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Transcriptomic analysis of short-term 17α -ethynylestradiol exposure in two 1 Californian sentinel fish species sardine (Sardinops sagax) and mackerel 2 (Scomber japonicus)

3 4

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21 22

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- 25 17α-ethynylestradiol; Genomic biomarkers
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ABSTRACT

30 Endocrine disrupting chemicals (EDCs) are substances which disrupt normal functioning of the endocrine system by interfering with hormone regulated physiological pathways. Aquatic 31 32 environments provide the ultimate reservoir for many EDCs as they enter rivers and the ocean via effluent discharges and accumulate in sediments. One EDC widely dispersed in municipal 33 wastewater effluent discharges is 17a-ethynylestradiol (EE2), an orally bio-active estrogen used 34 35 in almost all modern formulations of combined oral contraceptive pills and one of the most commonly prescribed medicines. As evidence of the health risks posed by EDCs mount, there is 36 37 an urgent need to improve diagnostic tools for monitoring the effects of pollutants. As the cost of 38 high throughput sequencing (HTS) diminishes, transcriptional profiling of an organism in response 39 to EDC perturbation presents a cost-effective way of screening a wide range of endocrine 40 responses. Coastal pelagic filter feeding fish species analyzed using HTS provide an excellent tool 41 for EDC risk assessment in the marine environment. Unfortunately, there are limited genome 42 sequence data and annotation for many of these species including Pacific sardine (Sardinops 43 sagax) and chub mackerel (Scomber japonicus), which limits the utility of molecular tools such as 44 HTS to study disruption of the endocrine system. In this study, we carried out RNA sequencing (RNAseq) of liver RNA harvested from wild sardine and mackerel exposed under laboratory 45 46 conditions to EE2 and developed an analytical framework for transcriptomic analyses of species 47 with limited genomic information. EE2 exposure altered expression patterns of key genes involved 48 in important metabolic and physiological processes. The systems approach presented here provides 49 a powerful tool for obtaining a comprehensive picture of endocrine disruption in aquatic organisms.

51 **1. INTRODUCTION**

52

53 The coast of Southern California is home to more than 20 million people. Servicing this 54 high density urban strip are a series of wastewater treatment plants (WWTPs), which discharge 55 more than a billion gallons of treated wastewater into the coastal marine environment on a daily 56 basis [1]. Unfortunately, many contaminants are not filtered at the WWTPs and end up in the 57 marine environment. These contaminants include a broad suite of compounds collectively referred 58 to as endocrine disruptors (EDs) in concentrations that have been shown to disrupt the endocrine 59 system. The derivative of estradiol 17α -ethynylestradiol (EE2) has been a primary component of 60 most modern formulations of combined oral contraceptive pills since the 1960s and qualifies as an 61 ED due to its ability to bind and activate estrogen receptors (ERs) [2]. EE2 is a bio-active estrogen that is minimally metabolized and subsequently released into the environment via the urine and 62 feces from individuals who take it as a medication. It has been detected in effluents from three 63 64 WWTPs in Southern California at concentrations ranging from 31 to 43 ng/L (100 - 150 pM) [3] 65 and is also detectable in surface waters in the USA (4 - 40 pM) [4] and in Europe (0.3 - 15 pM)66 [5, 6].

67 Active at very low concentration, EE2 has been shown to decrease reproductive potential by inhibiting gonad development in both male and female vertebrates, increasing ovarian follicle 68 69 atresia and inducing complete sex reversal at concentrations ranging from 0.1 to 100 ng/L (0.3 -70 340 pM) [7-11]. There is increasing global evidence that exposure to low levels of EDs can lead 71 to disruption of hormone-mediated responses in fish [12-15].

The Pacific sardine (Sardinops sagax) and Pacific chub mackerel (Scomber japonicus) are 72 73 coastal pelagic fishes that are major components of commercial fisheries as well as forage base for 74 marine mammals and fishes in California [16, 17]. Sardines (Sardinops spp.) are found in most 75 temperate upwelling systems of the world and have supported large commercial fisheries, though 76 their populations are known to historically go through boom and bust periods of abundance, likely 77 due to climatic oscillations and to a lesser degree by industrialized fishing in the past century [18-78 20]. Along the west coast of North America, Pacific sardines occur across gradients of temperature, 79 salinity, and anthropogenic pollution from southern Canada into the Gulf of California. As filter 80 feeders in a coastal environment, sardines can readily accumulate toxins from the environment and 81 food they eat [21]. Mackerels (Scomber spp.) are found in warm and temperate coastal areas 82 throughout the Atlantic, Pacific and Indian oceans. The Pacific chub mackerel is found throughout 83 the Pacific and largely overlaps in distribution with the Pacific sardine where both species school 84 together near the coast and interact with other schooling coastal pelagic species such as northern 85 anchovy (Engraulis mordax) and jack mackerel (Trachurus symmetricus) [22]. These species are widely consumed by humans, owing to their rich omega-3 fatty acids composition [23] imparting 86 87 both a distinct flavor profile as well as health benefits. Due to their low trophic diet, cosmopolitan 88 distribution, affinity for coastal habitats, and importance as forage, they serve as ideal sentinel 89 species to monitor the health of the coastal marine environment.

90 Management of coastal pelagic fish species requires a detailed understanding of the effects 91 of pollutants on the physiology of these species. This need motivated our study to characterize the 92 effect of environmentally relevant levels of EE2 on sardine and mackerel liver transcriptome, the 93 primary organ impacted by pollution and main site of detoxification [24]. Despite their commercial 94 and ecological importance, little is known about the sardine and mackerel genomes, a limiting 95 factor for the use of conventional genomics tools (e.g. microarrays and Q-RT PCR) to study

96 endocrine disruption in these species. However, recent advances in DNA sequencing technologies

have enabled rapid production of transcriptomic data, which has enabled characterization of the
 expression of specific genes in these species [25, 26]. Furthermore, these new technologies allow

99 for the development of biomarker fingerprints in sentinel species for different chemicals, such as100 EE2.

