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1 **Identification of vegetable oil botanical speciation in refined vegetable oil blends using**
2 **an innovative combination of chromatographic and spectroscopic techniques.**

3

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9 Running title: vegetable oil speciation in refined oil blends

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21

22 **Abstract**

23 European Regulation 1169/2011 requires producers of foods that contain refined vegetable
24 oils to label the oil types. A novel rapid and staged methodology has been developed for the
25 first time to identify common oil species in oil blends. The qualitative method consists of a
26 combination of a Fourier Transform Infrared (FTIR) spectroscopy to profile the oils and fatty
27 acid chromatographic analysis to confirm the composition of the oils when required.
28 Calibration models and specific classification criteria were developed and all data were fused
29 into a simple decision-making system. The single lab validation of the method demonstrated
30 the very good performance (96% correct classification, 100% specificity, 4% false positive
31 rate). Only a small fraction of the samples needed to be confirmed with the majority of oils
32 identified rapidly using only the spectroscopic procedure. The results demonstrate the huge
33 potential of the methodology for a wide range of oil authenticity work.

34

35

36 **keywords:** authentication, labelling, vegetable oil, blend, palm oil, spectroscopy.

37

38 **1. Introduction**

39 Almost all processed foods, such as confectionary, pastry and other ready-to-eat products,
40 contain substantial amounts of refined vegetable oil. According to current European
41 legislation requirements it is labelled as simply 'vegetable oil' although it can be pure oil or
42 blends of different oil botanical species. The most common oils used in food manufacturing
43 are refined palm, rapeseed, sunflower oil, soybean oil and to a lesser extent, cottonseed and
44 coconut oil. The EU Regulation 1169 on the Provision of Food Information to Consumers
45 which take effect from 13 December 2014 across the EU, introduces a new requirement
46 that changes the way these products are labelled. In order to provide additional information
47 to the consumer, the food manufacturers will need to include the type of oil used (i.e. to list
48 all the oil botanical species) in the ingredients' list on the label. It will not be necessary to
49 indicate the proportion in which oils are used where there is a mixture, and the label may
50 indicate that the oils are used in different proportions (to allow for seasonal and market
51 fluctuations).

52 From the vegetable oils listed, palm oil will be the most abundant oil and is used
53 extensively in food manufacturing. Palm oil has emerged as the preferred oil source due to
54 its naturally low trans-fatty acid content, unique flavour, cost and desirable physical
55 properties (texture and melting point). Today the majority of the processed foods contain
56 palm oil in some form (palm oil or its derivatives, palm olein and palm stearin). According
57 to the food industry, this might have some impact on food choices that consumers make.
58 The reason is that palm oil production and agricultural practises have generated global
59 interest with regards to sustainability and fair trade and concerns about damage to
60 biodiversity in some tropical areas with palm oil plantations. Currently, many leading food
61 companies are members of the Roundtable on Sustainable Palm Oil (RSPO), an
62 organisation that promotes and certifies palm oil. Even if only a small portion of palm oil

63 production is currently certified, sustainable palm oil is in great demand in food
64 manufacturing especially in Europe.

65 From an analytical point of view, testing an unknown vegetable oil to identify its origin and
66 composition is a very difficult task, one that has confounded researchers, public chemists
67 and legislation authorities for years, especially with regards to premium oil authenticity.

68 One could undertake a battery of chemical tests, such as fatty acid analysis, determination
69 of sterol and hydrocarbon fractions, tocopherols, pigments and still remain uncertain about
70 the oil's authenticity. Premium vegetable oils such as extra virgin olive oil have been
71 researched extensively in order to identify adulteration with other oils such as refined olive
72 oil, deodorised olive oil, seed oils, etc. (Gurdeniz & Ozen, 2009; Baeten, Fernández,
73 Dardenne, Meurens, García-González & Aparicio-Ruiz, 2005; De Luca et al., 2011).

74 Developing such methodology for refined oils, where most of the polar fraction has been
75 significantly reduced or eliminated during the refinement process, creates an additional
76 challenge (Koidis & Osorio-Argüello, 2013). One has to mostly rely on the unique
77 chemical information retained in the triacylglycerols and the fatty acids as major
78 components of the oil, rather than focusing on the minor constituents that remain after the
79 refinement process such as sterols as the majority of the methods in the literature have
80 exercised. In a comprehensive literature review (Osorio, Haughey, Elliott & Koidis, 2014),
81 all potential analytical methods ranging from DNA methods to stable isotope analysis
82 including spectroscopic and chromatographic methods were critically discussed and
83 evaluated for this particular analytical problem. Unsurprisingly, the majority of the sources
84 cite analytical techniques applied to crude vegetable oils such as virgin olive oil rather than
85 refined oils. This was expected as the analytical need for identifying refined vegetable oil
86 species didn't exist before the introduction of the new EU legislation. Based on critical
87 analysis of a) the literature review results on vegetable oil authenticity, b) the chemical

88 composition of the three particular vegetable oils (palm, sunflower and rapeseed oil) and c)
89 the impact of refining process on their constituents, it was found that only a small number
90 of specific chromatographic and spectroscopic fingerprinting methods coupled with
91 chemometrics appear applicable to this complex challenge. The latter techniques are mainly
92 FTIR and Raman spectroscopy in untargeted mode and triacylglycerol and fatty acid
93 analysis in targeted mode. Combining these two techniques in the area of oil analysis has
94 been suggested (Aparicio & Aparicio-Ruiz, 2000) but has not been applied before
95 according to the literature. There are many uncertainties as to the extent that these methods
96 will work, how different results of different nature (targeted and untargeted) are going to be
97 combined and how the two methods, spectroscopic and chromatographic, can be used
98 mutually and collectively to strengthen the accuracy of the result. It was therefore clear that
99 major experimental work has to be performed to test these hypotheses.

