

Behavior traits associated with career outcome in a prison puppyraising program

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1	Behavior Traits Associated with Career Outcome in a Prison Puppy-Raising Program
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30 Abstract

31 The Canine Behavioral Assessment and Research Questionnaire (C-BARQ©) (http://www.cbarq.org) 32 has been used to measure behaviors associated with release or graduation from several assistance dog 33 programs, however it has never been evaluated within a prison environment. This study investigated 34 whether a modified version of the C-BARO[©] can be utilized in a prison puppy-raising program 35 (Puppies Behind Bars, PBB) to identify behaviors that are associated with dogs' career outcomes. 36 PBB dogs that successfully complete the program are placed as service dogs or explosives detection 37 dogs (EDD). Dogs are released from the program as a result of behavioral or medical problems. The 38 PBB program has more than one career outcome, facilitating an assessment of the C-BARQ© as a 39 tool to identify specific working dog roles based on differences in C-BARO© subscale scores. We 40 examined the associations between subscale scores and career outcomes by comparing the scores of 41 dogs with successful outcomes (service or EDD) with those released for behavioral reasons. We 42 assessed the questionnaire's application to the PBB setting and its ability to distinguish between 43 outcomes. 314 paper copies of the C-BARQ[©] were completed by puppy raisers from seven 44 correctional facilities in the New York area when their assigned dog was between 6 and 16 months 45 old. Dogs that had successfully completed the PBB program or had been released due to behavioral 46 issues were included, whereas dogs still in training and those released for medical reasons were 47 excluded. A total of 271 completed C-BARQ© questionnaires were analyzed. Service dogs and EDDs 48 were compared with released dogs to determine whether C-BARQ© subscale scores were associated 49 with outcome. Multinomial log-linear models containing one subscale score and fixed factors (age 50 group, medical category, sex, neuter status within sex, the interaction between age group and medical 51 category) and outcome as the dependent variable, were fitted for each subscale. Service dogs had 52 lower stranger-directed aggression, owner-directed aggression, dog-directed aggression, dog-directed 53 fear, dog rivalry, chasing, stranger-directed fear, and separation-related problems than released dogs. 54 EDDs had lower trainability, dog-directed fear, dog rivalry, and attachment/attention-seeking 55 behavior than released dogs. These findings suggest that some of the C-BARQ© subscales might be

56 used in the future to predict outcomes for young dogs. Results show that the C-BARQ© can be

57 applied to the PBB program; however, the omission of seven questions is recommended.

58 Keywords: Canine, Behavior, C-BARQ, Puppy, Dogs, Prison

59

60 1. Introduction

61 Researchers have developed and employed a variety of methods to identify behaviors associated with 62 release or failure in training working dogs. These have included: behavioral and temperament tests, 63 such as placing puppies in novel environments (e.g. Pfaffenberger et al., 1976; Wilsson & Sundgren, 64 1997; Ruefenacht et al., 2002); questionnaires for trainers or owners (e.g. Diederich & Giffroy, 2006) 65 observations by practiced trainers under semi-naturalistic conditions (e.g. Maejima et al., 2007); 66 measurements of cognitive ability (e.g. MacLean & Hare, 2018) and body posture (De Meester et al., 67 2008), and evaluations of physiological measures (Tomkins et al., 2011). A shortcoming of these 68 behavioral and physiological assessments is that there is often a lack of standardization between tests, 69 and most have not been validated (Taylor & Mills, 2006). Many also rely on the interpretations of a 70 single trainer or individual and have not been suitably tested for inter-rater reliability (Jones & 71 Gosling, 2005; Taylor & Mills, 2006). The Canine Behavioral Assessment and Research 72 Questionnaire (C-BARQ©) (Hsu & Serpell, 2003; Duffy & Serpell, 2012) is a validated tool 73 developed to assess canine behavioral traits. The current version of the C-BARQ© consists of 100 74 questions that explore dogs' responses to an extensive number of naturally occurring situations and 75 stimuli. Unlike other testing methods, the C-BARQ© offers a single, standardized method for which 76 both validity and reliability have been established (Hsu & Serpell, 2003). Research into the 77 application of the C-BARO© as a predictor of success for a specified working role has focused 78 primarily on guide dogs (Duffy & Serpell, 2012) and assistance dogs (Bray et al., 2019). In both cases 79 the C-BARQ© was able to identify behaviors associated with success or release from their respective 80 training programs. Recently, studies have widened the use of the C-BARQ© to assess its ability to 81 distinguish individual characteristics in working dogs. For example, Hare et al. (2018) found that the 82 C-BARQ© could identify behavioral differences between search-and-rescue and pet dogs. The C-

