusion (Masters et al. 2016).

In this paper we analyze two *Cassini* crossings of Saturn’s bow shock where rare evidence for electron acceleration to relativistic ( $\sim$ MeV) energies has been identified. One of these is quasi-perpendicular and the other is quasi-parallel, which provides us with our first opportunity to make an in situ comparison of electron acceleration at shocks under differing upstream magnetic field orientations, highly relevant for the problem of particle acceleration at young SNRs.

## 2. Observations

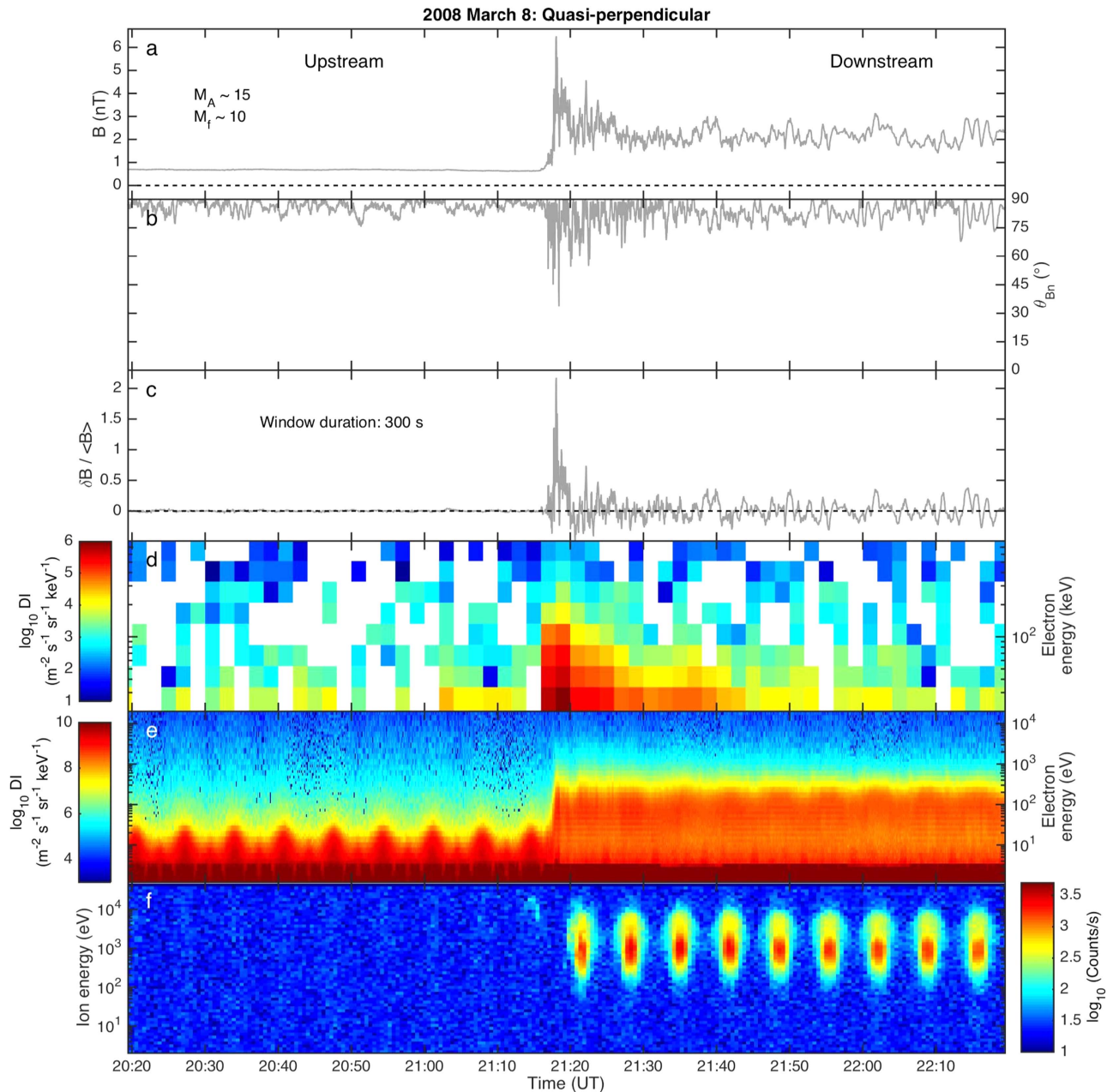
The *Cassini* spacecraft has been in Saturn orbit since 2004 July. During its orbital tour the spacecraft has regularly sampled the near-Saturn solar wind, resulting in hundreds of crossings of Saturn’s bow shock. These crossings took place predominantly on the dayside of the shock surface, and under a range of upstream conditions.

Data taken during shock crossings by *Cassini* have been surveyed by Sulaiman et al. (2016) and Masters et al. (2016), who discussed the extent of information about each crossing that can be extracted from *Cassini* data sets. These studies provide an estimated Alfvén Mach number of each crossing, as well as a separation of the crossings by shock geometry (quasi-parallel/quasi-perpendicular). The typical Alfvén Mach number of the *Cassini* shock crossings is  $\sim 15$ , with instances of lower ( $\sim 5$ ) and higher ( $\sim 100$ ) values. The crossings are generally quasi-perpendicular, due to the prevailing direction of the (variable) interplanetary magnetic field at Saturn’s heliocentric distance.