100 The aim of the current study, therefore, was to develop a novel analytical procedure based
101 on both spectroscopic and chromatographic techniques for the identification of oil blends of
102 same or different species of refined vegetable oils.

103

104 **2. Materials and methods**

105 *2.1. Sourcing of refined authentic vegetable oils*

106 Refined vegetable oils (whole palm oil, palm stearin and palm olein, palm kernel oil,
107 sunflower and rapeseed oil, n=23) were obtained as reference authentic samples and were
108 used to build in-house admixtures (Section 2.2) and develop the methodology. In addition,
109 23 authentic samples of extra virgin olive oil (n=19) and refined hazelnut oil (n=4) were
110 obtained to aid in method validation as ‘negative examples’. All 46 samples were pure oils
111 (100%) purchased from reliable and reputable sources within major food companies, oil
112 processing industry and directly from oil producers when possible. The refined oils had

113 global origin and represent the European and global oils supply market. Due to
114 confidentiality issues the origin of some of all the samples sourced is not provided.
115 However, most of the palm oils samples originate from Indonesia, Malaysia, Papua New
116 Guinea and South America.

117 The sample dataset (n=47 pure oils, Figure 1) was divided into 3 independent sets, the
118 calibration dataset, the prediction set and the validation set (Section 2.2). Due to the lack of
119 authentic samples, some oils were used for both calibration and prediction set although
120 validation samples were completely independent at all cases. The sample distribution is
121 presented in Figure 1. The samples from the calibration set were used to calibrate the
122 chemometric models for every class. The prediction set utilisation was two-fold: it was
123 used firstly to benchmark the prediction efficiency of the calibration models (intra-
124 validation) and provide evidence on the best spectroscopic and chemometric technique and
125 secondly, as the basis for the development of the confirmation chromatographic analysis
126 criteria. The validation samples (n=23) were comprised of an independent group of refined
127 authentic vegetable oils (and their admixtures, see Section 2.2. and Figure 1) and a group of
128 extra virgin olive oil and refined hazelnut pure oils, also referred as ‘negative samples’.
129 ‘Negative samples’ (n=23) are meant to confirm if the method returns any false positives.
130 The validation dataset (n=46) was used to test the entire methodology, both screening and
131 confirmation stage.

132

133 2.2. Preparation of in-house oil mixtures

134 Oil binary admixtures, derived from authentic oils (palm oil, palm kernel, palm stearin,
135 palm olein, sunflower and rapeseed oils), were created in-house (n=213, Figure 1). After
136 consultation with the industry and law enforcing bodies it was determined which were the
137 most relevant oil binary blends used in food manufacturing. These binary admixtures were:

138 palm stearin + palm oil (PS-PO): 24 admixtures; palm olein + sunflower oil (POL-SO): 24
139 admixtures; sunflower oil + palm oil (SO-PO): 28 admixtures; rapeseed oil + palm kernel
140 oil (RO-PKO): 19 admixtures; sunflower oil + palm kernel oil (SO-PKO): 19 admixtures;
141 palm oil + palm kernel oil (PO-PKO): 24 admixtures; rapeseed oil + sunflower oil (RO-
142 SO): 24 admixtures; rapeseed oil + palm oil (RO-PO): 28 admixtures. All binary
143 admixtures (e.g. A:B) contained various concentrations of A and B in 4% intervals from 4
144 to 96% (for PS-PO, POL-SO, PO-PKO, RO-SO), in 4 and 2% intervals from 6 to 96% (for
145 SO-PO, RO-PO) and in 4 and 6% intervals from 4 to 94% (for RO-PKO, SO-PKO).
146 However, in order to improve the model performance, the oil admixtures with extreme
147 analogies were not included in the calibration set. The optimal admixture analogies
148 contained between 15:85 of each oil (n=115 samples). Limited ternary admixtures were
149 also created but were not used in the study, as it is uncommon for 3 different species to be
150 used in one product.

151 In the preparation of every admixture, oils from different sources and geographical origin
152 were used in order to capture compositional variability. All oil samples were stored
153 individually in 125 ml amber glass vials in the dark at -18°C with a headspace of <5% to
154 avoid auto-oxidation and photo-oxidation.

155

156 2.3. *Spectral Data Acquisition with FTIR and Raman spectroscopy*

157 For FTIR, samples were kept at 50°C prior to analysis and immediately placed in the ATR
158 sample area of a Thermo Nicolet iS5 spectrometer (Thermo Fisher Scientific, Dublin,
159 Ireland) equipped with ATR iD5 diamond and DTGS KBr detector. A few drops of oil
160 were used and each spectrum was acquired in the 550 - 4000 cm⁻¹ range. The acquisition
161 parameters were: number of sample scans: 32; collection length: 51.1 s; resolution: 4.000;
162 levels of zero filling: 2, number of scan points: 12415; number of FFT points: 65536; laser

163 frequency: 15798.0 cm^{-1} ; interferogram peak position: 6100; apodization: N-B Strong;
164 phase correction: mertz; number of background scans: 32. The acquisition was repeated 3
165 times.

