

Impact of sex on severe asthma: a cross-sectional retrospective analysis of UK primary and specialist care

Loewenthal, L., Busby, J., McDowell, R., Brown, T., Burhan, H., Chaudhuri, R., Dennison, P., Dodd, J. W., Doe, S., Faruqi, S., Gore, R., Idris, E., Jackson, D. J., Patel, M., Pantin, T., Pavord, I., Pfeffer, P. E., Price, D. B., Rupani, H., ... Menzies-Gow, A. (2024). Impact of sex on severe asthma: a cross-sectional retrospective analysis of UK primary and specialist care. Thorax, 79(5), 403-411. https://doi.org/10.1136/thorax-2023-220512

Published in:

Thorax

Document Version: Peer reviewed version

Queen's University Belfast - Research Portal: Link to publication record in Queen's University Belfast Research Portal

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1 Title

The impact of sex on severe asthma: a cross-sectional retrospective analysis of UK primary and
 specialist care

4

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45 ABSTRACT

46

Introduction: After puberty, females are more likely to develop asthma and in a more severe form
than males. The associations between asthma and sex are complex with multiple intrinsic and external
factors.

50

51 **Aim:** To evaluate the sex differences in the characteristics and treatment of patients with severe 52 asthma (SA) in a real-world setting.

53

54 **Methods:** Demographic, clinical and treatment characteristics for patients with SA in the UK Severe 55 Asthma Registry (UKSAR) and Optimum Patient Care Research Database (OPCRD) were retrospectively 56 analysed by sex using univariable and multivariable logistic regression analyses adjusted for year, age, 57 and hospital/practice.

58

59 Results: 3,679 (60.9% female) patients from UKSAR and 18,369 patients (67.9% female) from OPCRD 60 with SA were included. Females were more likely to be symptomatic with increased Asthma Control 61 Questionnaire-6 (UKSAR aOR: 1.14, 95% CI: 1.09, 1.18) and RCP-3 Question scores (OPCRD aOR: 1.29: 62 1.13, 1.47). However, they had a higher FEV₁% predicted (UKSAR 68.7% vs. 64.8%, p<0.001) with no 63 significant difference in peak expiratory flow. Type-2 biomarkers IgE (UKSAR 129IU/ml vs. 208IU/ml, 64 p<0.001) and FeNO (UKSAR 36ppb vs. 46ppb, p<0.001) were lower in females with no significant 65 difference in blood eosinophils or biologic therapy. Females were less likely to be on maintenance OCS 66 (UKSAR aOR 0.86: 0.75, 0.99) but more likely to be obese (UKSAR aOR 1.67: 145, 1.93; OPCRD SA aOR: 67 1.46: 1.34, 1.58).

68

Conclusions: Females had increased symptoms and were more likely to be obese despite higher FEV₁%
 predicted and lower type-2 biomarkers with consistent and clinically important differences across
 both datasets.

73 What is already known on this topic

Severe asthma is more common in females. It is associated with different disease characteristics
between the sexes, including females having a higher symptom burden and lower expression of type2 biomarkers.

77

78 What this study adds

Males and females with severe asthma have significant clinical differences in their asthma symptoms, healthcare utilisation, type-2 biomarkers, and associated comorbidities. These differences have been demonstrated in a large well characterised and robust real-world cohorts across both specialist and primary care adding understanding to the sex differences of specific clinical characteristics in severe asthma.

85 $\,$ How this study might affect research, practice, or policy $\,$

86 Understanding the different characteristics associated with severe asthma between males and

87 females is essential in establishing personalised care for patients and focusing future research on the

- 88 mechanisms underlying the differences seen.
- 89

90 INTRODUCTION

91 Asthma has an estimated global prevalence of over 350 million[1] with 15.6% of the UK population 92 being diagnosed in their lifetime[2]. This includes approximately 3-10% with severe asthma (SA)[3], 93 many of whom are potentially hidden in primary care[4]. Despite its relatively small proportion, SA 94 accounts for the majority of morbidity and economic costs associated with asthma[5, 6]. Severe 95 asthma is defined by the European Respiratory Society/ American Thoracic Society (ERS/ATS) as 96 asthma requiring treatment with high-dose inhaled corticosteroids plus a second controller (and/or 97 systemic corticosteroids) to prevent it from becoming 'uncontrolled' or which remains 'uncontrolled' 98 despite this therapy[3].

