

Targeting proteases in cystic fibrosis lung disease: paradigms, progress, and potential

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1	Targeting proteases in cystic fibrosis lung disease: paradigms, progress and		
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38 Abstract

Cystic fibrosis (CF) is the most common life-limiting hereditary condition of Caucasian 39 populations and is characterised by chronic airways inflammation driving progressive 40 structural lung damage. Despite tremendous advances in the treatment of CF and concomitant 41 42 increased life expectancy for patients, chronic lung disease remains the main cause of morbidity and mortality among CF patients. While universal restoration of cystic fibrosis 43 44 transmembrane conductance regulator activity remains a future hope, novel therapies aimed at reducing or preventing chronic airways inflammation and progressive structural lung damage 45 46 are required.

It is well-established that proteolytic enzymes are important in the CF lung beyond the basic 47 turnover of proteins and intracellular degradation of pathogens. When secreted, these enzymes 48 49 play key roles in extracellular substrate modification implicated in important biological 50 processes such as matrix and airway remodelling, goblet cell metaplasia and mucus hypersecretion, immune cell recruitment and dysregulation of epithelial ion channels. 51 52 Importantly, the burden of proteases in the CF lung is significantly elevated, overwhelming the endogenous antiprotease shield. Indeed, free protease activity has emerged as a major risk 53 factor of the onset and progression of bronchiectasis and lung function decline in patients with 54 CF. Recent research has highlighted the importance of new players such as cathepsin S and 55 56 matrix metalloprotease-12, as well as the membrane-associated activity of key proteases such 57 as neutrophil elastase on the surface of neutrophils.

Here, we review the current knowledge and emerging concepts of the role of host proteases inthe pathogenesis of CF lung disease and their potential as therapeutic targets.

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62 Introduction

Cystic fibrosis (CF) is an autosomal recessive genetic condition, predominantly of Caucasian 63 64 populations, which impacts multiple organ systems. However, it is the chronic progressive lung disease of CF that causes the greatest morbidity and mortality. The disease is caused by 65 mutations of the cystic fibrosis transmembrane conductance regulator (CFTR) gene. 66 Consequently, the function of epithelial CFTR anion (chloride and bicarbonate) channels is 67 68 compromised, leading to impaired anion and fluid secretion and airway surface dehydration, which in turn results in highly viscous airway mucus and impaired mucociliary clearance, 69 70 setting the stage for mucus plugging, chronic inflammation and polymicrobial infection (1). Such a state causes progressive and irreversible damage of the airways and lung parenchyma, 71 as recruited immune cells (predominantly neutrophils) release proteases, DNA and reactive 72 73 oxygen species, and promote further immune cell recruitment by cytokine signalling.

74 The introduction of CFTR modulators (potentiators, correctors and amplifiers) in recent years has transformed the treatment of CF. Phase two trials of triple combination therapy suggest 75 76 that a CFTR modulator therapy approach may be effective in up to 90% of CF patients (2, 3). While the emerging therapies show immense promise, there are still CF patients whose specific 77 78 genotypes may not be amenable to these therapies. Furthermore, CFTR modulation alone may be insufficient to allow complete and lasting clearance of chronic airways infection and 79 resolution of pulmonary inflammation, especially in the context of chronic CF lung disease 80 81 with established structural lung damage (4). Importantly, it is unknown whether, or to what 82 extent, these CFTR-directed therapies decrease protease activity. Until such a decrease has been demonstrated, novel antiprotease strategies are still highly relevant to limit tissue damage 83 84 in CF lung disease.

The protease-antiprotease hypothesis is a simple paradigm that attempts to explain certain disease states as a product of an imbalance of proteases and cognate antiproteases, resulting in

87 elevated protease activity and damaging consequences for lung homeostasis (5). It is now well established that proteases play a significant role in the pathobiology of the CF lung (6), whether 88 they are derived from immune cells or indeed the cells of the lung itself. The perception of 89 90 these enzymes' roles has moved far beyond the terminal degradation of proteins; it is now recognised that proteases are key signalling molecules and that specific substrate cleavage can 91 have myriad effects (7), beneficial or detrimental in the CF lung (Figure 1). The use of protease 92 93 inhibitor therapy may offer an alternative option in mitigating the disease state to regain homeostasis, which may go hand-in-hand with pharmacological rescue of mutant CFTR by 94 95 emerging modulator therapies.