This study follows directly from the results presented by Masters et al. (2016), who searched for evidence of electron acceleration at these *Cassini* shock crossings. These authors identified three crossings with particularly strong energetic electron signatures, which cannot be explained as a result of leakage of energetic electrons from within Saturn’s magnetic field environment. Of these three most striking examples with shock-accelerated electrons, two of the crossings are quasi-perpendicular and at typical Alfvén Mach numbers, one inbound (upstream–downstream) and one outbound (downstream–upstream). The other example is the inbound, high Alfvén Mach number quasi-parallel crossing previously reported by Masters et al. (2013). Since the present study concerns the influence of upstream conditions, we focus on the inbound quasi-perpendicular and inbound quasi-parallel crossings only. Note that the excluded (outbound) quasi-perpendicular crossing has a similar signature to the included (inbound) quasi-perpendicular crossing (i.e., inclusion of the outbound crossing has no impact on the conclusions drawn here). We refer the reader to Masters et al. (2016) for a detailed discussion of electron acceleration signatures at Saturn’s bow shock, including an explanation of why only a few strong signatures have been observed.

Data taken by three instruments mounted on the three-axis-stabilized *Cassini* spacecraft during the two shock crossings of interest are presented here. The *Cassini* Magnetometer measures the local magnetic field vector (Dougherty et al. 2004). The Electron Spectrometer (ELS) and Ion Mass Spectrometer (IMS) of the *Cassini* Plasma Spectrometer (Young et al. 2004) detect electrons in the 0.5 keV–26 keV energy range, and ions with energy-per-charge between 1 V and 50 kV, respectively. The Low Energy Measurements System (LEMMS) of the Magnetospheric Imaging Instrument (Krimigis et al. 2004) detects electrons in the 18 keV to  $\sim 1$  MeV energy range. All particle detectors have a limited field-of-view (FOV).

Figure 1 shows two hours of data taken by *Cassini* on 2008 March 8, encompassing the selected quasi-perpendicular shock encounter. The magnetic field magnitude signature shown in Figure 1(a) reveals a clear upstream to downstream transition at  $\sim 21:18$  UT, and a higher level of magnetic field variability in the downstream region than in the upstream region. Figure 1(b) shows the shock angle as a time series, computed for each magnetic field vector using a normal to the local shock surface predicted by a global shape model (Went et al. 2011). Throughout the interval considered, the nearby shock surface is expected to have been quasi-perpendicular. Figure 1(c) quantifies the level of magnetic field variability. The parameter presented,  $\delta B/\langle B \rangle$ , has been calculated based on a 300 s window (10 times the typical timescale of the downstream



**Figure 1.** In situ observations made by *Cassini* on 2008 March 8 over a two-hour interval encompassing an inbound crossing of Saturn’s bow shock under quasi-perpendicular upstream magnetic conditions. (a) Magnetic field magnitude. (b) Shock angle ( $\theta_{Bn}$ , see Section 2). (c) Normalized magnitude of magnetic field fluctuations ( $\delta B / \langle B \rangle$ , see Section 2, window duration  $\sim 10$  times the typical timescale of downstream magnetic field fluctuations). (d) Energy–time spectrogram of electron differential intensity (DI) at energies above 18 keV (LEMMS). (e) Energy–time spectrogram of electron DI at energies below 18 keV (ELS anode 5). (f) Energy–time spectrogram of ion count rate (IMS anode 5).

magnetic field fluctuations), which was centered on each data point to give an associated value of the background field strength,  $\langle B \rangle$ , as the median of all data points within the window. The quantity  $\delta B$  is then defined as each field strength measurement minus the corresponding background field strength. The parameter  $\delta B / \langle B \rangle$  is therefore essentially a measure of the field strength fluctuations normalized to the background value. This approach highlights the low level of upstream magnetic field variability in comparison to the field fluctuations near the shock front and downstream.

Figures 1(d) and (e) show energy–time spectrograms of electron differential intensity over the energy range 0.5 eV to  $\sim 1$  MeV, combining data taken by ELS and LEMMS. The upstream–downstream transition is clear in the thermal electrons (Figure 1(e)), also occurring at  $\sim 21:18$  UT. The electrons detected upstream at energies below 100 eV are a superposition of the ambient solar wind electron population and a population of spacecraft photoelectrons, whereas downstream the heated ambient population is better distinguished from the lower energy ( $< 10$  eV) spacecraft photoelectrons. Note that the

modulation at a period of  $\sim 7$  minutes is related to changes in the ELS sensor FOV during instrument actuation. The signature of more energetic, shock-accelerated electrons (Figure 1(d)) was observed immediately before (from  $\sim 21:16$  UT), during, and after the approximate time of the thermal electron transition ( $\sim 21:18$  UT), with progressively lower intensities in all LEMMS energy channels with increasing time in the downstream region. Finally, Figure 1(f) shows an energy–time spectrogram of the thermal ion count rate measured by IMS, which also reveals the shock transition at  $\sim 21:18$  UT. Before the transition the upstream (anti-sunward) plasma flow direction was not within the IMS FOV, although a population of  $\sim 10$  keV ions was detected at  $\sim 21:15$  UT, most likely a signature of the incident solar wind ions that had been reflected back upstream at the shock front. In the downstream region, the population of heated solar wind ions was regularly resolved ( $\sim 7$  minute periodic modulation also related to changes in the sensor FOV during instrument actuation).

We refer the reader to Masters et al. (2011, 2016) and Sulaiman et al. (2016) for a discussion of the information that can be reliably extracted from *Cassini* data taken at Saturn’s bow shock. The location of the shock crossing shown in Figure 1 and the mean upstream magnetic field strength of  $\sim 0.8$  nT indicates an Alfvén Mach number of  $M_A \sim 15$  (assuming a stationary shock in the planetary rest frame; see Sulaiman et al. 2016). Combined with typical upstream plasma parameters at Saturn orbit (e.g., upstream flow speed  $\sim 450$  km  $s^{-1}$ ; e.g., Slavin & Holzer 1981), this corresponds to a fast magnetosonic Mach number of  $M_f \sim 10$ . Note that the large relative uncertainty in the motion of the shock surface throughout the two-hour interval prevents a reliable transformation from temporal to spatial coordinates (e.g., Masters et al. 2011).