101 In this manuscript, we describe (1) a novel RNA sequencing (RNAseq) pipeline using 102 scaffold transcriptomes from related species (Supplemental Figure S1) to streamline the data 103 analysis of HTS for sardine and mackerel exposed to EE2, and (2) examine the effects of EE2 104 exposure on these two sentinel species by performing a systems level analysis of their hepatic 105 transcriptome and provide common biomarkers to use as possible end points to predict the 106 estrogen-like contamination in environmental monitoring providing the most relevant Adverse 107 Outcome Pathways (AOP). This knowledge can help improve tests such as the USA EPS Office of Prevention, Pesticides and Toxic Substances (OPPTS) Fish Acute Toxicity Test, Freshwater 108 109 and Marine and the Organization for Economic Co-operation and Development (OECD) Acute 110 Toxicity Test for Estuarine and Marine Organisms.

112 2. MATERIALS & METHODS

114 2.1. Sampling of sardines and mackerels from Southern California coastal locations

115 Sardine and mackerel were obtained from the live bait receiver operated by Everingham 116 Bros Inc. in Mission Bay, San Diego, California. Fish were allowed to acclimate to research tanks 117 at the NOAA Southwest Fisheries Science Center Experimental Aquarium facility under ambient 118 temperature (~17°C) flow through seawater conditions and fed a pelleted feed (Bio-Oregon) to 119 satiation. For exposure experiments (for both species), five males were exposed to 12.5 pM EE2 120 for five hours (environmentally relevant concentration in the range of surface water levels) and an 121 additional unexposed five males served as controls. Fish were euthanized with an overdose of 122 Tricaine Methanosulfonate (250mg/L) dissolved in seawater. Livers were harvested and flash-123 frozen in liquid nitrogen at -196°C. These procedures followed an approved institutional IACUC 124 protocol and all animals were treated humanely. RNA was extracted from liver samples using 125 TRIzol reagent (Invitrogen, CA) and further purified using the RNeasy Mini kit with DNAse to 126 remove DNA (Oiagen, Valencia, CA). RNA concentrations were determined at 260 nm using a 127 ND1000 (Nanodrop, Wilmington, DE). RNA was tested for structural integrity with the 6000 Nano 128 LabChip assay from Agilent, (Santa Clara, CA, USA). Only RNA samples with RIN scores > 7.0 129 were used for RNA-seq.

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131 2.2. High Throughput Sequencing (HTS)

132 Libraries for RNA sequencing (RNAseq) were generated using Illumina TruSeq[™] RNA 133 Sample Preparation Kit (Illumina, USA) in accordance with the manufacturer's recommendations 134 (Figure 1A). The library fragments were purified with AMPure XP system (Beckman Coulter, 135 USA) to select cDNA fragments of approximately 300 bp in length. DNA fragments with ligated adaptor molecules on both ends were selectively enriched using Illumina PCR Primer Cocktail in 136 137 a 10-cycle PCR reaction. Products were purified using AMPure XP system and quantified using 138 the Agilent high sensitivity DNA assay on the Agilent Bioanalyzer 2100 system. Clustering was 139 performed on a cBot Cluster Generation System using TruSeq PE Cluster Kit v3-cBot-HS 140 (Illumina, USA). After cluster generation, the libraries were sequenced on an Illumina GAIIx

sequencer to a depth of approximately 5 million reads per sample and 101-bp single-end reads were generated. Data was formatted to FASTQ format (with sequences and their associated Phred quality scores) using CASAVA v1.8 (Illumina). RNA sequencing data has been submitted to the Gene expression Omnibus with the accession number **GSE113780**.

145

146 2.3. Transcript Level Analysis and Mapping to Reference Transcriptomes

Low quality reads were filtered to exclude those most likely to represent sequencing errors.
Adaptor sequences were subsequently trimmed to generate clean full length reads. Only sequences
which had a Phred quality score of Q30 (which indicated 99.9% base call accuracy) were used for
downstream analysis.

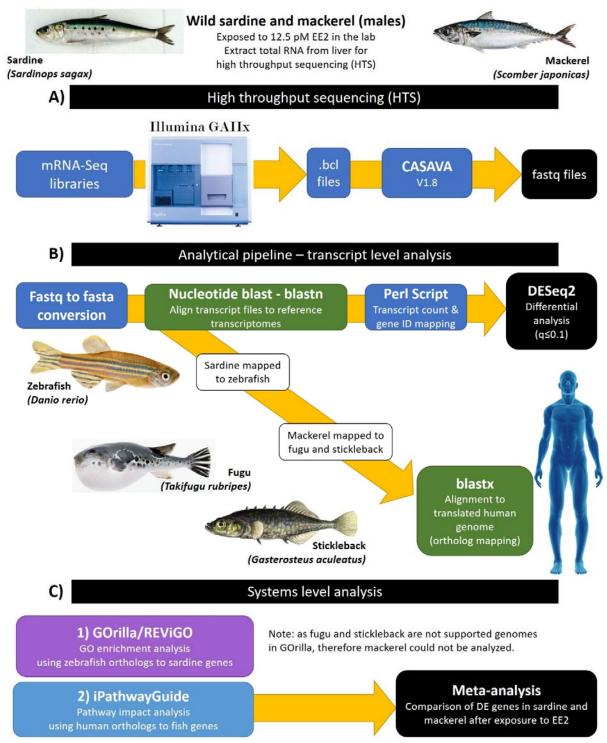
151 To analyze the mackerel and sardine RNAseq data, an analytical pipeline was developed 152 (Figure 1B) that starts with the conversion of fastq files to fasta format via a custom PERL script. 153 As comprehensive genomic sequence data for both sardine and mackerel are presently not 154 available, we exploited the phylogenetic similarity of sardine and zebrafish (Supplemental Figure 155 S1), and mapped sardine reads to the zebrafish (Danio rerio) Genome Reference Consortium 156 Zebrafish Build 10 (GRCz10) transcriptome using the Nucleotide-Nucleotide Basic Local 157 Alignment Search Tool (BLAST) nucleotide to nucleotide option (blastn) [27]. For mackerel, we mapped reads to two reference transcriptomes, fugu (Takifugu rubripes) FUGU 4.0 and 158 stickleback (Gasterosteus aculeatus) Gasterosteus aculeatus BROAD S1. Fastq files were 159 160 converted to fasta files and blastn alignment was carried out against the reference transcriptomes 161 of interest formatted as fasta files.

