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Del Rey, B. M., O'Hagan, K., Dellett, M., Aibar, S., Colyer, H. A. A., Alonso, M. E., Díez-Campelo, M., Armstrong, R. N., Sharpe, D. J., Gutiérrez, N. C., García, J. L., De Las Rivas, J., Mills, K. I., & Hernández-Rivas, J. M. (2013). Genome-wide profiling of methylation identifies novel targets with aberrant hyper-methylation and reduced expression in low-risk myelodysplastic syndromes. Leukemia, 27(3), 610-618. https://doi.org/10.1038/leu.2012.253

Published in: Leukemia

Document Version:

Peer reviewed version

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Accepted Article Preview: Published ahead of advance online publication



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Cite this article as: BM del Rey, K O'Hagan, M Dellett, S Aibar, HAA Colyer, ME Alonso, M Díez-Campelo, RN Armstrong, DJ Sharpe, NC Gutiérrez, JL García, J De Las Rivas, KI Mills, JM Hernández-Rivas, Genome-wide profiling of methylation identifies novel targets with aberrant hyper-methylation and reduced expression in low-risk myelodysplastic syndromes, *Leukemia* accepted article preview 31 August 2012; doi: 10.1038/leu.2012.253.

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Received 30 May 2012; revised 22 August 2012; accepted 24 August 2012; Accepted article preview online 31 August 2012

1 Genome-Wide Profiling of Methylation Identifies Novel Targets with

2 Aberrant Hyper-methylation and Reduced Expression in Low-Risk

3 Myelodysplastic Syndromes

4 Epigenetic Regulation in Low-Risk Myelodysplastic Syndromes

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29 Abstract

Gene expression profiling signatures may be used to classify the subtypes of MDS 30 31 patients. However, there are few reports on the global methylation status in MDS. The 32 integration of genome-wide epigenetic regulatory marks with gene expression levels would provide additional information regarding the biological differences between MDS 33 and healthy controls. Gene expression and methylation status were measured using 34 high-density microarrays. A total of 552 differentially methylated CpG loci were 35 identified as being present in low-risk MDS; hyper-methylated genes were more 36 37 frequent than hypo-methylated genes. In addition, mRNA expression profiling identified 38 1005 genes that significantly differed between low-risk MDS and the control group. 39 Integrative analysis of the epigenetic and expression profiles revealed that 66.7% of the hyper-methylated genes were under-expressed in low-risk MDS cases. Gene 40 network analysis revealed molecular mechanisms associated with the low-risk MDS 41 42 group, including altered apoptosis pathways. The two key apoptotic genes BCL2 and 43 ETS1 were identified as silenced genes. In addition, the immune response and miRNA biogenesis were affected by the hyper-methylation and under-expression of IL27RA 44 and DICER1. Our integrative analysis revealed that aberrant epigenetic regulation is a 45 hallmark of low-risk MDS patients and could play a central role in these diseases. 46

47

48 Keywords: gene expression profile, methylation, low-risk MDS, apoptosis, BCL2,
49 ETS1 transcription factor targets.

50 Introduction

51 Myelodysplastic syndromes (MDS) are a heterogeneous group of clonal myeloid stem 52 cell disorders affecting mainly elderly patients. MDS are characterized by cytopenia of 53 the peripheral blood (PB), hypercellularity of the bone marrow (BM) and morphological 54 alterations in one or more hematopoietic cell lineages (1). According to the WHO 55 classification, MDS can be classified as low- or high-risk depending on the percentage 56 of blast cells in the BM (2). In most cases, the presence of cytogenetic aberrations, such as alterations on chromosomes 5, 7, and 8, are the hallmark of MDS, but other 57 abnormalities in signal transduction, transcription activity, cell-cycle 58 control. mitochondrial DNA, angiogenesis and epigenetic changes have also been associated 59 60 with MDS (3).

61

DNA methylation is an epigenetic process that involves the addition of a methyl group 62 63 (CH₃) to the 5-position carbon of the cytosine pyrimidine ring in a CpG dinucleotide. 64 This process is carried out in an orchestrated reaction that includes DNA methyltransferases, methyl-binding domain proteins, and histone deacetylases (4). 65 DNA cytosine methylation is the best characterized epigenetic event leading to the 66 67 stabilization of the genome, the remodeling of the chromatin and the regulation of gene 68 transcription (5;6). In addition, not only the presence of epigenetic marks but also their 69 location and density play a crucial role in regulating these processes (7;8). A close 70 correlation between DNA hyper-methylation and transcriptional silencing has been 71 established in many systems (9).

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Epigenetic alterations are now accepted as having a role in carcinogenesis. DNA hyper-methylation in cancer is associated with the silencing of tumor-suppressor genes, whereas hypo-methylation has been described as playing a causal role in progressive tumor formation and in promoting chromosomal instability (5;7;9-11).

Tumor suppressors are not the only genes affected by aberrant methylation; abnormally methylated genes with other functions are also subject to silencing in human cancer, including those involved in DNA repair, apoptosis, angiogenesis, cell cycle regulation and cell-to-cell interaction (12). Hence, epigenetic modifications in promoter and/or regulatory regions that lead to transcriptional silencing of genes and development of cancer are important events requiring to be studied in any oncopathological state and they are attractive therapeutic targets.

84

Gene expression profiling studies have been performed in MDS with the aim of 85 identifying genes and biological pathways of relevance in these diseases (13:14). 86 87 These studies have identified gene expression signatures distinguishing specific subgroups of MDS and have helped improve our understanding of the biology of these 88 89 diseases (15). However, the molecular pathogenesis of MDS is still not fully understood. Moreover, only part of the cellular information is present at the mRNA 90 91 level, and transcriptional activity is dependent on many factors, including epigenetic 92 modifications. Nevertheless, the methylation patterns of genes have not been as well explored in low-risk MDS as in other hematopoietic malignancies, and most epigenetic 93 94 studies have focused on the analysis of a few tumor suppressor genes (16).