83 BARQ© has further been applied in veterinary and re-homing settings to establish the characteristics 84 of an individual dog (e.g. Segurson et al., 2005; Duffy et al., 2014). While the C-BARO© is utilized 85 by numerous organizations internationally, its use as a tool to effectively classify successful and 86 released dogs has only been established for certain populations (e.g. Duffy & Serpell, 2012, Bray et 87 al., 2019). The C-BARQ's validity is likely dependent on the environment that both the raiser and dog 88 are in, and the type of role for which the dog is being trained. For example, in studies by both Duffy 89 & Serpell (2012) and Bray et al. (2019) the dogs were fostered from eight weeks until they entered 90 training and were raised in a home environment. This is the setting that the C-BARQ© was developed 91 for, as it includes questions specific to household environments. The ability of the C-BARQ© to 92 differentiate between dogs in other settings, such as a prison puppy raising program, has not 93 previously been tested.

94

95 Puppies Behind Bars (PBB) is an Assistance Dogs International accredited program that places eight-96 week-old puppies with prison inmates enrolled in a training program. Puppies are raised and trained 97 by their assigned individual for approximately six to 24 months. The variability in duration of training 98 is related to the differences in training procedures between service dogs (assistance dog for a veteran 99 or first responder) or explosives detection dogs (EDD). Puppies are informally evaluated at eight 100 weeks of age based on current behavior and history of behavior with their litters. EDDs and service 101 dogs require different sets of traits. Puppies are trained for service work if they are more confident, 102 easy-going, human-connected, and mild, while EDDs are more vocal, have higher prey drive, or are 103 more timid. Weekly observations are made during classes and monthly reports describe confidence, 104 ease of training, human dependence, energy level, and environmental stability. Each dog's career can 105 be changed based on these observations if the behavioral changes last longer than several weeks to a 106 few months. EDDs usually graduate at about 12 months of age after learning 23 commands, while 107 service dogs usually graduate between 20 and 24 months of age after learning over 80 commands. It is 108 also thought that the difference in training duration is because EDD behaviors, such as sniffing, 109 running, and jumping, are more "natural" for dogs than service dog activities such as turning on light

110 switches, opening doors, and staying in a tight heel (Carl Rothe, personal communication). 111 Throughout this process, approximately 25% of dogs that begin the program are 'released' due to 112 behavioral and/or medical issues and are then offered for adoption to the public. Of those released, 113 approximately 50% are rejected for behavioral reasons (Puppies Behind Bars, 2019). Given the 114 extensive funding and time required to train a puppy, the ability to ascertain behaviors that are non-115 conducive to success could significantly reduce costs by identifying dogs likely to be released as early 116 as possible. In addition, identifying which behaviors align with certain success outcomes (service or 117 EDD) would add insight into behaviors that should be focused on during training, further ensuring 118 productive placements and possibly reducing the number of dogs transferred between EDD and 119 service training. Prior to implementing the C-BARQ© as a predictive tool, it is necessary to establish 120 whether it can be applied to a prison setting, as it was developed for use in a typical home 121 environment. Further, as the program has more than one success outcome (service or EDD), an 122 assessment of the C-BARQs ability to differentiate between these roles is required. Application of the 123 C-BARQ© to this setting and to these working dog roles have not previously been assessed.

124

125 The purpose of this study was therefore to establish the degree to which assessments, based on inmate 126 puppy-raiser responses to the C-BARQ[©], can distinguish between prison-raised dogs that proceed to 127 successfully complete training and graduate as service or EDDs, and those that are released for 128 behavioral reasons. Given the unique situation that PBB provides, where more than one graduate 129 outcome is possible, this study can also assess whether specific behaviors are associated with a 130 particular working role. Identifying behaviors that may be associated with particular outcomes 131 (service or EDD) may help to draw focus on specific attributes during training and assist in 132 determining a career outcome for a particular dog.