99

100 Asthma, which is characterised by chronic airway inflammation, remodelling and hyperresponsiveness 101 with variable airflow obstruction and respiratory symptoms, is a heterogeneous disease in both 102 pathogeneses and clinical characteristics. Whilst asthma prevalence of all severities is higher in males 103 at prepuberty, the switch to a female predominance by adulthood is well established[7, 8]. 104 Furthermore, females are more likely to develop asthma in their lifetime and in a more severe form 105 than their male counterparts[1]. The associations between asthma and sex are, however, complex. 106 Shifts in the sex prevalence of asthma coincide with changes in sex hormones suggesting a potential 107 role in asthma pathogenesis[9, 10], however, epidemiological studies have been inconclusive[11]. 108 Further factors, including sex and gender-associated exposures and behaviours such as occupation, 109 smoking, healthcare utilisation and access, alongside genetic and epigenetic factors also influence the 110 relationship between asthma and sex[8].

111

112 Despite a growing understanding of the complex and important relationship between the intrinsic and 113 external factors associated with sex and asthma there is little understanding of the real-world 114 differences seen in clinical practice. Previous studies have attempted to phenotype patients with SA 115 through multivariate cluster analysis, identifying clusters supporting the complex and heterogenous 116 relationship between asthma and sex[12]. Analysis from the UBIOPRED cohort identified a cluster of 117 predominantly obese female patients with SA who had frequent exacerbations but near-normal lung 118 function[13]. Type-2 (T2) asthma, which is driven by allergic and/ or eosinophilic pathways has been 119 found to have a male predominance in further SA cohorts[14-16], and a male predominant cluster 120 with SA, nasal polyps, eosinophilia, and high dose corticosteroid use was previously identified from 121 the SARP programme[17]. These T2 pathways, which can be identified through biomarkers such as 122 FeNO, IgE and blood eosinophils respond to corticosteroid therapy and can be targeted through

biological therapy in uncontrolled SA[18]. It is therefore important to understand the differences in
 disease characteristics and T2 markers between males and females for diagnostic and personalised
 treatment pathways to be developed in SA.

126 The effect of T2 biomarker guided therapy can also be impacted by sex. A post-hoc analysis by sex of 127 the Refractory Asthma Stratification Programme (RASP-UK) biomarker study found a greater 128 proportion of females with SA were able to reduce their corticosteroid dose using a T2 biomarker 129 algorithm when compared to standard care, a difference not seen in males [19]. This study found a 130 dissociation between the sexes in symptoms and T2 biomarkers with a higher proportion of females 131 to be symptom high/ T2 biomarker low whilst males were symptom low/T2 biomarker high. The 132 differences in self-reported symptoms were also shown to be mediated by obesity or a history of 133 depression/ anxiety. Such findings demonstrate the importance of understanding sex differences in 134 the delivery of SA therapy. However, the current literature does not address the need to provide real-135 world comparison of the differences in the disease and treatment between males and females with 136 SA.

137

This study aims to evaluate the sex differences in disease characteristics, symptom control, exacerbations, biological phenotypes, and treatment in patients with SA using a retrospective epidemiological approach.

141

142 **METHODS**

143 Study Population

This is a retrospective epidemiological study using cohorts from two datasets. The UK Severe Asthma Registry (UKSAR) is a national database containing demographic, clinical and treatment characteristics on patients referred to specialist UK SA centres with SA[20]. All patients provide written informed consent and the UKSAR has database ethical approval from the Office of Research Ethics Northern Ireland (15/NI/0196). Patients have undergone systematic assessment and those diagnosed with SA according to the ERS/ATS criteria[21] were included in this analysis.

150

The Optimum Patient Care Research Database (OPCRD) is a UK nationally-representative pseudonymised dataset of 18 million patients registered at 1000 general practices within the UK (24% of the UK population)[22]. The OPCRD is approved by the UK National Health Service for clinical research use (15/EM/0150). It contains information on patient demographics, clinical diagnoses,

medication prescriptions and referrals coded through the Read and SNOMED classification systems.
To prevent time-window bias[23], a standard one-year window was used to assess outcomes for all
patients. Those with less than one year of eligible follow-up time were excluded from the study. A
one-year ascertainment period was randomly chosen for patients with more than one year's eligible
follow-up time. To increase the comparability of our cohort, those with an alternative respiratory
diagnosis in the three years prior to inclusion were also excluded.