So far, the serine protease class has drawn the most attention in CF, in particular neutrophil 96 elastase (NE), with its free extracellular form previously thought to be the major player in CF 97 98 lung disease pathogenesis. Indeed, free NE in sputum has long been known to correlate with 99 FEV₁ in children with CF (8) and elevated NE activity in bronchoalveolar lavage fluid at 3 months of age was found to be associated with persistent bronchiectasis by the Australian 100 101 Respiratory Early Surveillance Team for Cystic Fibrosis (AREST CF) (9). However, several novel concepts are unfolding in pulmonary protease biology, which have led investigators to 102 103 broaden their view beyond NE. These concepts include the redundancy of function between proteases (Table 1), the trans-class activation of proteases, the discovery of highly active 104 105 membrane-bound proteases and the emergence of new key players from the cysteine and matrix 106 metalloprotease (MMP) classes. This review will summarise the current knowledge of host protease function in CF lung disease and how this may inform therapeutic intervention. The 107 role of bacterial proteases in CF lies beyond the scope of this review but we direct readers to 108 109 the following recent references (10, 11).

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112 The actions of serine proteases in CF

The neutrophilic nature of CF airways inflammation gives intuitive significance to the group 113 114 of proteases known collectively as the neutrophil serine proteases (NSPs). Its members are NE, proteinase-3 (PR-3), cathepsin G (CTSG) and the more recently discovered, lesser studied, 115 NSP-4. The NSPs may be activated by the cysteine protease cathepsin C (CTSC) (12) and are 116 involved in the intracellular degradation of neutrophil-phagocytosed microbes, a particularly 117 important process in the chronically infected CF lung. The NSPs are harboured in primary 118 neutrophil granules and their exocytosis is increased in CF, even during early CF disease prior 119 120 to the onset of bronchiectasis (13). Extracellular NSPs have been shown to actively mediate the recruitment of immune cells to the site of inflammation by processing an array of cytokines 121 including members of the IL-1 family (14), upregulating neutrophil chemoattractants such as 122 123 IL-8 (15) and triggering the release of damage associated molecular patterns (DAMPs) such as High Mobility Group Box 1 (HMGB1), which can act as a biomarker for CF lung disease 124 severity (16, 17). This modulation of neutrophil chemotaxis leads to enhanced inflammatory 125 cell infiltration, continuing the vicious cycle of CF inflammation. Furthermore, highly 126 chemotactic, truncated forms of various chemokines including IL-8 can be produced by NSP-127 mediated cleavage (18). 128

Neutrophil extracellular traps (NETs), the complex matrix of secreted DNA, proteases and 129 other cellular contents released by neutrophils in CF airways (19) are important reservoirs of 130 131 NSPs in CF. It has been demonstrated that this DNA-based matrix effectively maintains protease activity by preventing interactions with cognate endogenous or administered 132 antiproteases (20). While intended as a protective mechanism, NSP activity can adversely 133 134 affect the body's innate response mechanisms to infection including antimicrobial peptides (AMPs) and surfactant proteins. A number of proteases including NE can cleave AMPs such 135 as lactoferrin and midkine (21, 22) and degrade surfactant proteins (23) thereby compromising 136

137 the host response and/or susceptibility to infection. NE also cleaves extracellular haemcontaining proteins such as ferritin, liberating sequestered iron into the airway. Not only does 138 this increase oxidative stress in the airway epithelium but it also promotes bacterial 139 proliferation and biofilm formation as iron is made accessible for microbial nutrition (24, 25). 140 NSPs may also play an important role as regulators of other proteases, particularly the MMPs. 141 This role is especially relevant when considering the tissue-destructive nature of the proteases; 142 143 NSP-activated MMP-9 and MMP-12, as well as the NSPs themselves, contribute directly to extracellular matrix (ECM) remodelling and bronchiectasis that is characteristic in CF (9, 26, 144 145 27). The protease-mediated loss of elastin limits elastic recoil, while the loss of collagen creates a structural deficit, leading to the emphysematous phenotype that can occur in adolescent and 146 adult CF patients (28). The body's endogenous protection against aberrant NSP activity is also 147 148 compromised, as NE inactivates tissue-protective antiproteases (some of which also possess 149 antimicrobial properties) such as secretory leukocyte protease inhibitor (SLPI) (29).