Figure 2 shows two hours of data taken by *Cassini* on 2007 February 3, encompassing the selected quasi-parallel shock encounter, in the same format as that of Figure 1. This event was reported by Masters et al. (2013), and to the best of our knowledge remains the only confirmed case of in situ evidence for acceleration of electrons at a quasi-parallel shock. Figures 2(a) through (c) reveal a more extended upstream-to-downstream magnetic transition in this quasi-parallel case, characterized by a high level of magnetic field variability both upstream and downstream. At  $\sim 00:05$  UT the upstream magnetic field direction changed to produce a locally quasi-parallel shock from that time until beyond the end of the interval shown. The high level of upstream magnetic field variability that is typical of quasi-parallel shocks produced a highly variable shock angle based on the magnetic field vector time series, shown in Figure 2(b). However, taking the average magnetic field vector in the interval 00:10–01:00 UT gives an expected low shock angle of  $\sim 20^\circ$ . Note that in Figure 2(c) a window duration of 200 s has been used (10 times the typical timescale of the downstream magnetic field fluctuations).

Figures 2(d) and (e) also show clear differences between this quasi-parallel case and the previous quasi-perpendicular case. The time of the shock transition is most clear in the thermal electron signature (Figure 2(e), occurring at  $\sim 01:05$  UT), although the low-energy electron distribution is more variable. The signature of energetic, shock-accelerated electrons (Figure 2(d)) peaks at approximately the transition time, similar to the quasi-perpendicular case. However, in contrast to the quasi-perpendicular case the signature of electron

acceleration at this quasi-parallel shock begins well before the thermal plasma transition (at  $\sim 01:05$  UT), first resolved at  $\sim 00:35$  UT. The IMS data shown in Figure 2(f) reveals upstream features that we identify as a “diffuse” ion population that is typical of quasi-parallel shocks.

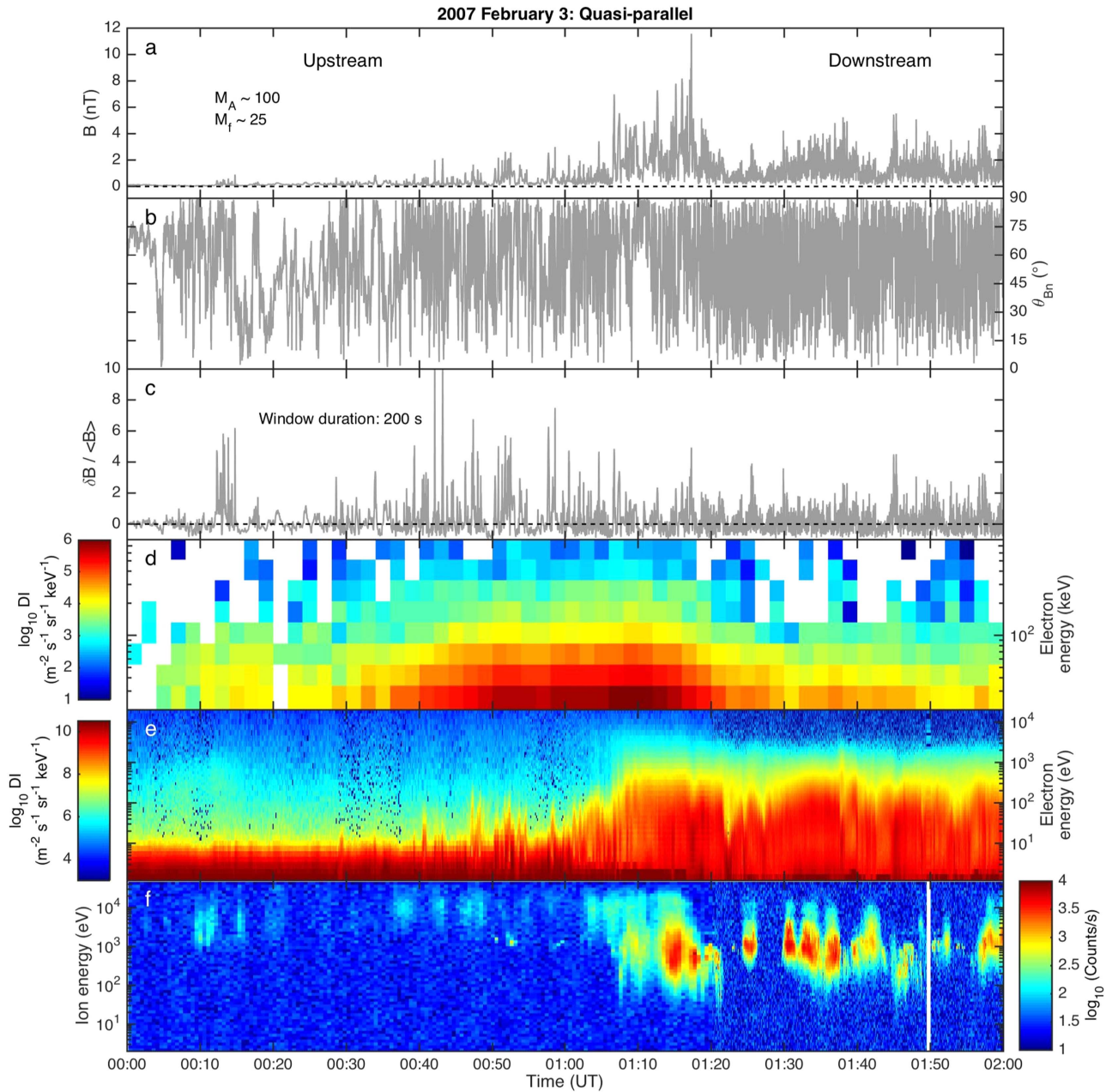
The weak upstream field strength ( $\sim 0.1$  nT) at this quasi-parallel shock encounter resulted in an unusually high Alfvén Mach number of  $M_A \sim 100$ , approaching the high-Mach-number regime of young SNR shocks. The corresponding fast magnetosonic Mach number was also relatively high,  $M_f \sim 25$  (Achilleos et al. 2006; Masters et al. 2013).