95

The underlying mechanisms of altered DNA methylation in low-risk MDS and the target genes affected by methylation remain unknown. To gain insight into the knowledge of the molecular mechanisms present in low-risk MDS, an integrative study of methylation and gene expression profiles was carried out. In this report, we identify genes with reduced levels of expression in response to increased methylation levels in nearby CpG islands. Overall, we highlight candidate DNA methylation changes associated with MDS that may warrant further investigation as potential clinical targets.

103 Materials and Methods

104 Samples collection and cell separation

105 A total of 83 low-risk MDS patients and 36 age-matched controls without 106 haematological malignancies were included in the study (Supplementary Table 1). MDS were classified according to the World Health Organization (WHO) criteria (2). 107 Mononuclear cells were isolated from BM samples of low-risk MDS patients and 108 controls by density gradient (Ficoll). A cohort of 18 patients with low-risk MDS and 109 110 seven controls were included in a simultaneous integrative study of methylation and 111 expression, while the whole series was used as a control group of expression data. 112 The study was approved by the Local Ethical Committees and written informed consent 113 was obtained from each patient.

114

115 DNA and RNA isolation

116 Genomic DNA from subject samples was isolated using a DNeasy blood and tissue kit,

117 following the manufacturer's protocol. DNA was eluted in AE buffer (Qiagen, Hilden,

118 Germany).

Total RNA from cells was extracted by homogenization in TRIZOL (Invitrogen, Carlsbad, CA, USA), following the manufacturer's protocol, then treated with RQ1 RNAse-Free DNase (Promega, Madison, USA) to eliminate genomic DNA contamination, and finally purified with RNeasy Minikit (Qiagen). The quantity and quality of the RNA were determined with an Agilent 2100 Bioanalyzer (Santa Clara, CA, USA).

125

126 Methylation CpG island amplification and microarray studies (MCAM)

127 Methylated CpG Island Amplification and Microarray (MCAM) is a two-color array 128 technique that quantifies methylation by hybridizing equimolar amounts of subject 129 *versus* control DNA to an array (17). The University Health Network human 12K CpG

microarray (UHN, Toronto, Canada) contains 12,192 CpG island clones. Each clone 130 131 can be annotated with up to three gene symbols, depending on whether the CpG site 132 lies upstream, downstream or within the gene. The methylation assay was done largely 133 as described previously (17), but with the following modifications: DNA was purified 134 after double digestion, methylated CpG amplification (MCA) reaction and labeling were 135 done using the QIAquick PCR purification kit (Qiagen) without any indicator in the buffer PB. RMCA primers (Eurogentec, Southampton, UK) were used at ligation and 136 137 MCA reaction stages. The MCA reaction was performed using 5 U HotStarTag+ 138 (Qiagen) and samples were aliquoted without primers before being heated to 82 °C. The primers were held at 95 °C for 1 min before adding 4 µL to each tube. Cycling 139 140 conditions were: 95 °C for 10 min before 30 cycles of 95 °C for 1 min, 65 °C for 90 s, 72 °C for 2 min, and finally 72 °C for 10 min, and before holding at 4 °C. Samples were 141 hybridized to UHN HCGI12K CpG microarrays. After hybridization, microarrays were 142 washed as follows: 3 × 15 min at 55 °C with wash 1 in a rotating oven, then on a 143 gyrating platform 2 × 3 min at room temperature (R.T.) 1x SSC, 2 × 3 min at R.T. Wash 144 2: 2 × 3 min at R.T. 0.1 × SSC, 2 × 3 min at R.T. Millipore H₂O. Finally, slides were 145 rinsed with H₂O and centrifuged for 7 min at 370 g to dry. They were scanned with an 146 147 Axon GenePix 4400A scanner (MDS Analytical Technologies, Molecular Devices, Sunnyvale, CA, USA) using Genepix Pro 7 software (MDS Analytical Technologies). 148

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150 Bioinformatic analysis

The output GPR files from Gene Pix Pro 7.15 were imported into the R/Bioconductor Marray program and quality control diagnostic plots were generated and assessed. Poor-quality arrays were removed from the analysis and repeated. The log ratio of median red (Cy5-labeled subject sample) to median green (Cy3-labeled universal control) processed (dye-normalized) signal intensities were computed using the LIMMA R/Bioconductor program. Probes that had been flagged by Gene Pix Pro 7.15 as bad,

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absent or not found were removed. A genomic smoothing step was then performed in which a weighted average across 1000 bp was calculated for each CpG clone. In order to determine the degree of enrichment, the Partek Genomics Suite ANOVA tool was used and the n-fold change using the geometric mean (for log-transformed data). Probesets that differed significantly (p < 0.10) between the low-risk MDS and control groups were selected for further analysis.

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164 Gene expression microarray studies

Gene expression profiling (GEP) studies were done as part of the Microarray 165 Innovations in LEukemia (MILE) study (18). GeneChips Human Genome U133 Plus 2.0 166 arrays (Affymetrix, High Wycombe, UK) are gene expression arrays containing 54,613 167 oligonucleotide probesets that map onto 18,950 human gene loci, following gene-168 based remapping of the probes (19). Labeling and hybridization were performed 169 according to protocols from Affymetrix. Briefly, 100 ng of total RNA was amplified and 170 171 labeled using the GeneChip two-cycle cDNA synthesis kit and GeneChip IVT labeling kit (Affymetrix Inc.) and then hybridized to the Human Genome U133 Plus 2.0 172 microarray, after quality checking on GeneChips Test3 Arrays. Washing and scanning 173 were done using Fluidics Station 400 and GeneChip Scanner (Affymetrix Inc.). In 174 175 addition, the Human Exon 1.0 ST microarrays (Affymetrix) were used in the study.