After determining the threshold alignment length (see below), the reads were assembled into transcript level expression summaries; the number of reads mapped to each gene or transcript, with alignment length greater than the threshold alignment length, were summed, yielding a count as a measure of transcript expression [28].

Each sample yielded a vector of counts or summed mapped reads, with length p equal to the number of transcripts present. Combining the results from n samples, the data were formatted as an $(n \times p)$ matrix of n transcripts and p samples. Log-log and square root plots were generated from the data using custom R scripts [29]. The base of the logarithm was arbitrarily selected as 2 as the choice facilitated convenient preliminary data QC (**Supplemental Figure S2**).

171 In parallel, human ortholog refseq IDs were appended to the fish sequence reads. This was 172 achieved by aligning the reference fish transcriptome with the human proteome using blastx. 173 Utilizing the NCBI gene2refseq function, each human protein ID was linked with its corresponding 174 gene ID, such that the $(n \times p)$ matrix of transcript counts, with *n* number of reference transcriptome 175 genes contained the corresponding human gene IDs.

176 In order to infer differential gene expression with robust statistical power, we utilized 177 DEseq2, a method which tests for differential expression based on a model using negative binomial 178 distribution [30]. Transcript count data from DESeq2 analysis was ranked according to adjusted 179 p-value (or q-value), the smallest false discovery rate (FDR) at which a transcript is called 180 significant. FDR was calculated using the Benjamini-Hochberg multiple testing adjustment 181 procedure and the cut-off was set at $q \le 0.1$.



182

Figure 1: Workflow followed in this study starting with A) high throughput sequencing (HTS), B)

184 transcript level analysis using a novel analytical pipeline, and C) systems level analysis of the GO

¹⁸⁵ *enrichment and pathway impact.*

186 2.4. Systems Level Analysis

187 Gene ontology (GO) enrichment analysis was performed on the sardine dataset mapped 188 onto zebrafish genome as previously described [31, 32]. Briefly, GO terms were obtained using 189 the online tool Gene Ontology enRIchment anaLysis and visuaLizAtion (GOrilla) (Figure 1C-1) 190 [33]. GO terms were summarized using REduce & VIsualize Gene Ontology (REViGO) that 191 combines redundant terms into a single, representative term based on a simple clustering algorithm 192 relying on semantic similarity measures [34]. As fugu and stickleback are not supported genomes 193 in GOrilla at this point in time, mackerel mapped onto these references could not be analyzed using 194 this tool.

Pathway impact analysis (**Figure 1C-2**) was performed using iPathwayGuide (Advaita Bioinformatics) [35], an approach that retrieves information from the Kyoto Encyclopedia of Genes and Genomes (KEGG) database for pathway analysis and diseases [36], from the Gene Ontology Consortium database for GO enrichment analysis [37], and from miRBase and TARGETSCAN databases for miRNA analysis [38, 39].

200 201 Area-proportional Venn diagrams were created using VENNY 2.1 [40].

202 **3. RESULTS**

203

204 3.1. Cross species transcriptome mapping

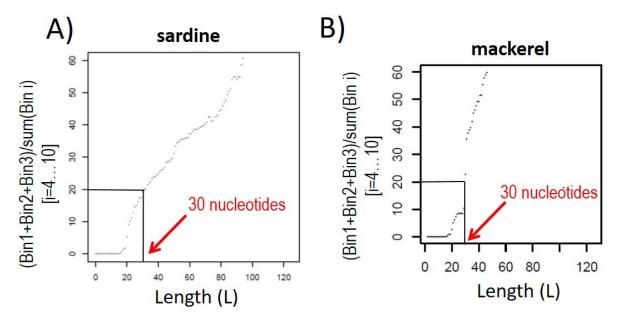
205 Due to the lack of reference genomes for sardine and mackerel, reads were mapped to the 206 transcriptomes of phylogenetically similar fish species: sardine to the zebrafish transcriptome and 207 mackerel to the fugu and stickleback transcriptomes (Supplemental Table S1). The mean of the 208 total reads obtained with the sardine and mackerel samples were 4 and 3.7 million reads 209 respectively. For sardine, about 1.4 million reads mapped to the zebrafish transcriptome, which 210 represents 34% cross species mapping efficiency. For mackerel, about 1.5 and 3.5 million reads 211 mapped to fugu and stickleback respectively, representing cross species mapping efficiencies of 212 40.5% for fugu and 94% for stickleback.

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214 3.2. Calculation of threshold alignment length to provide maximal alignment stringency

215 Sequence read lengths were 101bp. In order to determine the minimum alignment length 216 that provided the highest number of unique reads, we followed a read binning strategy so that a 217 given read was placed in bin 0 if there was no alignment with length $\geq L$. When there were n 218 alignments $\geq L$, the read was placed into bin *min* (*n*,10). Utilizing this binning approach, based on 219 alignment lengths a comma-separated values (csv) file was created with row numbers equal to L220 + 1 (L = threshold alignment length) and columns corresponding to bins 0 to 10. A series of QC 221 plots were generated with the alignment length plotted on the x-axis, and the individual and 222 fraction of combination of bins on the y-axis. From the plot of (bin1+bin2+bin3)/(bin4+..+bin10) 223 on the y-axis and an alignment length L on the x-axis, which was essentially the fraction of unique (U) to non-unique (1-U) versus alignment length (L), it was deduced for sardine and mackerel with 224 225 the U/(1-U) ratio of 20, that the optimal threshold alignment length was 30 nucleotides (nt), i.e. 226 95% of the aligned reads were unique with an alignment length of 30 nt (Figures 2A and 2B 227 respectively).

