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Barreto, D., Karimirad, M., & Ortega, A. (2021). Effects of simulation length and flexible foundation on long-term response extrapolation of a bottom-fixed offshore wind turbine. *Journal of Offshore Mechanics and Arctic Engineering*. Advance online publication. <https://doi.org/10.1115/1.4053030>

Published in:

Journal of Offshore Mechanics and Arctic Engineering

Document Version:

Peer reviewed version

Queen's University Belfast - Research Portal:

[Link to publication record in Queen's University Belfast Research Portal](#)

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Effects of Simulation Length and Flexible Foundation on Long-Term Response Extrapolation of a Bottom-Fixed Offshore Wind Turbine

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19 **ABSTRACT**

20

21 *This paper deals with statistical and modeling uncertainty on the estimation of long-term*
22 *extrapolated extreme responses in a monopile offshore wind turbine. The statistical uncertainty is*
23 *addressed by studying the effect of simulation length. Modeling uncertainty is explored by evaluating the*
24 *effects of considering a rigid and flexible foundation. The soil's flexibility is taking into account by*
25 *considering the improved apparent fixity method. To identify the most relevant environmental conditions,*
26 *the modified environmental contour method is used.*

27 *The analysis focuses on the fore-aft shear force (FASF) and the fore-aft bending moment (FABM)*
28 *at the mudline. The results show that using a simulation length of 10-min, does not provide sufficient*

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29 accuracy. It was found that for the FASF, simulation lengths of at least 30-min are required to achieve an
30 accuracy of about +/-5%. For the FABM, it was found that both the extrapolations made with 20-min and
31 30-min simulations achieved similar levels of accuracy of about 20%. Meanwhile, the results obtained from
32 10-min simulations reached deviations of about 40%.

33 Finally, from the comparison made between a rigid and flexible foundation, it was found that the
34 extrapolated responses exhibit maximum deviations up to around 5% and 10% for the FASF and the FABM,
35 respectively. Also, for the FABM, it was observed that the consideration of a flexible foundation causes the
36 critical wind speed to shift from 16.5 m/s (rigid) to 18 m/s (flexible).

37

38 INTRODUCTION

39

40 Offshore wind energy has been growing in importance over the last few years.
41 Although there are many advantages associated with adopting the use of offshore wind
42 energy, one of the most important is undoubtedly associated with its great potential for
43 reducing environmental emissions, which will be essential to curbing global warming.

44 There is particular interest in the development of offshore wind farms, as higher
45 capacity factors can be achieved. This is because the resource is stronger and more
46 stable in marine environments. However, the main problem that persists in the industry
47 is the Levelized Cost of Energy (LCOE), which in some cases is still high compared to
48 other conventional technologies [1].

49 In an offshore wind energy project, there are a variety of factors that will affect
50 the LCOE [2], however, the individual capacity of the turbine draws special attention.
51 Manufacturers are continually pushing established power capacities to new levels [3] as
52 larger turbines provide increased capacity per foundation. Similarly, a major component

53 in the investment cost is the foundation which can represent up to 25% of the total
54 project investment cost [4].

55 Considering these two points, it is expected that a significant reduction in the
56 LCOE can be achieved by using very large turbines with the most economical foundation
57 available that fully satisfies the reliability requirements of the project. For offshore, fixed
58 foundations have been preferred by most of the projects installed in the world,
59 especially the monopile as it has the lowest relative cost [5]. Given the popularity of this
60 type of foundation, there is still interest in optimizing it to reduce costs without
61 sacrificing reliability.

62 This cost reduction can be achieved through a more detailed understanding of
63 the key uncertainties present in the initial phase of the project that may lead to changes
64 in the current design processes. These key uncertainties are associated with direct
65 impacts on turbine loads (i.e. extreme events, aero-hydro-servo-elastic response, soil-
66 structure interaction, and load extrapolation) [6]. Controlling the level of uncertainty in
67 the probabilistic models is expected to produce good and robust predictions of the
68 extrapolated loads/responses associated with target return periods [7], and thus obtain
69 an optimized product with reduced LCOE.

70 The present research addresses the statistical and modeling uncertainty. For the
71 first type of uncertainty, the study is centered on exploring the errors incurred when
72 length simulations shorter than one hour are used for the 50-yr long-term response
73 extrapolation. Simulation lengths of 10, 20, and 30 minutes are evaluated and compared
74 against the 1-hr and 50-yr extreme values. Thus, it is intended to cover certain aspects

75 not taken into account in the current state of the art and provide relevant conclusions
76 to guide future efforts in this area, in which there is still no consensus.

77 The second type of uncertainty is assessed by taking into account the flexibility
78 of the foundation to evaluate its influence on the long-term responses of a bottom-fixed
79 offshore wind turbine (OWT). The improved apparent fixity model is considered and the
80 results from 1-hr coupled simulations are compared against the results obtained with a
81 fully rigid foundation model. This is done to identify the divergences generated in the
82 extreme long-term responses, particularly concerning the identification of the critical
83 environmental condition. This is a very important aspect that has not received sufficient
84 attention in the current literature.

85 This document is structured as follows. First, a brief description of the
86 uncertainty related to wind energy is presented. Then, the theory behind the
87 environmental contour method is introduced, covering the traditional (ECM) as well as
88 the modified (MECM) version. Later, the statistical extrapolation procedure based on
89 the Global Maxima Method is presented. In the following section, the details of the
90 numerical model are explained. Then, the results obtained are presented and discussed.
91 In the last section, the conclusions and suggested aspects for future research are
92 summarized.

93
94 **UNCERTAINTY IN WIND ENERGY**
95

96 Uncertainties are present in any natural random process. It is difficult to make
97 appropriate decisions without considering them in the design process. Many parameters

98 for structural design are rarely known with great confidence and, they should be taken
99 as random variables when considering a stochastic approach. In general, at least three
100 types of uncertainty can be recognized in structural reliability theory [8]:

- 101 • *Physical uncertainty*, related to physical quantities and measurements such as
102 loads and material properties.
- 103 • *Statistical uncertainty*, related to deviations arising from the estimation of
104 parameters of probability distributions caused by insufficient sample size or
105 insufficient observations.
- 106 • *Model uncertainty*, related to simplifications, assumptions, other effects (e.g. non-
107 linearities), and interactions with other variables not initially considered in the
108 physical or mathematical models.

109 A comprehensive understanding of the nature of uncertainties and how to
110 quantify them is crucial to optimize the design phase of offshore wind structures. This
111 aspect has been highlighted in the conclusions of the literature review performed by
112 Jiang et al. [9]. The ultimate goal is to estimate loads as accurately as possible with
113 appropriate levels of reliability but minimizing computational effort. The following two
114 subsections will explore how two specific types of uncertainty arise in the offshore wind
115 industry. The first one, related to the simulation length, and the second one, associated
116 with the foundation flexibility.

117 **a) STATISTICAL UNCERTAINTY**

118

119 The offshore wind energy industry can be considered to be still at an early stage
120 of development. For this reason, real data is not often available, or at least not in the

121 required volume. As a consequence, it is necessary to rely on computational models that
122 accurately represent real-world physical phenomena. Similarly, since extreme events
123 have a very small probability of occurrence, it is necessary to perform several stochastic
124 realizations to reach an acceptable level of accuracy on the statistical parameters. This
125 involves time-consuming activities and the use of very large computational resources,
126 which can make this a prohibitive process.

127 To alleviate this problem, it is often necessary to perform shorter simulations
128 that can be later extrapolated and, thus, obtain answers about long-term processes.
129 However, this consideration will introduce statistical uncertainty into the results.
130 Therefore, the simulation length needed to achieve an acceptable accuracy of an
131 extrapolated load is a crucial aspect. Choosing a very short time may greatly decrease
132 the computational effort, but will cause the results to have a significant error level in the
133 statistical estimates. Conversely, longer simulations may require more calculation time,
134 but the result will be closer to the true values of the stochastic process parameters.

135 In the wind industry, a simulation length of ten minutes has been considered
136 enough to ensure statistical independence and stationarity [7], and it is currently the
137 standard applied in the industry [10]–[13] for OWTs. However, this assumption is
138 already being considered conservative for the offshore environment [10]. Similarly, it is
139 common practice in the offshore industry to consider simulation lengths between 3 to 6
140 hours for floating structures [14]. However, if the combined wind and wave loading is
141 considered, the assumption of stochastic independence for periods longer than one
142 hour cannot be considered entirely true, especially for the wind spectrum. This

143 discrepancy has been highlighted by Kvittem et al. [15]. Then, it is expected that
144 simulations of more than ten minutes will be required to reduce the uncertainty in the
145 extrapolation of dynamic responses of OWTs. Although there is research focused on
146 obtaining clear conclusions on this subject, unfortunately, there is still no clear
147 consensus.

148 The first effort found in the literature addressing the simulation length problem
149 was made by Haid et al. [16]. They analyzed simulation lengths between ten minutes to
150 six hours on an OWT supported by a spar-type structure (OC3 Hywind). This study
151 assessed ultimate loads but only in the short term, without applying any type of
152 extrapolation. No technique was applied to obtain some kind of statistical distribution of
153 the extreme values, instead, maximum single values extracted from time series were
154 averaged and then compared. The main conclusion of the study was that a simulation
155 length longer than ten minutes makes little difference to the ultimate loads.