166 Raman spectra acquisition was performed in an Advantage 1064 Raman Spectrometer
167 (DeltaNu Inc., Wyoming, USA). Three hundred microlitres of the oils were pipetted into
168 glass vials, with a pathlength of 10 mm and shortly kept at 50°C prior to the analysis.
169 Acquisition was performed for all samples at 10 cm^{-1} resolution across the spectral range
170 200 - 2000 cm^{-1} . Using the NuSpec software, the following parameters were inserted:
171 number of points: 6950; data spacing: 0.482117; integration time: 10 sec. The acquisition
172 was repeated twice.

173 All spectra were pre-processed according to a suitable standardized treatment which
174 includes three spectral filters, standard normal variate (SNV), first order derivative and
175 Savitsky-Golay smoothing, applied in a sequential order (Graham, Haughey, Ervin,
176 Cancouët, Bell, & Elliott, 2012). For FTIR, 3781 variables were selected in the range
177 intervals (654.2 to 1875.4 cm^{-1}) and (2520.0 to 3120.7 cm^{-1}). The Raman interval used for
178 data analysis was 800.3 to 1800.2 cm^{-1} resulting in 1038 variables.

179

180 *2.4. Chromatographic determination of fatty acid methyl esters*

181 Fatty acid methyl esters were prepared according to British Standard BS EN ISO 12966-
182 2:2011 using a Varian CP3800 Gas chromatograph fitted with Flame Ionisation Detector
183 (JVA Analytical, Dublin, Ireland) running on a Agilent CP-88-SIL (100m x 0.25mm id,
184 0.2 μm film thickness) analytical column. Briefly, oil blends were heated to 60°C to ensure
185 complete melting of the solid fat component before being thoroughly mixed prior to
186 sampling. Subsamples (300 mg) were taken in duplicate and dissolved in 10 ml of hexane.
187 An aliquot of the fatty acid methyl esters in hexane was transferred to a vial prior to

188 analysis by gas chromatography. Individual fatty acid methyl esters were detected by flame
189 ionisation detection, identified by comparison with external fatty acid methyl ester
190 standards and quantified by the use of methyl tridecanoate (Sigma-Aldrich, Dorset, UK) as
191 internal standard. Blanks were included within each batch of samples to establish base line
192 stability and instrument readiness. The internal standard was added to each sample prior to
193 preparation and determination of the fatty acid methyl esters. All analyses were carried out
194 in duplicate. Final results are expressed both as mg fatty acid g⁻¹ of sample and as
195 percentage of total fatty acids in the oil.

196

197 *2.5. Calibration modelling and prediction*

198 Multivariate data exploration (Principal Component Analysis) was performed using
199 Umetrics SIMCA 13.0 (Umea, Sweden). Calibration of specific model classes was
200 performed using two independent supervising classification techniques, Partial Least
201 Square Discriminant Analysis (PLS-DA) and Soft Independent Model Class Analogy
202 (SIMCA). Independently of the technique selected, cross validation in SIMCA 13 is carried
203 out automatically as follows: The data are divided into 7 parts and each 1/7th in turn is
204 removed. A model is built on the 6/7th data left in and the left out data are predicted from
205 the new model. This is repeated with each 1/7th of the data until all the data have been
206 predicted. The predicted data are then compared with the original data and the sum of
207 squared errors calculated for the whole dataset. This is then called the Predicted Residual
208 Sum of Squares (PRESS). The better the predictability of the model the lower this value
209 will be. For convenience, SIMCA 13 converts PRESS into Q2 to resemble the scale of the
210 R2. R2 is a measure of variation of the training set explained by the model and is a measure
211 of fit, i.e. how well the model fits the data. Q2 indicates how well the model predicts new
212 data. Good predictions will have high Q2. After calibration, prediction and external

213 validation sets were used independently in the developed models and their prediction
214 parameters, R2 and the Q2, along with Distance-to-Plot scores were calculated.

215

216 **3. Results and discussion**

217 The proposed method to identify oil botanical species in vegetable oil blends consists of a
218 screening stage based on a spectroscopic technique operating in untargeting mode and a
219 confirmation stage based on a chromatographic targeted analysis.

220 During the development of the staged method, it was important to establish a) the specific
221 spectroscopic technique (FTIR vs. Raman) that is most suitable for screening, b) the exact
222 multivariate classification technique (SIMCA vs. PLS-DA) and c) the actual model classes,
223 i.e. the oil types included in every class. In addition, although the chromatographic method
224 of the confirmation stage was early identified (fatty acid analysis using GC/FAME) specific
225 criteria for individual fatty acids had to be developed. These criteria had to be quantitative
226 and based on the final model classes in order to confirm the nature of an unknown sample.