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- 229





230 231 Figure 2: Threshold alignment length (n=30) for sardine (A) and mackerel (B). Alignment lengths

232 plotted on the x-axis (labeled "L"), and the individual and fraction ratio of bin combinations on

233 the y-axis. For sardine and mackerel with the U/(1-U) ratio of 20, the optimal threshold alignment

234 length is 30 nucleotides, i.e. 95% of aligned reads are unique (see red arrows).

236 3.3. GO analysis of fish genes

Gene level analysis performed with DESeq2 revealed 4,779 and 2,211 DE hepatic mRNAs 237 238 in sardine and mackerel exposed to EE2 respectively. For systems level analysis, we first entered 239 the sardine/zebrafish DE gene list in GOrilla since annotations for zebrafish are available. GOrilla 240 GO enrichment analysis showed that translation, muscle contraction, cellular amide metabolism, 241 muscle system, organonitrogen compound metabolism and biosynthesis, multicellular organismal process, regulation of body fluid levels and blood coagulation were some of the most enriched BP 242 terms after exposure to EE2 in the liver of sardines (Figure 3, deep blue to light green bubbles, 243 244 and Supplemental Table S2).

245

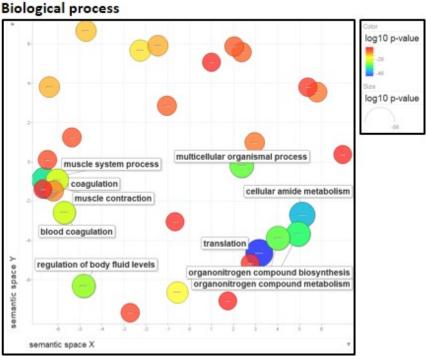


Figure 3: EE2 exposed sardine: GOrilla GO enrichment analysis - Biological process. The
REViGO scatterplots show cluster members in a 2-dimensional space obtained by applying multidimensional scaling to a matrix of the GO terms' semantic similarities (the axes have no intrinsic
meaning). Bubble color indicates the -log10 p-value (legend in upper right-hand corner, blue and
green bubbles are GO terms with greater significant p-values than the orange and red bubbles),
the size indicates the GO term frequency in the GO database (bubbles of more general terms are
bigger), and the proximity on the plot reflects the semantic similarity.

254

255 3.4. Pathway impact analysis (iPathwayGuide)

Since the zebrafish annotations are not as detailed as human annotations [32], we entered the human orthologs of the sardine/zebrafish and mackerel/fugu DE genes in iPathwayGuide for a content richer GO and pathway impact analysis. Impact analysis uses two types of evidence: i) the overrepresentation of differentially expressed (DE) genes in a given pathway and ii) the perturbation of that pathway computed by propagating the measured expression changes across the pathway topology. Volcano plots revealed a greater dynamic range in the sardine dataset as compared to mackerel (**Supplemental Figure S3**).

DE analysis in sardine exposed to EE2 identified 3,918 DE genes out of a total of 5,321 genes with measured expression (**Supplemental Figure S3A**). Significant differences between the EE2 exposed and control sardines in metabolic, steroid biosynthesis and cancer pathways emerged (**Supplemental Table S3 – Biological Pathways**) as well as enrichment in several signaling pathways such as Fc epsilon RI, cAMP, oxytocin and VEGF. Additionally, complement & coagulation cascades and focal adhesion biological pathways were also significantly perturbed.

269 The GO analysis revealed that multicellular organismal (Supplemental Table S3 -270 Biological Process), muscle, steroid, metabolic (includes alcohol and cholesterol metabolic 271 processes) related biological processes were highly enriched after exposure to EE2. Response to 272 chemical and drug, negative regulation of peptidase and endopeptidase activity as well as positive regulation of immune system process were also highly represented BP terms in this analysis. Other 273 274 general BP GO terms were also listed including regulation of biological quality, ion transport, 275 developmental process and system process. Together these results suggest that exposure to EE2 in 276 sardine had a strong impact on transcripts related to metabolism, steroid biosynthesis, several 277 enzymes and signaling pathways as well as the immune system, response to drug/chemical, and 278 cancer pathways.

Exposure to EE2 in mackerel perturbed 2,024 DE genes out of 5,300 genes with measured
 expression (Supplemental Figure S3B). The most enriched biological pathways in EE2 exposed
 mackerel are fat digestion & absorption, metabolic, steroid biosynthesis and cancer pathways
 (Supplemental Table S4 – Biological Pathways) as well as enrichment in several signaling
 pathways such as PI3K-Akt and Rap1. ECM-receptor interaction, necroptosis, EGFR tyrosine
 kinase inhibitor resistance and proteasome pathways were also highly significant in this analysis.

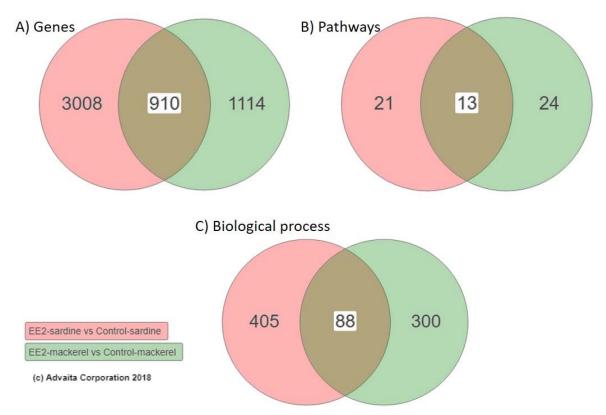
Several BP terms related to metabolic (**Supplemental Table S4 – Biological Process**) and steroid biosynthetic processes were highly enriched in the GO analysis. More specifically, enriched metabolic processes can be sub-divided into alcohol, cholesterol and lipid related processes. Together, these results suggest that exposure to EE2 in mackerel disrupts digestion, steroid biosynthesis, several metabolic processes and signaling pathways, and enhances cancer pathways.