134 **2. Materials and Methods**

135 2.1 Participants

136 The current study resulted from an on-going collaboration between Puppies Behind Bars (PBB) and 137 The University of Pennsylvania School of Veterinary Medicine (Philadelphia, PA). Surveys were 138 collected from seven prison locations in New York State. Dogs were provided to the PBB program by 139 independent breeders. Dogs enter the program at eight weeks of age and graduate between 12 and 24 140 months. All puppy raisers were asked by the PBB organization to complete a paper copy 141 questionnaire (C-BARO©) every six months, starting when the dogs were six months of age through 142 to graduation or release. Five hundred C-BARQs from a total of 314 dogs were collected between 143 2012 and 2017. If a puppy raiser filled out multiple C-BARQs for the same dog, the most recent 144 questionnaire (taken at the oldest recorded age for that dog) was used for analysis because most dogs 145 only had one complete C-BARQ© at the time of data collection. There were not enough dogs with 146 multiple C-BARQs at different time points to use a repeated measures model. Dogs still in training 147 and dogs released for medical reasons were excluded (Category 3 health condition). All dogs released 148 for medical reasons were in the same medical category, so including them would not allow the 149 assessment of the impact of medical records category on the outcomes. To assess whether certain 150 behavioral traits could be associated with underlying health issues, medical conditions 0-2 were 151 included in the model. In total, 271 dogs were included in the analysis: 147 males (54%) and 124 152 (46%) females. The majority of dogs were Labrador Retrievers (256, 94%) with small numbers of 153 Golden Retrievers (8, 3%) and crosses of Golden and Labrador Retrievers (7, 3%). The dogs were a 154 mix of sexually intact and neutered (96, 65% of males) or spayed (86, 70% of females) at the time 155 that the survey was taken, and thus neuter status nested within sex was included as a possible 156 predictor in statistical models.

157

158 *2.2 Outcomes*

Dogs included in the analysis were either considered 'successful' (graduated from the program) or
'released' (due to medical or behavioral problems). Outcome information was determined based on

161	medical records provided by the PBB program and information from the PBB website, and confirmed
162	through personal communication with the Senior Instructor of PBB. Graduates were further classified
163	based on their ultimate career placements (service or EDD) and released dogs were classified based
164	on their reason for leaving the program (medical or behavioral). Decisions made by the PBB program
165	to release dogs from training were made independently of C-BARQ© results. There were 150
166	successful detection dogs (55%), 79 successful service dogs (29%) and 42 dogs released for behavior
167	reasons (15%).
168	
169	2.3 C-BARQ© administration and delivery
170	
171	Puppy raisers completed the C-BARQ© based on the protocol defined in Duffy & Serpell (2012)
172	which generated quantitative scores (0-4) for 14 behavioral subscales (see Table 1). Questionnaires
173	were mailed to the University of Pennsylvania School of Veterinary Medicine to be logged.
174	
175	2.4 Database
176	Upon receipt of a C-BARQ© or medical record for each dog, an ID number was assigned. The
177	following information from each C-BARQ©, if provided, was entered into a master spreadsheet: dog
178	information (ID number, microchip/registration number, dog name, date of birth, sex, breed,
179	spay/neuter status, weight, time spent training in months, number of dogs previously trained by the
180	inmate raiser, and current health issues), numerical responses to each of the 100 questions, and any
181	additional details given by the raisers in the spaces provided. It was not possible to include
182	information about raisers or their levels of experience in further analysis because of frequent missing
183	data and incomplete data when dogs were transferred between puppy raisers and prison facilities.
184	
185	Any subscale calculated that included a value of "NA" (i.e. a contributing question had been left

186 unanswered by the respondent) was given a value of "NA." Calculations were modified when items

187 were dropped by dividing subscale scores by the number of items used in each subscale. Subscale

188 scores for each dog were considered missing if < 75% of the items were answered.

189

190 2.5 Age Categories

191 Early versions of the C-BARQ© questionnaire (2012- 2013) did not specify the date on which the 192 survey was completed. Later versions of the C-BARQ© were updated to include this information, 193 however for 146 surveys received, dogs' ages and order of sequence of C-BARQs had to be estimated 194 based on a variety of factors (weight, time spent with specific raiser, receipt date of the survey). 195 Ultimately, dogs were separated into three age groups using certain criteria for those for which the 196 exact age was not known. Age Group 0 (n = 71, 26%) was assigned to dogs known to be less than six 197 months of age, or to dogs that were not yet spayed or neutered whose age was missing (as dogs in the 198 program are spayed or neutered at approximately six months of age). Age group 1 (n = 143, 53%) was 199 assigned to dogs known to be between six and fifteen months of age, or to dogs that had been spayed 200 or neutered and whose age was missing. Age Group 2 (n = 57, 21%) was used for those known or 201 suspected to be older than 16 months based on his or her reported age, time spent training, and/or age 202 of exit from the program.