156 Following the line of the previous study, Zwick et al. [17] also focused on the
157 simulation length problem, but this time applied to a bottom-fixed OWT (OC4 Jacket). In
158 this work, the extrapolation of loads to 50 years is considered, and the peak-over-
159 threshold method adjusted to an exponential function is used. The paper concludes that
160 using different simulation lengths has an appreciable impact on ultimate loads.

161 More recently, Hübler et al. [18] focused their efforts on studying the effects of
162 simulation length on a monopile and a jacket OWT. The analysis was centered on short-
163 term loads, so no extrapolation technique was applied. Furthermore, they did not use
164 any method to statistically characterize the distribution of the extreme values, so the

165 results were based on singular maximum values extracted from simulations. The
166 conclusions of this work indicate that the simulation length is not relevant.

167 Finally, Pillai et al. [19] studied the impact of simulation length on a semi-
168 submersible OWT. In this work, no joint distribution of environmental parameters was
169 considered, and only a limited number of wind speeds were evaluated. The results were
170 based on a comparison of the maximum values of the time series, so no characterization
171 of the statistical distribution of the extreme values was made, nor any extrapolation
172 technique was applied. The authors concluded that simulations longer than ten minutes
173 are required to correctly capture the behavior of the system, in contrast to the results
174 found by Haid et al. [16].

175 Two things can be concluded from the previous paragraphs: there are
176 discrepancies among the conclusions of the studies and, there are aspects that have not
177 been taken into account in the current state of the art. The studies have been mainly
178 focused on extreme short-term loads, and only one of them has considered the
179 extrapolation of long-term loads. Also, the analyses have not contemplated fitting the
180 extreme values to a statistical distribution (e.g. Gumbel), this is because in some cases,
181 few stochastic realizations have been considered for the environmental conditions
182 analyzed, and in other cases, the averaging technique have been preferred by the
183 authors. Later, it will be seen that in this work it has been possible to cover these
184 pending aspects thanks to the use of the MECM, which has allowed focusing the
185 computational effort on a specific set of environmental conditions.

186 **b) MODELING UNCERTAINTY**

187

188 This uncertainty is related to the soil-structure interaction. For bottom-fixed
189 OWTs, this aspect is particularly relevant as there are large and direct connections
190 between the substructure and the seabed. The work required to avoid this type of
191 uncertainty is not easy. It is necessary to use more complete models that replicate the
192 physical phenomena with sufficient precision. Particularly, in this paper, we are
193 interested in the long-term extreme responses. Surprisingly, within the literature it is
194 rare to find studies that address the effect of the soil on extreme dynamic responses,
195 being the effects of the foundation model on the fatigue loads or the prediction of the
196 natural frequencies of the system the most addressed topics.

197 An early work that addressed the issue of extreme responses was performed by
198 Bush et al.[20]. In that research, a comparison of a rigid foundation versus a flexible
199 foundation based on the apparent fixity (AF) method was performed in a monopile
200 OWT. In this case, only one wind speed was analyzed and only the fore-aft bending
201 moment at the mudline was considered. Subsequently, this research was extended [21]
202 to include the coupled springs (CS) and the distributed springs (DS) foundation models.
203 Again, only the fore-aft bending moment at the mudline was analyzed for two wind
204 speeds. They concluded that the rigid model underestimates the extreme responses.
205 However, it is important to note that this study was quite limited, and although 150
206 stochastic realizations were performed for each wind speed, the results were mainly
207 based on statistical measures calculated from the samples. They did not fit an extreme
208 value probability distribution to the data obtained from the simulations to find the most
209 probable extreme response.

210 Considering the four foundation models mentioned in the previous paragraph
211 i.e. fully rigid, AF, CS, and DS; the last two are the most complex, but they are expected
212 to replicate the soil flexibility more accurately. However, using very complex models can
213 increase the computational effort required to complete the simulations. Thus, the same
214 problem that was observed with statistical uncertainty arises again. Therefore, it is
215 necessary to use a model that allows finding a trade-off between computational cost
216 and accuracy to reflect the soil-structure interaction. In this way, the most influential
217 aspects can be identified to delimit the domain of the different environmental variables,
218 which will deserve to be analyzed using more complex models at a later stage.

219 The simplest model to take into account the soil-structure interaction is the AF
220 model. Unfortunately, there are some problems with this method, since it is common
221 that only the diagonal terms of the stiffness matrix of the fictive beam are matched, and
222 the cross-coupling stiffness between the horizontal and rotational degree of freedoms
223 are neglected. Løken et al. [12] managed to solve this problem by considering a second
224 fictitious beam with different properties. This method is known as the Improved
225 Apparent Fixity (IAF) Method. The advantage of this model is that it is still simple as AF,
226 and can be properly implemented SubDyn module of FAST. The IAF provides a better
227 replication of the foundation conditions than a rigid model, but at the same time does
228 not increase the computational complexity dramatically. This is the model employed in
229 the present study.

230 **ENVIRONMENTAL CONTOUR METHOD: TRADITIONAL AND MODIFIED**

231

232 Haver et al. [22] proposed the ECM as a practical approach for estimating long-
 233 term extreme responses by using short-term analysis. In general terms, the method can
 234 be summarized in Eq. 1. In this expression, it is assumed that the short-term period is
 235 one hour. F is the cumulative distribution function of the extreme value (ξ) of the
 236 analyzed response X . Hereafter, U_w represents the mean wind speed at the hub height,
 237 while u , h , and t represent the mean wind speed measured at 10 m above the still water
 238 level, the wave height, and the wave peak period, respectively. The set $\{u_N, h_N, t_N\}$
 239 represents the most relevant environmental condition for a given return period N .

$$F_{X_{1\text{hr},N\text{yr}}}(\xi) \approx F_{X_{1\text{hr}}^{ST}|U_w, H_S, T_P}(\xi|u_N, h_N, t_N) \quad (1)$$

240 To apply the traditional ECM, it is needed to define the reliability index β_s , Eq. 2.
 241 Here, Φ represents the standard normal cumulative probability function, and md is the
 242 expected number of d -hour sea states per year. The environmental conditions
 243 associated with a specific return period can be found by using the Rosenblatt
 244 transformation [23].

$$\beta_s = \Phi^{-1}\left(1 - \frac{1}{N * md}\right) \quad (2)$$

245 Once the environmental conditions to be evaluated have been identified, the
 246 corresponding dynamic responses are determined. For a given environmental condition,
 247 short-term extreme values of the response are used to fit an empirical probability
 248 distribution to find the most probable extreme value. The combination which gives the
 249 maximum extreme response, among all the sets, is known as the “design point” and, the
 250 response value for this condition is considered the long-term extreme response (N -yr

251 response). However, since the ECM omits the response variability at the beginning, it is
252 necessary to correct the calculated extreme value. This correction is made by applying
253 an empirical fractile level higher than 50%. Values between 70% and 90% are usual for
254 practical applications [24].

255 Although the traditional ECM is a widely used method in the offshore industry, it
256 does not give accurate responses for systems that have survival mechanisms based on
257 an active control system. This aspect causes the ECM to underestimate the long-term
258 extreme responses, which are mainly influenced by environmental conditions within the
259 operational range and with a higher probability of occurrence.

260 To bypass that problem, Li et al. [25] proposed the MECM. It is based on the
261 widely accepted assumption that the long-term probability distribution of a response
262 can be extrapolated from the short-term distribution as short-term extreme values are
263 considered statistically independent in a short-term period e. g. one hour. Then, the 50-
264 yr 1-hr extreme cumulative distribution function (CDF) can be written as Eq. 3. This
265 equation implies that the 50-yr extreme response can be found by estimating an
266 extreme response with a lower return period N_0 . This procedure helps to bypass the
267 non-linearity on the limits of the operational range of the wind turbine. Since the return
268 period (N_0) will be lower than 50 years, it is necessary to apply an extrapolation to the
269 probability distribution between brackets to estimate the corresponding value of the
270 response at 50 years. This extrapolation is achieved by applying the exponent $50/N_0$.
271 The expression between brackets can be found by applying the traditional ECM, Eq. 1,

272 then it becomes Eq. 4 but, considering the return period N_0 . In this case, the extreme
 273 response is calculated using one-hour short-term extreme distribution.

$$F_{X_{1 \text{ hr}, 50 \text{ yr}}}(\xi) = \left[F_{X_{1 \text{ hr}, N_0 \text{ yr}}} \right]^{50/N_0} \quad (3)$$

$$F_{X_{1 \text{ hr}, 50 \text{ yr}}}(\xi) = \left[F_{X_{1 \text{ hr}}|U_W, H_S, T_P}^{\text{ST}}(\xi|u_{N_0}, h_{N_0}, t_{N_0}) \right]^{50/N_0} \quad (4)$$

274 Consequently, the MECM focuses on finding the return period N_0 in which the
 275 maximum long-term extreme response is achieved. To reach this goal, many inner
 276 environmental contours corresponding to wind speeds within the operational range of
 277 the turbine need to be tested. The condition that provides the greatest response
 278 among all the conditions tested will be the most important environmental condition or,
 279 it can be also referred as the **critical environmental condition**. The return period that
 280 corresponds to this important condition will be the return period required, N_0 . A specific
 281 procedure to apply the MECM to bottom-fixed OWTs under the combined action of
 282 wind and wave can be found in [25].