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294 3.5. Meta-analysis (iPathwayGuide)

In order to compare the effects of EE2 exposure on the hepatic transcriptomes of sardine and mackerel we carried out a meta-analysis. This revealed that 910 DE genes (Figure 4A), 13 enriched pathways (Figure 4B and Supplemental Table S5) and 88 BP (Figure 4C) are shared by sardine and mackerel. Additionally, this analysis highlighted that each species has a unique signature after EE2 exposure; 3,008 DE genes, 21 pathways and 405 BP are unique to sardines (pink in panels A, B, C) while 1,114 DE genes, 24 pathways and 300 BP are unique to mackerel (green in panels A, B, C).

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304 Figure 4: Venn diagrams from iPathwayGuide. A) Intersection between liver DE genes expressed in sardine and mackerel in response to EE2 exposure; 910 DE genes are commonly expressed in 305 both species while 3,008 and 1,114 DE genes are unique to sardine and mackerel respectively. **B**) 306 307 Intersection between enriched pathways in sardine and mackerel; 13 pathways are common to 308 both species while 21 and 24 pathways are unique to sardine and mackerel respectively. C) 309 Intersection between enriched Biological Process (BP) in sardine and mackerel; 88 BP are 310 commonly expressed in both species while 405 and 300 BP are unique to sardine and mackerel 311 respectively.

313 4. DISCUSSION

314

315 4.1. Development of a novel analytical pipeline for sardine and mackerel

316 Despite the commercial and ecological importance of sardine and mackerel, very little 317 genomic information is available at the present time. The first goal of this study was to develop a 318 novel analytical pipeline to facilitate 1) mapping reads to reference transcriptomes using blastn 319 when no reference transcriptome is available for a given species, 2) calculation of threshold 320 alignment length to provide maximal alignment stringency, 3) assembly of reads with transcript-321 level counts or expression summaries, 4) sequence quality control, 5) annotation of sequence reads 322 with human GO identifiers, 6) data normalization (to adjust the read counts for variance in 323 sequencing depths between different samples), differential expression analysis and FDR 324 estimation using DEseq2, 7) generation of significant DE transcript lists, and 8) GO and pathway 325 impact analysis.

326 Based on fish phylogenetic relationships and available reference transcriptomes, we 327 aligned sardine fasta files to the zebrafish transcriptome using blastn, which provided a cross 328 species mapping efficiency of 34%. In the case of mackerel, the fasta files were aligned to both 329 the fugu and stickleback transcriptomes (note that a draft genome sequence has been released for stickleback which is a closer evolutionary relative of mackerel than fugu). The number of mapped 330 sequence tags was considerably higher when stickleback was chosen as the reference (94% cross 331 332 species mapping efficiency) compared with fugu (40.5%). However, fugu was used as the 333 reference (for all downstream analyses) as this genome is currently better annotated than 334 stickleback [41, 42]. Therefore, zebrafish and fugu transcriptomes served as scaffolds for mapping 335 purposes in our study.

Analysis of the BLAST data output revealed multiple hits for each single query read of varying alignment length to the cognate transcripts. As sequences were being compared across fish species, full length alignment was not expected and consequently many of the small alignment length hits were not unique or meaningful. It was therefore necessary to parse out smaller sequences and determine the optimal alignment length. This was calculated for both fish species so that the only transcript retained was the one whose alignment length was the maximum and greater than the threshold alignment length for each read.

343

344 4.2. Systems level analysis of transcriptomic changes using zebrafish annotations

345 Utilizing the online tools GOrilla and REViGO, we performed GO enrichment analysis 346 using sardine mapped to zebrafish genes for the sardine dataset. This analysis showed that 347 translation (including redundant GO terms peptide and amide biosynthetic process), muscle system 348 & contraction, and cellular amide metabolism were some of the most enriched BP terms after 349 exposure to EE2 in the liver of sardines, followed by organonitrogen compound metabolism and 350 biosynthesis, regulation of body fluid levels and blood coagulation (fibrin clot formation/fibrinolysis, negative regulation of wound healing, hemostasis and blood coagulation). 351 352 The enriched BP terms include genes that surprisingly mapped to GO BP terms related to muscle system and contraction. This may reflect physiological differences between fish and mammals. 353 354 The histology of the fish liver for example differs from its mammalian counterpart in that there is 355 a far less tendency of the hepatocytes to form distinct cords or lobules, and the typical portal triads 356 are not obvious [43]. Many of these muscle system & contraction mRNAs are associated with disease signatures (e.g. mouse phenotype database MP: 0003141; cardiac fibrosis and MP: 357

358 0005608; cardiac interstitial fibrosis). EE2 exposure has been linked with hepatotoxicity and 359 fibrosis [44] so it is conceivable that these mRNA signatures of fibrosis in other tissues are being 360 detected in this analysis. The liver plays a central role in the clotting process, and acute and chronic 361 liver diseases have been linked with coagulation disorders [45]. Our GO analysis highlighted that 362 EE2 exposure impacted "blood coagulation" as mentioned above, reinforcing that the liver plays 363 a role in the production of clotting factors as well as red blood cell production [46, 47].

