

Providing ecological context to anthropogenic subsea noise: Assessing listening space reductions of marine mammals from tidal energy devices

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1	Providing ecological context to anthropogenic subsea noise: assessing listening
2	space reductions of marine mammals from tidal energy devices
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- 39 Abstract
- 40

41 The deployment of tidal energy arrays is gaining momentum to provide marine renewable 42 energy (MRE) to the global market. However, there are concerns over the potential impacts 43 underwater noise emissions from operational devices may have on marine fauna. Auditory 44 masking (the interference of important biological signals by anthropogenic noise) is a highly 45 pervasive impact to marine fauna. We used a relatively new approach to evaluate the effects 46 of noise from operational tidal energy devices on the listening space of marine mammals. 47 Here, listening space reductions (LSR) for harbour porpoises (Phocoena phocoena) and 48 harbour seals (*Phoca vitulina*) were assessed in winter and summer for two tidal energy 49 devices of different designs. Results demonstrated that LSR was influenced by type of 50 turbine, species, and season. For instance, LSRs for harbour seals were in excess of 80 % 51 within 60 m, whilst for harbour porpoise they were in excess of 55 % within 10 m of the devices, respectively. For both species, LSRs were highest during winter, characterised by 52 53 low ambient noise conditions. These findings highlight the importance of assessing masking 54 over seasons, as masking effects are highly influenced by ambient noise conditions. 55 Understanding the natural variation within seasons is also particularly relevant for tidal 56 turbine noise assessments as devices are typically situated in highly dynamic environments. 57 Since masking effects occur at the lower level of behavioural impacts in marine mammals, 58 assessing the spatial extent of masking as part of environmental impact assessments is 59 recommended. The listening space formula, which is largely based on measurable 60 environmental factors (device and ambient noise), is transferable to any MRE device, or 61 arrays, for any species (for which an audiogram can be assumed) and therefore provides an 62 effective method to better inform the MRE pre- and post-consenting processes. 63 64 **Keywords:** Hydrokinetics; Harbour Seal; Harbour Porpoise; Renewable Energy; Masking;

65 Acoustics

66

67 1. Introduction

68

The clear link between non-renewable fossil fuels and environmental degradation [1,2] has resulted in a global drive towards electricity generation from renewable sources. The need to broaden renewable energy options, whilst considering societal resistance to expanding wind and hydro developments on land (e.g. [3][4][5]]), makes energy extracted from tides and ocean waves a promising addition to the existing range of renewable energy sources. For
these technologies to contribute significantly towards the renewable energy targets for 2050
and beyond, development of commercial wave and tidal arrays capable of generating more
than 30 megawatts of electricity per annum would be required [6].

77

Various prototypes and arrays of two or three wave and tidal devices exist at several locations around the UK and globally (see [7] for full details of developers and their devices). These small-scale arrays provide the opportunity to assess potential ecological and environmental impacts on marine fauna during the early stages of array development. One of the knowledge gaps, for which remains a high degree of uncertainty, is the potential impact of noise generated by marine renewable energy (MRE) subsea structures (i.e. a turbine or other moving components) on marine fauna.

85

86 The acoustic frequencies that may be generated throughout the various stages of the 87 installation and operation of tidal turbine devices range between 200 and 8200 Hz (e.g. [8] 88 [9] [10] [11]) [12]). High-energy noises will, for instance, be emitted during the installation 89 and decommissioning of the device(s) (e.g. drilling, cable and chain laying), and the 90 associated increase in vessel traffic during all phases (installation, operation and 91 decommissioning). Throughout the installation phase, exposure to noise will occur over a 92 relatively short period of time and will be intermittent in nature. However, during the 93 operational phase, there will be a near-continuous noise emission from the mechanical 94 working or moving components of the device, such as the gear box [8], mooring chains [10] 95 and/or a tether [13], depending on the device under consideration.