283 **LOAD EXTRAPOLATION**

284
 285 In this research, the Global Maxima Method is used to obtain a short-term
 286 probability distribution. The extreme values obtained from coupled simulations are
 287 fitted to a Gumbel distribution, Eq. 5. In this expression, X is the analyzed response, β_G is
 288 the shape parameter, and μ_G is the location parameter and, at the same time, the most
 289 probable value of the probability distribution (M_{0X}), also known as the mode.

$$F(X) = \exp \left(-\exp \left(-\frac{(X - \mu_G)}{\beta_G} \right) \right) \quad (5)$$

290 As previously stated, it is necessary to have the 1-hr extreme probability
291 distribution for the extrapolation of 50-yr extreme responses using the MECM. This can
292 be achieved by running one-hour simulations or by using shorter simulations and then
293 applying an extrapolation to arrive at a one-hour level. For this purpose, extreme values
294 extracted from 10-min, 20-min, 30-min, and one-hour simulations are used to compare
295 the deviations in the most probable values of the fitted Gumbel distributions. After
296 extrapolation, the most probable value of the Gumbel distribution is given by Eq. 6. In
297 this equation, N_0 is the return period of the environmental condition (EC) tested. The
298 parameter r will depend on the simulation length from which we have extracted the
299 extremes for fitting purposes. The value of r is 1, 2, 3, or 6 if the extremes for the
300 Gumbel fitting come from 1-hr, 30-min, 20-min or 10-min simulations, respectively.

$$Mo_X = \mu_G + \beta_G \cdot \ln(r \cdot 50/N_0) \quad (6)$$

301 In the original paper where the MECM was developed [25], as well as in further
302 research [10], the simple linear regression approach is used to obtain the coefficients of
303 the empirical distribution. This approach is based on the least-squares method;
304 however, some limitations have been identified when it is used for extreme value fitting
305 [26]. For this reason, the maximum likelihood method is employed in this work.

306 Considering that 2 dynamic responses, 12 environmental conditions, 2 different
307 foundation models, and 4 simulation lengths were analyzed, a total of 120 parameter
308 estimates were made. The goodness of fit is determined by the p-value obtained after
309 performing a hypothesis test based on Moran's statistic, which is implemented in WAFO
310 [27]. The criterion for accepting the parameter fit is that the calculated p-value is

311 greater than 0.05 (significance level). The higher the p-value, the better the goodness of
312 fit. Table 1 presents the number of parameter estimation cases grouped by the range of
313 the p-value obtained. It is observed that in 102 cases, out of a total of 120, the p-values
314 are higher than 0.10.

315 **NUMERICAL MODEL**

316

317 For the development of this research, the NREL 5 MW wind turbine model [28]
318 supported on a monopile foundation is considered. This wind turbine is a variable speed
319 and collective pitch wind turbine with rated, cut-in, and cut-out wind speeds of 11.4, 3,
320 and 25 m/s, respectively. The hub is 90 m above the mean sea level and, it has a rotor
321 and hub diameter of 126 m and 3 m, respectively.

322 The offshore reference site used in this study is the one labeled as "Site 15"
323 presented by Li et al. [29], which is located in the North Sea center. The joint probability
324 distribution considers three environmental parameters. The coefficients of the statistical
325 distributions can be found in the referred work [29]. Under the MECM procedure,
326 different environmental conditions are obtained; these conditions are listed in Table 2.

327 In the first part of the work, it is necessary to generate the inflow wind profiles
328 for each wind speed to be evaluated, and considering several random seeds, this is done
329 with the help of TurbSim [30]. The Kaimal turbulence spectral model is used, with a
330 characteristic and turbulence type of "B" and "NTM", respectively. The wind power-law
331 is used to extrapolate wind speeds along the tower and rotor height. For the generation
332 of the stochastic wind field, it is considered the coherence model given by IEC 61400-1
333 (3rd ed.) [31] and, a time step of 0.05 s.

334 For each wind speed, there will be an associated sea state and then, it is
335 necessary to set the values for the wave height (H_s), peak spectral period (T_p), and the
336 cut-off frequencies of the wave spectrum in the input files of FAST [32]. Wave profiles
337 are generated internally with HydroDyn [33] and using the JONSWAP spectrum with a
338 peak-shape parameter of 3.3. The heading wave direction is set to 0° and the water
339 depth is 20 m. The analysis time considered for incident wave calculations is 3630 s.
340 Additionally, one hundred simulations have been performed with different random
341 seeds for each environmental condition tested.

342 The monopile that supports the turbine has a diameter of 6 m and a thickness of
343 0.06 m, and it is considered fully constrained at the seabed for the first part of this work.
344 An important part of achieving accurate dynamic responses when considering wave
345 loading is to properly set the Morison coefficients for drag (C_D), added mass (C_A), and
346 pressure (C_P). The usual ranges for these values are: $1.5 \leq C_P + C_A \leq 2$ and, $0.6 \leq C_D \leq 1.2$,
347 according to [34]. For this work, the coefficients have been taken as $C_D=0.9$, $C_A=0.75$,
348 $C_P=1$, for a detailed explanation about the selection of these values the reader is
349 referred to [35] which is also supported by [36]. Other input parameters have been left
350 as they were defined in the NREL 5MW baseline. For the part of the analysis regarding
351 the inclusion of the soil flexibility, the IAF method has been taken into account, more
352 details of this model can be found in [12]. A scheme of these two fictitious beams with
353 three nodes can be seen in Fig. 1. Here, the lowest node (N_1) has a fully rigid condition.
354 A summary of their main properties is summarized in Table 3. Finally, all these models

355 and, workflows are implemented in a Python script to generate the output files from
 356 FAST. The post-processing for Gumbel fitting is made with WAFO [27].

357 **RESULTS** 358

359 The results of the analysis for the fore-aft shear force (FASF) and fore-aft
 360 bending moment (FABM) at the mudline are presented below. First, the effect of the
 361 simulation length on the extrapolation will be analyzed, both for the extrapolated loads
 362 at a level of 1-hr and 50-yr. In the case of 1-hr extrapolation, there is no effect of the
 363 return period of each wind speed, factor $50/N_0$, in the extrapolated load, Eq. 6. But, for
 364 the 50-yr extrapolation, this factor does have an influence. A rigid soil model is
 365 considered in all cases.

366 In a later section, the results obtained from the comparison between the
 367 responses using the rigid soil model and the IAF soil model are discussed. In this case, 1-
 368 hr simulations are used. In each case, both the trends of the values and the relative
 369 errors are shown. In both sections of the results, the relative error is plotted. For the
 370 case of simulation length analysis, the relative error is calculated according to Eq. 7, and
 371 for the case of the study of the effect of soil flexibility, the relative error is given by Eq.
 372 8.

$$\text{R. E.} = \left(\frac{X_{i-\text{min}} - X_{1 \text{ hr}}}{X_{1 \text{ hr}}} \right) \times 100\% ; i = \{10, 20, 30\} \quad (7)$$

$$\text{R. E.} = \left(\frac{X_{\text{IAF}} - X_{\text{Rigid}}}{X_{\text{Rigid}}} \right) \times 100\% \quad (8)$$

373 **a) Effects of simulation length** 374

375 First, the trend of the most probable values extrapolated from extreme values
376 obtained from 10-min, 20-min, and 30-min simulations will be analyzed. All these values
377 have been extrapolated to the level of 1-hr. The trend for the FASF is shown in Fig. 2; it
378 can be also observed the most probable value obtained from one-hour simulations
379 fitting. As can be seen, the difference between the extrapolated most probable values is
380 small in comparison to the trend obtained with the one-hour simulations (black line
381 with diamonds). In the case of the FABM, Fig. 3, a slightly greater variation is observed.
382 In this case, the extrapolation obtained from 30-min simulations is the one that best
383 agrees with the one-hour simulations trend.

384 Figures 4 and 5 show the relative errors obtained for the FASF and FASBM
385 respectively. In these figures, the most probable values of the extremes obtained from
386 10-min, 20-min, and 30-min simulations extrapolated to a 1-hr level are compared to
387 the most probable value obtained from 1-hr simulations. In the case of the FASF, the
388 relative error varies between -2% and 6% whereas this variation ranges from -6% to +6%
389 for the FABM depending on the wind speed considered. In both cases, it is observed
390 that the 30-min simulation offers lower levels of relative error.