176

177 Bioinformatic analysis

The Robust Microarray Analysis (RMA) algorithm was applied to the raw data from the expression arrays to carry out background correction, intra- and inter-normalization, and to calculate the expression signal (20). The Significant Analysis of Microarrays (SAM) algorithm was used to identify genes with statistically significant changes in expression between different classes (21). For this differential expression analysis, samples were permuted over 100 cycles using the two-class (unpaired) and multiclass

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response format, considering variances not to be equal for the genes. Significant genes were selected on the basis of the false discovery rate (FDR), which was used to correct the p-values, assuming an FDR threshold of <0.15, which allowed better overlap with the data from the methylation study. To select each gene, the p-values of the statistical tests were transformed to q-values using the FDR threshold indicated. All the calculations described here were done using R and Bioconductor.

190

191 Real-Time PCR

To validate the GEP results, the expression levels of four selected genes were 192 analyzed by RT-PCR. First-strand cDNA was generated from 1 µg of total RNA using 193 194 poly-dT as primers with the M-MLV reverse transcriptase (Promega). Real-time PCR 195 was performed in triplicate. Each 20µl reaction contained 300ng of cDNA, 400 nM of 196 each primer, and 1x iQ SybrGreen Supermix (Bio-Rad, Hercules, CA, USA). Standard curves were run for each transcript to ensure exponential amplification and to rule out 197 198 non-specific amplification. The expression level of the glyceraldehyde-3-phosphate 199 dehydrogenase (GAPDH) gene was used to normalize differences in input cDNA. The 200 reactions were run on an iQ5 Real-Time PCR detection system (Bio-Rad, Hercules, CA, USA). The primers were designed for specific sequences (Supplementary Table 2) 201 202 and checked with the BLAST algorithm (22). In addition, to measure miRNA-145 and 203 miRNA-196 expression levels, TaqMan qRT-PCR miRNA assay (Applied Biosystem, Carlsbad, California) was performed. The relative expression levels normalized to 204 RNU43 endogenous control was determined using the $2^{-\Delta Ct}$ method. Each 205 206 measurement was performed in duplicate.

207

208 Pyrosequencing

Primers were designed for forward, reverse and sequencing using the PyroMark Assay
Design 2.0 program. Primer sequences can be found in Supplementary Table 3.

8

Bisulfite conversion of DNA was done as described by Frommer et al (23). The hotstart polymerase chain reaction (PCR) was carried out using 2µL (50ng) of bisulfitetreated DNA. PCR was performed following the manufacturer's instructions. Pyrosequencing was carried out using the Q24 System (Qiagen), also in accordance with the manufacturer's protocols.

216

217 Integrative functional analysis of methylation and expression data

218 To analyze the functional enrichment of the selected gene lists we used the DAVID 219 bioinformatic resource (http://david.abcc.ncifcrf.gov/) (24) and the web-delivered 220 bioinformatics tool set IPA (Ingenuity Pathway Analysis 9.0; http://www.ingenuity.com). 221 These tools allow the identification of functional modules and the most relevant 222 biological processes present in the gene lists performing statistical enrichment analysis 223 based on contingency tests. The Metacore Analytical Suite (Genego Inc., St. Joseph, MI, USA) was also used for the network analysis of some of the initial data from 224 225 differentially methylated/expressed genes. Metacore's shortest path algorithm was applied to derive a network for the selected genes. Biological processes enriched in 226 227 differentially methylated/expressed gene lists were identified and p-values determined using Metacore's enrichment analysis workflow. 228

The common transcription factor binding sites (TFBSs) were analyzed using search 229 tools that allowed the sequences upstream of the genes of a given query list to be 230 231 explored, for the purpose of finding significant candidate promoter regions. These tools 232 search for sequence profiles similar to the TFBS defined in JASPAR 233 (http://jaspar.cgb.ki.se/). The bioinformatic tools used were: oPOSSUM 234 (http://www.cisreg.ca/cgi-bin/oPOSSUM/opossum) (25); TransFind (http://transfind.sys-235 bio.net/index.php/home.html) (26); Pscan (http://159.149.109.9/pscan/) (27); and TFM-236 Explorer (http://bioinfo.lifl.fr/cgi-bin/TFME/tfme.py) (28).

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238 Results

239 Low-risk MDS and normal BM have distinct DNA methylation profiles

The methylation profiles of low-risk MDS patients were compared with those of controls 240 241 using the 12K CpG array. Statistically significant changes in the level of CpG island methylation were identified. A total of 552 CpG loci were sufficiently differentially 242 methylated between the two groups to give a value of p < 0.10. These loci were 243 244 associated with 817 annotated gene symbols: 457 genes were hyper-methylated in 245 low-risk MDS, and 360 genes were hypo-methylated. The median fold changes were 246 1.85 (7.82 to 1.09) and -1.65 (-4.73 to -1.11), respectively (Supplementary Table 4). 247 The three most representative cellular functions for genes commonly altered by methylation were GM-CSF signaling (e.g., LYN, GNB2L1 and ZNF225), apoptosis-248 HTR1A signaling (e.g., BCL2 and MAP2K1) and TGF-beta-dependent induction of 249 250 EMT via SMADs (e.g., SMAD2, HN1 and CDH2). In addition, four of the top ten cellular 251 functions deregulated by methylation were related to the immune response (Table 1). 252 Amongst the genes involved in this response, IL27RA and CD28 were hyper-253 methylated whilst *IL6* and *CD96* were hypo-methylated in MDS patients.