96

97 A diverse range of mobile marine fauna frequent highly energetic environments [14], co-98 occurring with selected MRE sites. Several species of marine mammals and fish are known to 99 have hearing ranges that overlap with the low-frequency noise emitted from tidal turbine 100 devices (see [15] [16] for a review). For example, bottlenose dolphins (*Turiops truncatus*) 101 and common dolphins (*Delphinus delphis*) have shown hearing sensitivities to signals as low 102 as 100 Hz, while killer whales (Orcinus orca) show sensitivity down to 500 Hz ([17], [18], 103 [19]). Therefore, auditory masking – the interference of a biologically important signal by an 104 unimportant noise that prevents the listener from perceiving the signal – is expected to occur 105 [17], and is considered the most pervasive impact of anthropogenic noise [16]. Consequently, 106 low-frequency device-generated noise has the potential to interfere with an animal's ability to

107 perceive their natural acoustic environment [16] [18]. The immediate surrounding area where 108 animals can detect biologically-important sounds is referred to as the listening space [20] 109 [21]. As the marine mammal enters a device's noise field, the available listening space 110 around the animal is reduced due to the anthropogenic noise interfering with incoming 111 sounds that are potentially biologically important [21]. Potential consequences include 1) a 112 reduced range (synonymous with area in this context) at which the listener can detect 113 potential prey; 2) predators can get closer to prey before being detected; and 3) distant 114 acoustic cues from conspecifics are not detected. The listening space concept differs from 115 communication space in that it extends beyond intra-specific communication and also 116 includes the detection of acoustic signatures from conspecifics, prey, predators and/or danger. 117 It also differs from the communication space metric by its computation, whereby prior knowledge of the species-specific auditory filter, gain, detection threshold, signal directivity 118 and duration are not needed [22]; indeed, the only species-specific data requirement is an 119 120 audiogram.

121

122 Even in the absence of anthropogenic sources, the ocean is not silent. There are both 123 physically and biologically derived sounds, such as breaking waves and marine life that 124 together form what is referred to as the soundscape. Furthermore, daily and seasonal 125 fluctuations in noise levels will occur due to changes in current speeds, sea state (changes in 126 wind strength and direction) as well as spawning and migration patterns of many marine species [23]. While noise characterisation of high flow environments (> 3 ms⁻¹) remains 127 128 challenging owing to flow noise over hydrophones, ambient noise is likely to be intensified 129 from sources such as tidally-driven or bathymetry-induced turbulence, sediment resuspension 130 or boulder movement [24] [25]. Therefore, overall soundscapes in marine environments can 131 be classified as broadband, composed of a range of frequencies over the entire frequency 132 spectrum [26]. The overlapping frequencies of natural soundscapes and noise associated with 133 MRE devices has been identified as one of the main challenges when undertaking noise 134 measurements: determining background sound versus the device noise [27]. 135 136 To date, most empirical research on wave and tidal energy device noise has been limited to

the level and propagation (or footprint) of noise from a single device (e.g. [8], [11], [28]).

138 While this body of research has been vital for baseline measurements, it lacked ecological

139 context; that is, how device-generated noise relates to marine fauna listening space. In this

140 paper, we apply the listening space reduction (LSR) method [20] to identify the potential

141 zone of influence for which listening space decay may occur for two marine mammal species 142 around two different tidal energy devices. In addition to device type and species, we assess 143 LSRs in winter and summer to evaluate the influence of season and associated ambient noise 144 levels. Set in a highly energetic tidal environment, we demonstrate the transferability of this 145 approach to the MRE sector, providing a complementary toolset that could better inform the 146 pre- and post-consenting processes. 147 148 2.Materials and Methods 149 150 151 2.1 Study Site, Turbine Devices Investigated, and Ambient Sound Levels 152 153 Noise levels produced by two sub-sea tidal turbines were assessed in the Narrows tidal 154 channel, located in Strangford Lough, Northern Ireland, UK (N 54° 23.06 W 5° 33.79) (Fig. 155 1). Strangford Lough is a Special Area of Conservation (SAC) that holds a large breeding 156 colony of harbour seals (*Phoca vitulina*, listed on the Annex II of the EU Habitats Directive). 157 Harbour porpoises, (Phocoena phocoena, listed on Annex I of the EU Habitats Directive) are 158 also commonly occurring cetacean species in the Lough [29]. The seafloor of the Narrows 159 consists of cobbles and small to large boulders on a bedrock base layer, with flow rates at the turbine sites reaching approximately 2 ms^{-1} [30]. The two tidal turbines considered in this 160 study were the ¹/₄ scale Deep Green sub-sea turbine developed by Minesto (see [13] for full 161 162 details on this device, referred to herein as the 'kite'), and the full-scale SCHOTTEL IST 163 device ([8] referred to herein as 'schottel'). The kite consists of a turbine attached to a fixed 164 wing (wing span of 3 m) and flies in a figure-of-eight trajectory using hydrodynamic lift and 165 rudder control. The kite is attached to a foundation on the seabed, at a depth of approximately 166 20 m, by a 27 m long moving tether. The schottel turbine is a fixed horizontal-axis stationary 167 device, mounted from a moored barge at a depth of 3.4 m below the sea surface over an 168 approximate total water depth of 12 m (see [31] for more details on the schottel device). 169