Figure 3 compares the electron energy spectra measured by ELS and LEMMS at the quasi-perpendicular and quasi-parallel crossings. In both Figures 3(a) and (b) the spectra have been averaged over a two-minute interval when the signature of accelerated electrons was strongest (highest LEMMS electron channel intensities). In both cases a non-thermal population that extends from the thermal population to higher energies is present in the ELS data (Masters et al. 2016). The observations indicate a transition from a harder to a softer electron energy spectrum with increasing energy between  $\sim 5$  and  $\sim 18$  keV, particularly in the quasi-parallel case, although comparison between ELS and LEMMS spectra should be treated with caution due to the problem of inter-calibration between the sensors. Another similarity between the quasi-perpendicular and quasi-parallel spectra are the absolute differential intensities of suprathermal electrons detected by LEMMS ( $> 18$  keV). Power law fits to this higher energy range of the electron energy spectrum have been made, and are shown in Figure 3. These describe straight lines on such log–log scales, and the slope (gradient) of each line given in Figure 3 is the index of the associated best-fit power law. The uncertainty in each slope is quoted to one significant figure and dictates the accuracy to which the best-fit slope is given.

The suprathermal electrons at the quasi-perpendicular shock can be described by a single power law distribution (Figure 3(a)). As indicated earlier in this section, at the excluded outbound quasi-perpendicular crossing that also has a strong energetic electron signature the LEMMS observations show similar channel intensities, the spectrum can also be described by a single power law, and the calculated slope is within uncertainties of the value associated with the presented inbound quasi-perpendicular shock (Figure 3(a)). In contrast, at the quasi-parallel shock this energetic population cannot be described by a single power law to within the measurement uncertainties (Figure 3(b)). Instead, two separate power laws are consistent with the quasi-parallel shock observations, where a transition from a softer to a harder spectrum with increasing energy occurs at  $\sim 100$  keV. This transition at  $\sim 100$  keV is identifiable throughout the LEMMS electron observations made between  $\sim 00:30$  and  $\sim 01:20$  UT on 2007 February 3, which is approximately the entire interval during which the signature of shock-accelerated electrons was resolved at the quasi-parallel shock. Note that the index associated with a power law fit to the electron energy spectrum above 100 keV is the same at the quasi-perpendicular and quasi-parallel shocks, to within the uncertainties.

### 3. Discussion

This comparison between electron acceleration at a quasi-perpendicular and at a quasi-parallel shock reveals clear differences, both in the magnetic structure of the shock and