227

228 *3.1. Choice of screening spectral technique, classification algorithm and model classes*

229 Both FTIR and Raman spectroscopy were used and compared as screening techniques in
230 order to create a database of spectroscopic data from vegetable oil samples (pure and
231 admixtures) and use it as the basis for building the calibration models. Recorded spectra of
232 some pure oils (4 palm kernel oils, 5 palm oils, 2 palm stearins, 1 palm olein, 4 rapeseed
233 oils and 4 sunflower oils) can be seen in Figure 2A for FTIR. Substantial differences were
234 observed amongst the six different types of pure oils when all spectra were superimposed,
235 which was an early indication that there was sufficient signal differences between the oils at
236 the molecular level (stretching and bending vibrations induced by infrared absorption).
237 Similar information was observed in the superimposed Raman spectra of pure oils. Pre-

238 processing of spectral data removed undesired systematic variation in the data (i.e. baseline
239 drift and wavenumber regions of low information content) and therefore enhanced the
240 predictive power of multivariate calibration models (Eriksson, Johansson, Kettaneh-Wold,
241 Trygg, Wikstrom & Wold, 2006). FTIR data exploration with Principal Component
242 Analysis (PCA), an unsupervised technique, showed that initial spectral differences
243 correspond to a very good separation in the scores plot using 2 or 3 principal components
244 (PCs) (Figure 2B, 2C). Loadings plot revealed that the most discriminative wavelengths in
245 the FTIR spectra were those within the range of a) 1117-1142 cm^{-1} corresponding to
246 stretching vibration of the C-O ester group, b) 1732-1747 cm^{-1} accounting for the ester
247 carbonyl functional group of the triglycerides and c) 2845-2925 cm^{-1} relating to the
248 asymmetrical and symmetrical stretching vibration of methylene (-CH₂) group (Guillen &
249 Cabo, 1997; Lerma-Garcia, Ramis-Ramos, Herrero-Martinez & Simo-Alfonso, 2010;
250 Rohman & Che Man, 2010). Palm kernel oil (PKO) samples have very distinctive spectral
251 characteristics and can be considered as a class of their own in the PCA score plot (Figure
252 2B, 2C). Palm olein (POL), palm stearin (PS), whole palm oil (PO) and the admixture PS-
253 PO are grouped together due to their very similar chemical composition and origin (e.g.
254 palm stearin + olein = whole palm oil) and were therefore considered as one class (P class)
255 instead of 3 different classes. The same applies to sunflower oil (SO), rapeseed oil (RO)
256 and the admixtures comprise of those two seed oils (RO-SO) that are clustered together (RS
257 class) due to their similar polyunsaturated character. The remaining classes, POL-SO, SO-
258 PO, RO-PKO, SO-PKO, PO-PKO and RO-PO were clustered in three groups and therefore
259 considered as RSPKO (RO-PKO, SO-PKO), RSPO (SO-PO, RO-PO, POL-SO) and PPKO
260 (PO-PKO) classes. These three new classes were clustered, as expected, in the virtual space
261 between the 3 initial new classes (PKO, P and RS) and they accommodate all remaining oil
262 admixture samples (Figure 2C). The Raman spectral data (not shown) also support the 6-

263 class argument although the class separation is clearer when using FTIR data. Several other
264 iterations have been attempted including a trial model with 18 independent classes but the
265 best prediction performance was obtained with the 6-class model design selected which is
266 parsimonious and has a chemical composition rationale.

267 In parallel to the model class design, the exact spectroscopic techniques (FTIR and Raman)
268 and classification algorithms were also explored. In general, FTIR contains more high-level
269 processed signal parameters and slightly richer information than Raman, which is essentially
270 a low-end dispersive instrument. In fact, FTIR has shown better performance in vegetable
271 oil botanical speciation especially with olive oil according to the literature (Osorio et al.,
272 2014). These two techniques are based in different light optical phenomena (absorption vs.
273 scattering) and, in theory, both of them would be useful as they can be complimentary. On
274 the other hand, both classification techniques (SIMCA and PLS-DA) have proven useful in
275 classifying spectroscopic data of oils (Sinelli, Cosio, Gigliotti & Casiraghi, 2007; Gurdeniz
276 & Ozen, 2009; Rohman & Chen Man, 2010). Comparing the spectral and classification
277 techniques was done simultaneously. The model performance in classifying oil admixtures
278 spectroscopically using the prediction set was equally good on FTIR and Raman data (Table
279 2), although, in some cases, Raman achieved marginally higher model parameters Q^2 and
280 R^2 . In terms of prediction power, all 4 combinations produced excellent results when the
281 calibration models were challenged with the prediction set (Table 2). The classification rates
282 were slightly overestimated due to the presence of the sample replicates. SIMCA, however,
283 proved more accurate when testing unknown and control oil admixtures by producing less
284 classification errors using the prediction set. More specifically, SIMCA is not 'forced' to
285 classify all samples to a particular class in contrast to PLS-DA (Wold, 1976; Wold &
286 Sjostrom, 1977; Bevilacqua, Bucci, Magrì, Magrì, Nescatelli & Marini, 2013). In fact, it will
287 return samples unclassified, i.e. not fitted in any of the model classes, if the residual distance

288 from the model is above the statistical limit in every class. This provides great flexibility,
289 reduces classification errors and fits very well with the purpose of the two-staged
290 classification approach presented in this study. In addition, in supervised methods, it is
291 important to avoid overfitting by using a relatively large validation set or with robust
292 internal cross-validation (Berrueta, Alonso-Salces & Héberger, 2007). PLS-DA is especially
293 prone to overfitting (Brereton, 2009) and random noise introduced as more laternt variables
294 are added (Zielinski, Haminiuk, Nunes, Schnitzler, van Ruth & Granato, 2014) compared to
295 SIMCA and . FTIR in conjunction with SIMCA produced the highest overall classification
296 rate when tested with the prediction set (Table 2). Therefore, the combination of FTIR and
297 SIMCA classification technique was established as the most suitable screening tool that is
298 fit-for-purpose.