170 The ambient soundscape of the Narrows was recorded for 2 weeks during a summer (July

171 2016) and a winter (January 2017) deployment, respectively. The ambient sound

172 measurements were undertaken from a passive acoustic monitoring (PAM) station: one

173 located to the southwest of the location of the turbine positions (referred to as the south

174 hydrophone, 54° 22.686 N 005° 34.007 W) and another to the northwest (referred to as the

- 175 north hydrophone, 54° 23.352 N 005° 34.038 W) (Fig. 1). Each PAM station consisted of a 176 single SoundTrap 300HF acoustic recorder (working frequency range 20 Hz - 150 kHz \pm
- 177 3dB, Ocean Instruments Ltd, Auckland, New Zealand) at mean water depths of 14 and 13 m
- 178 at the north and south hydrophone positions, respectively (**Fig. 1**). The SoundTrap recorders
- 179 were programmed to operate on a 50% duty cycle (10min recording every 20min) at a
- 180 sampling rate of 288 kHz.
- 181
- 182



183 184

Figure 1. Location of the north and south hydrophones, collecting ambient soundscape data
in July 2016 (summer) and January 2017 (winter), and the location of the kite and schottel
devices in the Narrows tidal channel, Strangford Lough, Northern Ireland, UK.

188

189 2.2 Turbine Source Levels

- 190
- 191 The source levels, in 1/3 octave bands from 50 Hz to 24 kHz, for the kite were back-
- 192 calculated from *in situ* noise measurements (undertaken during July 2016 and January 2017
- 193 [12]), while the source levels for the schottel were taken from [8]. The underwater noise
- 194 produced from the kite was measured using a drifting hydrophone system, consisting of a

SoundTrap 300 HF autonomous recorder (Ocean Instruments Ltd, New Zealand) secured to a free-floating buoy 2 m below the surface. A depth of 2 m was the maximum allowable depth to ensure the recorder safely passed the kite, since the shallowest point of the kite's flight path was 5 m below the sea surface. An underwater camera (GoPro Hero3TM) was also attached to the recorder to verify that the recorder drifted directly over the path of the turbine. The recording system was deployed 300 m northwest of the operating kite during the mid-ebb tide and retrieved approximately 300 m after passing the kite, constituting a *run*. A total of 24

runs were collected over two successive days. A GPS waypoint was taken at both the

203 deployment and retrieval locations using a handheld GPS (Garmin GPSMAP78).

204

Source levels ($SL_{turbine}$) for the kite were back-calculated using the received 1/3 octave band values (RL_{fc}) at the closest point of approach to the kite, and expected propagation loss (PL). It is important to note that the measurements contained both the ambient sound and the turbine noise. Due to the short ranges between the kite and receiving hydrophone at the closest point of approach (less than 6 m), PL was defined by simple spherical spreading plus frequency-dependent absorption. This can be expressed as:

211

212 $SL_{turbine} = RL_{fc} + PL;$

213 $PL = 20\log_{10} R + \alpha R$ eq. 1

214

215 where R is the range in metres and α is frequency-dependent absorption at R. Since R at the 216 closest point of approach was much less than the depth, and due to the high angles between 217 the source and receiving hydrophone, boundary effects were expected to be minimal. If the 218 hydrophone passed close enough to the mid-point of the flight path, it was always visible in the footage from the GoPro3TM camera, given that the kite moves in a figure-of-eight 219 220 configuration. In such cases, R could be determined by matching the video's time-stamps 221 with those in the kite's depth data (recorded by Minesto) and the GPS track of the 222 hydrophone. In other cases, when the turbine was not visible in the camera footage, R was 223 calculated based on the speed of the drifting hydrophone (calculated using the distance 224 travelled over time) and the corresponding time-stamp of the kite's depth when the recording 225 system passed overhead. The source levels used herein are provided in Fig. 2. 226

227





Figure 2. 1/3 Octave Source Level spectra for the Schottel turbine from [8] and the Kite from
[12], 1/3 Octave Ambient Sound Levels measured in summer (July 2016) and winter (January

233 2017) (data from this study) and species audiograms for the harbour porpoise and harbour

seal, reproduced from [15] used for the Listening Space Reduction (LSR) calculations. Quiet

and noisy conditions refer to the 5th and 95th percentile ambient sound levels, respectively,

236 calculated over 2 weeks of continuous recording.

237

238 2.3 Calculating Listening Space Reductions

239

240 The extent of auditory masking from the two tidal turbine devices was assessed by

calculating the listening space reduction (*LSR*), as a percentage, for both harbour seals and

harbour porpoises. The algorithm and equations used to calculate the *LSR* follows [21], whodefine the *LSR* as:

244

245 $LSR = 100(1 - 10^{-2\frac{\Delta}{N}})$ eq. 2

246

247 where N is the frequency-specific propagation loss (PL) slope coefficient and Δ is the

248 difference between the ambient noise level (NL_1) and the turbine noise level (NL_2) at a given

249 distance. The two ambient sound levels used in this study were the 5th and 95th percentile

250 levels from the PAM station, respectively referred to herein as quiet and noisy conditions.

251 The value for *N* was calculated by curve-fitting the modelled *PL* from the listener's location

for each three frequencies inside a 1/3 octave band between centre frequency (F_c) 50 Hz and

253 32 kHz. Since the listener is moving, N will vary as the bearing to the turbine changes. 254 Therefore, N was calculated for 72 radials (corresponding to 5 deg bearings) and the PL slope 255 was fitted against all radials. The modelling was done using the fully range-dependent 256 parabolic equation (RAMGeo; for frequencies below 1.6 kHz) and ray/Gaussian beam tracing 257 (Bellhop; for frequencies above 1.6 kHz) (see [32] for a review of RAMGeo and Bellhop). 258 The sound speed profile for summer and winter were calculated from spot-measurements at 259 the surface and 1 m above the seafloor using a Valeport CTD (model 602) during ebb tide. 260 The CTD measurements were taken during July 2016 (summer) and January 2017 (winter). 261 Note the CTD measurements were not undertaken at the same depth as the hydrophone used to measure either turbines' source levels. Current velocities were measured with a seabed-262 263 mounted broadband 600 kHz RDI Teledyne Workhorse Monitor Acoustic Doppler current 264 profiler (ADCP) installed approximately 30m from the kite's position. Bathymetry data was 265 obtained from the Strangford Lough hydrodynamic model [33] and sediment properties were 266 obtained from [30].

267

The range over which *N* was fitted was at a distance that represented the listener's maximum
listening range under natural sound levels [21], and was defined using the sonar equation
without signal gain [34]:

271

 $272 \quad SE = SL - PL - NL_1 - DT$

273

where signal excess, *SE*, is set to zero, NL_1 was the 5th percentile sound level of measurements made within the Narrows at some frequency (from the acoustic monitoring station detailed in Section 2.1 above) and the detection threshold, *DT*, was set at 10 dB (following [21], [34], [35] [36]).

eq. 3

278

As the animal approaches the tidal turbine, the degree of masking will increase. The masking noise level, NL_2 , from the turbine was calculated from the turbine's source level (in each third octave frequency band) and the *PL* from the turbine's position to the listener's position: 282