161

SA in the OPCRD cohort was defined according to GINA 2018[24] criteria as those who remained
 uncontrolled (≥2 exacerbations within a year) on step 4 treatment or who require maintenance oral
 corticosteroids (OCS) to achieve control.

165

166 Exposures, Outcomes and Covariates

The primary outcomes of interest were T2 biomarkers (blood eosinophils, fractional exhaled nitric oxide [FeNO] and immunoglobulin E [IgE]), lung function (forced expiratory volume in the first second [FEV1], forced vital capacity [FVC] and peak expiratory flow [PEF]), asthma control, asthma phenotype (atopy), asthma medications (treatment adherence, maintenance oral corticosteroid [OCS] use, biologic therapy use), healthcare utilisation (exacerbations, emergency department [ED] attendance, hospital admission, asthma review and respiratory referral) and comorbidities. Outcome measurements were all taken at baseline prior to the initiation of biologic therapy.

174

175 Lung function recordings were taken as raw measurements and percent predicated calculated using 176 the formula by Knudson et al[25] for PEF and Global Lung Function Initiative[26] for FEV₁ and FVC. 177 Asthma control was measured by the Asthma Control Questionnaire-6 (ACQ6)[27] in the UKSAR and 178 Royal College of Physicians-3 Questions (RCP 3Q)[28] in the OPCRD. Treatment adherence was 179 assessed using the fixed medication possession ratio (MPR) of inhaled corticosteroids (ICS) during the 180 ascertainment period. Good adherence was defined as an MPR of greater than or equal to 70%. 181 Obesity was defined as a BMI of 30kg/m² or greater. Comorbidities in the OPCRD cohort were 182 identified through Read codes, which were used to identify a list based on the Charlson comorbidity 183 index[29], depression/ anxiety and those related to systemic corticosteroid exposure[30]. Full details 184 of the variables used in the analysis, including the time-period in which they were assessed, are 185 provided in Supplement table 1. UKSAR baseline data was collected at the time of registration, prior 186 to biologic therapy being started, and follow-up data collected annually.

188 Statistical Analysis

189 This was a complete case analysis using all available data from the UKSAR and OPCRD. We calculated 190 descriptive statistics and compared the demographic and clinical characteristics of male and female 191 patients. Various statistical models were used depending on the distribution of the outcome variable 192 including logistic (e.g. atopy, maintenance OCS use, any ED attendance, uncontrolled asthma) and 193 Poisson (e.g. number of exacerbations) models. To aid interpretation and comparability across 194 outcomes, all results are shown as ratios (continuous variables), odds ratios (binary variables) or risk 195 ratios (count variables). Consequently, we used gamma generalised linear models with a log link 196 function to analyse continuous outcomes. Multivariable analyses adjusted for demographic factors 197 were conducted accounting for age (5-year categories) and year. The UKSAR analysis additionally 198 adjusted for hospital site, while the clustering of patients within GP practices in the OPCRD was 199 accounted for using cluster robust standard errors. We chose this limited set of adjustment variables 200 to prevent any overadjustment bias, whereby adjustment is made for variables which lie on the causal 201 path between sex and outcomes, to ensure that we captured the full magnitude of any sex 202 disparities[31].. For example, adjustment for socioeconomic status within our methods could 203 lead us to exclude gender disparities driven by socioeconomic disadvantage among females. 204 We accounted for clustering within hospitals using fixed-effect in the UKSAR, and clustering within 205 practices in the OCPRD using cluster robust standard errors, while fixed-effects where used to account 206 for clustering within hospitals in the UKSAR due to a much smaller number of sites.'

207

208 Sensitivity and supplementary analysis

209 Sensitivity analysis was performed using patients with mild to moderate asthma from the OPCRD 210 cohort to assess the potential impact of disease severity on our findings. Mild/ moderate asthma was 211 defined as patients with a diagnosis of asthma on GINA step 2-3 therapy[24]. Those patients who had 212 required OCS within the last 12 months were excluded from the mild/ moderate asthma group to 213 provide a clear comparator, avoiding patients with underlying SA whose therapy had not been stepped 214 up. All patients with alternative respiratory diagnoses were excluded. We investigated potential 215 mediation due to BMI (categorised as <25, 25-30, \geq 30 kg/m²), depression/ anxiety and smoking status 216 using the methods of Baron and Kenny[32] to understand the extent to which they may mediate 217 gender disparities. A directed acyclic graph displaying the assumed relationships between the variables 218 included within our mediation analysis is provided in Supplementary figure 1.