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382

365 4.3. Systems level analysis of transcriptomic changes using human orthologs

366 Another challenge is the fact that zebrafish and fugu have limited gene annotations when compared to human, further limiting the resolution of the analysis [32]. To remedy this short-367 368 coming, human refseq IDs and GO terms were appended to the fish sequence reads by aligning the reference fish transcriptomes with the human proteome using blastx. As 69% of zebrafish genes 369 370 have at least one human ortholog [48], this approach allows a more sensitive comparative 371 genomics based analysis of transcriptomic changes in these sentinel species. Utilizing the NCBI 372 gene2refseq function, each human protein ID was linked with its corresponding gene ID. However, 373 fish and mammalian species exhibit considerable diversity, each possessing unique biological 374 characteristics. By "humanizing" the fish dataset, species specific genes are lost, *i.e.* vitellogenin, 375 and artefactual data may result if the orthologs are not carefully mapped. However, in the absence 376 of annotated genomes and GO identifiers for the majority fish species, this approach represents a 377 valid analytical alternative that provides functional characterization of the fish transcriptome. 378 Additionally, the BLAST program has an internal p-value threshold, ensuring that the homology 379 between the human and reference fish proteome reported is deemed significant. Furthermore, 380 information obtained about impacted human pathways also provide insights on the potential effects 381 to human health of a particular contaminant.

383 4.3.1. Effects of EE2 on sardine

384 The most obvious result from our study is that exposure to 12.5 pM EE2 greatly affected 385 sardine transcripts related to metabolism, including carbon metabolism (KEGG 01200, Figures 386 S4-S5), glycolysis and gluconeogenesis (KEGG 00010, Figures S6-S7). In particular, the main 387 metabolic perturbation we observed was on the biosynthesis of steroid hormone (KEGG 00140, Figures S8-S9). This is consistent with previous studies conducted in turbot [49] and zebrafish 388 389 [50], and this is the first report to our knowledge of this hormonal disruption induced by EE2 in 390 sardines. Given that steroid hormones are derived from cholesterol and that our GO analysis 391 highlighted that cholesterol biosynthetic and metabolic processes were significantly impacted by 392 exposure to EE2, it is consistent with our previous observation. In rat, EE2 has been shown to 393 decrease testosterone production via a reduction of the cAMP pathway during steroidogenesis [51]. 394 This is interesting as the cAMP signaling pathway (KEGG 04024, Figures S10-S11) was one of 395 the most enriched Biological Process in our GO analysis. The cAMP pathway regulates pivotal 396 physiologic processes including metabolism, secretion, calcium homeostasis, muscle contraction, 397 cell fate and gene transcription [35]. cAMP is one of the most common and universal second 398 messengers and its formation is promoted by adenylyl cyclase (AC) activation by ligands including 399 hormones. Estrogenic hormones have been shown to have a non-genomic action that activates 400 second-messenger signaling systems such as cAMP, explaining how steroid hormones can alter 401 the expression of genes [52]. To our knowledge, no studies conducted in fish have examined the 402 impact of EE2 on the cAMP signaling pathway.

Several other signaling pathways were enriched upon EE2 exposure, including VEGF,
FccRI, and oxytocin signaling pathways. The VEGF signaling pathway (KEGG 04370, Figures
S12-S13) is a crucial signal transducer in both physiologic and pathologic angiogenesis [35]. Its
activation leads to proliferation and migration of endothelial cells. Recent studies in human and
primate have shown that estrogen regulates VEGF expression [53, 54], however the effects of EE2
on VEGF signaling pathway in fish have not been well characterized to date.

409 Activation of the FceRI signaling pathway (KEGG 04664, Figures S14-S15) in mast cells 410 induces the release of preformed granules containing histamines, proteoglycans (PGs), prostaglandins and cytokines that contribute to inflammatory responses [35]. Interestingly, many 411 412 PGs have been shown to be key macromolecules that contribute to the development of various 413 types of cancer including proliferation, adhesion, angiogenesis and metastasis [55]. Our analysis 414 revealed that the pathway "proteoglycans in cancer" was highly enriched in EE2-treated sardines 415 (KEGG 05205, Figures S16-S17), suggesting that exposure to EE2 can disrupt many cancer-416 associated pathways, such as FccRI and proteoglycan pathways. Minimal research has been carried out on the effects of EE2 on the FccRI signaling pathway and PGs, highlighting the need for further 417 418 examination of this subject.

419 Additionally, the FccRI signaling pathway plays a role in innate immunity as mast cells 420 have been shown to play a critical role in defense against bacterial, viral and fungal pathogens [56]. 421 Mast cells are activated during innate immune responses by multiple mechanisms, including well-422 established responses to complement components. We showed here that the "complement & 423 coagulation cascades" pathway (KEGG 04610, Figures S18-S19) was highly perturbed by EE2 424 (consistent with our previous GO analysis with GOrilla using zebrafish annotations), suggesting 425 that EE2 disrupted the innate immunity of exposed sardines. The effect of combined oral 426 contraceptive pills containing EE2 on coagulation parameters has been shown in human [57] but 427 more investigation is needed to understand the effect of EE2 on sardine's innate immunity.

428

429 4.3.2. Effects of EE2 on mackerel

430 In mackerel, the most enriched biological pathway upon EE2 exposure was "fat digestion 431 & absorption" (KEGG 04975, Figures S20-S21). Given that fat is an important energy source 432 from food, this emphasizes that EE2 even at low concentration can severely impair major basic 433 pathways. The second most disturbed pathway in EE2-treated mackerel was "chemical 434 carcinogenesis" (KEGG 05204, Figures S22-S23). In fact, exposure to environmental chemical 435 carcinogens may account for the majority of human cancers [35] by interacting with a variety of genotoxic and non-genotoxic mechanisms. Genotoxic mechanisms can generate DNA adducts 436 437 (segments of DNA bound to a cancer-causing chemical) either by direct impact on the DNA or 438 indirectly by affecting metabolism. Non-genotoxic carcinogens induce inflammation, 439 immunosuppression, formation of reactive oxygen species, activation of receptors and epigenetic 440 silencing [35]. Our data suggest that EE2 acts as a carcinogen in mackerel potentially by altering 441 signal-transduction pathways resulting in hypermutability, genomic instability, loss of 442 proliferation control and resistance to apoptosis. This is consistent with previous studies conducted 443 in rats [58], in hamsters [59] and zebrafish [60].