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255 Gene expression profiling distinguishes low-risk MDS from normal BM

256 The GEP from the BM of low-risk MDS patients was compared with that from the BM of 257 healthy individuals. 1975 genes showed significant differences (FDR cut-off < 0.15) in mRNA expression levels between the two groups: 764 were over-expressed whilst 258 259 1211 genes were under-expressed in low-risk MDS (Supplementary Table 5). This 260 number was reduced to 1005 genes when an FDR cut-off of < 0.10 (444 up-regulated 261 and 561 down-regulated genes) was applied. These genes were selected for further investigation. Hierarchical clustering, selecting for differentially expressed genes, 262 263 resulted in a good separation of the two groups analyzed, except in three patients (Figure 1). These samples had a less differential profile although they were distinct 264

265 from the controls. Interestingly, two of them displayed chromosomal alterations that 266 were not present in any other patients: a loss on 5g and a monosomy 7. The most 267 over-expressed gene in low-risk MDS (R.fold=8.08) was GDF15, which has a role in 268 regulating inflammatory and apoptotic pathways during disease processes. By contrast, 269 cellular development, post-translational modification and the cell-mediated immune 270 response were the most frequently deregulated molecular and cellular functions 271 (Supplementary Figure 1). In addition, cellular growth and proliferation was the function 272 involving the largest group of genes: 121 molecules, of which BCL2, ETS1 and FLT3 273 were highlighted as down-regulated genes in MDS patients (Supplementary Table 5). It 274 should be noted that nucleosome assembly, chromatin organization and DNA 275 packaging were also significant functions that were altered in low-risk MDS. In this 276 respect, a total of 33 up-regulated histone genes involved in these three functions were observed in low-risk MDS (Supplementary Table 5). 277

278

279 Hyper-methylation correlates with decreased gene expression in low-risk MDS

An integrative approach involving methylation and expression profiling was used to 280 characterize genomic changes between low-risk MDS patients and healthy controls. 281 282 Comparison of the 817 putative target genes of differential methylation and the 1975 283 genes of differential expression allowed the detection of gene loci that experienced both concurrent changes in low-risk MDS patients. In total, 91 genes were both 284 285 differentially methylated and differentially expressed (Figure 2A): 37 of these (41%) 286 were hypo-methylated, and 54 (59%) were hyper-methylated (Figure 2B). Thirteen of 287 the genes that were hypo-methylated in low-risk MDS also featured up-regulated gene 288 expression (35%), all with a value of p < 0.10 in both the methylation and expression 289 analyses. UBE2D3, ING1 and RRAS2 were highlighted in this group of genes (Table 2). 290

291 Interestingly, a high proportion (66.7%) of hyper-methylated genes was also down-292 regulated (all with a value of p < 0.10 in the methylation and expression analyses). This 293 combination represented the highest association between methylation and expression 294 with respect to the other possible combinations and was consistent with the pattern 295 expected for silenced genes. For this reason, this group of 36 genes was examined 296 further (Figure 2B; Table 3). Using functional enrichment, we observed that the most 297 well represented categories in this gene set were regulation of gene expression, RNA 298 process, immune response, regulation of cell differentiation, and cell adhesion and 299 apoptosis (Figure 3). Finally, we externally validated the most significant genes for the top altered functions: regulation of gene expression (ETS1), RNA process (DICER1), 300 301 the immune response (IL27RA) and apoptosis (BCL2). The under-expression of these genes was confirmed in the larger cohort of 83 MDS patients by expression arrays 302 303 (Supplementary Figure 2). In addition, the differential methylation and expression of all four genes from the integrative group was confirmed by pyrosequencing and Q-PCR. 304 305 respectively, and there was a 100% correlation between these techniques and the previous results. 306

307

308 *Hyper-methylation of the ETS1 transcription factor is linked to gene down-*309 *regulation in low-risk MDS*

As ETS1 is a transcription factor, we explored the link between the hyper-methylation 310 311 of the transcription factor and the down-regulation gene observed in low-risk MDS 312 patients. For this purpose, we analyzed the promoter regions of the 561 genes included 313 in the under-expression signature assigned to low-risk MDS (Table 4). We searched for 314 the TFBSs within this set of 561 genes. The analysis demonstrated that the ETS1 315 transcription factor, which is hyper-methylated and under-expressed in low-risk MDS, is 316 involved in regulating 83 target genes included in the down-regulation signature of 317 these MDS patients. The most significant functions of these target genes were

delineated and the cell-to-cell signaling and interaction pathway were found to be prominently affected. The genes included in this function were *FOXP1*, *ITGAL*, *ZAP70* and *LCK* (Table 4). In addition, cell death (apoptosis) was identified as the function with greatest number of down-regulated target genes (*IL7R*, *ITGAL*, *LCK*, *MAP4K1*, *PAK2*, *PTAFR*, *TNFSF13*, *TOPBP1* and *TRADD*) (Table 4).

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324 DICER1-interacting genes are deregulated in low-risk MDS patients

325 The identification of DICER1 as a gene that is guite significantly altered by methylation 326 and expression in low-risk MDS prompted us to investigate other genes involved in RNA processing and related to DICER1. ATXN1, NFE2L3 and POP4 proved to have 327 328 direct genetic interactions with *DICER1*. ATXN1 was under-expressed in low-risk MDS cases while NFE2L3 and POP4 were hyper-methylated and under-expressed in this 329 330 group of patients (Table 3 and Supplementary Table 5). Moreover, PIWIL4, which was 331 down-regulated in the low-risk MDS group, was involved in protein-protein interactions 332 with DICER1. Interestingly, POP4 and PIWIL4 had genetic interactions with the RNASE4 gene. This gene was under-expressed in low-risk MDS patients 333 (Supplementary Table 5). In addition, to analyze the effect of the *DICER1* deregulation, 334 335 183 miRNAs expression levels were measured. A general down-regulation of miRNAs 336 was observed in low-risk MDS cases respect to the control group (Wilcoxon p value: 0.039) (Supplementary Figure 3). However, no significant differences in miRNA-145 337 338 and miRNA-196 expression between low-risk MDS and controls were observed.

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IL27RA and other immune response-related genes are down-regulated in low-risk MDS patients

An immune response-related analysis was carried out to compare low-risk MDS patients with the control group. This study showed that three genes involved in the histocompatibility complex (*HLA-DQB1, HLA-DQA1* and *HLA-DPB1*) were down-

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345 regulated in low-risk MDS. We also found that besides IL27RA, which was hyper-346 methylated and under-expressed in MDS, another nine interleukins and interleukin 347 receptors were under-expressed in the same cohort of patients: IL16, IL32, IL1RAP, IL2RB, IL6R, IL7R, IL10RA, IL10RB and IL13RA1 (Supplementary Table 5). Three of 348 349 them (IL16, IL1RAP and IL10RB) had direct genetic interactions with IL27RA.