203

204 2.6 Medical Categories

205 Official medical records provided by the PBB program were used to classify dogs into one of four 206 health categories (Table 2). Category 0 (n = 186, 68%) was used to describe dogs with no significant 207 medical issues. Category 1 (n = 66, 24%) described dogs with minor medical conditions that could be 208 treated successfully. Category 2 (n = 19, 7%) described dogs with moderate medical conditions that 209 could be treated but which might affect the dog's performance in later life. Dogs in Category 3 were 210 released from the program due to untreatable conditions and were excluded from the study. These 211 categories were intended to help account for moderate or recurring health conditions as a contributing 212 factor to behavioral release.

214 2.7 Analysis

215 Analysis focused on the data from each dog's most recently completed C-BARQ© and training

216 outcome. Data from 273 dogs were included after individuals with missing data were removed. An

additional two dogs in the database were omitted from the analysis due to the inability to determine

- 218 which C-BARQ© was most recent, leaving 271 dogs.
- 219

Fourteen C-BARQ© subscales were computed according to the protocol outlined in Duffy and Serpell (2012); however, seven questions were omitted (Table 3). These were items that could not be evaluated by puppy raisers in the prison system as they were irrelevant to the training style employed (such as the question about corrections, which are not used by PBB trainers) or could not be observed (such as aggressive reactions to strangers when in the car or in public) (Table 3). Trainability is the only subscale where a high value is more desirable, for all other scales a low value is more favorable.

226

227 2.8 Statistical Analysis

Descriptive statistics for the C-BARQ© scores were calculated using the open-source R statistical
software (R Core Team, 2017; available at http://www.r-project.org). Cronbach's alpha was
calculated using the 'cronbach' function in the 'psy' R package (Falissard, 2012) as a measure of the
agreement between the individual items in each subscale.

232

233 To determine whether C-BARQ© subscale scores were associated with each of the three possible 234 outcomes, multinomial log-linear models containing one subscale score and fixed factors age group, 235 medical category, sex, neuter status within sex and the interaction of age group and medical category 236 as explanatory factors and dependent variable outcome were fitted for each subscale. Breed was not 237 used as a fixed factor because of the small number of dogs that were not Labrador Retrievers. The 238 reference categories for sex and neuter status were "male" and "neutered" respectively. Models were 239 fitted using the 'multinom' function in the 'nnet' R package (Venables & Ripley, 2002), which uses 240 neural networks to fit nonlinear models to categorical outcomes. Z-scores and Chi-square p-values

were calculated for the significant explanatory factors. Odds ratios and their p-values were calculatedusing the 'questionr' package (Barnier et al., 2017).

243

3. Results

245 Descriptive statistics including means, standard deviations, and Cronbach's Alpha for C-BARQ© 246 subscales are presented in Table 4. Figure 1 shows mean subscale scores by outcome (service dog, 247 EDD or released for behavioral reasons). Table 5 includes means and standard deviations by outcome. 248 Dogs that graduated as service dogs had lower reported owner-directed aggression (OR 1.7E-26, 95% 249 CI 1.7E-26, 1.7E-26), stranger-directed aggression (OR 0.02, 95% CI 0.01, 0.33), dog-directed 250 aggression (OR 0.13, 95% CI 0.04, 0.46), dog-directed fear (OR 0.22, 95% CI 0.08, 0.63), stranger-251 directed fear (OR 0.11, 95% CI 0.03, 0.43), chasing (OR 0.47, 95% CI 0.24, 0.92), dog rivalry (OR 252 0.30, 95% CI 0.11, 0.82) and separation related behaviors (OR 0.11, 95% CI 0.01, 0.75) than dogs 253 that had been released from the program for behavioral reasons (all significant at p < 0.05; Table 6). 254 Dogs that graduated as EDDs had lower trainability (OR 0.27, 95% CI 0.10, 0.71), dog-directed fear 255 (OR 0.43, 95% CI 0.20, 0.93), dog rivalry (OR 0.43, 95% CI 0.19, 0.98), and attachment/attention-256 seeking (OR 0.41, 95% CI 0.23, 0.73) than dogs released for behavoral reasonsi(all significant at p <257 0.05; Table 6). Subscales that did not differ significantly between each outcome group and dogs 258 released for behavior reasons were touch sensitivity, excitability, and energy. 259