391 From Figs. 2-5, it can be seen that when extreme values characteristics obtained
392 with shorter simulations are extrapolated to the one-hour level, there is no large
393 difference with simulations that have been run for one full hour. Now it will be explored
394 what happens when the extrapolation is done at a level of 50 years. In this case, the
395 factor $50/N_0$ will influence the most probable extrapolated value of the extreme
396 response since it is different for each wind value. As it can be noticed in Fig. 6, the

397 divergence for FASF becomes greater; being the extrapolation obtained from the 30
398 minutes simulations the one that comes closest to the value obtained from 1-hr
399 simulations. In the case of the FABM, Fig. 7, all extrapolations show a significant
400 deviation from the 1-hr case, but the extrapolation obtained from 10-min has the
401 largest difference. It can also be seen that both the 20-min and 30-min extrapolations
402 perform almost the same. Also, it is observed that the critical environmental condition
403 for 10 minutes simulations moves from 16.5 m/s to 17 m/s for the case of 20-min and
404 30-min simulations.

405 These differences are better understood when the relative errors are plotted. In
406 the case of the FASF, Fig. 8, the relative error is higher for the 10-min and 20-min cases,
407 and the error for the extrapolation obtained from the 30-min varies in the range of +
408 5%. In the case of the FABM (Fig. 9), it is observed that both 20-min and 30-min perform
409 similarly, but using 10-min gives the highest relative error. In the 20-min and 30-min
410 cases, the error varies between +20% (for critical wind speed) and -10% (for higher
411 speeds and near the cut-out wind speed).

412 **b) Effects of flexible soil**

413

414 In this section, the effects of considering the soil flexibility through the IAF soil
415 model on load extrapolation will be explored. For this analysis, 1-hr simulations are used
416 and extrapolation is applied to reach 50-yr levels. In Figs. 10 and 11, the trend of the
417 most probable values of the extreme responses in 1-hr can be observed for FASF and
418 FSBM, respectively. As it can be noticed, the difference between both curves is small.
419 Similarly, in Fig. 12 the relative errors for the FASF and the FABM are observed. In this

420 case, the comparisons are made between the response obtained considering the IAF
421 and the response obtained with the rigid foundation. So, an increase of up to about 3%
422 for the FASF and about 2% for the FABM is observed when considering soil flexibility.

423 In Fig. 13, it can be seen that there is no great variation in the values of FASF
424 when the extrapolation to a 50-yr level is applied. In contrast, the FABM experiences
425 some variations, Fig.14. The critical environmental condition moves slightly from the
426 16.5 m/s speed to 18 m/s. Also, the most likely value after extrapolation increases. This
427 behavior can be seen more clearly in Fig. 15. The FASF has maximum deviations close to
428 4%, and the FABM reaches up to 10% for 18 m/s where the critical mean wind speed is
429 found.

430 **CONCLUSIONS**

431

432 One of the main concerns for the development of offshore wind energy is the
433 reduction of the LCOE. It has been identified that one of the ways to achieve this is to
434 control the level of uncertainty present in the initial phase of the projects that could
435 lead to changes in the current design processes and thus help to avoid over-
436 conservative designs with excessive material. This would produce good and robust
437 predictions of the short- and long-term dynamic responses associated with specific
438 return periods. However, this is not an easy task, especially when dealing with extreme
439 responses, as it involves the simulation of several stochastic realizations for each
440 environmental condition. This implies the use of large computational resources, which
441 are not often accessible. So a mandatory step in this line of research is to find a trade-
442 off between the accuracy of the results and minimizing the computational cost.

443 The present work has focused on the understanding of uncertainties in a
444 monopile OWT and, it has addressed the study of two issues of particular importance:
445 the simulation length (statistical uncertainty) and the foundation flexibility (model
446 uncertainty). In the initial part of this research, attention was focused on analyzing the
447 influence of the simulation length on the long-term extrapolation process of two types
448 of dynamic responses. From the review of previous research, it was seen that there is no
449 consensus on the minimum time to be considered in the simulations. Also, it was found
450 that in most of the papers, certain aspects had not been taken into account and that
451 could have influenced their conclusions. Among these aspects, which have been covered
452 in the present study, we can mention that:

- 453 • Most authors limited their analysis to short-term extreme values
454 extracted from the time series (except [17]). In those cases, it was
455 concluded that the simulation length did not have much influence on the
456 final results. However, in the present work, it was found that simulation
457 length has a more pronounced effect in the long term.
- 458 • In the only study in which an extrapolation process was applied, the Peak
459 Over Threshold (POT) method was used. Although this method is
460 preferable for characterizing dynamic responses when a large number of
461 time series is not available, this was not our case. For this reason, it was
462 preferred to use the GMM based on one hundred stochastic realizations
463 that guarantee the stability of the statistical parameters. The goodness of

464 fit of the method was assessed through a hypothesis test, which gave
465 good results.

466 • In the papers where only short-term maximum responses were
467 considered, the authors chose to compare singular values of the different
468 stochastic realizations or to average them, rather than characterize them
469 by statistical fitting. In contrast, in the work developed in this paper, the
470 extreme values were fitted to a statistical extreme value distribution
471 (Gumbel), which allowed determining the most probable extreme loads
472 to be used as a more advanced and accurate comparison metric.

473 • It was observed that joint probability distributions of the environmental
474 variables were considered only in some studies. This could have led to
475 analyzing environmental conditions that did not correspond to a target
476 return period. In the present investigation, the joint probability
477 distribution of a specific site has been taken into account and, this is a
478 mandatory requirement to use the MECM and apply the 50-year
479 extrapolation.

480 Although there were certain gaps in the previous investigations, this is
481 completely reasonable, since covering all these aspects to perform an analysis and
482 characterization of extreme response involves an important computational effort. In this
483 work, it has been possible to consider all these missing aspects because the MECM
484 made it possible to significantly reduce the number of environmental conditions to be
485 evaluated.

486 The main finding of the initial part of this work has been to determine
487 quantitatively that the simulation length of 10-min is not sufficient to achieve low levels
488 of uncertainty in the long-term extrapolation (50 years), as is widely believed. In the
489 short term, this aspect does not have much influence (one hour). It was also observed
490 that good results are obtained with at least 30-min of simulation for the FASF. For
491 FABM, there is a significant deviation for a duration of 10-min, which is reduced when
492 considering 20 or 30-min, although the level of deviation is still appreciable.

493 In the final part of this work, the effects of a flexible foundation on two dynamic
494 responses have been evaluated. The behavior of these responses has been addressed in
495 the short and long term. The IAF foundation model was used to account for this
496 flexibility. This approach solves the shortcomings of the traditional AF model and, at the
497 same time, offers a good trade-off between enhanced reproduction of soil conditions
498 and the computational effort required to run several stochastic simulations.

499 In previous research on this topic, it was found that some aspects had not been
500 taken into account in the analysis. These gaps were addressed in the present research as
501 follows:

- 502 • For the present study, attention was focused on two dynamic responses
503 of particular relevance for monopile design, the FASF, and the FABM. In
504 previous literature, only the FABM was analyzed.
- 505 • The extreme value analysis was done based on one-hour simulations,
506 since shorter simulations produce significant deviations when applying

507 extrapolation, especially for FABM. In previous research, 10-minute
508 simulations were used.

509 • In the analysis developed, extreme values were adjusted to a probability
510 function to obtain the most probable extreme value in the short term.
511 This function was used to obtain long-term values by extrapolation,
512 which revealed the influence of the foundation flexibility in the location
513 of the critical wind speed. In contrast to earlier work, where the
514 technique of averaging singular maximum short-term values was used.

515 • In this work, a wide range of wind speeds within the operational range of
516 the wind turbine has been evaluated. This allowed determining the
517 trends of extreme responses, as well as points where there is a change in
518 the critical wind speed when considering a rigid and flexible foundation.
519 In a previous analysis, only two wind speeds had been evaluated, an
520 insufficient number to capture these inflection points.

521 The main finding of this research is that the inclusion of a flexible foundation in
522 the model leads to a shift of the critical wind speed, as well as an increase in the value of
523 the extrapolated response for the FABM. This wind speed is very important since it
524 allows restricting the short-term conditions to be considered when more accurate
525 techniques are required to find the value of the long-term extreme response, e.g. the
526 simplified long-term analysis (SLTA). An additional finding is that FASF and FABM are
527 found to be weakly sensitive to the inclusion of foundation flexibility in the short term,
528 and the FASF is also almost insensitive in the long term.

529 The results obtained in the present research are intended to serve as a basis for
530 orienting efforts related to structural optimization, establishing guiding parameters on
531 which aspects are crucial and which are irrelevant for the control of uncertainty
532 propagation in reliability-based designs. In that sense, it contributes to the main
533 objective of reducing the LCOE of offshore wind energy.

534 Future research should consider the effects of uncertainties related to wind
535 turbulence level and turbulence models. In addition, the inclusion of higher-order wave
536 kinematics and advanced wave load models should be evaluated since their influence is
537 greater in cases of shallow water. Also, the effect of phenomena such as ringing and
538 springing should be considered, as they can influence the value of extreme response
539 values. Finally, future research should address the effect of uncertainties on the
540 behavior of larger turbines.

541

542 **ACKNOWLEDGMENT**

543

544 All the authors want to acknowledge the High-Performance Computing (HPC)
545 team at Queen's University Belfast for their support for this work regarding
546 infrastructure and training in the use of HPC resources.