350

Hyper-methylation of BCL2 leads to under-expression of the gene and increased 351

352 apoptosis in low-risk MDS

The significant alteration of expression and methylation pattern of BCL2 observed in 353 354 low-risk MDS patients suggests a deregulation of the control of apoptosis. The genetic 355 and epigenetic signatures of apoptosis-related genes in this group of patients were 356 studied. BCL2L11 and MYC were found to be over-expressed in low-risk MDS patients; 357 in contrast, BAX and CUX1 were under-expressed in this group of patients with respect to the control group. In addition, the SYK gene, which was hyper-methylated and 358 359 under-expressed, was also associated with apoptosis and BCL2. In addition, we integrated all these genes in a simple interaction network to reveal the links and 360 associations between them (Figure 4). 361 .ci

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- 363

364 **Discussion**

365 Aberrant methylation is a potential mechanism for inactivating genes that has been implicated in several hematological malignancies, including MDS (29;30). 366 367 Nevertheless, until now we have not known whether the low-risk MDS cases have a specific and distinct DNA methylation profile, as has been demonstrated for the gene 368 expression profile (GEP) (15;31). The present study showed that the low-risk MDS 369 patients had a different methylation profile involving 817 genes. Moreover, the GEP 370 371 study displayed a deregulation of cellular development and post-translational 372 modification genes in low-risk MDS patients. It should be noted that, in addition to 373 these cellular functions, our analysis, performed in mononuclear cells, corroborated the 374 mainly deregulated functions previously described in the GEP analysis of CD34+ cells, such as cellular proliferation (15) and up-regulation of histones involved in nucleosome 375 organization (31). It is of particular note that GDF15, which was previously described 376 377 as being deregulated in RARS patients (32), was the most over-expressed gene in low-378 risk MDS patients.

379

Only a few reports concerning MDS have established a connection between 380 381 methylation and expression, and most of these epigenetic studies have focused on the 382 analysis of a small number of tumor suppressor genes. For this reason, our study 383 aimed to carry out a combined analysis of the methylation and the GEPs in low-risk MDS patients. To our knowledge, this is the first time the same cohort of patients has 384 385 been used to analyze both profiles in MDS. The integrative study identified DNA methylation markers that could lead to the down-regulation of some genes involved in 386 387 important cellular functions in low-risk MDS: BCL2, ETS1, IL27RA and DICER1.

388

389 MDS are characterized by ineffective hematopoiesis that results in peripheral blood 390 cytopenias, despite the hypercellular dysplasia in bone marrow. Previous studies

suggested that the increased apoptosis of the bone marrow myeloid precursors is an 391 392 important factor in the ineffective hematopoiesis of MDS patients. These studies also showed that the increased programmed cell death probably represents a 393 394 pathophysiological mechanism rather than a compensatory process to counteract 395 increased cell growth (31;33;34). Members of the BCL2 family are major regulators of 396 these apoptotic pathways. The present study shows that BCL2 expression was 397 significantly weaker in mononuclear low-risk MDS cells than in normal individuals. 398 These results are in accordance with previous studies that showed reduced BCL2 399 expression in CD34+ cells of patients with early MDS subtypes (35). Furthermore, our study showed that BCL2 had significantly higher methylation levels in low-risk MDS 400 401 samples. Consistent with the increasing evidence for a fundamental role of epigenetic silencing of apoptotic pathways in cancer (36-38), the hyper-methylation and the 402 inverse correlation of mRNA expression of BCL2 would be expected to promote 403 apoptosis in MDS patients. The under-expression of BCL2 in low-risk MDS due to 404 aberrant methylation deserves further investigation as a low-risk MDS biomarker and 405 supports a role for apoptosis-targeted therapy in these patients in the future. 406

407

408 Our study found hyper-methylation and under-expression of the ETS1 gene in the 409 same group of low-risk MDS patients compared with the control group. Several studies 410 have indicated that the level of ETS expression is reduced during tumorigenesis. These 411 analyses show that ETS1 suppresses tumorigenicity and the cases with a high level of 412 ETS1 expression had better outcomes for disease-free survival than those with a low 413 level (39). These findings suggest that under-expression of ETS1 could have a crucial 414 role in tumor promotion in MDS patients, especially during their early phases. ETS1 is 415 a nuclear phosphoprotein that functions as a transcription factor by binding the target 416 DNA sequences containing a central GGAA/T core motif (ETS-binding site, EBS) (40). 417 The ETS protein influences the expression of genes that are involved in various

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biological processes, including hematopoiesis, cellular proliferation, differentiation, 418 419 development, transformation and apoptosis (41). Over 400 ETS1 target genes have 420 been defined to date, based upon the presence of functional EBS in their regulatory 421 regions (41). To investigate whether a decrease in ETS1 expression in low-risk MDS 422 patients had a functional effect, the expression levels of ETS1 target genes were examined and a significant difference in the level of expression of 83 target genes in 423 424 the patient group relative to control group was observed. Likewise, several other 425 studies have demonstrated co-expression of ETS factors and presumptive ETS target 426 genes in solid tumors (42-44).

427

428 Several approaches have been used to demonstrate that ETS and/or the genetic pathways that this gene regulates could be potential targets for therapy. In addition, the 429 methylation and decreased expression of ETS1 has been involved in silencing several 430 genes during cellular senescence (45). Therefore, ETS1 deregulation could be related 431 to cellular senescence. In the same study, the mRNA expression levels of ETS1 in the 432 senescent cells increased significantly with the 5-aza-2'- deoxycytidine treatment. 433 These findings could partially explain the response to 5-aza-2'-deoxycytidine treatment 434 435 in MDS patients as a result of the possible induction of ETS1.