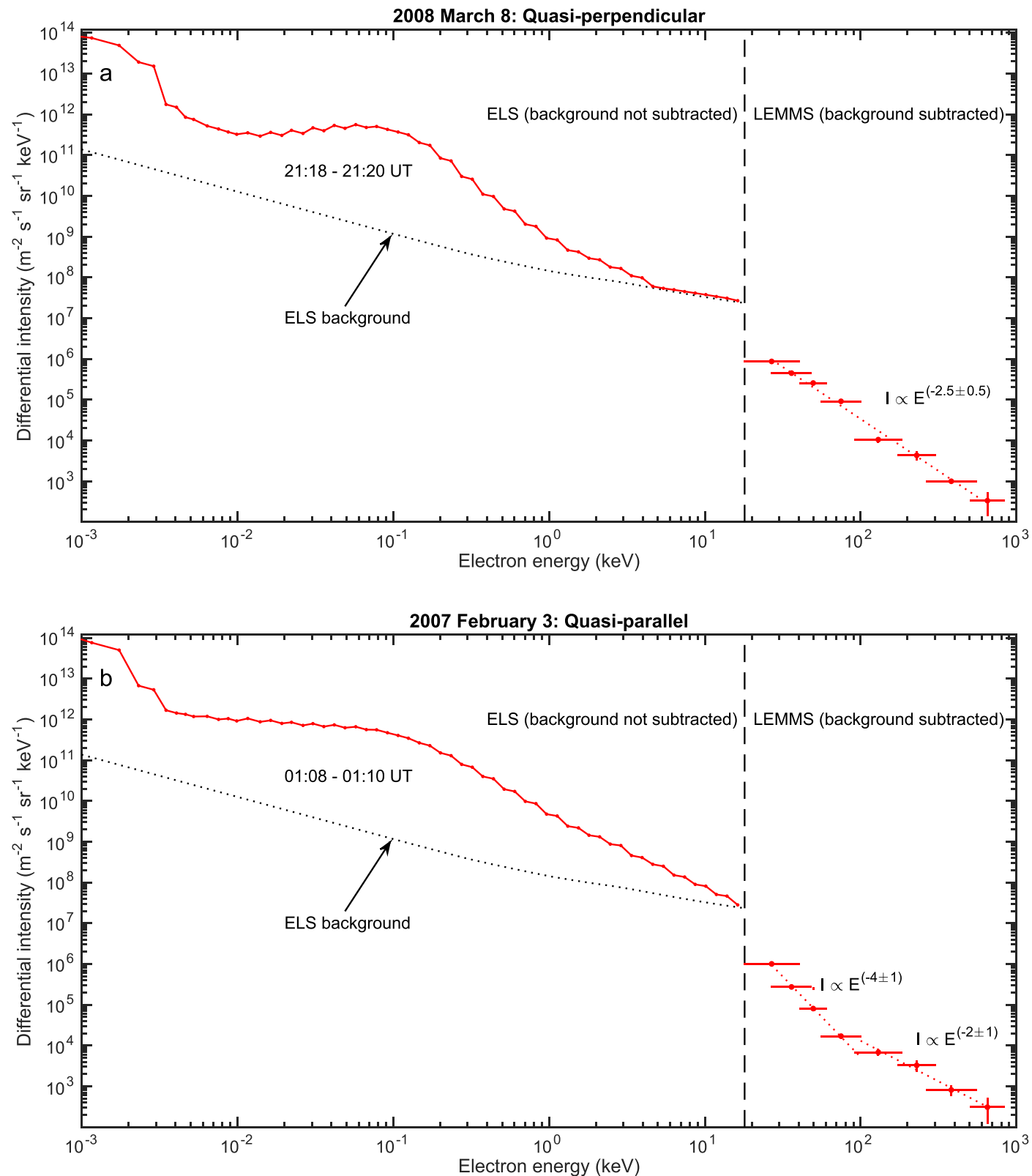


**Figure 2.** In situ observations made by *Cassini* on 2007 February 3 over a two-hour interval encompassing an inbound crossing of Saturn’s bow shock under quasi-parallel upstream magnetic conditions. (a) Magnetic field magnitude. (b) Shock angle ( $\theta_{Bn}$ , see Section 2, window duration  $\sim 10$  times the typical timescale of magnetic field fluctuations). (c) Magnitude of magnetic field fluctuations ( $\delta B / \langle B \rangle$ , see Section 2). (d) Energy–time spectrogram of electron DI at energies above 18 keV (LEMMS). (e) Energy–time spectrogram of electron DI at energies below 18 keV (ELS anode 5). (f) Energy–time spectrogram of ion count rate (IMS anode 5).

in the signature of suprathermal electrons. However, electron intensities measured at the highest energies ( $\sim 20$  keV to  $\sim 1$  MeV) are comparable, showing that, regardless of the highlighted differences, both quasi-perpendicular and quasi-parallel shocks are capable of accelerating thermal electrons to relativistic energies with analogous overall efficiencies.

Below we discuss what these *Cassini* observations tell us about the electron acceleration process under each upstream magnetic field orientation. In addition to drawing on published theories and numerical modeling results we also make a comparison with predictions of the DSA theory (e.g., Bell 1978a). DSA theory for high-Mach number shocks (ratio

of downstream to upstream plasma density equal to 4) predicts that in the test-particle limit the momentum distribution of isotropic, shock-accelerated particles,  $f(p)$ , is described by a universal power law spectrum, where  $f(p) \sim p^{-4}$ . Relating this to differential intensity (shown in Figures 1 through 3), this intensity,  $I$ , is predicted to be described as  $I \sim p^2 f(p)$  (e.g., Forman 1970). Hence, the theory predicts that the plots of electron differential intensity against electron kinetic energy,  $E$ , shown in Figure 3, should show a power law relationship, where  $I \sim E^{-1}$ . The comparison between data and this prediction is appropriate in the LEMMS energy range (non-thermal,  $>18$  keV), where observations are consistent with



**Figure 3.** Comparison of electron energy spectra measured at quasi-perpendicular and quasi-parallel shock encounters where local electron acceleration to  $\sim$ MeV energies took place. A combination of ELS ( $<18$  keV) and LEMMS ( $>18$  keV) data are shown. (a) Two-minute-averaged spectrum at the 2008 March 8 quasi-perpendicular shock crossing. (a) Two-minute-averaged spectrum at the 2007 February 3 quasi-parallel shock crossing. “Step-like” features in the ELS energy range are due to onboard spacecraft averaging in response to telemetry constraints. Dotted red lines in the LEMMS energy range are power law fits, with associated spectral indices and uncertainties. Note that an error was made in the calculation of LEMMS differential intensities at the quasi-parallel shock that were reported by Masters et al. (2013), which are too high by a factor of 100. This has been corrected here, where quantitative comparison is relevant for our conclusions.

power laws. Note that due to the upper limit of the LEMMS energy range we do not expect to be able to resolve a trans-relativistic effect at higher energies (a transition to an  $E^{-2}$  dependence at  $\sim$ MeV energies).

Both the magnetic and accelerated electron signatures of the quasi-perpendicular shock crossing shown in Figure 1 are typical of extensive past observations made at shocks in the heliosphere (e.g., Oka et al. 2006). The “injection” and subsequent acceleration of thermal electrons at a quasi-perpendicular shock has been the subject of much discussion in the literature, where shock drift acceleration, growth of the Buneman instability and its influence on shock surfing acceleration, the impact of nonstationarity, the role of ion-scale shock surface fluctuations, and the frequently invoked role of whistler waves have all been studied (Levinson 1992; Burgess 2006; Amano & Hoshino 2007, 2009a, 2009b, 2010; Riquelme & Spitkovsky 2011; Matsumoto et al. 2012, 2015; Matsukiyo & Scholer 2012). The apparent “injection” of thermal electrons close to the shock front is consistent with these ideas, for example, the mechanism described by Amano & Hoshino (2010), where thermal electrons undergo shock drift acceleration and are then scattered by self-generated whistler waves. This is thought to be possible at all Alfvén Mach numbers for perpendicular shocks, and is highlighted here because of its associated prediction for quasi-parallel shocks, discussed below in the context of our quasi-parallel crossing.