444 Moreover, EE2 also perturbed "central carbon metabolism in cancer", another pathway 445 that is central to malignant transformation of cells (KEGG 05230, **Figures S24-S25**); as Otto 446 Warburg discovered, a major shift in cellular metabolism is required in cancer cells in order to 447 support growth and survival. This shift results in the consumption of large amounts of glucose, 448 maintaining high rates of glycolysis and converting glucose into lactic acid even under normal449 oxygen concentrations [61, 62].

450 Several signaling pathways were highly enriched upon EE2 treatment in mackerel, 451 including PI3K-Akt and Rap1 signaling pathways. The PI3K-Akt signaling pathway (KEGG 452 04151, Figures S26-S27) is activated by many types of cellular stimuli or toxic insults and 453 regulates fundamental cellular functions such as transcription, translation, proliferation, growth, 454 and survival [35]. PI3K catalyzes the production of phosphatidylinositol-3,4,5-triphosphate (PIP3) 455 at the cell membrane, a second messenger that helps to activate Akt. Once active, Akt can control 456 key cellular processes by phosphorylating substrates involved in apoptosis, protein synthesis, 457 metabolism, and cell cycle. Our data suggest that exposure to EE2 affects fundamental processes 458 by regulating second messenger in mackerel, consistent with our previous conclusion that EE2 459 regulates cAMP and translation in sardines. Interestingly, estrogens have been shown to induce 460 VEGF-A expression in rat pituitary tumor cells through PI3K-Akt dependent signaling pathway, 461 independently of activation of estrogen receptors [63]. However, the effects of EE2 on the 462 mackerel's PI3K-Akt pathway remain undefined.

463 The Rap1 signaling pathway (KEGG 04015, Figures S28-S29) controls diverse processes 464 such as cell adhesion, cell-cell junction formation and cell polarity [35]. Rap1 plays a dominant 465 role in the control of cell-cell and cell-matrix interactions by regulating the function of integrins 466 and other adhesion molecules in various cell types. Our analysis also revealed that the "ECM-467 receptor interaction" biological pathway was perturbed by EE2 exposure in mackerels (KEGG 468 04512, Figures S30-S31). The ECM serves an important role in the maintenance of cell and tissue 469 structure and function. Specific interactions between cells and the ECM are mediated by 470 transmembrane molecules, mainly integrins and also proteoglycans, CD36, or other cell-surface-471 associated components [35]. These interactions lead to a direct or indirect control of cellular 472 activities such as adhesion, migration, differentiation, proliferation, and apoptosis. Together, this 473 suggests that EE2 disrupted cell-cell and cell-matrix mechanisms by perturbing Rap1 signaling 474 and ECM-receptor interaction, in agreement with previous in vitro studies [64]. In vivo data also 475 suggest that estrogens can exert direct regulatory effects on endothelial cells by increasing surface 476 expression of integrins and enhancing integrin-mediated signaling [65]. Since integrins have an 477 important role in mediating endothelial cell attachment, migration and differentiation, the increase 478 in integrin expression and function induced by estrogens may promote neovascularization and 479 vessel repair. Moreover, our data show that EE2 diminished the expression of three collagen genes 480 (COL1A1, COL1A2 and COL6A3). This is consistent with Hansen et al. [66] who concluded that 481 administration of EE2 in women suppressed synthesis of collagen in tendon in response to exercise. 482 Our data also show an increase in expression of *ITGB1* in response to EE2. Castillo-Briceno et al. 483 [67] have previously demonstrated that EE2 induces liver and kidney toxicity and injuries in fish 484 via upregulation of ECM-related molecules, including ITGB1.

485 Our analysis also revealed that the "necroptosis" biological pathway was perturbed in EE2 486 exposed mackerel (KEGG 04217, Figures S32-S33). Necroptosis is a programmed form of 487 necrosis. It can be initiated by different stimuli, such as tumor necrosis factor (TNF), TNF-related 488 apoptosis-inducing ligand (TRAIL), Fas ligand (FasL), interferon (IFN), LPS, viral DNA or RNA, DNA-damage agent and requires the kinase activity of receptor-interacting protein 1 (RIPK1) and 489 490 RIPK3 [35]. Its execution involves ROS generation, calcium overload, the opening of the 491 mitochondrial permeability transition pore, mitochondrial fission, inflammatory response and 492 chromatinolysis. Necroptosis participates in pathogenesis of many diseases, including 493 neurological diseases, retinal disorders, acute kidney injury, inflammatory diseases and microbial 494 infections. This cell death process is evolutionarily conserved and a *caspase-3* gene has been 495 identified in zebrafish [68] and sea bass [69], retaining the motifs that are functionally important, 496 such as the active site and the cleavage site at the aspartic residue [70]. The examination of the 497 effects of EE2 exposure on necroptosis in fish is in its infancy and further investigation is needed 498 to fully understand its impact in mackerel.

499 Exposure to EE2 perturbed the "biosynthesis of amino acids" pathway as well (KEGG 500 01230, Figures S34-S35). This KEGG module is the most conserved one in the KEGG MODULE 501 database and is found in almost all completely sequenced genomes [35]. Although human 502 nutritional requirements are well-characterized, little is known of the specific nutrient 503 (protein/amino acid, lipid, carbohydrate, mineral and vitamin) requirements of cultivated fish 504 species; even in zebrafish, a commonly used model, this information is almost entirely lacking [71]. Requirements for amino acids should be delineated in feeding studies with different strains 505 506 and life stages before the effect of a given contaminant can fully be assessed on this pathway. 507 Nevertheless, our data are consistent with a previous study reporting that the most sensitive 508 biological process to EE2 exposure was amino acid glycosylation [72]; in fact, the authors argued 509 that the genes relating to amino acid glycosylation may be a more sensitive set of biomarkers than 510 the current single standard biomarker of vitellogenin.

511

512 4.4. Meta-analysis (Common pathways and biomarkers in sardine and mackerel and 513 extrapolation to humans).