547 **FUNDING**

548 The first author wants to thank the "Consejo Nacional de Ciencia y Tecnología"
549 (CONCYTEC) and the "Fondo Nacional de Desarrollo Científico, Tecnológico y de
550 Innovación Tecnológica" (FONDECYT) for their financial support to this work, contract
551 reference number 174/2019.

552

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Figure Captions List

- Fig. 1 Diagram of the fictitious beams considered in the IAF method, adapted from [12].
- Fig. 2 Most probable value from 10-min (red circles), 20-min (blue squares), 30-min (green triangles) simulations extrapolated to a 1-hr level, and most probable value from 1-hr (black diamonds) simulations versus mean wind speed for FASF.
- Fig. 3 Most probable value from 10-min (red circles), 20-min (blue squares), 30-min (green triangles) simulations extrapolated to a 1-hr level, and most probable value from 1-hr (black diamonds) simulations versus mean wind speed for FABM.
- Fig. 4 Relative errors of the most probable values extrapolated to a 1-hr level with respect to most probable values from 1-hr simulations for the FASF.
- Fig. 5 Relative errors of the most probable values extrapolated to a 1-hr level with respect to most probable values from 1-hr simulations for the FABM.
- Fig. 6 Most probable value from 10-min (red circles), 20-min (blue squares), 30-min (green triangles), and 1-hr (black diamonds) extrapolated to a 50-yr level from simulations versus mean wind speed for FASF.
- Fig. 7 Most probable value from 10-min (red circles), 20-min (blue squares), 30-min (green triangles), and 1-hr (black diamonds) extrapolated to a 50-yr

level from simulations versus mean wind speed for FABM.

Fig. 8 Relative errors of the most probable values extrapolated to 50-yr level with respect to most probable values extrapolated from 1-hr simulations for the FASF.

Fig. 9 Relative errors of the most probable values extrapolated to 50-yr level with respect to most probable values extrapolated from 1-hr simulations for the FABM.

Fig. 10 Most probable value of extreme response for FASF from 1-hr simulations considering rigid foundation (red circles) and IAF foundation (black diamonds) versus mean wind speed.

Fig. 11 Most probable value of extreme response for FABM from 1-hr simulations considering rigid foundation (red circles) and IAF foundation (black diamonds) versus mean wind speed.

Fig. 12 Relative error of 1-hr extreme response for FASF (Red) and FABM (black) when a flexible soil model is considered.

Fig. 13 Most probable value of extreme response for FASF extrapolated to 50-yr level considering rigid foundation (red circles) and IAF foundation (black diamonds) versus mean wind speed.

Fig. 14 Most probable value of extreme response for FABM extrapolated to 50-yr level considering rigid foundation (red circles) and IAF foundation (black diamonds) versus mean wind speed.

Fig. 15 Relative error of extreme response extrapolated to 50-yr level for FASF (Red) and FABM (black) when a flexible soil model is considered.

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Table Caption List

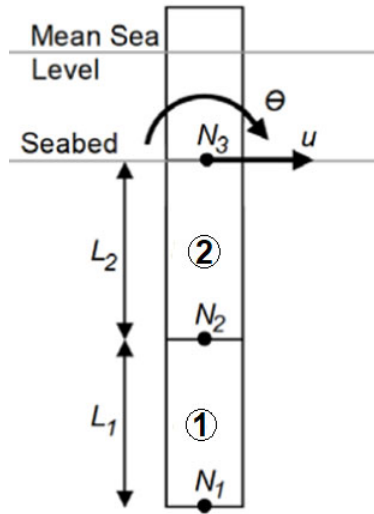
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| Table 1 | Number of cases of Gumbel parameter estimation grouped by the range of p-values. |
| Table 2 | Environmental conditions considered for the calculation of extreme responses, including 50-yr environmental conditions at “Site 15” [29]. |
| Table 3 | Properties of the two fictitious beams employed in the IAF model [12]. |

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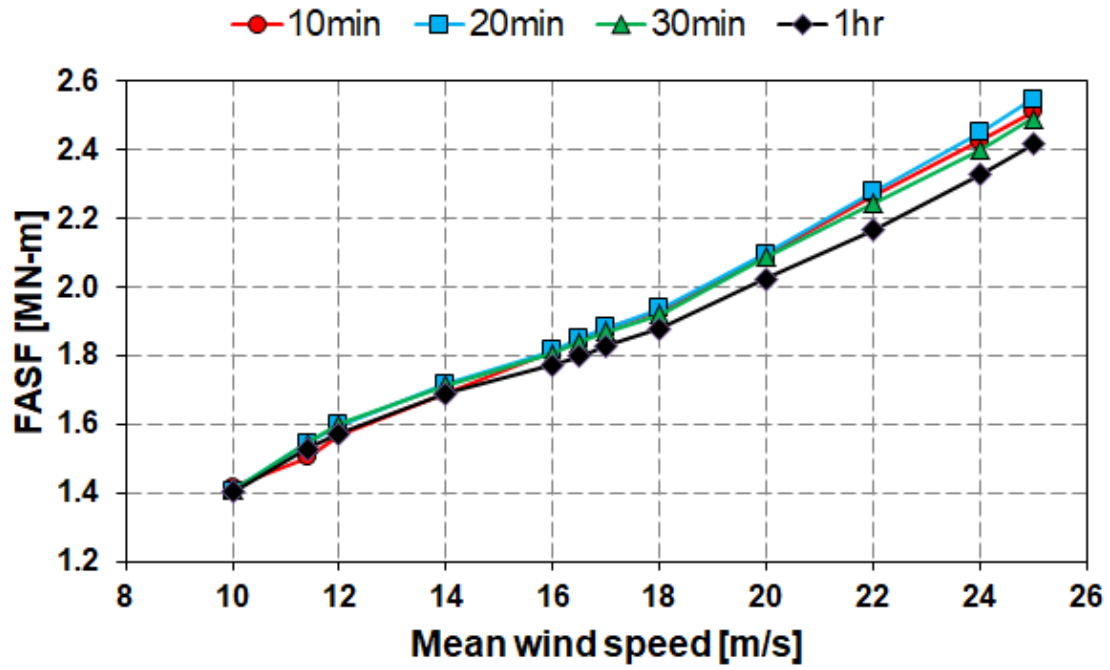
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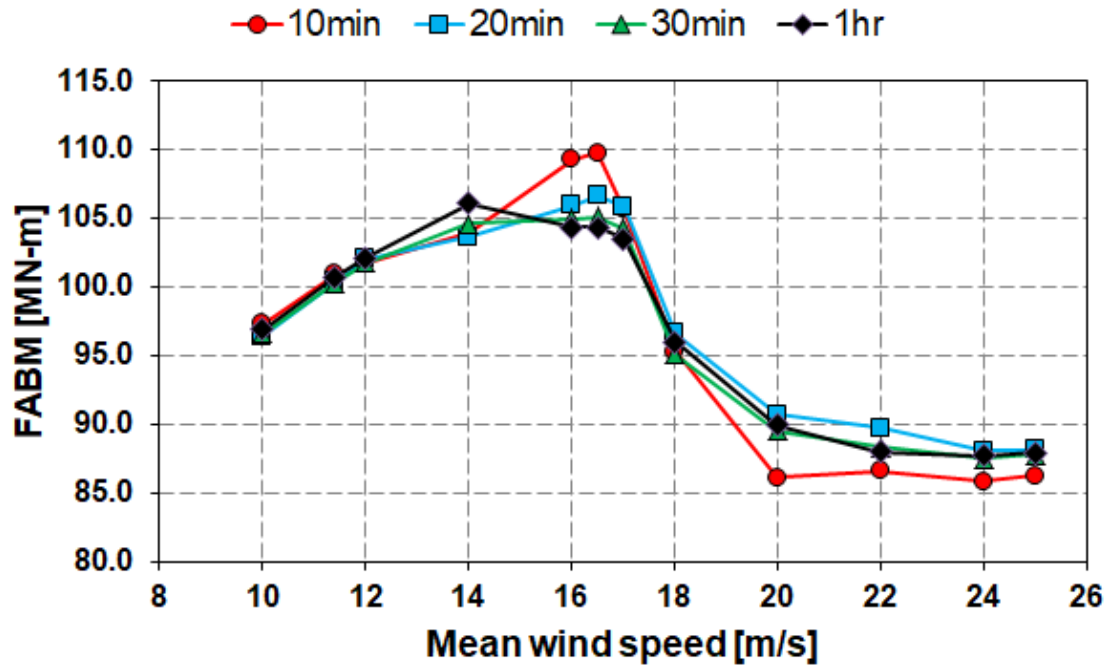
Most probable 1-hr extreme