283 $NL_2 = SL_{turbine} - PL_{turbine}$ eq. 4

284

where $PL_{turbine}$ is the modelled PL of the turbine noise. Since the listener will always be moving, the NL_2 value will not be constant, and therefore the NL_2 values over the study area 287 are required. These were calculated by modelling the turbine noise footprint using a 1 m grid and 2 m depth resolution, providing a Δ value for each grid-cell. The resulting LSR over the 288 289 same 1 m grid was then calculated (using eq. 7) for each F_c at each 2 m depth-step. The result 290 was a LSR map for each F_c between 50 Hz and 32 kHz at each 2 m depth-step. Those maps 291 were then overlaid on top of each other (forming a 3D matrix) and averaged across layers to 292 provide an overall 2D LSR map for the study area, for each species. To show the variations in 293 LSR between the two turbine types and seasons, a horizontal transect from the turbine's 294 position was taken for 72 radials (every 5 degree bearings), and the corresponding LSR values 295 were plotted with logarithm of distance. From those plots, a curve was fitted using a 296 generalised Gaussian model. All processing and data analysis was carried out in Matlab 297 2017a (The MathWorks, Inc.).

298

299 **3. Results**

300

301 3.1 Effects of Turbine Noise on Listening Space Reductions (*LSRs*)

302

303 The effects of turbine noise on the listening space varied between the type of turbine, the 304 species, the season and the ambient sound conditions within both seasons. Higher masking 305 impacts, in terms of LSRs, were seen for harbour seals, with averaged LSRs exceeding 90% 306 within 62 m from the turbine, compared to the maximum averaged LSR of 71% within 10 m 307 range for harbour porpoises (Fig. 3). The spatial extent of any masking effect also occurred 308 over longer distances for seals as compared to porpoises (Fig. 4, Table 1). For example, the 309 maximum distance within which LSRs were more than 10 % ranged between 2.3 and 2.5 km 310 for the harbour seal, but between 1.5 and 1.7 km for the harbour porpoise, depending on the 311 type of turbine (Fig. 4).

312

For harbour seals, the distance from the turbine at which *LSR* decreased to zero was larger for the schottel than for the kite (**Table 1**). For harbour porpoises, turbine type had the reverse effect, where the distance at which *LSR* decreased to zero was larger for the kite than for the schottel. Also, the rate at which listening spaces decreased with distance between the two turbine types was not equal, particularly with regard to harbour seals (**Fig. 3**). For example, the *LSR* in harbour seals decreased more rapidly (i.e. a steeper *LSR* curve, see **Fig. 3**) with distance from the kite, than it did for the schottel turbine. This was indicated by higher *LSR*s

within 100 m from the kite (of over 80% *LSR* compared to 70-80 % at the same distance from

- the schottel). However, the maximum masking effect range was 3 km for the kite, compared
- to 3.3 km for the schottel (**Table 1**). This was due to the differing turbine noise spectra in
- 323 relation to the species hearing thresholds.
- 324





Listener's Logarithm Distance from the Turbine (m)

Figure 3. Listening space reductions (%) with distance from the turbine along 72 radials
under varying ambient noise levels (the 5th and 95th Percentiles, referred to as quiet and

noisy conditions, respectively) during the summer and winter for the kite (left column) and

329 schottel turbine (right column) for harbour porpoises (top) and harbour seals (bottom).

330

331 3.2 Effects of Seasons on LSRs

332

The greatest masking effects, in terms of *LSR*, were seen during the winter, with higher *LSR* values at closer proximity to the turbines (**Fig. 3**). The change in seasons had less influence on the *LSR*s for harbour seals than for harbour porpoises. For example, the maximum range within which some reduction in listening space for harbour porpoises occurred (i.e. *LSR* was greater than zero) increased by approximately 1 km (based on the extrapolated *LSR* curves in Fig. 3) during the winter, compared to approximately 100 m for harbour seals (Table 1).
Generally, the maximum distances from the turbine at which either species started to

340 experience any *LSR* were larger during the winter.