220 **RESULTS**

221 Cohort Demographics

- 222 The UKSAR analysis contained 3,679 patients (2,242 [60.9%] females) with SA from 17 specialist
- secondary-care clinical centres, whilst the OPCRD analysis contained 18,369 patients (12,468 [67.9%]
- females) with SA within primary care. Details of the study flow diagram can be seen in Supplement
- figure 2). Patients in the UKSAR cohort were on higher doses of ICS than SA patients from the OPCRD
- 226 cohort (median 2000 vs. 1000 BDP). Patient demographics and clinical characteristics are shown in
- tables 1 and 2, whilst details of the multivariable analysis are in supplement table 2 and 3.

Table 1. Comparison of female and male patients with severe asthma in the UK Severe Asthma

229 Registry

| Characteristic | Female (n =2,242) | Male (n = 1,437) | P-value |
|--|----------------------|---------------------|---------|
| Age at baseline assessment ^a | 48.9 (15.3) | 54.0 (14.1) | < 0.001 |
| <35 | 464 (20.7%) | 157 (10.9%) | |
| 35-54 | 907 (40.5%) | 535 (37.3%) | |
| 55-74 | 792 (35.4%) | 668 (46.5%) | |
| 75+ | 77 (3.4%) | 76 (5.3%) | |
| Ethnicity ^b | | | 0.094 |
| Caucasian | 1,808 (81.8%) | 1,189 (83.7%) | |
| Southeast Asian | 83 (3.8%) | 58 (4.1%) | |
| Northeast Asian | 43 (1.9%) | 30 (2.1%) | |
| African | 73 (3.3%) | 25 (1.8%) | |
| Mixed | 15 (0.7%) | 11 (0.8%) | |
| Other | 187 (8.5%) | 107 (7.5%) | |
| Age at onset of symptoms ^a | 22.8 (18.4) | 29.1 (21.5) | < 0.001 |
| FEV ₁ (% predicted) ^a | 68.7 (21.1) | 64.8 (21.0) | <0.001 |
| FVC (% predicted) ^a | 83.6 (19.2) | 84.4 (19.2) | 0.248 |
| FEV ₁ / FVC ratio ^b | | | < 0.001 |
| <70% | 1,182 (56.6%) | 988 (73.3%) | |
| >70% | 907 (43.4%) | 359 (26.7%) | |
| KCO (% predicted) ^a | 94.7 (32.9) | 102.6 (20.4) | < 0.001 |
| ACQ6 score ^a | 3.1 (1.3) | 2.6 (1.4) | <0.001 |
| Uncontrolled asthma (ACQ6 >1.5) ^b | 1,528 (85.6%) | 850 (75.7%) | < 0.001 |
| Courses of rescue steroids in last year ^b | | | < 0.001 |
| 0 | 178 (8.2%) | 185 (13.4%) | |
| 1 | 142 (6.6%) | 106 (7.7%) | |
| 2 | 163 (7.5%) | 107 (7.8%) | |
| 3 | 205 (9.5%) | 161 (11.7%) | |
| ≥4 | 1,477 (68.2%) | 820 (59.5%) | |
| ED attendances for asthma (last year) ^c | 0 (0,1) | 0 (0,1) | <0.001 |
| Any ED Attendance (last Year) ^b | 808 (38.3%) | 383 (28.7%) | <0.001 |
| Any hospital admissions (last Year) ^b | 884 (40.9%) | 417 (30.5%) | <0.001 |
| On maintenance OCS ^b | 1,045 (46.9%) | 747 (52.3%) | 0.001 |
| Maintenance OCS (mg) ^c | 10 (8,20) | 10 (8,15) | 0.026 |
| ICS dose (BDP equivalent-ug) ^c | 2000 (1600,2000) | 2000 (1600,2000) | 0.074 |
| Treatment adherent ^b | 1,713 (81.5%) | 1,081 (80.6%) | 0.491 |
| On biologic therapy ^b | 1,608 (72.4%) | 1,044 (73.3%) | 0.553 |

