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1 **Heritability estimation via molecular pedigree reconstruction in a**
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20

21 ABSTRACT

22 While evolutionary responses require heritable variation, estimates of heritability (h^2) from
23 wild fish populations remain rare. A 20-year molecular pedigree for a wild Scottish
24 population of Atlantic salmon (*Salmo salar*) was used to investigate genetic contributions to
25 (co)variation in two important, correlated, phenotypic traits: “sea-age” (number of winters
26 spent at sea prior to spawning) and size-at-maturity (body length just prior to spawning). Sea-
27 age was strongly heritable ($h^2 = 0.51$) and size exhibited moderate heritability ($h^2 = 0.27$). A
28 very strong genetic correlation ($r_G = 0.96$) between these traits implied the same functional
29 loci must underpin variation in each. Indeed, body size within sea-ages had much lower
30 heritability that did not differ significantly from zero. Thus, within wild *S. salar* populations,
31 temporal changes in sea-age composition could reflect evolutionary responses, whereas rapid
32 changes of body-size within sea-ages are more likely due to phenotypic plasticity. These
33 inheritance patterns will influence the scope of evolutionary responses to factors such as
34 harvest or climate change and, hence, have management implications for salmonid
35 populations comprising a mix of sea ages.

36

37 Keywords. salmonids; population dynamics, quantitative genetics, Bayesian, evolvability,
38 adaptation, management, conservation genetics

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44 INTRODUCTION

45 Life history traits such as age and size at first reproduction are typically expected to
46 be under strong selection, given their close links with Darwinian fitness (Stearns 1992, Roff
47 2002). All else being equal, genotypes that reproduce as early as possible in life should have
48 higher relative fitness than those that first reproduce at older ages (Brommer et al. 2002). In
49 species with indeterminate growth such as most fishes, however, there may be strong
50 breeding advantages (especially for females) to delaying first reproduction to older ages, and
51 hence larger sizes (Heino and Kaitala 1999). The optimum age and size at first reproduction
52 will then depend on factors such as (seasonal) environmental opportunities for growth, age-
53 specific extrinsic mortality schedules, and the extent to which reproductive success depends
54 on body size (Stearns 1992, Charlesworth 1994, Roff 2002). Human-induced changes to any
55 of these parameters can alter the selective pressures on populations; for example, harvests of
56 wild animals can drive “unnatural selection” on phenotypic traits such as age at maturity and
57 body size (Allendorf and Hard 2009, Heino et al. 2015, Harvey et al, 2017), while climate
58 change can impact selective dynamics in a range of complex ways (Munday et al. 2013,
59 Sydeman et al. 2015). Inferring the potential for evolutionary responses, however, requires
60 information on the extent to which observed phenotypic (co)variation is underpinned by
61 genetic (co)variation (Kuparinen and Hutchings, 2017). Detailed, long-term, studies of
62 naturally regulated populations, in which the life histories, reproductive success and
63 pedigrees of individual animals are measured or inferred can provide this information (Naish
64 and Hard 2008, Clutton-Brock and Sheldon 2010).

65 In Atlantic salmon (*Salmo salar* L.) and other salmonid fishes, the sea-age of adults
66 returning to spawn for the first time is an important trait from both ecological and economic
67 perspectives, as older fish tend to be larger and therefore more valuable from a commercial
68 and recreational fishing perspective, while also having higher fecundity and therefore

69 contributing more towards total annual egg numbers. Sea-age variation may also contribute
70 towards ecological and evolutionary portfolio effects via bet-hedging mechanisms (Schindler
71 et al., 2015), where risk is spread across multiple sea-age cohorts. Some individuals, and
72 populations of Atlantic salmon, return after just one winter at sea (1SW), whereas others
73 known as multi-sea winter (MSW) fish return after two or more winters at sea (Klemetsen et
74 al, 2003). The marine feeding destination, sea-age, adult-return size and adult run-timing are
75 all inter-related (Malcolm et al, 2010). The average size of returning adults generally
76 increases with time spent at sea, both across and within sea-age classes; for example, MSW
77 fish tend to be on average much larger than 1SW, and later-running fish of a given sea-age
78 class are typically larger than early-running fish (Hutchings & Jones 1998, Bacon et al. 2009,
79 2010, Barson et al. 2015). A substantial genetic component underlying sea-age variation has
80 been shown or implied by several studies of Atlantic salmon (Nævdal 1983, Gjerde 1984,
81 Johnston et al. 2014, Ayllon et al. 2015, Barson et al. 2015) and related species such as
82 Chinook salmon (*Oncorhynchus tshawytscha*) (Hankin et al. 1993, Quinn et al. 2001) and
83 steelhead/rainbow trout (*Oncorhynchus mykiss*) (Tipping 1991, Kause et al. 2003).

84 Body-length at maturity has also been shown to be heritable in salmonids generally,
85 with Carlson & Seamons (2008) reporting a median heritability (the fraction of phenotypic
86 variation explained by genetic variation) estimate of 0.21 across six salmonid studies. Only
87 one of these studies (Dickerson et al. 2005), however, involved a situation where the fish (in
88 that case, pink salmon, *Oncorhynchus gorbuscha*) spawned and reared naturally in a wild
89 river environment; the rest all involved either hatchery/farm-origin broodstock and/or
90 artificial rearing conditions (Carlson and Seamons 2008). Since then a limited number of
91 additional salmonid studies have appeared that estimate heritability of length-at-age in
92 freshwater in fully natural environments (Serbezov et al. 2010, Morrissey and Ferguson 2011,

93 Reed et al. 2015), but these studies did not focus on heritability of size-at-maturity
94 specifically.

95 Availing from the (still rare) existence of a multi-generation data set from a wild
96 Atlantic salmon population, the specific aims of this study were to: (1) construct a pedigree
97 based on molecular data, (2) estimate the heritability of both adult body-length and sea-age-
98 at-maturity; (3) test for any genetic correlation between these traits; and (4) test for temporal
99 trends in estimated breeding values for both traits, which would indicate microevolutionary
100 responses over the study period. Significant phenotypic trends in body size and sea-age are
101 apparent in this population during our 1991-2011 study period (Glover, pers comm.) and
102 other populations in the region more generally (Bacon et al. 2009), but it remains unknown
103 whether there is any genetic component to these trends. Answering the latter question could
104 suggest fruitful new avenues for research and interpretation of monitoring data.