299

300 *3.2. Development of decision system and confirmation technique criteria*

301 Unclassified oil samples in the screening stage were transferred to the second stage where a
302 confirmation technique was applied. This was realised through a simple procedure based on
303 the probabilities of the SIMCA classification algorithm during the screening stage: when an
304 unknown oil spectra is loaded, SIMCA calculates the distance-to-model to produce a
305 probability score for every oil sample to belong in each one of the 6 classes. Samples are
306 then divided into 3 groups: of high probability (> 0.1) to belong in the particular class, of
307 medium probability (0.05 to 0.1) and of low probability (< 0.05 , not classified) (Figure 3).
308 Only the unclassified samples of the latter group were transferred to the second stage due to
309 the uncertainty of the result. A sample may be found to belong to multiple classes. In this
310 case the class with the highest probability (the lowest residual distance to model) is chosen.

311 Meticulous care was exercised so that the decision system would not a) erroneously classify
312 a sample to a different class (misclassification, false positive), b) does not refer an
313 ambiguous sample to the confirmation stage (false negative or 'miss').

314 Gas chromatography for the analysis of fatty acid methyl esters was chosen as the
315 confirmation technique for its wide applicability, accessibility and accuracy in the results
316 (Aparicio & Aparicio-Ruiz, 2000). Fatty acid criteria based on individual key FA contents
317 were developed to classify the samples in one of the 6 classes. Every class has unique and
318 highly specific classification criteria as seen in Table 3. These criteria were developed
319 analysing the fatty acid profile of the prediction set and analysing standardised
320 compositional ranges for vegetable oils found in the Codex Alimentarius (CODEX STAN
321 210, 2011). The criteria were validated using the validation set. The final procedure is
322 illustrated as a two-stage decision making system (Figure 3).

323

324 3.3. *Single Lab Validation of the method using external samples*

325 A single lab validation with external samples was performed to demonstrate the performance
326 of the method on a new set of 46 oil samples (pure oils and oil blends) including 23
327 'negative samples' (Figure 1). It has to be reiterated that these oils were different from the
328 oils used in the calibration modelling and prediction sets. The proposed method flowchart
329 (Figure 3) was followed to assess the assignment success of the external samples in the 6
330 modelled classes. FTIR spectra were recorded and pre-processed for all external samples
331 (see 2.3, 2.5). This set was tested against the SIMCA calibration models and a probability
332 score was assigned to each sample according to the classification algorithm. A total of 18 oil
333 samples were classified as follows: 6 in P class; 4 in RS class, 4 in RSPKO class and 4 in
334 RSPO class. The rest of the samples (n=28) were referred to the confirmation stage due to
335 their low probability score. These samples were analysed chromatographically to determine

336 their fatty acid (FA) profile and individual contents (mg fatty acid g⁻¹ oil blend or % of total
337 FA) were calculated. The following classification results were obtained when the FA criteria
338 (Table 3) were applied: 1 in PKO class; 2 in P class, 2 in RSPO class, 1 in PPKO class and
339 23 samples remained unidentified. The 23 unidentified oil samples were the ‘negative
340 examples’ and were correctly rejected by the method (initially rejected by the SIMCA
341 algorithm due to the large residual distance from all modelled classes and subsequently
342 failed to comply with the FA criteria). These samples represent the ‘true negatives’ of the
343 test. At the end of the procedure, 45 out of 46 samples were correctly classified (97.8%).
344 The incorrect sample (palm kernel oil) was erroneously classified in the spectroscopic stage
345 as palm oil (P class) and was considered a ‘false positive’.

346 The mathematical formulas that describe method validation metrics as precision, accuracy,
347 robustness etc., are linked with quantitative methods, (AOAC, 1995; Boque, Maroto, Rui &
348 Rius, 2002) and cannot be applied in qualitative analysis. Ellison and Fearn (2005) argue
349 that it is necessary to rethink the conventional metrology so that qualitative methods are also
350 factored. Although there are no universally accepted validation standards in qualitative
351 analysis, the reliability indexes presented in García-González, Viera, Tena and Aparicio
352 (2007) and Cárdenas and Valcárel (2005) have been acknowledged as an accepted
353 evaluation of the performance of such methods. The reliability indexes therefore are: False
354 Negative rate (FNr): 0%, False Positive (FPr): 4%, sensitivity: 100%, specificity: 100% and
355 efficiency: 98%. On the other hand, if a confusion matrix is used, a common classification
356 technique in machine learning that factors in the individual class success (Kohavi & Provost,
357 1998), the following parameters are calculated: average accuracy 85.7%, average reliability:
358 78.5%, overall accuracy: 97.8%.

359 It is therefore confirmed that the decision making process and especially the criteria of the
360 chromatographic confirmatory analysis are rigorous if challenged with external samples and

361 the ~4% classification error can be attributed to the calibration models that need further
362 optimisation. This applies especially to the PKO model (Q2 cumulative 0.249) which had a
363 low prediction power and may be the reason for the misclassification of the external palm
364 kernel oil. This, however, should not undermine the excellent overall method performance
365 and the significant advantages of the two-staged procedure (only 21% of the ‘true’
366 validation samples required confirmation) in terms of speed of analysis and low cost benefits
367 of a spectroscopic measurement if the confirmatory chromatographic analysis is omitted.