341

342 3.3 Effects of Ambient Sound Conditions on *LSR*s

343

344 Within seasons, the ambient sound conditions had substantial influence on LSRs for both 345 species. The extent of masking, in terms of LSR, was greatest during quiet conditions (represented by the 5th percentile ambient sound level) as compared to noisy conditions 346 (represented by the 95th percentile ambient sound level). The differences in *LSR*s between the 347 348 two ambient sound conditions were larger for harbour seals than for harbour porpoises. For 349 example, at 100 m, the difference in LSRs between the two ambient sound conditions was 75 350 % for harbour seals, but approximately 46 % for harbour porpoises. Changes in the ambient 351 sound conditions had greater effects on the LSR at greater distances due to the higher Δ 352 values occurring nearer the turbine as compared to further away [21]. Masking effects, in 353 terms of LSR, were substantially greater under quiet conditions, for both turbine types and 354 species.

355

356

Turbino Tyno	Species	Season	Distance from Turbine (m)		
Turome Type			50 % LSR	25 % LSR	0 % LSR
	Harbour Porpoise	Summer	83	226	1975
Vito		Winter	113	358	3026
Kitc	Harbour Seal	Summer	257	590	2694
		Winter	413	890	3048
	Harbour Porpoise	Summer	20	340	1534
Schottal		Winter	42	490	2471
Schouer	Harbour Seal	Summer	520	1186	3155
		Winter	808	1473	3337

357 *Table 1:* Distances at which 50, 25 and 0 % listening space reduction (LSR) occurs for

358 harbour seals and harbour porpoises from two types of tidal turbines during the summer and

winter. The LSR values are based on the 5th percentile ambient sound level, referred to as
quiet conditions, and the range at which 0 % LSR occurs is the spatial limit for masking
effects. All distances at which 50, 25 and 0 % LSR occurs are based on the fitted Gaussian
curve of all frequency-averaged LSR values across all 72 radials in Figure 3.



364

Figure 4. The spatial exent of masking in terms of listening space reduction (LSR), from the
kite (left column) and schottel turbine (right column) under quiet conditions (A) during the
summer in July 2016 and (B) during the winter in January 2017.

368

369 4. Discussion

370

371 Understanding the spatial extent and auditory influence /ecological impact of MRE device 372 noise as part of environmental impact assessments (EIAs) is fundamental for the conservation 373 of marine mammals that occupy the same environment. In applying a relatively straight-374 forward analytical approach to assess the effects of noise from two tidal energy devices on 375 the listening space of two marine mammal species, we have shown that listening space 376 reduction (LSR) is species- and device-specific, and influenced by the variation in ambient 377 noise across seasons. In all species-turbine scenarios investigated, we found greater masking 378 effects in winter (corresponding to lower ambient noise), which overall had a greater effect 379 on the LSR for the harbour porpoise than for the harbour seal. Previous studies have 380 quantified noise emissions from MRE devices to better understand the potential 381 environmental impacts that turbine noise may have on sensitive marine life (see [8], 382 [11],[28]). However, studies aimed at providing ecological context to empirical turbine 383 measurements are rare. The results presented herein are a step forward in providing some 384 ecological context to the standard acoustic propagation modelling. To do this, we adapted an 385 alternative approach to assessing auditory masking as presented by [21] to generate maps 386 showing the effects of turbine noise in reducing the available listening space within which 387 marine mammals can hear potentially biologically important signals.

388

389 A range of marine mammal species show sensitivity to underwater noise (see [37], [38], [39], 390 including noise from tidal turbines [40]. This study focused on two model species, the 391 harbour porpoise and harbour seal. Both of these species occur in UK waters and extensively 392 use tidally-energetic environments, thereby resulting in a spatial overlap with potential tidal 393 turbine sites [14], [41], [42], [40], [43]. Harbour porpoises are considered to be particularly 394 sensitive to underwater noise, for example, this species has been shown to have strong 395 stereotypical responses to vessel noise [44], including behavioural responses such as vigorous 396 fluking, bottom diving, disrupted for agaging and even cessation of echolocation [45]. These 397 behavioural responses may impact forgaging efficiency [45]. Since behavioural effects 398 generally occur at higher levels of masking, understanding the spatial limits of masking and

399 how they change over time (for example between seasons and/or extreme weather events) is 400 fundamental. The LSR algorithm allows for this, using data that are often requested as part of 401 the pre- and post-consenting phase for tidal turbine devices.