436

Apoptosis was the most widely affected function, with nine down-regulated ETS1 437 438 targets. The overall apoptosis pathway could be affected in low-risk MDS patients in 439 two ways: (1) methylation and decreased expression of BCL2 with the deregulation of related genes (BCL2L11, MYC, BAX, CUX1 and SYK), and (2) methylation and 440 441 decreased expression of the ETS1 transcription factor with the deregulation of its 442 apoptosis-related targets. The molecular basis of apoptosis in MDS is largely unknown 443 and comprehensive characterization of epigenetic disruption of apoptosis-related 444 genes in MDS cases is lacking. For this reason, these findings may shed some light on

this matter. In addition, a clearer understanding of the molecular events leading to the
deregulation of cell death in MDS should allow us to identify therapeutic targets and
diagnostic markers.

448

449 IL27RA is a component of the heterodimeric complex receptor IL27R that is involved in immunosuppression by inducing a signal transduction in response to IL27 (46). Our 450 451 studies identified a marked difference in IL27RA methylation levels between low-risk 452 MDS patients and healthy controls that may be responsible for the under-expression shown by these patients. These results are consistent with recent studies in which 453 IL27RA has been shown to be a promoter of hematopoietic stem cell differentiation. 454 455 which appears to enhance myelopoiesis in a transgenic mouse system (46). According to this, down-regulation of IL27RA could lead to the ineffective differentiation of 456 hematopoietic progenitors already described in MDS patients by other authors (33). 457 Moreover, animal models with defects in IL27 or its receptor (IL27RA) display 458 enhanced immune responses in a range of infectious and noninfectious situations (47). 459 Therefore, our results are also consistent with these features and with the deregulation 460 of the immune response known in MDS (33). Furthermore, immune response 461 deregulation could be enhanced in low-risk MDS patients due to the genetic 462 interactions between IL27RA and IL16, IL1RAP and IL10RB, and the lower level of 463 expression of histocompatibility complex genes. 464

465

DICER1 is an RNase III endonuclease essential for microRNA (miRNA) biogenesis and RNA processing (48). Altered miRNA expression can be expected to occur as a result of variations in pre-miRNA processing by DICER1. Fluctuations in miRNA expression regulate the expression of key tumor suppressor genes and oncogenes (49) and the fate of hematopoietic cells (48). Their global deregulation by the under-expression of *DICER1* promotes tumorigenesis. Reduced *DICER1* expression has been associated

18

with multiple solid neoplasias (49). In the current study, we observed that DICER1 472 473 expression levels were widely lower in patients with low-risk MDS. In addition, DICER1 474 was hyper-methylated in low-risk MDS, which could be responsible for the DICER1 475 under-expression observed in these patients. A deletion in *DICER1* has been recently 476 described in osteoprogenitors that impairs osteoblastic differentiation and the integrity 477 of hematopoiesis and induces bone marrow dysfunction with myelodysplasia (48). 478 These data suggest that the disruption of *DICER1* by methylation or mutation may 479 cause myelodysplasia in mice resembling important features of human MDS. Our 480 findings also showed that DICER1 had direct genetic interactions with ATXN1, NFE2L3 and POP4 deregulated genes, which might affect the normal relationship of these 481 482 genes with *DICER1* and consequently the deregulation of the functions in which they are involved. Furthermore, our data showed an overall slight down-regulation of 483 miRNAs in low-risk MDS (p-value = 0.039) which could be related to the deregulation 484 of DICER1. However, no significant differences were found for two miRNAs (miRNA-485 145 and miRNA-196) that were examined individually. 486

487

Recent advances have suggested a potential role for hyper-methylation in cancer 488 489 because of the transcriptional silencing (50). Nevertheless, global DNA hypo-490 methylation in cancer may be as frequent as hyper-methylation (51). Our study showed that ING1, UBE2D3 and RRAS2 genes were hypo-methylated and over-expressed in 491 492 low-risk MDS patients. The ING1 and UBE2D3 genes are both related to p53. The 493 *ING1* gene encodes a protein that can induce cell growth arrest and apoptosis by 494 cooperating with p53, and UBE2D3 functions in the ubiquitination of p53. RRAS2, 495 previously described as being up-regulated by other authors (33), may play an 496 important role in activating signal transduction pathways that control cell proliferation. 497 Thus, the alteration of these three genes could be implicated in functions previously 498 described as deregulated in MDS (15;33).

In summary, we have generated a DNA methylation profile for low-risk MDS patients that could extend our knowledge of these diseases. RNA expression levels were analyzed and correlated with methylation status, suggesting that DNA hypermethylation events in low-risk MDS are biologically important for gene functions such as gene expression, RNA processes, the immune response and apoptosis. In addition, these epigenetic modifications that lead to transcriptional silencing of genes are attractive therapeutic targets for demethylating agents.

.ing.

506 Acknowledgements

This study was partially supported by grants from the Spanish Fondo de 507 508 Investigaciones Sanitarias FIS 09/01543, Proyectos de Investigación del SACYL 355/A/09, COST Action "EuGESMA" (BM0801), Northern Ireland Leukaemia Research 509 Fund (NILRF), Northern Ireland Assembly Department of Education and Learning, 510 Obra Social Banca Cívica (Caja Burgos), and by the "Acción Transversal del Cáncer" 511 project, through an agreement between the Instituto de Salud Carlos III (ISCIII), 512 Spanish Ministry of Science and Innovation, the Cancer Research Foundation of 513 Salamanca University and the Redes de Investigación RTIIC (FIS). MdR is fully 514 supported by an "Ayuda Predoctoral" by the Fundación Española de Hematología y 515 Hemoterapia. We thank Irene Rodríguez, Sara González, Teresa Prieto, Mª Ángeles 516 Ramos, Almudena Martín, Ana Díaz, Ana Simón, María del Pozo and Vanesa 517 Gutiérrez of the Centro de Investigación del Cáncer, Salamanca, Spain and Anne 518 519 Carson from the Centre for Cancer Research and Cell Biology, Belfast, UK, for their 520 technical assistance.