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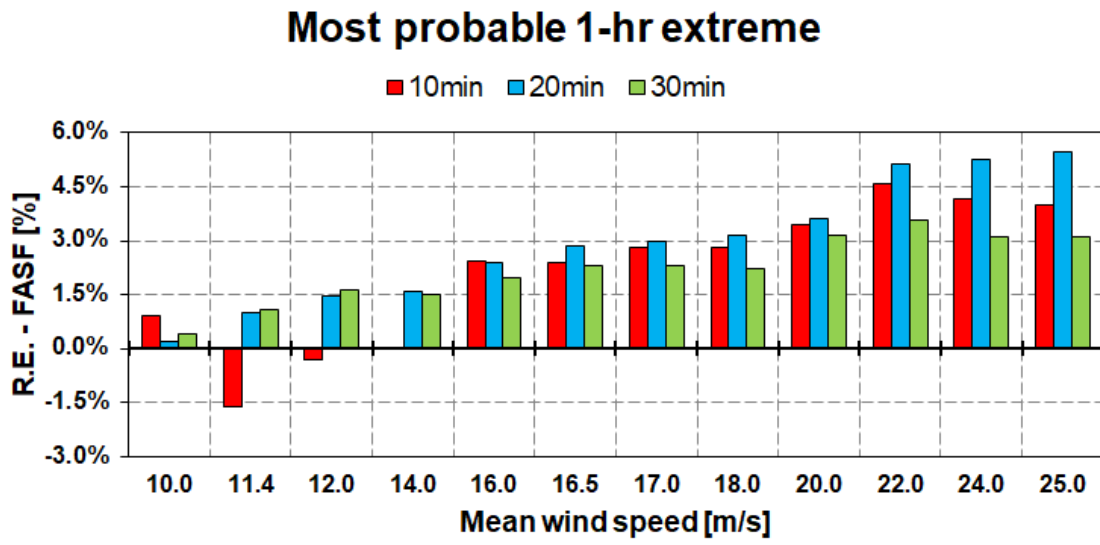
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Most probable 1-hr extreme



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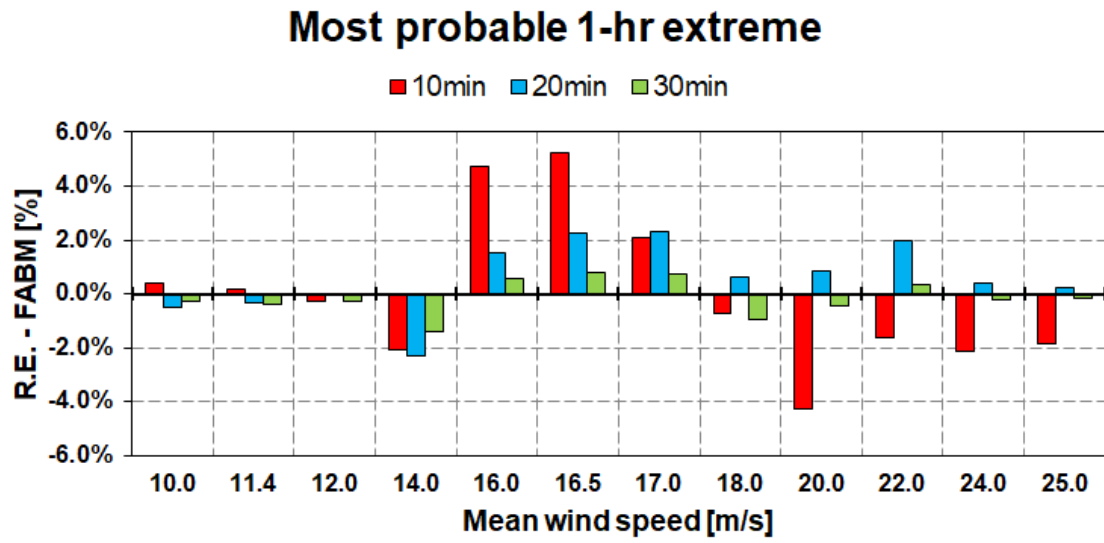
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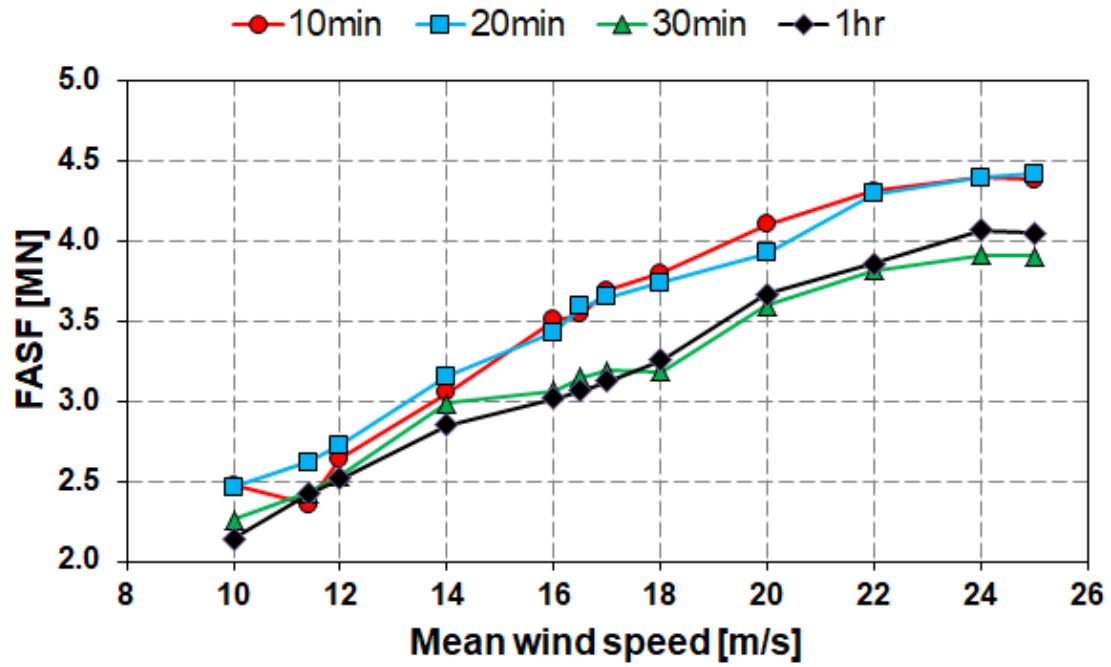
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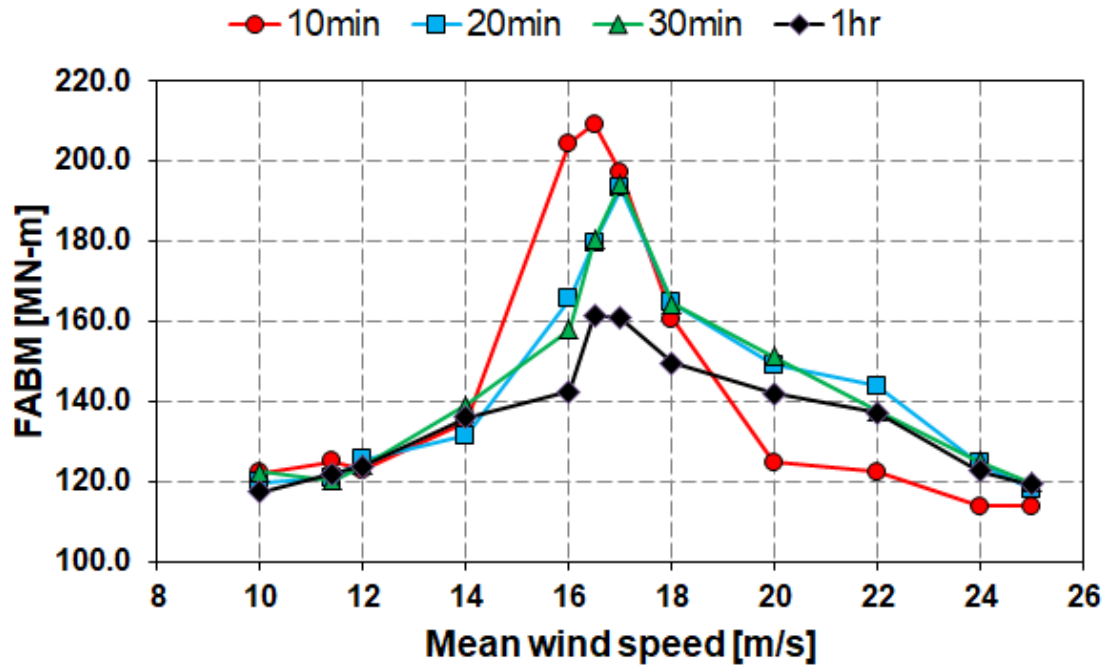
Most probable 50-yr extreme