260 Other variables included in the models were age group, neuter status nested within sex, medical 261 category, and the interaction between age group and medical category. Age group was not 262 significantly associated with any outcome. Neutered females were more likely to be both service dogs 263 and EDDs in many of the models fitted. The relationship between being a neutered female and a 264 service dog was significant at the p = 0.05 level for models including trainability, owner-directed 265 aggression, dog-directed aggression, and rivalry with odds ratios between 7.44 and 9.03. The 266 relationship between being a neutered female and an EDD was significant for models including 267 trainability, stranger-directed aggression, owner-directed aggression, dog-directed aggression, and 268 touch sensitivity with odds ratios from 6.43 to 11.97. No relationship was found between medical

category 1 or 2 compared to category 0 with outcome. Interactions between age category and medicalcategory were also not significant.

271

4. Discussion

273 This study provides evidence that the C-BARQ© can be successfully applied to an inmate puppy 274 raising setting, and, further, that it can distinguish career outcomes in a prison program (PBB). We 275 identified seven individual questions (from six subscale categories) that were not applicable, and were 276 therefore omitted. While the extensive coverage of naturalistic scenarios is a strength of the survey, in 277 that it allows for a breadth of assessment across various situations, it cannot be directly applied to a 278 prison setting. The questions omitted had a high percentage of missing values and were confirmed by 279 the director of the program to be difficult or impossible to evaluate by inmate puppy-raisers. For 280 example, the question referring to the dog's reaction to the doorbell ringing could not be applied to 281 the PBB program, where these dogs were never exposed to such events. Other studies implementing 282 the C-BARQ© have been criticized for amending the questionnaire to such an extent that it can no 283 longer be considered valid (Batt et al., 2009 c.f. Duffy & Serpell, 2012). Here, we assessed the 284 meaningfulness of the subscale scores with Cronbach's alpha, a measure of the reliability or internal 285 consistency of a set of items (Cortina, 1993) such as a C-BARQ© subscale. Alpha varies between 0 286 and 1, with values between 0.8 and 0.9 indicating good agreement, while lower alphas indicate poorer 287 agreement and alphas above 0.90 may reflect scale redundancy (Mair, 2018). In this study, subscales 288 with alpha higher than 0.80 included stranger-directed aggression, dog-directed aggression, chasing, 289 stranger-directed fear, and excitability. Many subscales had moderate values from 0.70 to 0.80 290 (trainability, dog-directed fear, rivalry, non-social fear, attention-seeking and energy, while separation 291 issues and touch sensitivity had alphas below 0.70). Further validation of this modified version to a 292 prison setting would be recommended to establish this preliminary finding. In future, this modified 293 version of the C-BARQ© may help to inform studies applying the questionnaire to a prison 294 environment as a potential predictive screening tool.

295

296 Results show distinct patterns of behavioral subscales that were significant for either service dogs or 297 EDDs, indicating that the C-BARQ© can differentiate between behaviors associated with specific 298 working dog roles. We found that successful service dogs had lower owner directed aggression, 299 stranger-directed aggression, dog-directed aggression, dog-directed fear, stranger-directed fear, 300 rivalry, chasing and separation-related problems than dogs released because of behavioral reasons. It 301 should be considered that the majority of the behaviors with a significant association were fear or 302 aggression based. This is consistent with the PBB evaluation process in which desired traits for 303 service dogs include "confident" and "easy going." EDDs showed lower dog-directed fear, rivalry, 304 trainability and attention-seeking than dogs released from the program. The lower attention-seeking in 305 EDDs may be related to the fact that dogs that are "human-connected" tend to be selected for service 306 dog training. Overall, more subscale scores are associated with service dogs' success than with EDDs' 307 success. There may be more behavioral requirements for service dogs because of the larger number of 308 commands they learn or the high level of environmental stability, obedience, and impulse control 309 necessary for service dogs that accompany their handlers in public facilities and transportation. The 310 type of behavior required for service dogs to accompany their handlers in public may be a reason why 311 various types of fear (of strangers, dogs, and separation anxiety) and aggression (stranger-, owner-, 312 and dog-directed) are associated with failure to complete training. Dogs experiencing stress associated 313 with fear may be inhibited in learning service dog tasks. In dogs admitted to a shelter, high speed of 314 learning two operant tasks was associated with low levels of fearful behavior (Blackwell et al., 2010) 315 and associations of fear with impaired learning has been found in numerous species (Rooney et al., 316 2016).