402

403 Given marine mammals' reliance on using sound for critical life processes, reductions in 404 listening space can be detrimental to an animal's net fitness (see [16]). The extent of masking 405 by any MRE device, in terms of LSR, is dependent on the device's noise spectrum (Fig. 2), 406 the source levels of the biologically important signal, the rate of propagation loss, and the 407 frequency-dependent hearing sensitivity of the listener. Frequency-dependent hearing has 408 been studied for both these species, with their respective audiograms revealing more sensitive 409 hearing in frequencies below 2.5 kHz in harbour seals $(57 - 95 \text{ dB re } 1 \mu\text{Pa})$ compared to 410 harbour porpoises (58 – 140 dB re 1 µPa) (Fig. 2). However, above 3.2 kHz, harbour 411 porpoises are more sensitive than harbour seals. Therefore, since the noise from the schottel 412 turbine was largely low-frequency, with little energy above 5 kHz, harbour seals experienced 413 higher averaged LSRs at all distances compared to harbour porpoises. However, higher LSRs 414 for both species were seen for the kite, for which, the characteristic hydrodynamic noise from 415 the wings and tether resulted in a wider bandwidth as compared to the schottel (Fig. 2). 416 Therefore, the spatial extent of masking effects is contextual, based on the frequency content 417 of the biologically important signal the animal is listening for at the time, and the type of 418 turbine in the area. For example, breeding harbour seals call between 40 and 500 Hz [22] and 419 therefore, a larger area of masking would be expected from the schottel turbine (as most 420 energy from the schottel is in the lower frequencies) than for the kite in that context (Fig. 5). 421

422 While little empirical data from full-scale tidal turbines have been documented in the 423 scientific literature, there is evidence that noise effects on marine animals from a single 424 operating MRE device is less than minor [46]. The source levels of either turbine type 425 considered herein were relatively low, particularly in relation to the receiving soundscape and 426 audiograms of harbour porpoise and harbour seals. However, much of the previous research, 427 including this study, considered noise effects from a single operating device, and have not 428 made an assessment on the cumulative noise effects from full-scale arrays [47]. When 429 multiple devices operate in an array (in relative proximity), the radiated noise field becomes 430 far more complicated [48]. Playback experiments using a digital analogue of a horizontal axis tidal turbine (the SeaGen device, operating in 3 ms⁻¹ flow) showed an accumulation of sound 431 432 from the two transducers [46]. Therefore, some concern remains for large-scale turbine arrays 433 consisting of hundreds of devices. Some of those concerns include potential barrier effects, 434 which could be auditory (i.e. far-reaching impact zones, within which LSR is expected) [40] 435 or physical (for example, the spacing of devices restricts animal movement). There are in-436 combination considerations too, for example, when planning arrays in areas with high 437 shipping activity, such as the Irish Sea or English Channel . Quantifying the range-dependent LSRs could be useful for informing marine spatial planning and design of arrays, at least from 438 439 an ecological perspective. For a preliminary assessment of noise footprints from full-scale turbine arrays, the masking noise level (the NL_2 variable in the calculation of Δ , eq. 7) could 440 441 be adjusted by adding 10*log10(number of devices) and the LSR be recalculated. Since that 442 would not consider the spatial extent of an array, it would be a high-level and simplistic 443 estimate from the centre of the array only. For a more robust assessment, the cumulative 444 noise field from the turbine array would need to be modelled for multiple sources operating simultaneously to provide a more accurate masking noise level, NL₂. 445



446 Figure 5. The extent of LSRs in harbour seals for two frequencies under quiet conditions.
447 Harbour seals have higher hearing sensitivity at 6.3 kHz than at 630 Hz, and therefore LSRs
448 are seen over a larger area at 6.3 kHz for the kite (top right). The opposite is true for the

schottel (bottom right), the spectrum of which shows higher energy in the lower frequencies,
and therefore greater masking is seen at 630 Hz than at 6.3 kHz.