105

106 METHODS

107 *Study site*

108 The Girnock Burn (Fig.1) is an intensively studied upland catchment of c. 30 km² on the
109 Aberdeenshire Dee (confluence 57 ° 0.6' N, 3° 5.3' W), in north-eastern Scotland, which has
110 been operated by Marine Scotland Science Freshwater Fisheries Laboratory since 1966. Full
111 details of the site, monitoring data and a list of associated publications are available at URL1.
112 In brief, the catchment contains some 8 km of nursery stream for Atlantic salmon ranging in
113 altitude from 230 to 900m. Adult salmon entering the Girnock are caught in an ascending trap
114 near the confluence with the mainstem of the Aberdeenshire Dee, given an individual
115 identification (floy) tag, sexed based on phenotypic characteristics, measured (adult fork

116 body-length, hereafter body-length) and aged by scale-reading, following international
117 protocols.

118 Apart from unusual scale- or tissue-sample loss, the DNA samples obtained from adults can
119 be taken as a complete set of breeders (only one adult is known to have got above the traps
120 without being caught in 50 years, during emergency trap-maintenance during an autumn
121 spate). Since the early 1990s tissue samples (adipose-fin clips) of adults were also taken, and
122 stored in molecular grade (99%) ethanol.

123 The Girnock provided an example of a fully wild Atlantic salmon population from the
124 start of the historic study (1966) until 1999, with adult fish being allowed to spawn naturally
125 above the traps. During the late 1990s, numbers of adult females fell very low (9, 11 & 22
126 over three years), and from 2000 to 2010 increasing proportions of adults were temporarily
127 held, stripped and their eggs stocked into the river section above the trap (see Bacon et al.
128 2015; URL2; Glover, *pers. comm.*). The fish were artificially mated with a crossing protocol
129 designed to increase mating variation and promote the maintenance of broad genetic variation
130 (see Bacon et al. 2015 for full details). From 2011 the system was returned to fully natural
131 spawning (but no offspring from that period returned during this study). Most juveniles from
132 the Girnock undergo parr-to-smolt transformation and seaward migration at age 2+ (third
133 year of life) or 3+ (fourth year of life). A minority of adults return after 1SW, the majority
134 after 2SW, typical of upland Scottish salmon sites (Gurney et al. 2015; URL3). 3SW adults
135 are now uncommon, and repeat spawners are regionally relatively rare (less than 2%, as is
136 typical for eastern Scotland, Bacon et al. 2012). The life span of Atlantic salmon from the
137 Girnock is therefore typically 5 to 6 years, but can range from 4 to 8 years. 1SW adults
138 typically suffer only about half the marine mortality of 2SW fish, but, being around half the
139 size (biomass), female 1SW salmon produce about half as many eggs as 2SW females
140 (Gurney et al. 2012, 2015).

141

142 *DNA samples and microsatellite genotyping*

143 DNA samples were derived from three sources during our study period 1991-2011. In the
144 mid-1990s, aliquots of genomic DNA extracted during earlier studies were available,
145 prepared according to protocols given in Taggart et al. (2001). Most of the other samples
146 from the late 1990s onwards were obtained from adipose fin-clips taken from adults, stored in
147 molecular grade (99%) ethanol, and with genomic DNA extracted using the Promega,
148 Wizard® SV 96 Genomic DNA Purification System (www.promega.com) (see Keenan et al.
149 2013a). The remaining samples, mainly from the early 1990s, plus some fish that were not
150 adipose-clipped in later years, were obtained from scale samples, archived in paper packets,
151 air-dried and with the genomic DNA extracted as above. Genomic DNA for all samples was
152 checked for quality and concentration through visual comparison with a *Hind*III digested λ
153 DNA size standard on Ethidium Bromide stained 0.8% 0.5X TBE agarose gels.

154 All samples were examined for a panel consisting of 17 putatively neutral
155 microsatellite marker loci organised in two multiplex PCR. These microsatellites were
156 selected based on information content (polymorphism), consistent co-amplification reliability
157 and non-overlapping size compatibility. Multiplex- 1 comprised ten markers and Multiplex 2
158 consisted of the seven remaining microsatellites (see Appendix A for details); , in addition to
159 a sex specific marker based on the sdY locus, which is a conserved region for sex
160 determination in salmonids (Yano et al. 2012, 2013). This later marker was used to double-
161 check concordance between the biometric records and the genotype results (to guard against
162 recording errors, including samples potentially omitted from sequences).

163 PCRs were carried out in 96 well microtitre plates in 3.5 μ l volumes consisting of 1 μ l
164 DNA (~2-5 ng/ μ l), 1.75 μ l of 2x PPP Top-Bio mastermix (Top-Bio) and 0.75 μ l of a cocktail

165 of ABI fluorescent labelled forward and/or unlabelled reverse ‘pig’ tailed PCR primers
166 (Appendix A.1 for details on labelled and unlabelled primers, and specific primer
167 concentrations per marker loci). Samples were overlain with 10 µl of mineral oil to prevent
168 evaporation. Thermocycling conditions for both multiplex panels were as follows: an initial
169 denaturation step of 15 min at 95°C, followed by 28 cycles of 95°C for 30 sec, 57°C for 90
170 sec and 72°C for 60 sec. This was followed by a final extension step of 30 min at 60°C. All
171 reactions were carried out using Techne TC-Plus thermal cyclers, with a heated lid at 105°C.
172 Amplified fragments were diluted one-tenth with double-distilled H₂O, and 1µl of this
173 dilution was added to 9 µl of HiDi formamide (Thermo Fisher Scientific) mixed with Gene
174 Scan 600-LIZ size ladder (Thermo Fisher Scientific).

175 Diluted PCR products were analysed on a 96 capillary ABI 3730XL DNA analyser
176 (Thermo Fisher Scientific), and the fragment size analysis (i.e. allelic calls) for genotypes
177 carried out using GENEMAPPERv4.1 (Thermo Fisher Scientific). Genotypes for each
178 microsatellite locus/specimen were individually checked and manually confirmed prior to
179 their addition to an electronic database. Over 50% of the genotyping was independently
180 repeated to ensure consistent scoring (i.e. to minimise scoring errors). Locus-specific
181 statistics including allele numbers, heterozygosity, allelic richness and deviations from
182 Hardy-Weinberg expectations were estimated both on annual caught samples (i.e. candidate
183 parents) and the pooled data set using the R package *diveRsity* (Keenan et al. 2013b). The
184 power of the markers to correctly assign individuals to unique families was carried out using
185 Family Assignment Program (FAP; Taggart 2007).