514 We exploited iPathwayGuide to perform a meta-analysis of the effects of EE2 on the 515 hepatic transcriptome of the sardine and mackerel. Meta-analysis revealed that several DE genes, biological pathways and BP are commonly affected in both species whereas others are unique to 516 sardine or mackerel. "Carbon metabolism (Figure S36), steroid hormone biosynthesis (Figure 517 518 S37), glycolysis & gluconeogenesis (Figure S38), Rap1 signaling pathway (Figure S39) and chemical carcinogenesis" (Figure S40) are amongst the 13 perturbed biological pathways shared 519 520 in both species and discussed in the section above. "Glyoxylate & dicarboxylate metabolism, drug 521 metabolism (other enzymes), retinol metabolism, metabolic pathways, arginine & proline 522 metabolism, steroid biosynthesis, pathways in cancer and pentose phosphate pathway" are also 523 commonly disrupted pathways in both species.

524 Taken together, the meta-analysis reveals that exposure to 12.5 pM EE2 has a significant 525 impact on basic biological responses in both fish species, impacting essential metabolic processes 526 and biological pathways. These results highlight that EE2 deregulates signaling pathways that are 527 involved in the development and progression of cancer as well as the endocrine and immune 528 systems. The literature on estrogen-induced carcinogenesis (and contaminants with estrogenic 529 properties) is substantial [73-75] yet the exact mechanisms and pathways involved are still under 530 investigation. One established mechanism involves direct genomic action by activation of 531 estrogen-receptor signal transduction pathways associated with increased cell proliferation and 532 inhibition of apoptosis [76-78] via perturbation of genes controlling cell cycle [76] and other 533 tumor-promoting factors such as VEGF [53, 54, 79]. Our findings are consistent with these studies; 534 in sardine, the VEGF signaling pathway was significantly perturbed after EE2 exposure and 4 535 Biological Process GO terms related to cell cycle were enriched, including "regulation of G1/S 536 transition of mitotic cell cycle and positive regulation of cell cycle G2/M phase transition" 537 (Supplemental Figure S41).

538 Estrogens and estrogenic contaminants can also interfere with cell cycle regulation by 539 upregulating mitogen-activated protein kinases and secondary messengers, such as cAMP, via 540 non-genomic action [77, 78, 80]. Accordingly, we determined that the "cAMP and PI3K-Akt 541 signaling pathways" were significantly impacted in EE2-treated sardines and mackerels 542 respectively. Estrogen metabolites have been shown to have genotoxic (formation of DNA adducts 543 and oxidative DNA damage), mutagenic, transforming, and carcinogenic effects [81, 82]. This is 544 consistent with the enrichment of the "chemical carcinogenesis" pathway in mackerels exposed to 545 EE2 in our study.

546 Much effort has been expended in search of alternate model for estrogen-related human 547 cancer research. Lam et al. have demonstrated that molecular conservation of estrogen-548 responsiveness between zebrafish and human cancer cell lines makes the zebrafish a great model 549 and established common mechanisms for estrogen-induced carcinogenesis [83]; from an 550 evolutionary perspective, their findings suggest that estrogen regulation of cell cycle is perhaps 551 one of the earliest forms of steroidal-receptor controlled cellular processes. Upon further 552 characterization of the sardine and mackerel transcriptomes, these two species have the potential 553 for future use as additional cancer research models.

554 In summary the rationale for exploring liver tissue in this study stemmed from the fact that 555 the liver is the primary organ for metabolism and detoxification. The motivation for carrying out 556 EE2 exposure experiments was to facilitate extrapolation of toxicological insights from fish 557 species to human and provide insights into ocean and human health. Our work has uncovered 558 common biomarkers of low level EE2 exposure which provides a basis for improved risk 559 assessment practices based on 'Big Omics Data'. Strategies have been developed by others to 560 derive AOPs (for example, domoic acid exposure) [84]. Perkins and co-workers employed a reverse engineering strategy based on network inference to uncover key nodes (i.e., genes, proteins, 561 metabolites) impacted by chemical perturbation [85, 86]. Kramer and colleagues successfully 562 563 demonstrated that models can predict potential population impact, once the data are transformed into the prediction of an adverse outcome of demographic significance (e.g., reproduction) at the 564 565 organism level [87]. Celander et al. developed a comparative genomics approach with CYP19 566 aromatase, and predicted potential aromatase toxicity of new compounds across a multiplicity of 567 species [88]. Systematic organization of Big Data Science into AOP frameworks likely can 568 improve regulatory decision-making through greater integration and more meaningful exploitation 569 of mechanistic data. However, in order to develop a useful knowledgebase that encompasses 570 toxicological contexts of concern to human health risk assessment, novel approaches such as the 571 RNAseq pipeline we described must be developed in accordance with AOP core principles. 572

573 **5. CONCLUSION**

574 The primary goal of this study was to develop a novel analytical pipeline to elucidate the 575 effects of environmental levels of EE2 on the hepatic transcriptome in sardine and mackerel, two 576 species that do not have a well-characterized genome. Using scaffold transcriptomes from related 577 species (zebrafish for sardine, and fugu for mackerel), we were able to streamline the HTS data 578 analysis and examine the effects of low dose EE2 exposure on these species by performing a 579 systems level analysis of their hepatic transcriptome. We determined that even at low doses, EE2 580 disrupted basic biological processes and pathways, leading to a signature of metabolic, hormonal 581 and immune dysfunction, as well as carcinogenesis in exposed fish. This work uncovered common dysregulated genes and biological pathways in both species providing biomarkers for EE2 582

583 exposure in the marine environment, and facilitating monitoring of ocean environmental health.

As many of these processes and pathways are well conserved from an evolutionary perspective,

and as our analytical pipeline projected the fish genes onto their human orthologs we can infer the impact that EE2 exposure could have on human-health. Our results call for further examination of

the effects of estrogenic contaminants on fish and human health at concentrations that represent

- 588 relevant levels of environmental exposure.
- 589
- 590

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