| Anti-IL5/ 5RA | 1,184 (80.9%) | 804 (84.9%) | |
|--|------------------|------------------|--------|
| Anti-IgE | 274 (18.7%) | 140 (14.8%) | |
| Anti-IL4/13 | 5 (0.3%) | 3 (0.3%) | |
| Other | 1 (0.1%) | 0 (0.0%) | |
| FeNO (ppb) ^c | 36 (18,66) | 46 (26,81) | <0.001 |
| Blood eosinophil count (10 ⁹ /L) ^c | 0.37 (0.20,0.60) | 0.40 (0.20,0.61) | 0.1 |
| Highest blood eosinophil count (10 ⁹ /L) ^b | | | 0.394 |
| <0.150 | 483 (22.3%) | 295 (21.2%) | |
| 0.150-0.300 | 328 (15.1%) | 197 (14.2%) | |
| >0.300 | 1359 (62.5%) | 900 (66.7%) | |
| BMI (Kg/m ²) ^a | 31.5 (7.8) | 29.5 (5.8) | <0.001 |
| Normal/ underweight (<24.9) | 453 (21.1%) | 278 (20.4%) | |
| Overweight (25-29.9) | 559 (26.1%) | 538 (39.4%) | |
| Obese (≥30 Kg/m²) | 1,132 (52.8%) | 548 (40.2%) | |
| Smoking status ^b | | | <0.001 |
| Never smoked | 1,483 (67.5%) | 861 (61.3%) | |
| Ex-smoker | 603 (27.5%) | 500 (35.6%) | |
| Current smoker | 110 (5.0%) | 44 (3.1%) | |
| Comorbidities ^b | | | |
| Atopic disease | 1,210 (55.6%) | 739 (52.9%) | 0.113 |
| Depression/ anxiety | 219 (9.8%) | 90 (6.3%) | <0.001 |
| Nasal polyps | 249 (11.1%) | 242 (16.8%) | <0.001 |

231 Data is calculated as mean (SD) using t-test (^a), count (%) with chi-square (^b) and median (IQR) with

232 Man-Whitney U (^c) statistical tests.

- **Table 2**. Comparison of female and male patients with severe asthma in the Optimum Patient Care
- 235 Research Database

| Characteristic | Female (N = 12,468) | Male (N = 5,901) | P-value |
|---|------------------------|---------------------|---------|
| Age (years) ^a | 56.1 (16.4) | 57.2 (15.8) | < 0.001 |
| <35 | 1,393 (11.2%) | 549 (9.3%) | |
| 35-54 | 4,507 (36.1%) | 2,049 (34.7%) | |
| 55-74 | 4,779 (38.3%) | 2,475 (41.9%) | |
| 75+ | 1,789 (14.3%) | 828 (14.0%) | |
| Ethnicity ^b | | | 0.275 |
| White | 8,404 (95.4%) | 3,920 (94.7%) | |
| Mixed | 22 (0.2%) | 12 (0.3%) | |
| Asian | 309 (3.5%) | 160 (3.9%) | |
| Black | 43 (0.5%) | 22 (0.5%) | |
| Other | 32 (0.4%) | 25 (0.6%) | |
| Index of multiple deprivation (quintile) ^b | | | 0.072 |
| 5 (Least deprived) | 2,563 (20.7%) | 1,281 (21.9%) | |
| 4 | 2,420 (19.5%) | 1,200 (20.5%) | |
| 3 | 2,275 (18.4%) | 1,075 (18.4%) | |
| 2 | 3,378 (27.3%) | 1,513 (25.8%) | |
| 1 (Most deprived) | 1,743 (14.1%) | 788 (13.5%) | |
| Peak flow (% predicted) ^c | 77.2 (62.7,91.4) | 76.4 (59.4,91.5) | 0.007 |
| Uncontrolled (RCP 3 questions) ^b | 2,255 (56.0%) | 950 (51.2%) | < 0.001 |
| Exacerbations ^c | 1.0 (0.0,2.0) | 1.0 (0.0,2.0) | < 0.001 |
| Any exacerbations ^b | 7,018 (56.3%) | 3,109 (52.7%) | <0.001 |
| Prior exacerbations ^b | | | 0.007 |
| 0 | 0 (0.0%) | 0 (0.0%) | |
| 1 | 0 (0.0%) | 0 (0.0%) | |
| 2 | 7,000 (56.1%) | 3,458 (58.6%) | |
| 3 | 2,545 (20.4%) | 1,146 (19.4%) | |
| 4+ | 2,923 (23.4%) | 1,297 (22.0%) | |
| ICS dose (BDP equivalent-ug) ^c | 1000 (1000,2000) | 1000 (1000,2000) | < 0.001 |
| Treatment step (GINA 2018) ^b | | | 0.055 |
| 4 | 10,343 (83.0%) | 4,962 (84.1%) | |
| 5 | 2,125 (17.0%) | 939 (15.9%) | |
| Asthma review ^b | 5,695 (45.7%) | 2,646 (44.8%) | 0.287 |
| Respiratory referral ^b | 936 (7.5%) | 416 (7.0%) | 0.267 |
| Medication possession ratio fixed (%) ^c | 48.8 (24.6,82.0) | 49.9 (27.3,82.0) | < 0.001 |
| Treatment adherent (MPR ≥70%) ^b | 3,841 (31.6%) | 1,930 (33.5%) | 0.014 |