186

187 *Pedigree reconstruction*

188 Parental cohorts were defined as adults returning to spawn at the Girnock in each autumn. For
189 each such spawning cohort, a sub-set of later returning adults that comprised the full span of
190 their sea-going progeny, by all possible river-age (1 to 4) and sea-age (1 to 3) range
191 combinations, was assembled as putative offspring. For instance, returning adults caught in
192 the trap between 1993 and 1998 were analysed as putative offspring for the 1991 spawning
193 cohort. Pedigree reconstruction was carried out using FAP (Taggart 2007), which estimates
194 exclusion-based family assignment probabilities within family mixtures where all parental
195 genotypes are known. In summary, FAP was used to search all potential offspring for the
196 given spawning cohort and to exclude those that could not derive from a given putative pair
197 of male and female adult parents (based on field-observed sexes). The output was a list of
198 ‘family-trio’ identities (potential female parent, male parent, offspring), together with
199 information on the number of loci matching exactly, the number of evidently mismatching
200 loci, and the list of such mismatching offspring loci. This process was repeated, separately,
201 for each adult cohort from 1991 to 2006 (i.e. for all spawning cohorts for which full-sets of
202 putative returning adult offspring were available in the sample database).

203 The resulting cohort-specific lists of putative family trios were manually examined
204 and any mismatching loci for the putative offspring and its parents were double checked back
205 to the original ABI raw chromatogram data. Most allele mismatches involved single marker
206 loci and resulted from one individual (one parent or the offspring) being incorrectly assigned
207 an adjacent-sized allele, rather than the likely-true parental one. These could be easily
208 rectified following visual inspection. Following this second stage of quality control, the
209 validated family trios were used as the basis for the pedigree. Only complete parent-offspring
210 records were used in this study (a sampled offspring and both its anadromous father and
211 mother), excluding any offspring with only one known adult female parent, presumably sired
212 by an un-sampled precocious parr male. FAP was also used to estimate the ‘parental

213 exclusion power' of the data (i.e. power of the markers to correctly assign individuals to a
214 given set of known families).

215

216 *Power analyses for heritability estimation*

217 In order to quantify the statistical power in this dataset to detect true non-zero h^2 , a power
218 analysis (see Appendix B.3 for full details) was conducted in which a range of h^2 values were
219 simulated ($h^2 = 0.05, 0.10, 0.15, 0.20, 0.25, 0.30, 0.40, 0.50, 0.75$) using the *rbv* function in
220 *MCMCglmm* (Hadfield 2010). One thousand replicate simulations were run for each
221 simulated h^2 value, and each time the estimated h^2 and its associated P-value based on
222 likelihood ratio tests were returned and saved. Power was then calculated as the proportion of
223 P-values that were <0.05 .

224

225 *Estimation of quantitative genetic parameters using animal models*

226 Bayesian animal models were used to estimate quantitative genetic parameters of interest
227 using the R package *MCMCglmm* (Hadfield 2010). Full details on the estimation procedures
228 for the quantitative genetic parameters, as well as more details on the pedigree structure, are
229 given in Appendix B.1

230 Univariate animal models for the data were first devised (one trait as a single response
231 variable) to estimate the narrow-sense heritability (h^2) of each of the phenotypic traits of
232 interest: adult body-length and sea-age-at-maturity. These univariate models partitioned the
233 total phenotypic variance V_P in each trait into contributions from additive genetic effects
234 (V_A), maternal effects (V_M), year effects (V_Y) and residual effects (V_R), the latter assumed to
235 arise from environmental effects and non-additive genetic effects. Thus $V_P = V_A + V_M + V_Y +$

236 V_R , and $h^2 = V_A/V_P$. A fixed effect of sex (two level factor: adult males and adult females)
237 was included in the univariate models for both traits, to control for the fact that males may
238 mature at a younger age, on average, and hence also are smaller as adults, than females. Year-
239 to-sea (the year the focal individual migrated to sea as a smolt, known from scale readings)
240 was used to define temporal cohorts (i.e. V_Y , see Appendix B.2).

241 Adult body size (measured in centimetres and treated as a Gaussian variable) was first
242 natural logarithm (hereafter simply log) transformed before fitting the animal models, as
243 preliminary exploratory analyses indicated that this transformation minimised autocorrelation
244 between MCMC samples and yielded less skewed posterior distributions of the parameters.

245 In Atlantic salmon, among-individual variation in adult body size is strongly affected
246 by sea-age, as fish that remain at sea an extra year have more time for marine growth. The
247 mean size of 1SW salmon in our 1990-2012 sample, for example, was 55.8 ± 2.1 (S.E.) cm,
248 whereas the mean size of MSW salmon was 68.4 ± 2.1 cm. If sea age itself is heritable (i.e. if
249 the propensity to stay >1 year at sea has a genetic basis), then the h^2 of adult body size as
250 estimated from a univariate animal model will conceptually encompass the effects of genes
251 influencing sea age (which in turn drive size variation among sea-age classes), as well as,
252 genes influencing body size variation within sea-ages. To capture this heritable effect, a new
253 variable “sea-age-corrected body-length”, (denoted $\hat{S}_{i,a}$), was constructed as follows:

$$254 \quad \hat{S}_{i,a} = S_{i,a} - \bar{S}_a,$$

255 where $S_{i,a}$ corresponds to the log body-length of individual i of sea-age category a (1SW or
256 MSW) and \bar{S}_a is the mean log-body-length of all individuals of sea-age a . A univariate
257 animal model of $\hat{S}_{i,a}$ was then run (UV model 2) with the same MCMCglmm settings and
258 model structure as UV model 1 to estimate h^2 of body-length conditional on sea-age.

259 The trait sea-age was treated as a binary variable, with a value of 0 corresponding to
260 maturation after one sea-winter and a value of 1 to maturation after two or more sea-winters
261 (178 of the records in the dataset were 1SW adults; among the MSW adults, only 5 out of 323
262 spent three winters at sea, and only one fish spent four winters at sea). A probit link function
263 (family = “threshold” in *MCMCglmm*) was used, where the resulting residual variance refers
264 to the variance of the link function, here fixed at 1 in order to render V_A estimable. The h^2
265 reported from this univariate model of sea-age (UV model 3) thus refers to h^2 on the liability
266 scale, rather than the observed binary scale (see Appendix B.2).

267 In the next step, bivariate animal models (both traits included as response variables)
268 were used to partition the overall phenotypic (co)variance between body-length and sea-age-
269 at-maturity into additive genetic versus environmental (residual) components. Maternal
270 effects and year effects were not included in these models (see Appendix B.1). In the first
271 bivariate animal model (BV model 1), trait 1 was log adult body-length and trait 2 was binary
272 sea-age. Unstructured covariance matrices for the random effects were specified, in order to
273 provide estimates of COV_A and the residual covariance, COV_R . The additive genetic
274 correlation (r_G) between body-length and sea-age was calculated by dividing COV_A by the
275 product of the square root of V_A for each trait. Similarly, the environmental (residual)
276 correlation (r_E) was calculated by dividing the residual covariance by the product of the
277 square root of V_R for each trait. Statistical support for r_G and r_E was assessed by checking
278 whether the 95% highest posterior density (HPD) interval for each included zero.