The inability of such endogenous antiproteases, even when intact, to perform their inhibitory 150 function has also been highlighted in recent years. This may be due, in part, to membrane-151 association of NSPs such as NE and CTSG (30). More recently, novel Förster resonance energy 152 transfer (FRET)-based probes were used to analyse membrane-bound activity of proteases such 153 as NE on the surface of neutrophils (31). In this surface-bound form, proteases are less 154 accessible for their prospective inhibitors, which are unable to access the enzyme's active site. 155 156 Indeed, surface-bound NE has been found to correlate with severity of lung disease and various inflammatory markers in CF (32). 157

As well as influencing both inflammatory cell recruitment and tissue destruction, NE contributes to increased mucus production in the CF lung by upregulating mucin expression and inducing goblet cell metaplasia, a process thought to be mediated through tumour necrosis factor- α converting enzyme (33, 34). In addition, NE induces secretion of mucins from airway

162 epithelial cells, augmenting mucus plugging in the CF lung (35). NE has also been shown to decrease the frequency of ciliary beat and damage the airway epithelium (36) which may 163 contribute to impaired mucociliary clearance and hence mucus plugging. Further, NE may 164 directly impact on airway ion transport by degrading CFTR (37) and activating epithelial 165 sodium channel (ENaC) (38), thereby aggravating the basic ion transport defect and airway 166 surface dehydration in CF airways. While CF is caused by mutations in CFTR, CF airways are 167 characterized by increased ENaC-mediated sodium absorption in addition to deficient CFTR-168 mediated chloride secretion. Mimicking the hyperactivity of ENaC by airway-specific 169 170 overexpression in mice can produce a phenotype that is strikingly similar to that found in CF patients, demonstrating that airway surface dehydration is a key disease mechanism in CF lung 171 disease (1, 39) and that increased ENaC activity contributes critically to this abnormality. In 172 173 this context, proteolytic activation of ENaC by NE and other proteases may be a key 174 mechanism leading to increased ENaC activity that aggravates airway surface dehydration in CF airways. 175

176 Collectively, these studies show that NE is a key mediator in each of the major pathologies 177 contributing to CF lung disease. However, the roles of the other NSPs have been less well 178 studied and more research into these and their relative importance in CF is warranted.

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181 The actions of cysteine proteases in CF

The predominant group of cysteine proteases in CF is the cysteine cathepsins. These papainlike proteases are lysosomally derived and hence display optimal activity in a reducing and acidic environment; only cathepsin S (CTSS) is thought to maintain its activity in the neutralalkaline pH range (40). In the intracellular context, cathepsins are involved in the degradation of host and pathogen proteins as well as the processing and presentation of antigens. These functions are crucial in homeostatic protein turnover, fighting infection and in the development of adaptive immune responses to infections. However, like the NSPs, certain members can be found in the extracellular milieu of the CF lung. Cathepsins are secreted by macrophages but may also be sourced from neutrophils, other antigen-presenting cells, lung epithelial and endothelial cells; this secretion may be associated with acidification of the pericellular space (41).

193 While only more recently recognised as major players in CF, the cysteine proteases mirror many the actions of the NSPs. Like all classes of proteases, the cysteine cathepsins are capable 194 195 of degrading various ECM components, contributing to the tissue-destructive web of proteases involved in CF. A series of studies demonstrated the potential of cathepsins B (CTSB), L and 196 S to compromise mucosal immunity in the CF lung via mechanisms similar to those mentioned 197 198 already for the NSPs. They were shown to cleave AMPs including lactoferrin, LL-37, 199 surfactant protein A and the human β -defensins (42–45). Thus, by the loss of active airway AMPs, the ability to maintain a pathogen-free airway may be undermined in CF. Several 200 201 cathepsins have also demonstrated the ability to process CXCL chemokines in vitro, though it has yet to be determined whether these modifications occur or are highly relevant in vivo (46). 202 203 The role of CTSC in the activation of NSPs makes it an interesting candidate for therapeutic intervention in the context of neutrophilic CF lung disease and although inhibitors are in early 204 205 phase clinical trials, their efficacy and potential for CF remains to be determined (12).