The differential intensity of energetic electrons at the quasi-perpendicular shock shown in Figure 3(a) ( $>18$  keV) can be described by a power law with an index of  $-2.5 \pm 0.5$ . This energy spectrum is therefore softer than the DSA theory prediction of an index of  $-1$ . However, although this may hint that the DSA model, at least in its simplest version discussed here, is not fully applicable, the fact that the spatial scale of the region in front of the shock where shock-reflected ions are present is comparable to the gyroradius of an MeV electron (both  $\sim 2000$  km; Gosling & Thomsen 1985) is consistent with an electron acceleration process that could nonetheless be described as “DSA.”

Although the shock encounter shown in Figure 2 is presently the only reported example of electron acceleration under quasi-parallel upstream conditions, the magnetic structure of the shock is typical of past observations of quasi-parallel shocks in general. The presence of counter-streaming ion populations upstream of quasi-parallel shocks is known to lead to significant local enhancements of the magnetic field, often referred to as short large-amplitude magnetic structures (SLAMS; Schwartz & Burgess 1991; Schwartz et al. 1992), and such structures are indeed evident in Figures 2(a) and (c). Note that largely due to the presence of these SLAMS, the local conditions at the shock front, and in particular the local shock angle, are highly variable (see Figure 2(b)).

What is atypical for this quasi-parallel shock, however, in addition to a particularly strong signature of energetic electrons, is the low ( $\sim 0.1$  nT) upstream magnetic field strength and the resulting high Alfvén Mach number ( $\sim 100$ ), and this suggests a fundamental link between the efficiency of the electron “injection” process and the shock Mach number. The mechanism outlined by Amano & Hoshino (2010), mentioned above in the context of our quasi-perpendicular shock crossing, makes the prediction that thermal electron “injection” takes place in the near upstream, and is only possible at sufficiently high Mach numbers for quasi-parallel

shocks. This is consistent with the observations presented here, where the acceleration of electrons directly from the thermal pool has been identified in the region where the main thermal plasma transition occurred (Masters et al. 2013). In addition, recent studies have proposed that electron “injection” can occur locally farther upstream, possibly associated with foreshock phenomena (Wilson et al. 2016).

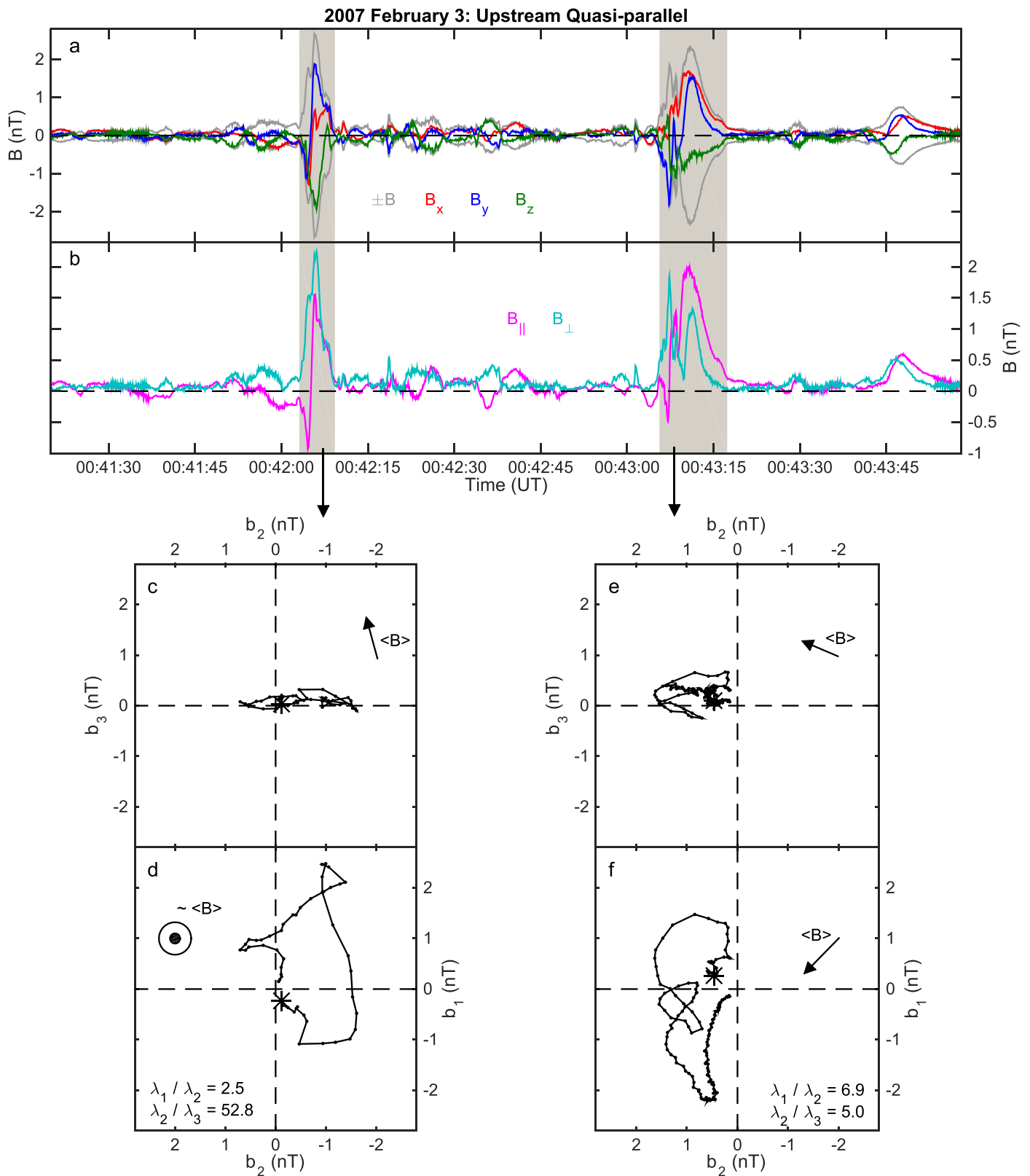
Without knowledge of the shock location with respect to the spacecraft we are unable to transform Figure 2 from a temporal to a spatial scale. However, an approximation of the scale of the upstream region during which shock-accelerated electrons were resolved ( $\sim 00:30\text{--}01:00$  UT) is  $\sim 200,000$  km, based on shock motion toward the spacecraft at  $100 \text{ km s}^{-1}$  (Achilleos et al. 2006; Masters et al. 2011). This is of order 10 times the gyroradius of an electron at an energy of 1 MeV in the upstream magnetic field of  $\sim 0.1$  nT.