The findings presented here differ somewhat from those from studies of other working dog populations. These variations could be related to differences between prison and home raising environments or other differences between samples of dogs, raisers, or training methods. In a study of guide and service dog puppies from five programs raised in homes, favorable scores on all subscales measured at six and twelve months of age, except for dog-directed fear measured at six months of age, were associated with successful training (Duffy & Serpell, 2012). The lack of association of some subscales with training success in the present study may be due to different raising and training

324 practices or the wider range of breeds and the presence of guide dogs in the other study sample. For 325 Swedish military working dogs, higher trainability scores were associated with successful training and 326 higher stranger-directed fear, non-social fear, and dog-directed fear were associated with unsuccessful 327 training (Foyer et al., 2014). Although military working dogs are trained on some different tasks than 328 EDDs, both types of work appear to require dogs that score low on various types of fear. Among 329 service dog puppies in the Canine Companions for Independence (CCI) program, significant 330 predictors of training success included trainability, stranger-directed fear, and dog-directed aggression 331 (Bray et al., 2019), showing a narrower range of significant fear-related behaviors predicting success. 332 This could be due to different sample composition of Labrador Retrievers, Golden Retrievers, and 333 crosses of these breeds in the CCI population, different levels of fear in the dogs from the CCI and 334 PBB programs, or differences in the dogs' environment between prison and home or difference in training methods between programs. Overall, trainability and fearlessness appear to be important traits 335 336 for a wide variety of working dog disciplines and raising situations.

337

338 Odds ratios represent the impact of a one-unit change in predictor variables (e.g. C-BARQ© subscale 339 scores) on the outcome. The odds ratio for owner-directed aggression for service dogs was close to 340 zero, suggesting a large difference between service dogs and dogs released for behavioral reasons. In 341 fact, service dogs had a mean of zero for the owner-directed aggression subscale score compared with 342 0.04 for dogs released for behavior reasons. Although owner-directed aggression is rare in this 343 population, it appears to be incompatible with success as a service dog. In contrast, the largest 344 statistically significant odds ratio was 0.47 for chasing in service dogs. The odds of service dog 345 success are 53% greater for each unit decrease in chasing. These percentages are greater for the other 346 significant C-BARQ© subscales. For this population, information on the C-BARQ© scores for these 347 significant traits could help with decisions about whether to retain dogs in training, and whether they 348 should be used in a breeding program.

349

350 Although all PBB groups scored high on trainability (Table 5) compared to search and rescue dogs 351 (mean 3.16), a group of non-search dogs (mean 2.64) (Hare 2018) and dogs in the Swedish military 352 (Foyer 2014); successful EDDs showed lower trainability than those PBB dogs that were released 353 from the program. This finding may suggest that trainability (the willingness to attend to the owner, 354 obey simple commands, learn quickly, fetch objects, and ignore distracting stimuli) is a less critical 355 discriminating trait for EDDs. Alternatively, it is possible that dogs have other, unaccounted for, 356 behavioral traits that impact trainability in varying situations. As released dogs show higher dog-357 directed aggression, dog-directed fear and dog rivalry than both outcome groups, it suggests that these 358 behaviors are of primary importance to a dog's release from the program. C-BARQ© subscales that 359 were not associated with either outcome group were touch sensitivity, excitability, and energy. This 360 suggests that, for this population, these behaviors were not predictors of release from the PBB 361 program, however future assessments could confirm this finding.

We did not find any relationship between medical record category 1 or 2 compared to category 0 with outcome, suggesting that the medical conditions in these categories did not contribute to dogs' success or release for behavior reasons.

365

366 This study analyzed a single C-BARQ© per dog to assess the population, whereas previous studies, 367 such as Duffy and Serpell (2012), utilized multiple tests over time. Implementing serial C-BARQs© in 368 a prison setting could be beneficial in assessing the earliest age that behavioral differences can be 369 identified that will predict outcome. In future, it would be valuable to understand whether these traits 370 are present from the dog's arrival to the prison setting, or develop over time. A limitation is that the 371 earliest version of the CBARQ© did not ask for the specific age of the dog. Therefore, we used 372 categories based on variables such as neuter status. The ages included were not consistent, as C-373 BARQs[©] were submitted by puppy raisers between six and 16 months of age. Given that some ages 374 had to be estimated using the information provided, there would be benefit in future in obtaining specific 375 time-point data to ensure that potential effects of age were controlled for. Fitting exact age as a covariate 376 in our models would have resulted in more statistical power to detect age effects. Furthermore, it should be considered that the older the dogs get the more likely they are to develop medical conditions thatmay impact their career outcome.