279 A second bivariate animal model (BV model 2) was run where this time the two
280 response variables were sea-age-corrected body length (on the log scale, i.e. $\hat{S}_{i,a}$) and sea-age.
281 This effectively corrected adult body size for variation due to sea-age, and the resulting r_G
282 and r_E estimates from BV model 2 correspond to the genetic and environmental correlations
283 (respectively) between “body size-within-sea-age” and sea age.

284 All quantitative genetic parameter estimates are reported as posterior means \pm HPD intervals.

285

286

287 *Testing for microevolutionary trends*

288 In a quantitative genetic sense, microevolutionary responses are apparent when estimated
289 breeding values (estimates of the additive genetic “merit” of an individual for a given trait)
290 exhibit a temporal trend at the population level. We used the procedure recommended by
291 Hadfield et al. (2010 b) to test for evolutionary change in body-length corrected for sea-age,
292 and in sea-age itself (see Appendix B.4 for full details).

293

294 RESULTS

295 *Population composition and phenotypic trends*

296 During our 1991-2011 study, a total of 1,733 anadromous adults came to the Girnock to
297 spawn [annual average 75.3 (range 29 to 144, total adults)], of which the great majority
298 (97%, range 88% to 100%) in every year, were of known sex, sea-age and river-age. The
299 annual proportion of returning adults that had prior-clipped adipose fins, indicating that they
300 were both reared above the Girnock trap and trapped as juvenile emigrants, averaged 47%
301 (range 31% to 76%). The remaining 53% of unclipped returning adults are assumed to have
302 either: 1) passed over the smolt trap and not been marked; or, more likely, (2) to have been
303 reared below the Girnock trap. If reared below the Girnock trap, they were probably reared
304 outside of the Girnock catchment (only 18% of fully-grown parr are estimated to be reared
305 within the Girnock catchment but also below the Girnock trap, a region comprising just 8%
306 of the Girnock’s wetted area (Glover pers comm.)). An annual average of 47% of known-sex

307 adults were females (range 30% to 60%; male% \approx 100% - female%). During the sub-period
308 of ova-manipulation (2000 to 2010) an average of 80% of females (range 48% to 100%) were
309 artificially spawned. Fluctuations and/or trends in population numbers and composition
310 occurred (see Discussion).

311

312 *Molecular genetics and pedigree reconstruction*

313 The rate of genotyping success was high, with 92% of all individual samples amplifying for
314 at least 14 or more marker loci (i.e. 82% of screened markers per sample). Full genotype
315 information (17 loci) was obtained for 83% of all samples screened. Loci summary statistics
316 are detailed in Appendix A.2. Across the 17 loci, excluding the sex marker, there were, on
317 average across the 20 cohorts, 11.5 alleles per locus per year (\pm 7.9 S.D., range 2 to 47).
318 Within annual cohorts, observed heterozygosity values averaged 0.748 across loci per year (\pm
319 0.178 S.D., range 0.150 to 1.000). Allelic richness within loci was similar over all the study
320 years. There was no evidence for departures from Hardy-Weinberg expectations (HWE) or
321 linkage disequilibrium from any cohort.

322 Power analysis indicates that the marker panel (17 loci) used provides over 99% accuracy to
323 identify putative offspring to family. There is only a very minor decrease in power when 14+
324 markers are considered with some variation related to the particular marker loci also being
325 noted (i.e. high versus moderately polymorphic marker).

326 From some 1,600 records of adult genotypes available for paternity assignment during 1991-
327 2011, 273 full parent-offspring trios (mother, father, offspring) were discerned and retained
328 after quality-controlling. 1991 to 1995 and 2007 to 2011 have records of incomplete families
329 (not all eventual 'older' offspring will have returned and been sampled). Inclusion of such
330 records could bias interpretation of the data. The retained complete parentage-records (both

331 parents and all offspring of varying river- and sea-ages) mainly spanned the years
332 1995~2006; these years happened also to span a period of low returning adult numbers.
333 Consequently, the estimated annual values of sea-age and body-length are particularly
334 variable.

335 In order to retain only those parent-offspring trio records that were informative for the
336 quantitative genetic analyses, trios were excluded in which any of the involved individuals
337 were repeat-spawners (i.e. had spawned in previous years); the sex of either parent was
338 unknown; body-length was not measured; or neither sea-age nor river-age were readable from
339 scales (see below). This rigorous filtering reduced the number of useable trios from an initial
340 336 to 276 (82% retained).

341 After such filtering, the years of spawning for both putative parents matched in every case.
342 For one of the 276 trios, a missing value for the offspring's sea-age was estimated based on
343 its year of spawning, its known river-age, and the pedigree-determined year of spawning of
344 its parents. Similarly, for 14 of the 276 trio records, the offspring's river-age was estimated
345 from its year of spawning, its known sea-age, and the pedigree-determined year of spawning
346 of its parents. The offspring's total scale-read age closely matched its pedigree-determined
347 total-age in most cases: in 78% of cases (216/276 trios) the match was exact; in 19% the age-
348 comparisons differed by only a single year (53/276); the remaining seven age-discrepancies
349 comprised four records where age-comparisons differed by 2-years; one at 3-years; and two
350 at 5-years. The latter three records involving offspring-ageing discrepancies of >2 years were
351 removed, resulting in 273 rigorously useable parental-trio records.

352 Further details on the pedigree are given in Table 1 and Appendix B.1.

353

354 *Animal models*

355 Convergence was good for all animal models (results not shown). The univariate model of
356 body-length (UV model 1) showed that additive genetic effects (V_A) accounted for
357 approximately one-quarter of the total phenotypic variance (Table 2, h^2 of log adult body-
358 length = 0.27). Of the remaining variance (i.e. not including V_A), maternal effects (V_M)
359 accounted for approximately 13% (9% of the total phenotypic variance V_P), year-to-sea
360 effects (V_Y) for 15% (11% of V_P) and residual environmental effects (V_R) for 72% (53% of
361 V_P). Males were on average smaller (61.62 ± 0.58 cm) than females (66.10 ± 0.33 cm). When
362 body-length was corrected for sea-age (UV model 2), V_A then accounted for a considerably
363 smaller fraction of V_P (Table 2, h^2 of body length within sea-ages = 0.14). V_M accounted for
364 approximately 14% of V_P , V_Y for 9%, and V_R accounted for the remaining 64%. The
365 univariate animal model of sea-age (UV model 3) showed that V_A accounted for a little over
366 half of V_P (Table 2, h^2 of sea-age = 0.51). Of the remaining variance (i.e. not including V_A),
367 V_M accounted for approximately 10% (5% of V_P), V_Y for 44% (20% of V_P) and V_R for 46%
368 (21% of V_P).