368

369 **4. Conclusions**

370 In the current study, an innovative staged method has been developed through the unique
371 merging of spectroscopic and chromatographic analysis for the botanical species
372 identification of vegetable oils. The combination of FTIR spectroscopy technique and
373 SIMCA classification technique was established as the most suitable screening tool for the
374 purpose of this work. SIMCA class-models achieved high levels of correct classification
375 when FTIR spectral data were used and strongly suggest the utility of this combined approach
376 in vegetable oil screening. PLS-DA discriminant models also performed very well but the
377 risk of misclassified samples is higher. Fatty acid analysis performed by GC-FID proved to
378 be powerful in identifying samples that could not be assigned to a class by the SIMCA
379 models. In general, this qualitative method produced very good results in the single
380 laboratory validation. The sample size used for building the calibration models was relative
381 small although representative of the global vegetable oil supply and this limits a true
382 assessment of model performance. The current results have gone some way to proving the
383 concept of this novel and highly sensitive two-staged approach for identifying the kind of oils
384 present in oil blends and indicate the need of a larger study for a more robust and

385 representative method in both plain oil blends as well as in processed foods containing
386 refined oil blends.

387 This study also highlights the numerous analytical challenges that legislation and
388 enforcement authorities are facing with the current analytical methods to monitor compliance
389 of EU legislation of food labels in processed foods and oil authenticity in general.

390

391

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477 **FIGURES AND TABLES**

478

479 **Table 1.** SIMCA and PLS-DA model characteristics on calibration dataset using Raman and FTIR

480 variables on all oil samples.

	Class	R2X * (cumulative)		Q2 ** (cumulative)	
		RAMAN	FTIR	RAMAN	FTIR
SIMCA	P	0.978	0.815	0.966	0.712
	PKO	0.841	0.617	0.789	0.239
	RS	0.960	0.778	0.934	0.761
	PPKO	0.917	0.926	0.883	0.906
	RSPO	0.983	0.937	0.978	0.919
	RSPKO	0.985	0.926	0.979	0.917
PLS-DA	All classes	0.991	0.971	0.592	0.739

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486 **Table 2.** SIMCA and PLS-DA model performance on prediction dataset using Raman and FTIR (84
 487 samples for Raman and 126 samples for FTIR including replications).

	Target Group	Correctly classified target samples		Correctly classified non-target samples		Sensitivity (%)		Specificity (%)		Overall correct classification rate (%)	
		Raman	FTIR	Raman	FTIR	Raman	FTIR	Raman	FTIR	Raman	FTIR
SIMCA	P	8/8	12/12	76/76	114/114	100	100	100	100	100	100
	PKO	1/2	2/3	82/82	123/123	50	66.7	100	100	98.8	99.2
	RS	11/14	19/21	70/70	105/105	78.6	90.5	100	100	96.4	98.4
	PPKO	10/10	11/15	74/74	111/111	100	73.3	100	100	100	96.8
	RSPO	25/34	43/51	50/50	75/75	73.5	84.3	100	100	89.3	93.7
	RSPKO	16/16	22/24	68/68	102/102	100	91.7	100	100	100	98.4
	TOTAL (%)	71/84 85%	109/126 87%							97%	98%
PLS-DA	P	7/8	10/12							87.5	83.3
	PKO	2/2	3/3							100	100
	RS	14/14	20/21							100	95.2
	PPKO	10/10	15/15							100	100
	RSPO	33/34	51/51							97.1	100
	RSPKO	14/16	24/24							87.5	100
	TOTAL (%)	80/84 95%	123/126 97%							95%	96%

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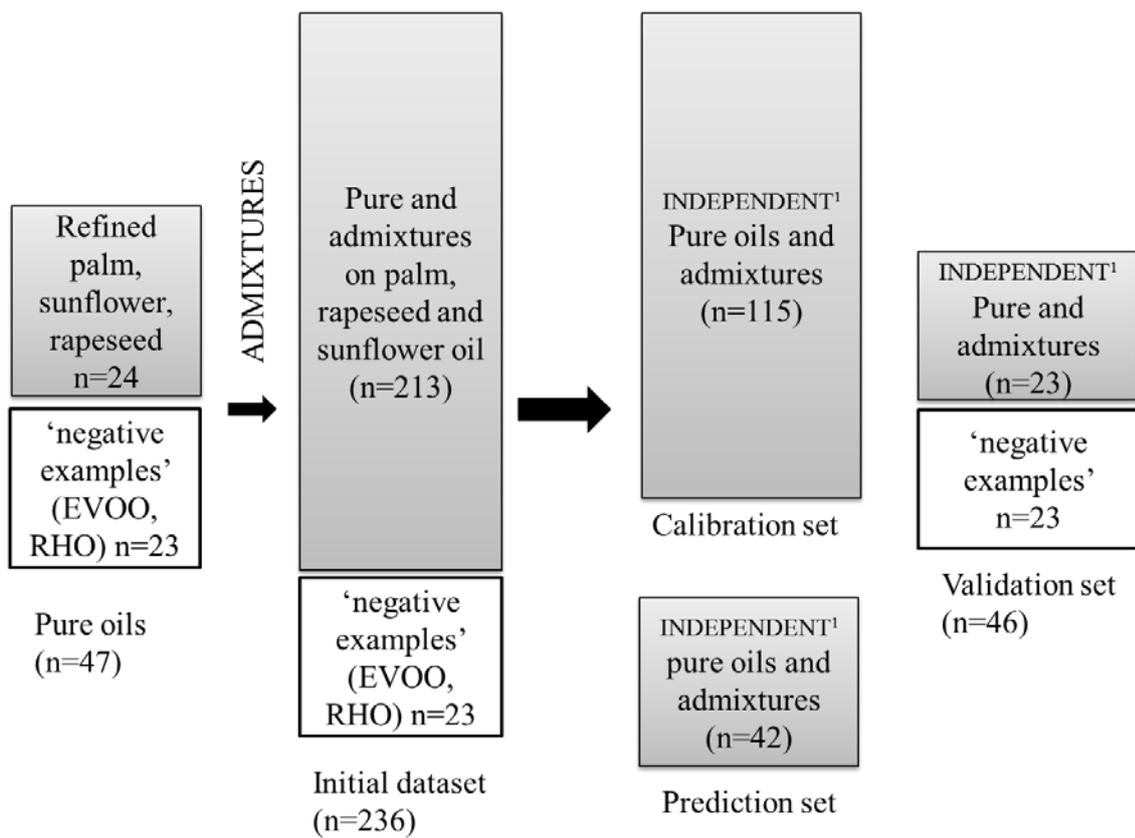
489 **Table 3.** Classification criteria for classification of vegetable oils in 6 classes according to their
 490 fatty acid content. Upper number corresponds to the % FA area per total FA; lower number
 491 correspond to the absolute FA value expressed as mg fatty acid g⁻¹ of sample