206 CTSS is emerging as an important player in early CF lung disease with extracellular CTSS 207 levels correlating significantly with lung function decline and neutrophil recruitment into the 208 airways (47). A recent study, using the β ENaC-overexpressing mouse with CF-like lung 209 disease, elucidated roles for CTSS in the *in vivo* pathogenesis of several key CF pathologies 210 (48). In this study, genetic and pharmacological knockdown of CTSS was associated with a 211 reduction in neutrophil recruitment and amelioration of airway mucus obstruction and lung tissue destruction. It also highlighted that CTSS may mediate inflammatory cell recruitment
and mucin expression via protease-activated receptor 2. In relation to airway ion transport, both
CTSS and CTSB have been reported to activate ENaC (49, 50). As such, in concert with NEmediated CFTR degradation, the cysteine cathepsins may accentuate the mucus dehydration
intrinsic to CF airways pathology.

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219 The actions of matrix metalloproteases in CF

220 The members of the MMP class are not abundant in the healthy lung; however, they are produced by lung and inflammatory cells in response to inflammatory chemokines, noxious 221 stimuli and free oxygen radicals (51). These zinc and calcium-dependent endopeptidases are 222 223 loosely numbered in order of discovery up to MMP-28 and, as their name suggests, are potent ECM-degrading enzymes (52). While some MMPs are mainly tissue-derived, MMP-8 and 224 MMP-9 are predominantly derived from neutrophils, making them proteases of particular 225 226 interest in CF (53). The degradation of interstitial collagen is key to the development of bronchiectasis and other aberrant structural formations of the CF lung. In addition, this 227 cleavage process generates matrix fragments, which can produce secondary downstream 228 effects. During airway inflammation the proline-glycine-proline (PGP, a potent neutrophil 229 chemoattractant) fragments produced by collagenase activity are not matched by a concomitant 230 231 rise in PGP-degradation by leukotriene A₄ hydrolase, causing PGP accumulation which contributes to CF neutrophilia (54, 55). In addition, MMP-9 is capable of truncating IL-8 into 232 a highly chemoattractive form (56). 233

While it is clear that the dominant immune cell population in the CF lung is the neutrophil, macrophage-derived proteases are gaining reputation in CF, particularly as regards their membrane-associated activity. A noteworthy example of this is macrophage elastase (also

237 known as MMP-12). Recent studies in βENaC-overexpressing mice with CF-like lung disease and paediatric CF patients suggest that mucostatic conditions in the CF airways may trigger 238 elevated membrane-associated MMP-12 activity via macrophage activation (57). Interestingly, 239 a functional polymorphism in the MMP12 promoter (rs2276109) that decreases MMP12 240 expression was positively associated with FEV₁ % predicted in patients with CF (57). This 241 work opens interesting lines of inquiry: what are the specific signals which precipitate protease 242 243 release from specific cell types? Are they to be found in CF mucus? Can they be targeted therapeutically? Can protease gene expression be targeted? 244

It is worth noting that there is evidence of positive roles for macrophage MMPs in the inflamed lung. MMP-10, for example, is highly expressed in CF patient macrophages and appears to have a protective role in acute bacterial infection by moderating macrophage inflammatory responses (58). MMPs continue to draw most attention for their contribution to lung tissue damage, though there is an emerging sense that this may not be the limit of their influence in CF.

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253 Therapeutic strategies targeting the protease-antiprotease imbalance

The combined contribution of proteases to the pathology of CF makes them promising targets 254 for novel therapeutics. Endogenous protease inhibitors are overpowered as a consequence of 255 256 quantitatively elevated levels of secreted 'free' protease and have limited efficacy in the inhibition of surface-bound activity in CF lung disease. Importantly, current CF therapy relies 257 heavily on mucolytic agents like dornase alfa, which are known to markedly increase NE 258 259 activity in CF sputum (59). Therefore, antiproteases may constitute an important adjunct therapy to help limit further lung injury. Indeed, it has been shown that certain antiproteases 260 are most effective when used along with mucolytics (59). Increased protease secretion and 261

membrane-associated activity are likely already initiated during infancy and early childhood,
even in the absence of detectable bacterial infection (5, 9), strengthening the case for early
antiprotease treatment.