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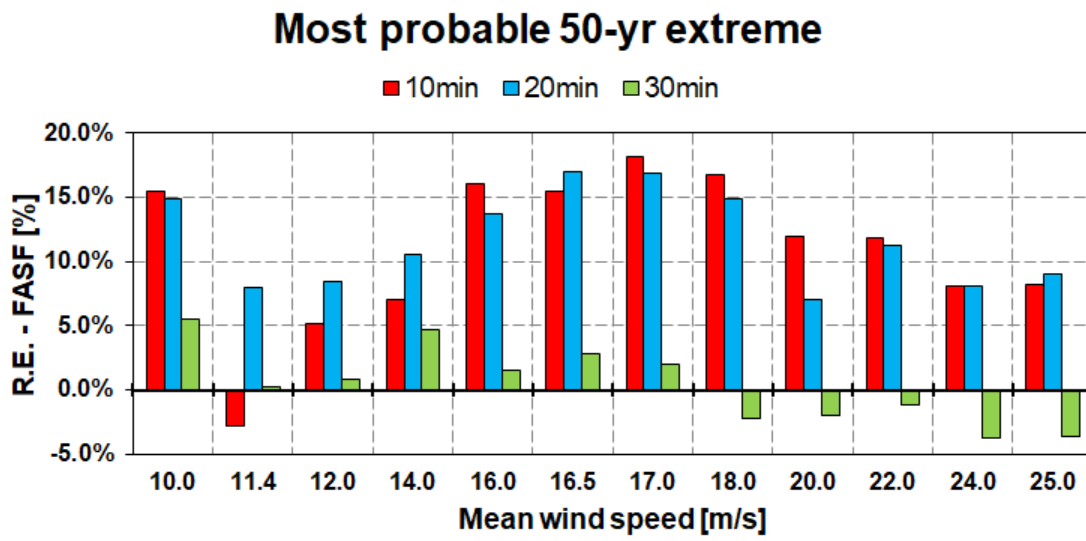
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Most probable 50-yr extreme



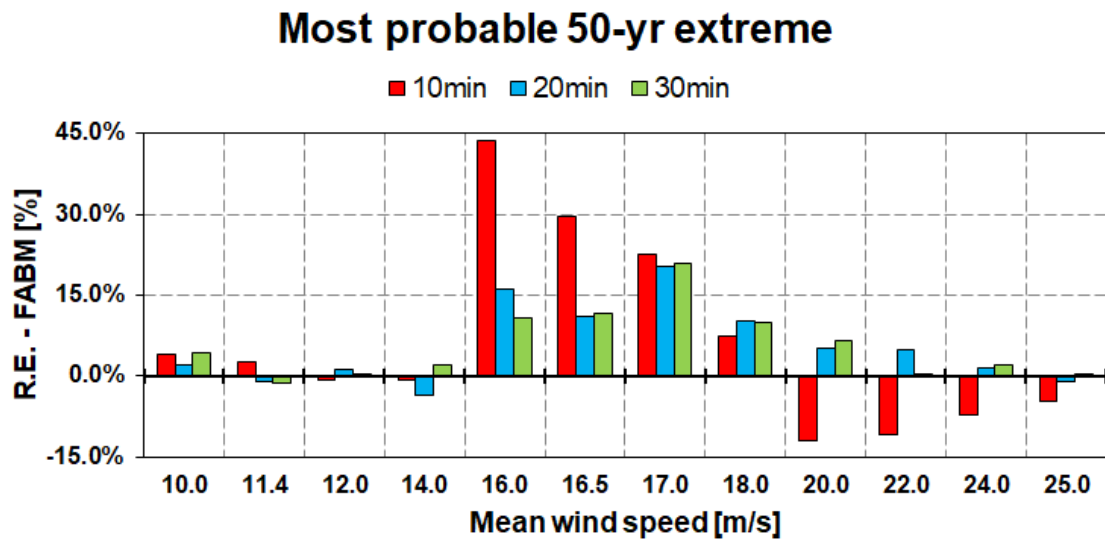
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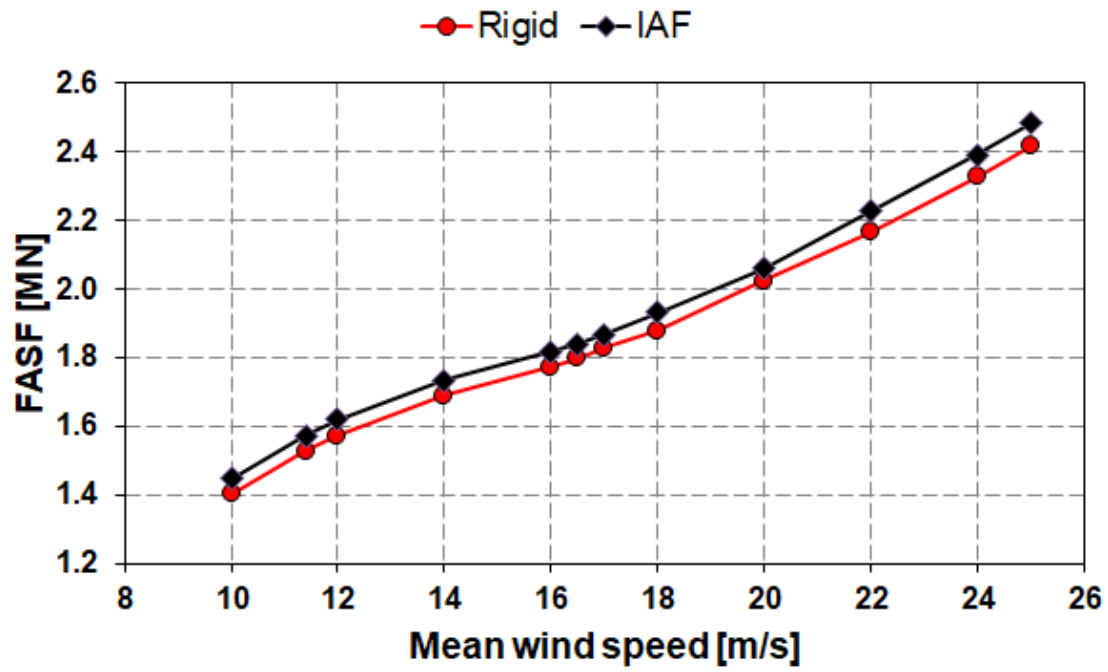
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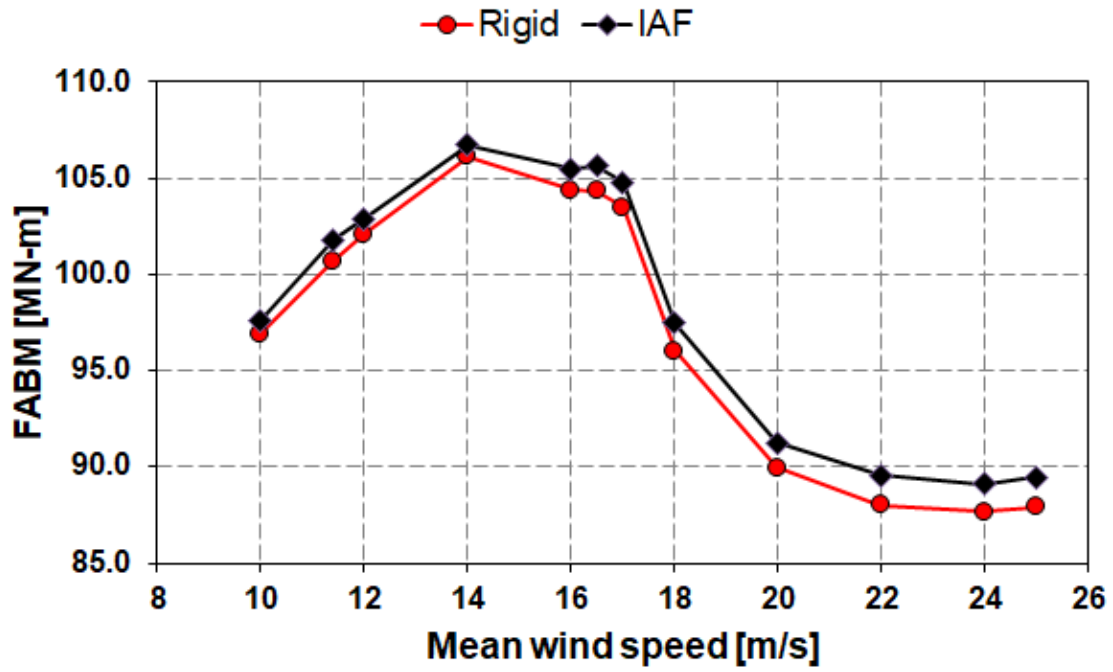
Most probable 1-hr extreme