369 The results of the bivariate animal model of adult body length and sea-age (BV model
370 1) mirrored those of the univariate animal models in terms of the estimated heritabilities for
371 the two traits (h^2 of adult body length = 0.26 and h^2 of sea-age = 0.48 in BV model 1; Table
372 3). The estimated genetic correlation between these traits was very high in the bivariate
373 model where body length was not adjusted for sea age ($r_G = 0.96$; Table 3). The results of the
374 bivariate animal model of sea-age-corrected body length and sea-age (BV model 2) also
375 largely mirrored those of the univariate models in term of the estimated heritabilities for the
376 two traits, although the h^2 of sea-age-corrected body length was somewhat lower (h^2 of sea-
377 age-corrected body length = 0.06 and h^2 of sea-age = 0.53 in BV model 2; Table 3). The
378 estimated genetic correlation between these traits was positive ($r_G = 0.33$) but the HPD

379 intervals overlapped zero (Table 3), indicating that the r_G was not significantly different from
380 zero.

381 A power analysis showed that the Girnock data had sufficient power to detect $h^2 >$
382 0.22 with >80% confidence with no inherent bias in the data-set (Fig.2).

383

384 *Testing for microevolutionary trends*

385 The temporal trend in estimated breeding values for the trait “sea-age corrected body-length”
386 was estimated at -0.0001 log(cm)/year (95% quantiles: -0.0008 to 0.0004). This trend in
387 estimated breeding values was not statistically significantly negative, as only 67.4% of the
388 posterior distribution of estimated temporal slopes was less than zero. The probability that
389 this very small trend in estimated breeding values was more negative than one would expect
390 based on random genetic drift was 63.3%, again indicating a lack of any evidence for a
391 microevolutionary response to selection. .

392 The temporal trend in estimated breeding values for the trait sea-age was estimated at
393 -0.017 liability units per year (95% quantiles: -0.053 to 0.009). This trend in estimated
394 breeding values was not statistically significantly negative, as only 81.8% of the posterior
395 distribution of estimated temporal slopes was less than zero. The probability that this trend
396 was more negative than one would expect based on random genetic drift was 74.3%, again
397 indicating a lack of solid evidence for a microevolutionary response to selection.

398

399 DISCUSSION

400 Our data from a well-studied, single, wild population of Atlantic salmon show that sea-age
401 and body-length are both quite strongly heritable and, importantly, that the two traits are
402 strongly genetically correlated. However, when sea-age is alternatively correlated to body-

403 length *within* each sea-age class (i.e. sea-age corrected body-length), the genetic correlation is
404 then not significantly different from zero, and nor is the h^2 for sea-age-corrected body-length,
405 implying that individual variation in size within sea-ages does not have a heritable basis.
406 Most studies estimate quantitative genetic parameters in laboratory, or in the case of fish,
407 aquaculture settings, which may not reveal much about evolutionary potential under wild
408 natural conditions (Charmantier and Garant 2005). Our results thus add important general
409 information regarding the potential for wild fish populations to respond to natural selection
410 (or “unnatural selection”, c.f. Allendorf & Hard 2009) and complement recent findings
411 showing strong genetic components to age and size at maturity in Atlantic salmon (e.g.
412 Ayllon et al. 2015, Barson et al. 2015, Lepais et al. 2017).

413 *Inheritance patterns*

414 Substantial amounts of additive genetic variance in sea-age were not entirely
415 unexpected, given that recent studies of Atlantic salmon discovered several genetic loci
416 underpinning variation in sea-age, including a single gene (*VGLL3*) that explains almost 40%
417 of the total phenotypic variation (Ayllon et al. 2015, Barson et al. 2015). These studies used a
418 very different approach – genome-wide associations combining data from a large number of
419 populations across a broad geographic region – and homed-in on specific quantitative trait
420 loci (QTL), whereas we followed a classical quantitative genetics approach that treats the
421 underlying genetic architecture as a “black box” and focussed on a single population. The
422 concordant inferences between studies conducted at very different scales using different
423 methodologies – namely, that sea-age variation in wild Atlantic salmon populations has a
424 strong genetic basis –points towards a general finding for the species. Studies of other fish
425 species have also documented heritable differences in age-at-maturity within and among
426 populations (e.g. mosquitofish *Gambusia affini* introduced to Hawaii, (Stearns 1983); guppies
427 *Poecilia reticulata* in Trinidad, (Reznick et al. 1990); Chinook salmon *Oncorhynchus*

428 *tshawytscha* introduced to New Zealand, (Quinn et al. 2001). This raises interesting questions
429 regarding the evolutionary forces that maintain genetic variation in this key life-history trait,
430 which may include sex-dependent dominance (Barson et al. 2015), frequency-dependent
431 selection and spatiotemporal variation in environmental selection pressures (Gurney et al.
432 2012). In this study we ignored potential sex-specific inheritance patterns, which is justified
433 if inter-sex genetic correlations for our traits of interest are positive and high, but this
434 assumption should be tested in future studies, given sufficient statistical power. It is also
435 possible that the period of captive propagation might have had an influence on the results.
436 The crossing protocol, which involved mating multiple males to females and vice versa, may
437 have increased the number of links in the pedigree beyond that which might have occurred in
438 the absence of captive propagation, in which case it could have afforded increased power and
439 accuracy to our animal models. On the other hand, this represented a deviation from natural
440 spawning behaviours and mate choice, which may have affected the expression of genetic
441 variation (Pigliucci 2006), but we have no way of knowing *a priori* the direction and
442 magnitude of such influences.