To directly redress the protease-antiprotease imbalance, two principal strategies may be employed: antiprotease replacement/augmentation and pharmacological protease inhibition.

There is an attraction to using antiprotease-based therapies such as α_1 -antitrypsin augmentation, 267 especially considering the success of this strategy in α_1 -antitrypsin deficiency. In 2015, a long-268 term, randomised control trial was reported with weekly α_1 -antitrypsin administration for up to 269 270 48 months (60). RAPID (Randomized, Placebo-controlled Trial of Augmentation Therapy in Alpha-1 Proteinase Inhibitor Deficiency) demonstrated the slowing of lung parenchymal 271 damage after redressing the protease-antiprotease imbalance and significantly, that this effect 272 273 was most evident over the course of months and years, rather than short-term improvements 274 over weeks (60). This was not a surprising finding, considering that antiprotease therapy is predicted to slow the progression of irreversible lung damage and bronchiectasis rather than 275 276 producing short-term improvements in lung function; short trials are therefore unlikely to capture these therapeutic benefits. α1-antitrypsin augmentation has also been tested in CF, 277 though this has been limited to short trials, predominantly powered to establish safety (61). 278 Endogenous antiprotease augmentation is not without its pitfalls given their propensity to be 279 degraded by proteases (host or pathogen). Recombinant variants of the endogenous 280 281 antiproteases such as SLPI with reduced susceptibility to protease cleavage have shown efficacy in reducing inflammation (62). However, the size and complexity of these proteins, 282 their generally broad antiprotease activity and the quantities required to address the substantial 283 284 protease burden in CF are all factors to be overcome. These molecules have also yet to demonstrate efficacy against surface-bound proteases. 285

With these considerations in mind, perhaps it is the synthetic, low molecular weight, specific 286 chemical inhibitors that hold the answer? As with all drugs, walking the tightrope between 287 specificity and bio-reactivity has proven a challenge. For various reasons (including safety, a 288 289 propensity for hapten formation and the struggle for target selectivity) it is no longer the consensus that rapid irreversible inhibition is necessarily the gold standard for these 290 compounds (63). A new generation of highly specific, reversible inhibitors of NE or the 291 292 emerging proteases (CTSC, CTSS and MMP-12) might help to shape the future of antiprotease therapy in CF. Many synthetic inhibitors have demonstrated potency in vitro and in the 293 294 preclinical in vivo settings. However, NE inhibition, which has been the focus of clinical antiprotease work, has so far not proved overly effective in reducing key measures of disease 295 in CF or other inflammatory lung diseases (64). Therefore, it may be that the inhibition of other 296 297 proteases or a spectrum of proteases in combination with conventional therapies produces more 298 promising results. Comprehensive studies will be required to ensure that patient susceptibility to infection is not increased by protease inhibition, though there is little preclinical evidence 299 300 that this will be the case. Interestingly, the genetic ablation of NE in β ENaC-overexpressing mice did not increase susceptibility to spontaneous airways infection in this model with CF-301 302 like lung disease (33). NSP-deficient mice have exhibited weakened host defence against certain respiratory pathogens (65, 66), though it should be noted that inhibitor-treated and full 303 knockout mice are not direct corollaries and as such, further research is required to assess the 304 305 effects of therapeutically relevant protease knockdown on host immunity.

An interesting alternative to the use of canonical antiproteases is inhaled heparin, which has been shown to improve pulmonary function in COPD (67). 2-O, 3-O-desulfated heparin, a modified polysulfated molecule, possesses both anti-NSP and anti-inflammatory properties with minimal anti-coagulant activity (16, 68). In some instances, repurposed drugs might offer a simpler and faster route to protease inhibition than the development of novel inhibitors,

especially regarding their safety profile. One drug which has emerged is the tetracycline
antibiotic doxycycline. A 2017 study highlighted FEV₁ improvements following doxycycline
treatment during acute pulmonary exacerbations in CF patients, seemingly independent of
doxycycline's antibiotic properties, via MMP-9 neutralisation and TIMP-1 enhancement (69).
Currently, there are no other licensed drugs that are known to fall into this category.