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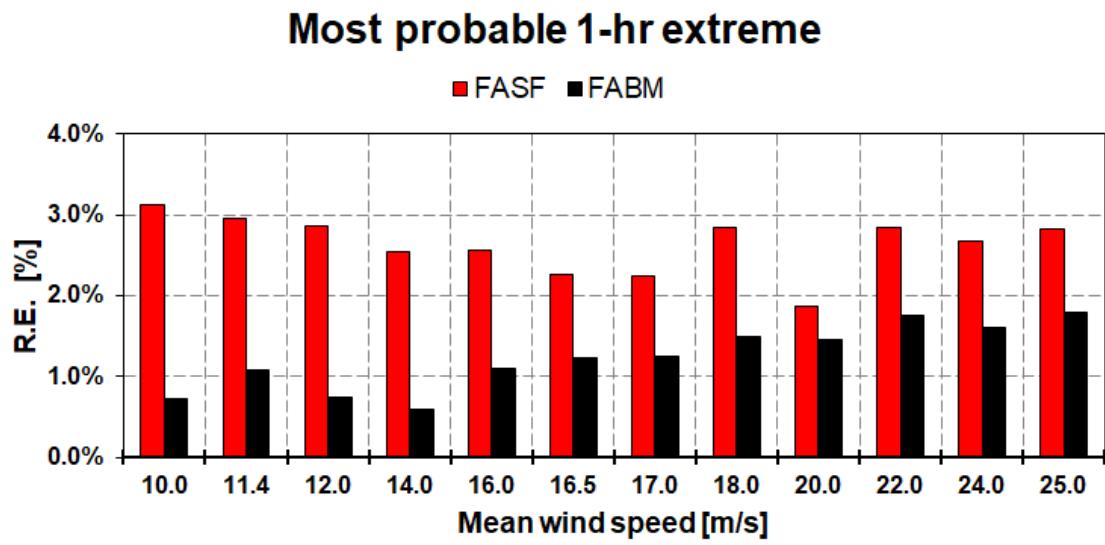
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Most probable 1-hr extreme



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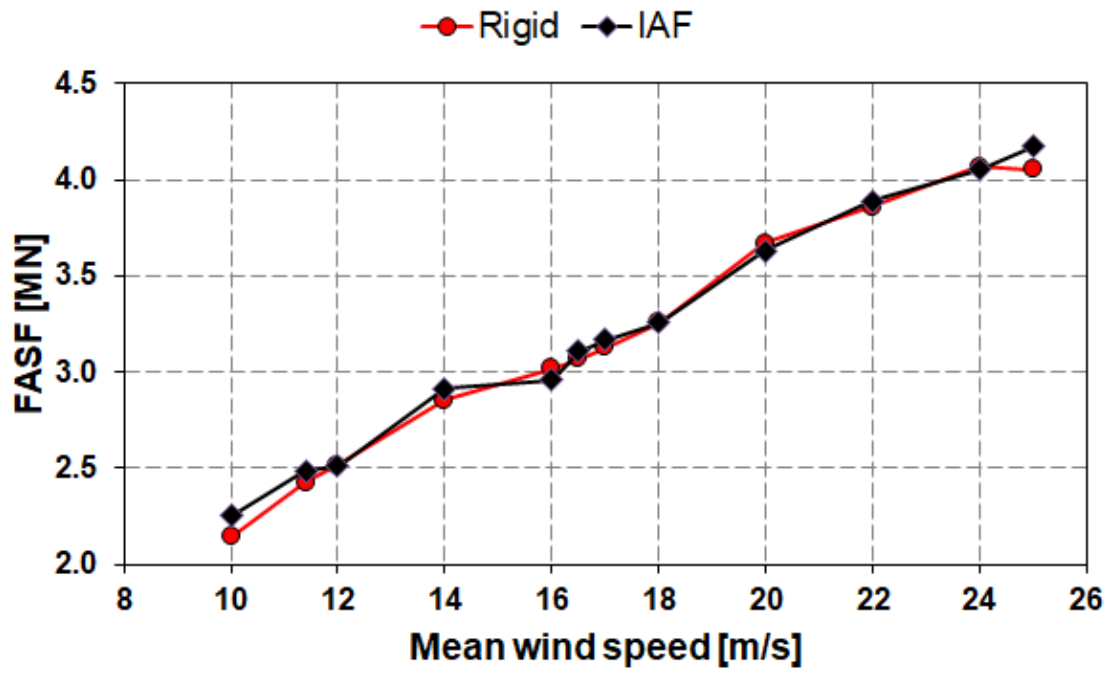


716

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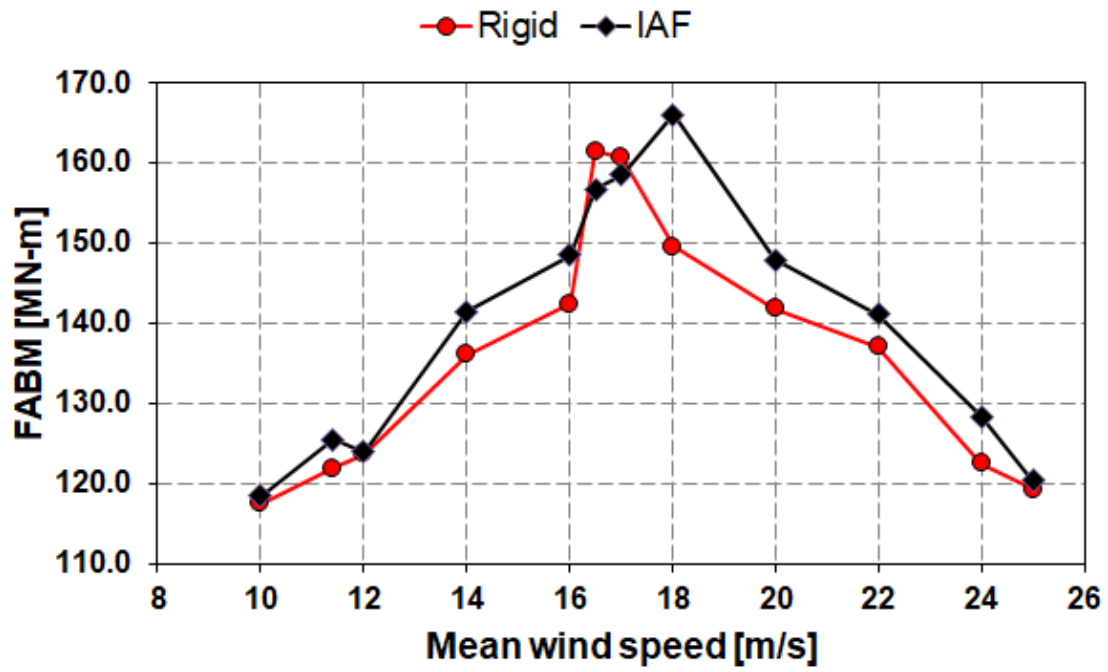
Most probable 50-yr extreme



719
720

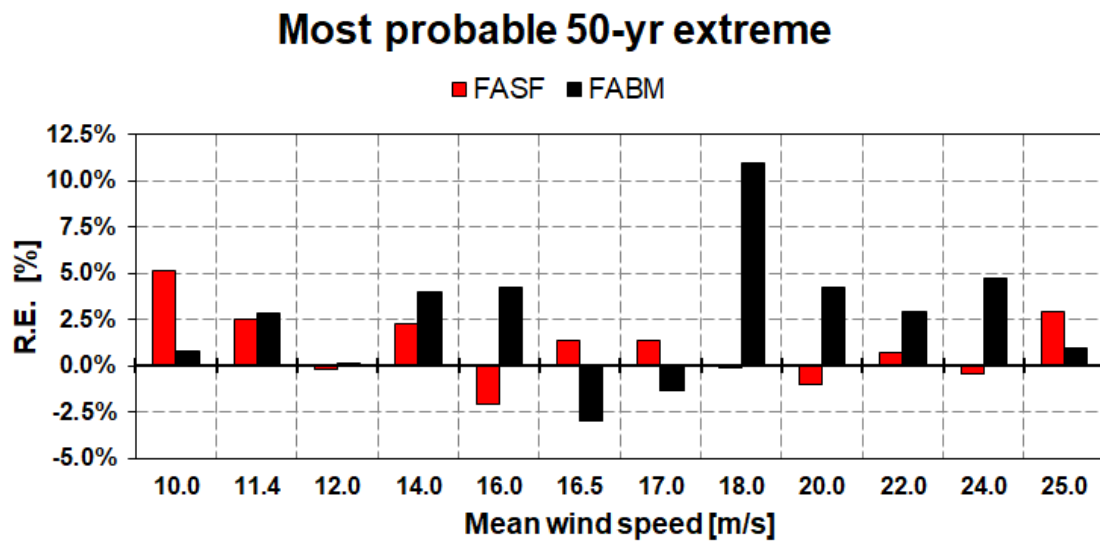
721

Most probable 50-yr extreme



722
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Range of p-value	Quantity of cases
0.05 - 0.10	18
0.10 - 0.60	68
0.60 - 0.99	34

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Environmental Condition	U_w [m/s]	H_s [m]	T_p [s]
1	10.00	1.564	6.969
2	11.40	1.801	6.996
3	12.00	1.908	7.016
4	14.00	2.280	7.112
5	16.00	2.678	7.247
6	16.50	2.781	7.287
7	17.00	2.886	7.328
8	18.00	3.100	7.415
9	20.00	3.545	7.610
10	22.00	4.011	7.828
11	24.00	4.497	8.067
12	25.00	4.748	8.194

50yr conditions for site 15:

$$U_w=27.20 \text{ m/s @10m } H_s=8.66 \text{ m } T_p=6.93 \text{ s}$$

732

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Beam	L [m]	D [m]	Thickness [m]	I [m ⁴]	E [N/m ²]
1	19.88	6.00	0.06	5.089	1.743x10 ¹²
2	5.00	6.00	0.06	5.089	1.388x10 ¹¹

735