443 Despite these strong genetic effects, environmental influences clearly also play a role;
444 in our study, for example, approximately half of the variation in sea-age was attributable to
445 environmental variation, although some of this may have been due to non-additive genetic
446 effects (dominance and epistasis) which we could not separate out with our pedigree structure
447 (indeed, Barson et al. 2015 showed that dominance effects occur at the *VGLL3* locus). To put
448 this in practical terms, we observed over our study period that of the 114 offspring in total
449 produced by matings between two MSW parents, 84 (74%) of the resulting offspring
450 themselves returned as MSW; thus a high heritability “biases” this probability towards “like
451 producing like”. Friedland & Hass (1996) found that the fraction of 1SW adult returns from a
452 hatchery-dependent stock of Atlantic salmon was positively associated with late summer

453 marine growth, implying that age-at-maturity responds plastically to marine environmental
454 conditions. The literature suggests that freshwater conditions may also affect the sea-age of
455 anadromous Atlantic salmon, as implied by positive correlations between smolt size and the
456 proportion of fish returning after 1SW (O’Connell and Ash 1993, Salminen 1997). Similarly,
457 inverse relationships between freshwater age and ocean age have been found in wild
458 steelhead trout *Oncorhynchus mykiss* (Ward and Slaney 1988). However, if sub-populations
459 are spatially structured, as in the North Esk in Scotland (Gurney et al., 2015; Bacon et al.
460 2012), with higher fractions of 1SW fish in lower parts of the catchment, where smolts also
461 tend to get larger quicker (e.g. due to better growth at higher temperatures), then such
462 correlations would arise from the spatial structuring alone, even if freshwater conditions have
463 no causal effect on sea-age variation. Thus it remains unclear to what extent such patterns
464 reflect plastic responses, spatial structuring, or genetic correlations among traits expressed at
465 different life stages.

466 Genetic covariance among suites of growth-related and life-history traits have been
467 demonstrated in rainbow/steelhead trout (*Oncorhynchus mykiss*) (Hecht et al. 2015) and
468 brook charr (*Salvelinus fontinalis*) (Thériault et al. 2007), while some QTL for early male
469 maturation status in Atlantic salmon also collocated with those affecting spring weights of
470 juveniles (Lepais et al. 2017). We lacked individual-level data on freshwater or marine
471 growth rates, and hence could not test explicitly for plastic effects of specific traits/cues on
472 sea-age, nor could we test for genotype-by-environment interactions, which may be important
473 here. Lepais et al. (2017) recently demonstrated that early male maturation in Atlantic salmon
474 emerges as an interaction between individual growth rate (an environmentally-sensitive status
475 trait) and a genetically variable maturation threshold (underpinned by at least three detectable
476 QTL), using a powerful new approach called the ‘latent environmental threshold model’
477 (LETM). It would be very interesting to apply the LETM approach to future studies of sea-

478 age variation in Atlantic salmon or other anadromous fish; obtaining individual-level data on
479 relevant status traits, such as post-smolt growth, that may act as cues for sea-age decisions
480 will be challenging in many situations, but circuli spacing on scales could be used, for
481 example (e.g. Friedland & Hass 1996). Furthermore, it would be very interesting to dissect
482 the mechanisms by which genetic variation in sea-age comes about; for example, Scottish-
483 origin Atlantic salmon that eventually become MSW evidently use different oceanic feeding
484 areas (e.g. the waters off Greenland) than those that adopt the 1SW life history (which are
485 not recorded as going to Greenland, e.g. Malcolm et al. 2010), raising the intriguing
486 possibility that genetic variation in migration routes and/or destinations might partially
487 explain variation in sea-age, although the correlation between sea-age and migration patterns
488 may not reflect any causal connection between these traits.

489 The significant positive genetic correlation we documented between sea-age and
490 overall body-length is also consistent with the results of Barson et al. (2015), who
491 documented pleiotropic effects of specific genomic regions on sea-age and size-at-maturity.
492 Such pleiotropic effects are inevitable to a degree, in that alleles predisposing individuals
493 towards later maturation at older sea-ages should also produce larger fish, given that Atlantic
494 salmon keep growing the longer they remain at sea. A more surprising result was the fact that
495 the genetic correlation between sea-age and body-length was so high ($r_G = 0.96$) as to imply
496 that these are effectively the same trait at a genetic level. That does not mean, however, that
497 body size is completely genetically-determined and indeed, in our case, it appears that
498 individual variation in marine growth – a key determinant of body size at breeding – was
499 predominantly environmental, rather than genetic, in origin. This is because sea-age-
500 corrected body-length exhibited a low h^2 that did not differ significantly from zero (although
501 we only had the statistical power to detect $h^2 > \text{ca. } 0.22$, so a true h^2 of less than this cannot be
502 ruled out). While this trait is not a direct measure of marine growth, Atlantic salmon put on

503 most of their growth at sea (smolt sizes are very small, see URL4) and thus variation in
504 marine growth rates and/or variation in coastal return-times must explain a large fraction of
505 the variation in size of returning adults within each sea-age class. Smolt size could also
506 indirectly account for some of the variation in adult size if, for example, larger smolts grow
507 faster at sea (e.g. due to feeding advantages of initially larger size within shoals of post-
508 smolts, which would set up a positive feedback).

509 A lack of (strong) genetic influences on marine growth is somewhat unexpected,
510 given that genetic variation could operate via a range of mechanisms here; e.g. some
511 genotypes could be better foragers, or target different prey types, or have different inherited
512 marine migration pathways or destinations, that expose them to more or less growth
513 opportunity, or be more efficient at converting food into somatic growth, etc. Moreover,
514 return migration timing has been shown to have a heritable basis in Atlantic salmon (Stewart
515 et al. 2002; Cauwelier et al. In Press) and other salmonids (Smoker et al. 1998, Quinn et al.
516 2000, O'Malley and Banks 2008, Kovach et al. 2012). This alone could produce
517 corresponding genetic variation in body-length within sea-age classes, unless return periods
518 were very short or if late-returning genotypes have lower marine growth rates than early-
519 returning genotypes, such that final size differences among them are minimal. At present we
520 can only speculate on this, as we do not have reliable information on coastal return-dates to
521 estimate the h^2 of that trait and potential genetic correlations with body size, or indeed sea-
522 age. Our tentative conclusion at this point is that environmental drivers of body-length
523 variation within each sea-age class are simply much larger in magnitude than any genetic
524 influences.