The hardening of the accelerated electron energy spectrum at  $\sim 100$  keV at the quasi-parallel shock (Figure 3(b)) suggests two distinct regimes in the electron acceleration process. A power law fit to the differential intensity below 100 keV returns a power law index of  $-4 \pm 1$ , which is a softer electron energy spectrum than in the quasi-perpendicular case (in the same energy range). In contrast, a power law fit above 100 keV gives an index of  $-2 \pm 1$ , which is closer to the DSA theory prediction of  $-1$ . In the following discussion we explore the potential role of the SLAMS identified throughout the shock crossing (Figure 2(c)) in creating this electron energy spectral break.

Figure 4 shows magnetic field data taken during a sub-interval of the interval shown in Figure 2. This period contains two SLAMS identified upstream of this quasi-parallel shock. The results of minimum variance analysis (Sonnerup & Scheible 1998) applied to data taken during the spacecraft encounter with the first structure reveals a right-handed, approximately elliptical polarization about the background magnetic field direction in the spacecraft frame. The polarization of the second structure is less clear, potentially due to the less-well-constrained minimum variance direction of the field. Past observations of SLAMS at Earth’s bow shock suggest that they grow directly from the upstream wave field and attempt to propagate away from the shock, but are advected toward the shock with the bulk plasma flow (e.g., Schwartz et al. 1992). These structures are therefore expected to be intrinsically left-hand polarized, with an apparent right-handed polarization in the spacecraft frame. Taking the typical timescale of the SLAMS in Figure 1 ( $\sim 20$  s) and multiplying by the upstream flow speed ( $\sim 500 \text{ km s}^{-1}$ , Masters et al. 2013) gives the spatial scale of these structures as  $\sim 10,000$  km. In the upstream magnetic field of 0.1 nT the energy at which the electron gyroradius equals the above SLAMS spatial scale is  $\sim 90$  keV.

We therefore propose that the break in the quasi-parallel shock electron energy spectrum at  $\sim 100$  keV is due to an energy-dependent interaction between the electrons and SLAMS. Kuramitsu & Hada (2008), in examining the transport of charged particles in an idealized SLAMS, have previously found the transition from adiabatic to non-adiabatic behavior to occur at an energy corresponding to near spatial resonance between the gyroradius of the charged particles and the SLAMS. Thus for the conditions described above, electrons with energies less than 100 keV can be trapped in these nonlinear structures and effectively swept out with the flow. This adiabatic trapping will be concomitant with a significant





**Figure 4.** Magnetic field measurements made by *Cassini* on 2007 February 3 during an interval when the spacecraft was upstream of Saturn’s quasi-parallel bow shock and two SLAMS were encountered. (a) Magnetic field magnitude and components in a Cartesian coordinate system. (b) Magnetic field components parallel and perpendicular to the background magnetic field (given as the average over the interval 00:10–01:00 UT). (c)–(f) Hodograms of the magnetic field measurements made during each structure, each shown in a coordinate system derived from minimum variance analysis. The maximum, intermediate, and minimum variance directions are  $b_1$ ,  $b_2$ , and  $b_3$ , respectively. Ratios of associated eigenvalues are given ( $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_3$ ). The first field measurement in the time series is indicated by a star, and the projection of the background magnetic field vector,  $\langle B \rangle$ , normalized to unity is given in each panel.

increase in the particle anisotropy which, when averaged over long timescales, naturally leads to deviations from the standard DSA theory, and a softening of the spectrum. In contrast, higher-energy (above  $\sim 100$  keV) electrons with a gyroradius exceeding the scale of the SLAMS cannot be trapped inside such nonlinear magnetic structures, and in fact may have an enhanced isotropization rate due to scattering on multiple SLAMS, thus participating more efficiently in the diffusive shock acceleration process. The break in the energy spectrum at  $\sim 100$  keV may therefore mark the transition to genuinely diffusive behavior. Revealing the details of the physics controlling this identified feature of the energy spectrum of electrons accelerated at the quasi-parallel shock requires further work.

Although the limited spatial scale and highly variable upstream conditions at Saturn's bow shock place some limits on the extent to which we can draw conclusions about electron acceleration at young SNR shocks, this examination of the influence of the upstream magnetic field orientation nonetheless suggests that quasi-perpendicular and quasi-parallel shocks are similarly effective electron accelerators at high Mach numbers. We also note that magnetic field amplification via the non-resonant current instability, while not thought to be occurring in the cases presented here, is expected to lead to a similarly highly structured magnetic field (Bell 2004; Reville & Bell 2013), which may provide an alternative method for producing deviations from pure power law measurements in synchrotron observations of SNRs.