Fatty Acids (% total FA and mg fatty acid g ⁻¹)	VEGETABLE OIL CLASSES					
	P	PKO	RS	PPKO	RSPO	RSPKO
C8:0 Caprylic acid		> 3.0		> 0.3		> 0.25
		> 20		> 0.25		> 2.5
C12:0 Lauric acid	> 0.13	>48	< 0.01			
	> 0.99	> 300	< 0.1			
C14:0 Myristic acid	1.00 – 1.45		< 0.09			
	7.8 – 10.0		< 0.7			
C16:0 Palmitic acid	43 – 69			≥ 10	8.0 – 41.5	4.9 – 8.5
	315 – 490			≥ 70	58 – 330	35 – 70
C18:1 Oleic acid					≥ 25	
					≥ 195	
C18:2 cn6 Linoleic acid	5 – 12		18 – 67	3 – 10	9.5 – 56	3 – 60
	43 – 80		135 – 550	25 – 75	70 – 425	24 – 450
P:S ratio¹	< 0.25	< 0.04	> 4.0	≤ 0.3	≥ 0.325	

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493 ¹ P:S (Polyunsaturated/Saturated) ratio is an index of the polyunsaturated character of the oil and it is calculated
 494 using the ratio between C18 polyunsaturated fatty acids and C4-C24 saturated fatty acids.

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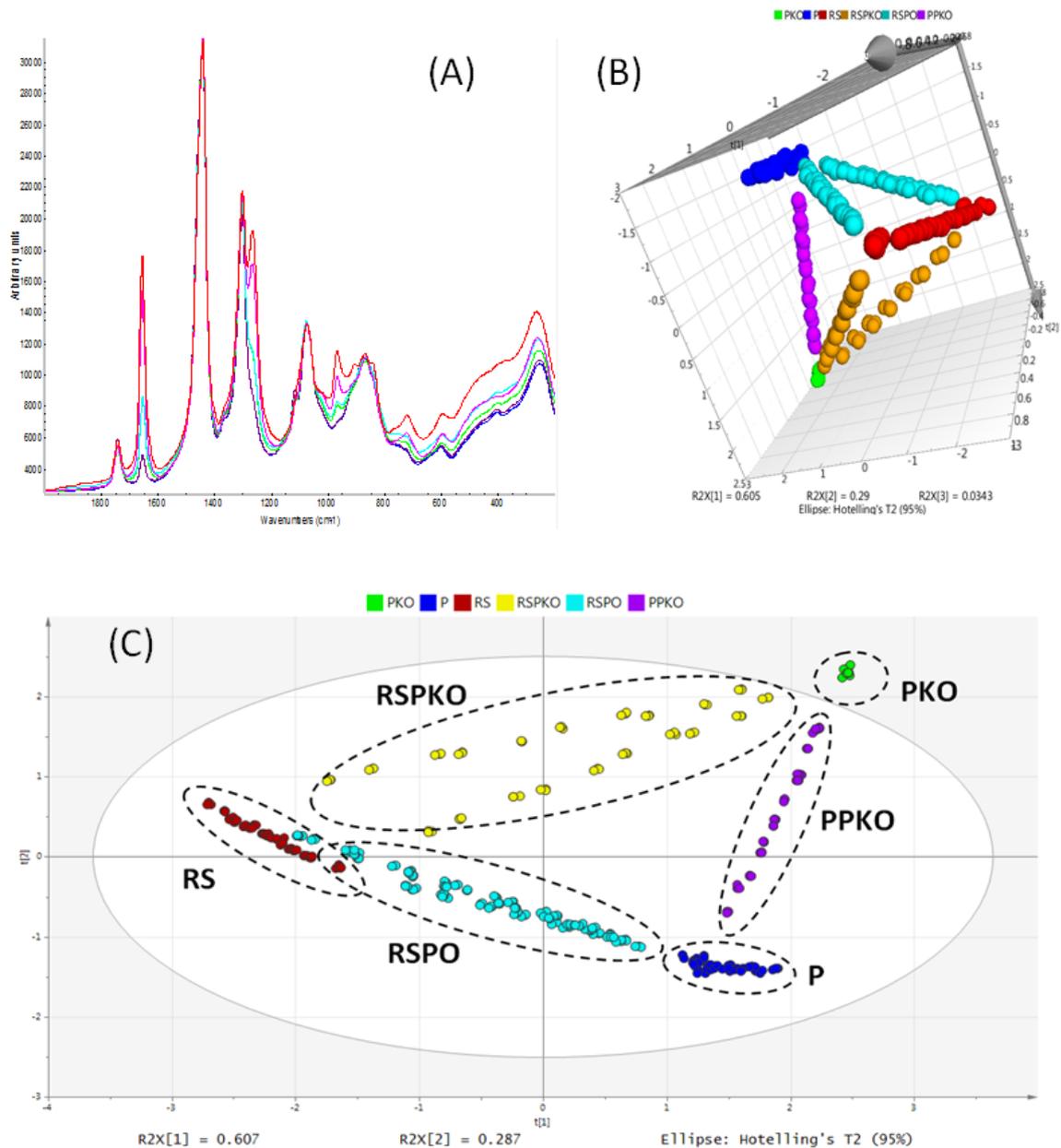
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497 **Figure 1.** Graphic representation of the dataset used in this study.