525 Our tests for microevolution during the period 1990~2012 did not reveal any
526 genetically based trends towards reduced average sea-age, nor towards smaller body-size.
527 However, over the longer period 1966~2016, Atlantic salmon from two Deeside streams (the

528 Girnock and Baddock) have shown a significant decline in MSW numbers whilst 1SW
529 numbers remained stable, implying a significant decrease in average sea-age ratios, slightly
530 reducing the average sea-age; they also showed concomitant downward trends in body size,
531 which were coherent for both 1SW and MSW fish, (Glover et al. 2018 and *pers comm*). It
532 may simply be that the phenotypic trends documented by Glover et al. are entirely driven by
533 phenotypic plasticity, i.e. environmental influences on average sea-age and body size, rather
534 than microevolutionary responses to any directional selection pressures that might have
535 occurred over this period. Mortality pressures from both marine fisheries and freshwater
536 angling were strong on Scottish Atlantic salmon during the period 1960 to 1990, which
537 conceivably could have led to fisheries-induced selection (FIS) on, and subsequent evolution
538 of, sea-age and/or body size. The data analysed in this study fall mainly into a period when
539 marine fishery pressures were much reduced and freshwater angling pressures decreasing. As
540 evolutionary recovery of phenotypic traits from FIS is expected to be much slower than
541 declines caused by it (Heino et al. 2015), our findings with respect to microevolution could
542 simply reflect a period when any real changes were quite small and too low for our sample
543 sizes to detect. Moreover, the current study was focussed on a shorter time period and
544 involved smaller sample sizes than the Glover et al. study, and thus the power to detect a real
545 but small-magnitude evolutionary response may have been limiting. A further complicating
546 factor is that broad-scale climatic changes also occurred over this period, which may have
547 contributed to the observed phenotypic trends in Girnock salmon (Glover et al. 2018), either
548 via phenotypic plasticity, microevolution, or both. Given the apparently very low heritability
549 of body size within sea-ages, phenotypic plasticity – possibly driven by climate change –
550 represents the most parsimonious explanation for the observed length decreases in 1SW and
551 MSW Girnock salmon. The fact that these trends are parallel between sea-ages, despite
552 differing marine feeding zones, may point towards coherent climate effects acting across a

553 large geographic scale, but factors other than climate may of course be at play. A clearer
554 understanding of the relative roles of microevolution and plasticity and their
555 environmental/anthropogenic drivers could be achieved by extending this pedigree study over
556 a longer period to match the longer-term phenotypic data..

557

558

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574

575

576 Author Contributions

577 The Girnock Atlantic Salmon Pedigree project was envisaged by PJB and organised by him
578 and PMcG, in collaboration with PP, JG and CRP. FFL contributed the tissue-samples and
579 biometric-data. DNA extraction and genotyping were undertaken at QUB by CB and PP. PP
580 and PJB merged the biometric and genotype data, PP undertook the parentage-assignments
581 and he and PJB thereby quality-controlled the original genotype scorings. PJB extracted and
582 quality-controlled the merged sub-set of data for this paper, including preliminary analyses.
583 TR fitted and interpreted the animal models. PP wrote the Methods and Results for parentage
584 analyses. TR and PJB wrote the Methods and Results for the quantitative genetic analyses
585 and an outline for the paper. All authors contributed to the final draft

586

587

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786 **Appendices:**

787 Appendix A:

788 A.1 Microsatellite marker information

789 A.2 Summary sample statistics per locus / cohort

790 Appendix B:

791 B.1 Details on the reconstructed pedigree

792 B.2 Full details on the estimation procedures for the quantitative genetic parameters

793 B.3 Power analyses for heritability estimation

794 B.4 Testing for microevolutionary trends

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796 **Table 1** Summary of annual sample sizes of adult Atlantic salmon within the reconstructed
797 Girnock pedigree. Column 2 shows the total number of individual fish spawning in that year
798 (Column 1) that appeared either as parents or offspring within “parent-offspring trios” (where
799 a trio represents one offspring and both its genetically-assigned parents). For example, an
800 adult returning in 1996 could be recorded as being in a trio in that year (i) because it mated
801 with another fish in that year and produced at least one surviving offspring that itself was
802 DNA-sampled as a spawning adult (e.g. six years later in 2002); (ii) because it was itself
803 assigned two parents (which might have spawned and been DNA-sampled in 1991, for
804 example), or (iii) for both reasons (i.e. some fish appear in the pedigree as both offspring and
805 parents). Columns 3 and 4 break Column 2 down by sex. Column 5 specifies how many of
806 the fish enumerated in Column 2 were themselves assigned two parents, while Column 6
807 specifies how many were assigned no parents.

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Adult Return Year	Total	Females	Males	Number with both [†] parents known	Number with neither parents known
1991	8	5	3	0 ^{††}	8
1992	9	4	5	0	9
1993	6	3	3	0	6
1994	2	1	1	0	2
1995	13	7	6	0	13
1996	12	8	4	7	5
1997	6	3	3	3	3
1998	10	6	4	6	4
1999	15	6	9	5	10
2000	28	11	17	8	20
2001	10	6	4	5	5
2002	11	3	8	4	7
2003	13	5	8	5	8
2004	51	28	23	9	42
2005	40	25	15	14	26
2006	46	28	18	9	37
2007	23	13	10	5	18
2008	19	7	12	14	5
2009	37	14	23	37	0
2010	60	25	35	60	0
2011	65	42	23	65	0
Grand totals:	484	250	234	256	228

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818 † Note that this pedigree study focussed only on “full trios”, i.e. cases where both parents of a given offspring
819 were assigned. An unknown fraction of offspring each year are sired by precociously maturing males, which are
820 not DNA-sampled and therefore cannot be assigned as true fathers, but the numbers here refer only to full
821 parent-offspring trios.

822 ††Note that prior to 1996, the parents of sampled spawning adults were not discernible as these parents would
823 have themselves spawned prior to 1991, when DNA samples were not available.

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830 **Table 2** Results of the univariate animal models. The values for adult body-length are on the
831 natural logarithm scale, while the values for sea-age-at-maturity are on the underlying
832 liability scale from the probit model (note the residual variance was fixed at 1). The intercept
833 refers to the fixed effect of the reference sex, females. HPD = highest posterior density.

Model	Parameter	Mean	Lower HPD interval	Upper HPD interval
<i>(UV model 1)</i> Univariate for adult body- length	Intercept (females)	4.197	4.173	4.2223
	Sex: males	-0.072	-0.090	-0.049
	Additive genetic variance V_A	0.0039	0.0016	0.0062
	Maternal variance V_M	0.0014	0.0002	0.0029
	Year variance V_M	0.0016	0.0004	0.0033
	Residual variance V_R	0.0078	0.0058	0.0101
	Heritability h^2	0.265	0.125	0.414
<i>(UV model 2)</i> Univariate for sea-age- corrected body length	Intercept (females)	0.000	-0.0148	0.0136
	Sex: males	0.0179	-0.0048	0.0307
	Additive genetic variance V_A	0.0008	0.0003	0.0015
	Maternal variance V_M	0.0008	0.0003	0.0015
	Year variance V_M	0.0005	0.0001	0.0010
	Residual variance V_R	0.0037	0.0030	0.0045
<i>(UV model 3)</i> Univariate for sea-age-at- maturity	Intercept (females)	2.207	1.299	3.363
	Sex: males	-2.511	-3.647	-1.539
	Additive genetic variance V_A	2.580	0.114	6.143
	Maternal variance V_M	0.212	0.000	0.809
	Year variance V_M	0.937	0.081	2.158
	Residual variance V_R	1.000	1.000	1.000
	Heritability h^2	0.511	0.267	0.734

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845 **Table 3** Results of the bivariate animal models of (1) adult body-length and sea-age (binary
846 trait), and (2) sea-age-corrected body length and sea-age. The values for adult body-length
847 and sea-age-corrected body length are on the natural logarithm scale, while the values for
848 sea-age are on the underlying liability scale from the probit model (note that V_R for sea-age
849 was fixed at 1). The fixed effects are not reported as they mirror the findings of the univariate
850 models.