451 452

453 As would be expected, seasonal variation in ambient noise did influence the listening space 454 and, in all scenarios investigated, the spatial extent of masking (in terms of LSR) was highest 455 during quiet conditions in winter. While it has been recognised that ambient noise should be characterised during field investigations of MRE sites [46], in this study we further included 456 457 variations in the ambient sound as a function of season. This approach therefore considers the 458 temporal variation in the ambient soundscape due to the occurrence of marine organisms and 459 local vessel traffic. For example, the main source of noise in summer in Strangford Lough is 460 likely due to the increased recreational vessel traffic, which in-turn increased the ambient 461 noise levels in several frequency bands during the summer, as compared to the winter (see 462 Fig. 2). These increases in ambient levels led to the decreased extent of LSR zones, because 463 the Δ value in the LSR equation (eq. 7) was smaller. The implication of this work is that the 464 listening space for animals around MRE devices will change depending on the time of year. 465 As such, this approach could be used to identify time periods when testing tidal turbine 466 devices would have less of an impact on the listening space of marine mammals.

467

468 The ambient noise levels used for this study were obtained from the average of two locations 469 within the study area. However, tidal turbine device sites are often very dynamic in their flow 470 rates, with eddies and turbulent waters acting against the seafloor, submerged rocks and 471 coastlines. Given the importance of the perceived ambient sound level by an animal in the 472 LSR calculation, two hydrophones on either side of the Narrows were used to obtain a 473 measurement that incorporated the variation between the two locations. However, both 474 hydrophones were in sheltered areas of the Narrows, outside the main flow, to control for 475 pseudo-noise (noise generated at the hydrophone due to turbulent flow that prevents accurate 476 measurement of true ambient sound levels [49]). This could mean that the ambient sound 477 levels used in this study are different to what a passing animal would experience directly in 478 the near-field of the device. While in regions with relatively homogeneous soundscapes, a 479 generalized measurement would be appropriate. However, within high energy environments 480 (relevant to most tidal turbine sites), it would be recommended that a suite of ambient noise 481 measurements using drifting hydrophones be incorporated into the ambient soundscape 482 analysis.

483

484 A key benefit of the LSR algorithm is that it only requires quantification of the change to the 485 listener's perceived soundscape. Furthermore, changes to the listening space (as a fractional 486 decrease to the original listening space under ambient sound conditions) as the animal swims 487 past an operating MRE device can be calculated with only minimal knowledge of the 488 listener's hearing abilities. The only requirements for the LSR algorithm are the change in 489 masking noise levels (the MRE device's noise field and ambient sound levels), the bandwidth 490 of the biologically important signal (such as the call from a conspecific or prey so that the 491 frequency-dependent propagation coefficients (N) can be calculated), and the species' 492 audiogram [20][21]. This is the key advantage to the LSR method, as its parameters are 493 predominately environmental and typically obtained during environmental impact 494 assessments for tidal turbine device arrays (for example, collecting baseline ambient noise 495 data and noise modelling) and during the post-consent phase (i.e. recordings of operational 496 noise of the tidal turbine(s)). Notwithstanding, there are a number of underlying conditions 497 and assumptions that need to be understood when applying it to other environments and 498 devices. The device's noise field (i.e. the masking noise level (NL_2 variable in eq. 3)) and 499 ambient sound levels will change with the environment. If no audiogram is available for the 500 species in question, the audiogram of the closest phylogenetic relative may be used, or 501 modelled audiograms, as in the case of large baleen whales where no audiograms exist [21], 502 for instance.

503

504 In addition to its applicability to cumulative noise assessments, as well as multiple taxa and 505 regions, the LSR method is also transferable to any MRE device type. Once the propagation 506 loss coefficients for the particular region is known, measurements of the local ambient 507 soundscape and audiograms of the species of concern are available, the approach presented 508 herein provides the MRE industry and regulatory bodies with an effective way of translating 509 predictive noise propagation models, commonly provided as part of the EIA process, to zones 510 of influences for which a level of masking may occur. This is a noteworthy step towards 511 predicting how noise generated by tidal turbine arrays may influence the movement and 512 behavior of species of concern.

513

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