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318 Summary and outlook

319 What is evident from the research to date is that proteases play a role in many of the most damaging facets of CF lung disease and as such could be targeted in combination with current 320 antibiotic, mucolytic, bronchodilator and CFTR modulator therapies. A return to protease-321 322 antiprotease parity may indeed facilitate the breaking of the inflammatory cycle and slow the rate of structural and functional decline in CF. For proteases such as NE and CTSS, which are 323 elevated from an early stage in the pathogenesis of CF lung disease, the age of CF patients at 324 325 the start of protease inhibitor therapy and the frequency and duration of treatments may well be crucial factors to consider for the design of clinical trials. A significant challenge remains 326 in developing protease inhibitors that retain specificity, stability and efficacy in the complex 327 milieu of the CF lung and that are well tolerated over longer courses of treatment. 328

Our knowledge of the role and functions of proteases continues to evolve through the development and use of new experimental tools, reagents and pathobiological models. Because of their differential expression and activity profiles in CF lung disease, proteases (and their endogenous inhibitors) may serve as useful biomarkers for diagnostic and monitoring purposes to enable, for example, detection of lung disease severity and prediction of progression or response to treatment. Nonetheless, further work is needed to extensively characterise the lung

- degradome, in addition to the status of endogenous antiproteases, activators, substrates and
- cleavage products in the CF lung.

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583 **Figure legends**

Figure 1. A model of the cystic fibrosis (CF) airway and associated protease-mediated 584 pathologies. A healthy airway maintains a thin layer of well-hydrated mucus covering the 585 586 airway surface. Invading pathogens and particulates are trapped and subsequently removed from the airway by mucociliary clearance. In CF airways, malfunction of cystic fibrosis 587 transmembrane conductance regulator (CFTR) anion channels and increased activity of 588 epithelial sodium channels (ENaC) results in airway surface dehydration, altered viscoelastic 589 properties of airway mucus and impaired mucociliary clearance, which makes the airway 590 591 susceptible to chronic infection and inflammation. Neutrophils recruited to the airway, along with macrophages and epithelial cells, secrete proteases which aggravate key aspects of the 592 pathophysiology of CF. Active proteases compromise the structural integrity of the airway 593 594 through the degradation of elastin and collagen, leading to bronchiectasis. In addition, other 595 protease roles in CF include (top to bottom): the enhancement of mucin/mucus production and secretion; the activation of protease-activated receptors (PARs) leading to pro-inflammatory 596 597 signalling; the *trans*-activation of other proteases by cleaving pro-domains and degrading cognate antiproteases; the aggravation of basic CF ion transport defects by the proteolytic 598 degradation of CFTR and activation of ENaC and; the cleavage of various host protein 599 substrates precipitating either activation (in the case of some pro-inflammatory cytokines) or 600 601 inactivation (in the case of some antimicrobial peptides and surfactant proteins).

- 602 Figures
- Figure 1.



605 Tables

Table 1. Protease functions and redundancy in CF lung disease

Function	Protease class involved	References
Matrix degradation	Serine, cysteine, MMP	(52, 54, 55, 70)
Cytokine processing	Serine, cysteine, MMP	(14, 18, 46, 56)
Cytokine upregulation	Serine, cysteine, MMP	(15, 48, 54, 55)
PAR activation	Serine, cysteine, MMP	(48, 71)
Trans-class protease activation	Serine, cysteine	(9, 12, 26, 27)
Host defence protein degradation (including antiproteases)	Serine, cysteine	(21–23, 29, 42–45)
ENaC activation	Serine, cysteine	(38, 49, 50)
CFTR degradation	Serine	(37)
Mucus modulation	Serine	(33–36, 48)
Iron liberation	Serine	(24, 25)

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608 *Definition of abbreviations:* MMP = matrix metalloprotease; PAR = protease-activated receptor; ENaC

609 = epithelial sodium channel; CFTR = cystic fibrosis transmembrance conductance regulator.