Model	Parameter	Mean	Lower HPD interval	Upper HPD interval
<i>(BV model 1)</i> Bivariate for adult body- length and sea-age	V_A for body length	0.0042	0.0019	0.0065
	V_A for sea-age	1.0914	0.1436	2.2986
	Additive genetic covariance COV_A	0.0633	0.0171	0.1157
	V_R for body length	0.0116	0.0095	0.0138
	V_R for sea-age	1.0000	1.0000	1.0000
	Residual covariance COV_R	0.0635	0.0471	0.0791
	Heritability h^2 for body length	0.2637	0.1261	0.3878
	Heritability h^2 for sea-age	0.4823	0.2174	0.7284
	Genetic correlation r_G	0.9551	0.9096	0.9879
Environmental correlation r_E	0.5893	0.4586	0.6834	
<i>(BV model 2)</i> Bivariate for adult body- length within sea-ages, and sea-age	V_A for body length within sea-ages	0.0004	0.0002	0.0007
	V_A for sea-age	1.5715	0.1436	2.2986
	Additive genetic covariance COV_A	0.0079	-0.0077	0.0256
	V_R for body length within sea-ages	0.0069	0.0061	0.0078
	V_R for sea-age	1.0000	1.0000	1.0000
	Heritability h^2 for body length within sea-ages	0.0560	0.0295	0.0976
	Heritability h^2 for sea-age	0.5347	0.2316	0.8495
Genetic correlation r_G	0.3334	-0.2604	0.7205	

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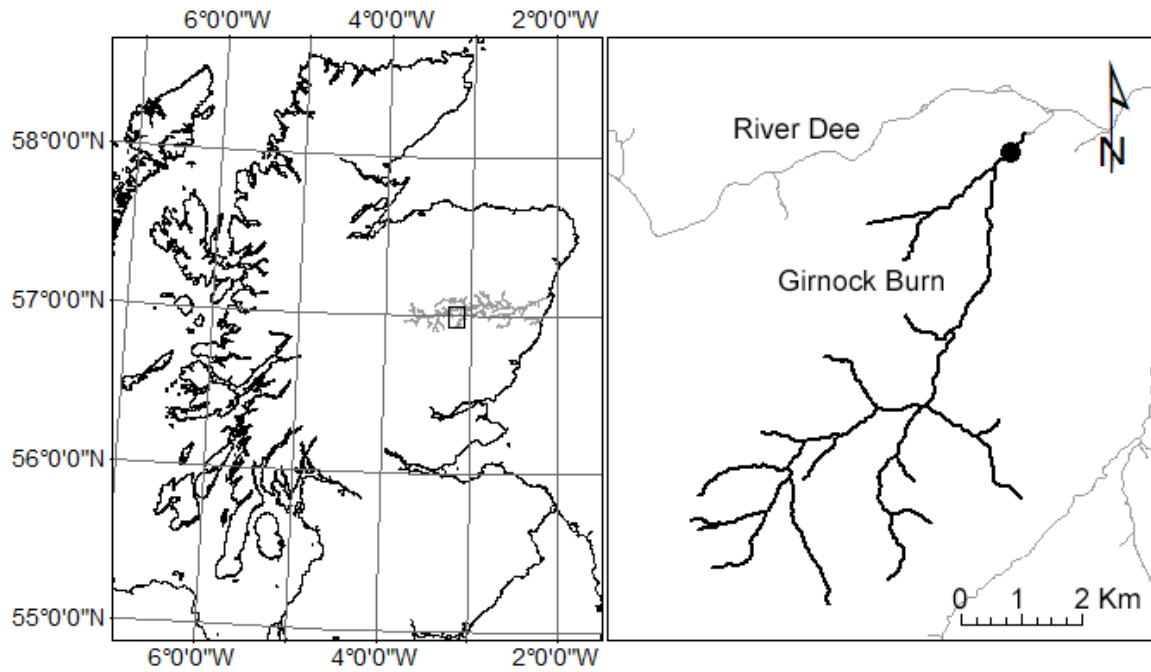
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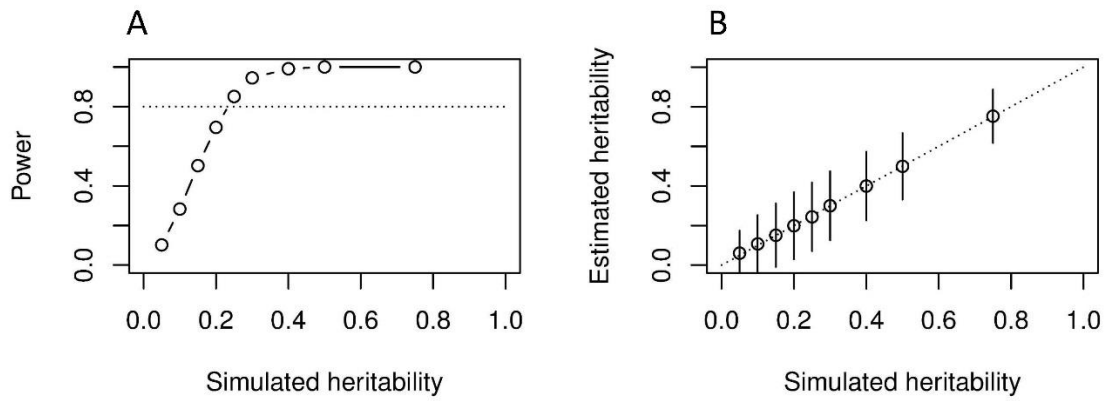
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Fig.1 Map of the Girnock Burn study system, a tributary of the River Dee, Aberdeenshire, Scotland.



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878 **Fig.2** Power analysis for heritability estimation for an arbitrary trait given the observed
 879 pedigree and phenotypic data structure, with statistical power (A) and estimated heritability
 880 (mean plus 95% confidence intervals, B) plotted against simulated heritability. Dotted line in
 881 A corresponds to a power of 80%; dotted line in B shows the 1:1 line.