498 ¹ independent datasets means that pure and admixture samples in these datasets derive from different
 499 initial pure oils (n=23). EVOO: Extra virgin olive oil, RHO: Refined hazelnut oil.

500

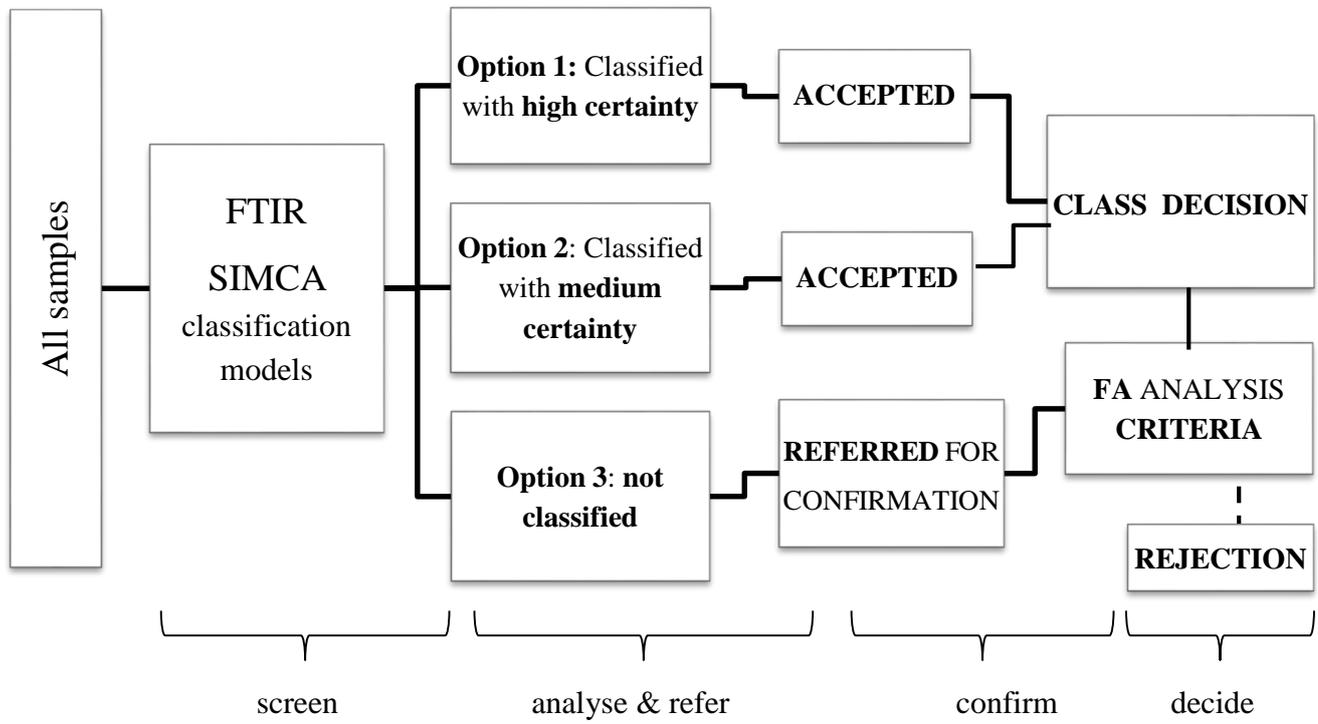
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503 **Figure 2.** A) Superimposed FTIR spectra of different pure oils B) Principal component analysis scores plot
 504 of FTIR data showing the 6 clearly defined oil classes with 3 PCs, C) PCA score plots using 2 PCs . All
 505 identified oil classes are shown.

506 PO: palm oil; POL: palm olein; PS: palm stearin; PKO: palm kernel oil; RO: rapeseed oil; SO: sunflower
 507 oil; RS: class containing rapeseed and/or sunflower oil; ROSO: binary admixtures of sunflower and
 508 rapeseed oil, P class: class containing pure and admixtures of palm oils and its derivatives, palm olein and
 509 palm stearin; PKO class: class containing pure palm kernel oil; PPKO: binary oil admixtures containing oils
 510 from PO and PKO classes; RSPKO class: binary oil admixtures containing oils from RS and PKO classes.



513 **Figure 3.** Classification results of the screening stage and referral to the confirmation stage.