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522 **Conflict of interest disclosure:** The authors declare no conflict of interest.

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Accepted manuscript

713 Figure Legends

714 Figure 1. GEP of low-risk MDS patients and normal bone marrow samples. The 715 heatmap shows hierarchical clustering of 1005 genes differentially expressed between 716 low-risk MDS and control patients. This gene-set was obtained for an FDR cut-off < 0.10 and included 444 upregulated genes and 561 down-regulated genes. The 717 718 absolute expression signal obtained for each gene in each sample is represented by a 719 color scale. Green indicates upregulation and red indicates down-regulation, black 720 being the intermediate signal. Each row represents a single gene and each column 721 corresponds to a separate patient sample. The distances in the clustering are based on Pearson correlation coefficients, calculated pair-wise, using the expression signature of 722 each gene in all samples. The unique low-risk MDS cases that displayed chromosomal 723 alterations are showed with a color point: blue for a loss on 5g and orange for a 724 725 monosomy 7

726 (GEP: gene expression profile; MDS: myelodysplastic syndrome)

727

Figure 2. Integrative epi/genomic analysis of low-risk MDS patients compared 728 with controls. A. Total number of differentially expressed and methylated genes in 729 730 low-risk MDS and healthy controls. 91 differentially methylated genes were also 731 deregulated. B. Quantification of genes identified in a two-way analysis. Bars represent 732 the differentially methylated genes and the two colors within each bar indicate the 733 number of differentially expressed genes. The hyper-methylation and under-expression 734 combination corresponds to the most frequent association between the two analyses 735 with respect to the other possible combinations.

736 (MDS: myelodysplastic syndrome)

737

738 Figure 3. Functional analysis of hyper-methylated and under-expressed genes in

739 low-risk MDS patients. Identification of processes significantly enriched in the hyper-

740 methylation and under-expression profile of low-risk MDS subjects compared with 741 control cases. The functional enrichment of the selected genes was analyzed using 742 DAVID, IPA and Metacore bioinformatics tools. The most representative biological 743 processes with the highest number of genes are included. The best represented 744 category was "Regulation of gene expression", which involves 15 genes.

- 745 (MDS: myelodysplastic syndrome)
- 746

747 Figure 4. Schematic representation of the deregulated BCL2-related pathway in low-risk MDS patients. Red and green respectively denote gain and loss of 748 749 expression in the low-risk MDS group relative to control subjects. The yellow genes 750 BCL2 and SYK were hyper-methylated and under-expressed in low-risk MDS, while 751 BCL2L11 and MYC were over-expressed and BAX and CUX1 were under-expressed in 752 the low-risk MDS patients with respect to the control group. An arrow pointing from A to B signifies that A causes B to be activated. Union between molecules shows protein-753 754 protein interactions which occur when two or more proteins bind together, often to carry 755 out their biological functions. Many of the most important molecular processes in the 756 cell are carried out by a large number of protein components organized by their proteinprotein interactions. Solid and dashed lines respectively indicate direct and indirect 757 758 interactions between molecules. The gene network was generated with the Ingenuity bioinformatics tool. 759

- 760 (MDS: myelodysplastic syndrome)
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Table 1. Most representative cellular functions corresponding to the 817 genes deregulated by methylation in low-risk MDS patients.

	Cellular Function	p-Value
Development_GM-CSF sign	aling	5,867E-07
Apoptosis and survival_HT	R1A signaling	0,000007751
Development_TGF-beta-dep	endent induction of EMT via SMADs	0,00001066
Development_VEGF signali	ng and activation	0,00003635
Development_Regulation of	epithelial-to-mesenchymal transition (EMT)	0,00004061
Transcription_CREB pathwa	ау	0,00004159
Immune response_CD137 s	ignaling in immune cell	0,00005866
Immune response_Inflamma	atory response	0,00006636
Immune response_Histamin	e H1 receptor signaling in immune response	0,00006889
Immune response_Histamin	e signaling in dendritic cells	0,00008708
ration analysis of hypo-met	hylated and over-expressed genes in low-	risk MDS patie
Expression	Methylation	

able 2. Integration analysis	of hypo-methylated and	a over-expressed genes in i	ow-risk wids patients.

Cono Symbol	Expression			Methylation			
Gene Symbol	d-Value	p-Value	R.Fold	Genomic Coordinates	CpG Position	p-Value	Fold Change
CDH4	4,61	0,000	1,22	chr20:59839134-59839709	within	0,057	-1,95
RAB8B	4,52	0,000	1,70	chr15:61235998-61237132	downStream	0,052	-2,58
UBE2D3	3,4	0,004	1,18	chr4:104009407-104010129	upStream	0,080	-1,43
ING1	3,19	0,006	1,37	chr13:110249836-110250880	upStream	0,080	-1,52
TBPL1	2,91	0,011	1,49	chr6:134258454-134259172	downStream	0,050	-2,96
CYB5D1	2,84	0,012	1,22	chr17:7702645-7702833	within	0,043	-1,77
FADS2	2,75	0,015	1,26	chr11:61394615-61395519	upStream	0,008	-2,09
HCN3	2,72	0,016	1,18	chr1:153500080-153500610	downStream	0,006	-4,29
H2AFJ	2,59	0,020	1,40	chr12:14847117-14848131	upStream	0,049	-1,88
RRAS2	2,57	0,021	1,43	chr11:14242512-14242599	downStream	0,019	-2,73
SYN3	2,56	0,022	1,22	chr22:31900470-31901416	upStream	0,020	-1,61
AAAS	2,53	0,023	1,29	chr12:52001203-52001932	within	0,040	-1,44
FXYD2	2,50	0,024	1,19	chr11:117021453-117021806	downStream	0,064	-1,45
769							