379

380 Prison puppy raising programs are popular and diverse. Over 330 programs throughout the United 381 States. England, Canada, Australia, New Zealand, South Africa, Austria, Italy, Poland, Spain, and 382 Scotland also have programs. Practices vary between programs according to characteristics of the 383 prisons (e.g. high or low security), the population of puppy raisers, and the goals of training. In some 384 programs, dogs from shelters are trained to be adoptable family companions or service dogs. In other 385 programs such as PBB, dogs are trained for specific working roles. Programs vary in formality; some, 386 including PBB, have training manuals that are used at multiple prison locations (e.g. within US 387 states). Another aspect of these programs that varies is the amount of time the puppies spend outside 388 of the prison, living with trainers (PBB) or visiting volunteers for weekends so they can be exposed to 389 different environments and develop skills and environmental stability they will need as service dogs. 390 (Cooke, 2019). The PBB program requires an eight-month training program for the raisers before they 391 receive puppies and provides extensive, weekly oversight during puppies' development (Carl Rothe, 392 personal communication). PBB is a program specific to the New York State area. Providing a 393 representative sample for the PBB program was considered by including multiple prison locations that 394 are part of PBB, however other prison-based programs, both domestic and internationally, may have 395 different career outcomes and training methods than the current sample. Programs that do not share 396 characteristics that are likely to affect dogs' behavior and success should conduct research on their 397 specific populations to determine whether C-BARQ© scores are predictive of successful completion. 398 4.1 Conclusion

399

The present study finds that the C-BARQ© can differentiate between working dog roles in a prison environment, however minor adaptations are required for its success. We provide primary findings as to how systematic data collection could be applied in subsequent investigations as a prognostic tool to improve the process of selecting and releasing dogs from a PBB program. We further identified

- 404 certain behavioral traits that are associated with graduating as either a service dog or EDD. This is of
- 405 interest given that the C-BARQ© has previously not been studied in prison puppy programs to our
- 406 knowledge, and it may add insight into specific behaviors that should be assessed when making
- 407 decisions on what outcome a dog is suited for. This study does not employ the C-BARQ© as a
- 408 predictive tool, however the results suggest that there may be scope for its development as such a
- 409 screening tool in the future. Application of this method on a wider scale could help to reduce costs
- 410 and ensure optimal placement partnerships, ultimately providing more successful assignments of
- 411 service and EDDs through the PBB program.
- 412

413 **Declaration of interest**

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 417

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- 549 Tables
- 550 Table 1. Fourteen behaviors measured by subscales of the C-BARQ© and analyzed in this study.
- 551 Table 2. Criteria used to classify the health of dogs in the Puppies Behind Bars puppy raising
- 552 program.

- 553
- Table 3. Items removed from analysis of C-BARQ© questionnaires, their question numbers, and
- associated subscales. These questions could not be answered by puppy raisers in the prison environment.
- 557
- 558Table 4. Descriptive statistics for C-BARQ© subscales on 271 PBB program puppies, including
- number of items per subscale, number of missing observations, mean, standard deviation, skewness,
 kurtosis, and Cronbach's Alpha for each subscale. Subscale scores range between 0 and 4.
- kurtosis, and Cronbach's Alpha for each subscale. Subscale scores range between 0 and 4.
- 562
- Table 5. Means and standard deviations of C-BARQ© subscale scores for 271 Puppies Behind Bars
 program dogs by training outcome (released for behavior reasons, successful service dog, and
 successful explosives detector dog (EDD). Subscale scores range between 0 and 4.
- 566
- Table 6. Odds ratios (OR) and 95% confidence levels (CI) for C-BARQ© subscales with significance
 levels. Outcomes are "released for behavior reasons" (reference level), "service dog," or "explosives
 detection dog" (EDD).
- 570
- 571
- 572 Figures
- 573
- 574 Figure 1. Mean subscale values for 14 behavioral traits measured with the C-BARQ© among 271
- 575 Puppies Behind Bars dogs by outcome (released for behavioral reasons, successful service dog, and
- 576 successful explosives detection dog (EDD)). Standard deviations and further descriptive statistics are
- in Table 4.