We thank *Cassini* instrument Principal Investigators S.M. Krimigis, D.T. Young, and J.H. Waite. This work was supported by UK STFC through consolidated grants to MSSL/UCL and Imperial College London. A.M. is supported by a Royal Society University Research Fellowship. L.S. was supported by Polish NSC grant 2016/22/E/ST9/00061. A.H.S. is supported by NASA through Contract 1415150 with Jet Propulsion Laboratory.

## References

- Abdo, A. A., Ackermann, M., Ajello, M., et al. 2011, *ApJ*, 734, 9  
 Achilleos, N., Bertucci, C., Russell, C. T., et al. 2006, *JGRA*, 111, A03201  
 Aharonian, F. A., Akhperjanian, A. G., Aye, K.-M., et al. 2004, *Natur*, 432, 75  
 Amano, T., & Hoshino, M. 2007, *ApJ*, 661, 190

- Amano, T., & Hoshino, M. 2009a, *ApJ*, 690, 244  
 Amano, T., & Hoshino, M. 2009b, *PhPI*, 16, 13  
 Amano, T., & Hoshino, M. 2010, *PhRvL*, 104, 181102  
 Bell, A. R. 1978a, *MNRAS*, 182, 147  
 Bell, A. R. 1978b, *MNRAS*, 182, 443  
 Bell, A. R. 2004, *MNRAS*, 353, 550  
 Blandford, R., & Eichler, D. 1987, *PhR*, 154, 1  
 Bocchino, F., Orlando, S., Miceli, M., et al. 2011, *A&A*, 531, 7  
 Burgess, D. 2006, *ApJ*, 653, 316  
 Burgess, D. 2007, *LNP*, 725, 161  
 Dougherty, M. K., Kellock, S., Southwood, D. J., et al. 2004, *SSRv*, 114, 331  
 Drury, L. Oc. 1983, *RPPh*, 46, 973  
 Forman, M. A. 1970, *P&SS*, 18, 25  
 Gosling, J. T., & Thomsen, M. F. 1985, *JGR*, 90, 9893  
 Guo, F., & Giacalone, J. 2015, *ApJ*, 802, 97  
 Helder, E. A., Vink, J., Bykov, A. M., et al. 2012, *SSRv*, 173, 369  
 Jokipii, J. R. 1987, *ApJ*, 313, 842  
 Jones, F. C., & Ellison, D. C. 1991, *SSRv*, 58, 259  
 Koyama, K., Petre, R., Gotthelf, E. V., et al. 1995, *Natur*, 378, 255  
 Krimigis, S. M., Mitchell, D. G., Hamilton, D. C., et al. 2004, *SSRv*, 114, 233  
 Kuramitsu, Y., & Hada, T. 2008, *NPGeo*, 15, 265  
 Levinson, A. 1992, *ApJ*, 401, 73  
 Masters, A., Schwartz, S. J., Henley, E. M., et al. 2011, *JGRA*, 116, A10107  
 Masters, A., Stawarz, L., Fujimoto, M., et al. 2013, *NatPh*, 9, 164  
 Masters, A., et al. 2016, *ApJ*, 826, 48  
 Matsumoto, Y., Amano, T., & Hoshino, M. 2012, *ApJ*, 755, 109  
 Matsumoto, Y., Amano, T., Kato, T. N., et al. 2015, *Sci*, 347, 974  
 Matsukiyo, S., & Scholer, S. 2012, *JGR*, 117, A11105  
 Oka, M., Terasawa, T., Seki, Y., et al. 2006, *GeoRL*, 33, L24104  
 Reville, B., & Bell, A. R. 2013, *MNRAS*, 430, 2873  
 Reynolds, S. P. 2008, *ARA&A*, 46, 89  
 Riquelme, M. A., & Spitkovsky, A. 2011, *ApJ*, 733, 63  
 Russell, C. T. 1985, in *Collisionless Shocks in the Heliosphere: Reviews of Current Research*, ed. B. T. Tsurutani & R. G. Stone (Washington, DC: American Geophysical Union), 109  
 Sonnerup, B. U. Ö., & Scheible, M. 1998, *ISSIR*, 1, 185  
 Schwartz, S. J., & Burgess, D. 1991, *GeoRL*, 18, 373  
 Schwartz, S. J., Burgess, D., & Wilkinson, W. P. 1992, *JGRA*, 97, 4209  
 Slavin, J. A., & Holzer, R. E. 1981, *JGRA*, 86, 11401  
 Smith, E. J. 1985, in *Collisionless Shocks in the Heliosphere: Reviews of Current Research*, ed. B. T. Tsurutani & R. G. Stone (Washington, DC: American Geophysical Union), 69  
 Sulaiman, A. H., Masters, A., Dougherty, M. K., et al. 2015, *PhRvL*, 115, 125001  
 Sulaiman, A. H., Masters, A., & Dougherty, M. K. 2016, *JGRA*, 121, 4425  
 Treumann, R. A. 2009, *A&ARv*, 17, 409  
 Uchiyama, Y., Aharonian, F. A., Tanaka, T., et al. 2007, *Natur*, 449, 576  
 Went, D. R., Hospodarsky, G. B., Masters, A., et al. 2011, *JGRA*, 116, A07202  
 Wilson, L. B., Sibeck, D. G., Turner, D. L., et al. 2016, *PRL*, 117, 215101  
 Young, D. T., Berthelier, J. J., Blanc, M., et al. 2004, *SSRv*, 114, 1