Gene Symbol	Expression			Methylation			
	d-Value	p-Value	R.Fold	Genomic Coordinates	CpG Position	p-Value	Fold Change
PLAGL1	-4,96	0,000	0,48	chr6:144457997-144458742	downStream	0,029	1,91
BCL2	-4,95	0,000	0,74	chr18:59137439-59137855	within	0,039	1,37
NELL2	-4,82	0,000	0,39	chr12:43649424-43649603	downStream	0,008	2,12
DICER1	-4,70	0,000	0,45	chr14:94304731-94304947	upStream	0,070	1,46
NFE2L3	-4,46	0,000	0,78	chr7:25868518-25868873	upStream	0,075	1,62
IL27RA	-3,97	0,001	0,65	chr19:14046138-14046802	downStream	0,043	2,16
ALCAM	-3,95	0,001	0,57	chr3:106555109-106555818	upStream	0,079	1,98
OPN3	-3,88	0,001	0,58	chr1:239850696-239850953	within	0,008	2,55
IER3IP1	-3,86	0,001	0,67	chr18:43041077-43041115	downStream	0,030	3,25
BNIP2	-3,70	0,002	0,70	chr15:58079608-58080222	downStream	0,093	1,36
RPS6KA5	-3,65	0,002	0,58	chr14:90398496-90398580	upStream	0,009	1,66
SCP2	-3,60	0,003	0,62	chr1:53291314-53291693	downStream	0,084	1,42
PTPRC	-3,40	0,004	0,69	chr1:198277915-198278316	downStream	0,004	2,43
CHML	-3,33	0,004	0,63	chr1:239850696-239850953	upStream	0,008	2,55
ZNF33A	-3,27	0,005	0,64	chr10:38422763-38423049	downStream	0,087	2,22
ETS1	-3,14	0,007	0,69	chr11:127896681-127897162	within	0,054	2,29
GNS	-3,06	0,008	0,67	chr12:63700546-63700923	downStream	0,098	1,65
NPHP3	-3,05	0,008	0,68	chr3:134240338-134240638	downStream	0,059	1,94
ZNF37A	-2,91	0,010	0,80	chr10:38422763-38423049	upStream	0,087	2,22
NSMCE1	-2,74	0,015	0,80	chr16:27237492-27237759	downStream	0,064	4,37
RHOU	-2,73	0,015	0,59	chr1:227321939-227322103	downStream	0,060	1,57
CNOT6L	-2,70	0,016	0,69	chr4:78960590-78961293	downStream	0,050	2,78
RPL36AL	-2,69	0,017	0,86	chr14:49134842-49136086	upStream	0,059	7,82
KIAA1128	-2,57	0,021	0,78	chr10:87813066-87813308	downStream	0,011	1,51
ENC1	-2,49	0,025	0,70	chr5:73973356-73973603	downStream	0,051	2,31
MAP2K1	-2,48	0,025	0,69	chr15:64436285-64436471	upStream	0,014	1,60
KLHL8	-2,41	0,029	0,68	chr4:88375883-88376244	downStream	0,014	1,75
CENTD1	-2,36	0,033	0,63	chr4:31377103-31377307	upStream	0,071	2,09
PH-4	-2,34	0,034	0,85	chr3:48932150-48932615	upStream	0,070	1,49
FVT1	-2,33	0,034	0,80	chr18:59137439-59137855	upStream	0,039	1,37
CD28	-2,30	0,037	0,87	chr2:204053785-204053871	upStream	0,062	2,02
CHIT1	-2,24	0,041	0,41	chr1:201503249-201503687	downStream	0,099	1,50
C10orf11	-2,22	0,042	0,69	chr10:76838695-76839061	upStream	0,013	1,50
CTSC	-2,18	0,046	0,63	chr11:87548353-87548640	upStream	0,024	1,85
RHOQ	-2,18	0,046	0,72	chr2:46696964-46697947	upStream	0,006	3,21
AK2	-2,15	0,049	0,73	chr1:33319679-33319945	downStream	0,029	1,50

Table 3. Integration analysis of hyper-methylated and down-expressed genes in low-risk MDS patients.

Table 4. ETS1 under-expressed targets involved in deregulated pathways in low-risk MDS patients.

Category	p- Value	Number of Genes	Molecules
Cell Death	7,62E-03 - 4,13E- 02	9	IL7R, ITGAL, LCK, MAP4K1, PAK2, PTAFR, TNFSF13, TOPBP1, TRADD
Cellular Function and Maintenance	9,03E-05 - 4,62E- 02	6	IL7R, ITGAL, LCK, MAP4K1, ZAP70, FOXP1
Hematological System Development and Function	1,45E-04 - 4,62E- 02	6	IL7R, ITGAL, LCK, MAP4K1, ZAP70, TNFSF13
Cellular Development	1,47E-03 - 4,62E- 02	6	IL7R, ITGAL, LCK, MAP4K1, ZAP70, LPP
Hematopoiesis	1,47E-03 - 4,62E- 02	5	IL7R, ITGAL, LCK, MAP4K1, ZAP70
Cell-To-Cell Signaling and Interaction	9,03E-05 - 3,46E- 02	4	FOXP1, ITGAL, ZAP70, LCK
Cell-mediated Immune Response	1,45E-04 - 4,62E- 02	4	IL7R, LCK, MAP4K1, ZAP70
Genetic Disorder	5,43E-03 - 2,12E- 02	4	ATXN1, ITGAL, MAP4K1, TRADD
Molecular Transport	7,01E-03 - 3,65E- 02	4	LCK, TRAT1, ZAP70, PATAFR
Gene Expression	2,89E-04 - 4,99E- 02	3	LCK, ZAP70, LEF1
Cellular Growth and Proliferation	6,63E-03 - 1,49E- 02	3	IL7R, TNFSF13, ZAP70
Cell Morphology	7,01E-03 - 4,81E- 02	3	LCK, LPP, ZAP70
Cellular Assembly and Organization	7,01E-03 - 4,81E- 02	3	LCK, PTAFR, ZAP70
Cancer	8,50E-03	2	LCK, ZAP70
Cell Cycle	7,01E-03	1	PTAFR

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777 Figure 3



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