| Blood Eosinophil Count (10 ⁹ /L) ^c | 0.20 (0.10,0.31) | 0.23 (0.13,0.40) | <0.001 |
|--|------------------|------------------|---------|
| Highest blood eosinophil count (10 ⁹ /L) ^b | | | <0.001 |
| <0.150 | 2,180 (32.8%) | 686 (26.8%) | |
| 0.150-0.300 | 2,778 (41.9%) | 1,029 (40.2%) | |
| >0.300 | 1,679 (25.3%) | 843 (33.0%) | |
| BMI (Kg/m ²) ^a | 30.0 (7.0) | 28.8 (5.5) | <0.001 |
| Underweight (<18.5) | 174 (1.7%) | 47 (1.0%) | |
| Normal weight (18.5-24.9) | 2,578 (24.5%) | 1,182 (24.1%) | |
| Overweight (25-29.9) | 3,143 (29.8%) | 1,927 (39.3%) | |
| Obese (≥30) | 4,640 (44.0%) | 1,742 (35.6%) | |
| Smoking status ^b | | | < 0.001 |
| Never smoked | 6,511 (53.4%) | 2,639 (45.6%) | |
| Ex-smoker | 3,415 (28.0%) | 2,236 (38.7%) | |
| Current smoker | 2,273 (18.6%) | 910 (15.7%) | |
| Comorbidities ^b | | | |
| Atopic dermatitis | 1,540 (12.4%) | 777 (13.2%) | 0.120 |
| Atopic disease | 2,217 (17.8%) | 1,048 (17.8%) | 0.971 |
| Allergic rhinitis | 1,439 (11.5%) | 599 (10.2%) | 0.005 |
| Cataracts | 314 (2.5%) | 129 (2.2%) | 0.170 |
| Depression/ anxiety | 1,990 (16.0%) | 512 (8.7%) | < 0.001 |
| Diabetes | 1,150 (9.2%) | 591 (10.0%) | 0.087 |
| Nasal polyps | 157 (1.3%) | 189 (3.2%) | < 0.001 |
| Osteoporosis | 373 (3.0%) | 62 (1.1%) | <0.001 |

Data is calculated as mean (SD) using t-test (^a), count (%) with chi-square (^b) and median (IQR) with
 Man-Whitney U (^c) statistical tests.

239

240 Asthma clinical characteristics and outcomes

241 Females from the UKSAR database had an earlier average age of onset of symptoms (22.8 years vs. 242 29.5 years; p<0.001), and average age of first assessment at a UKSAR centre than males (48.9 years in 243 vs. 54.0 years, p<0.001). In adjusted analyses, of uncontrolled asthma were higher among females 244 than males (figure 1, tables 1 and 2) as measured using the ACQ6 in UKSAR (adjusted odds ratio [aOR]: 245 1.8, 95% confidence interval [CI]: 1.47, 2.19) or the RCP 3Q in OPCRD SA (aOR: 1.29, 95% CI: 1.13, 246 1.47). Females in the UKSAR cohort had higher ACQ6 scores 6 (UKSAR aOR: 1.14, 95% CI: 1.09, 1.18), 247 demonstrating increased symptoms and lower asthma control, across all domains with a clinically 248 significant, unadjusted difference of 0.5 (3.1 vs. 2.6, p<0.001).

Exacerbation rates were higher in females than males across all cohorts (UKSAR IRR: 1.13, 95% CI:
1.10, 1.17; OPCRD IRR: 1.06, 95% CI: 1.00, 1.12). Secondary healthcare utilisation was also increased
with females in the UKSAR dataset more likely to report a hospital admission (aOR: 1.46 95% CI: 1.26,
1.70) or ED attendance (aOR: 1.37, 95% CI: 1.17, 1.60) in the last year. In primary care there was no
evidence of differences in asthma reviews (OPCRD SA aOR: 1.07, 95% CI: 0.99, 1.16) or differences in
asthma referrals (OPCRD SA aOR: 1.09, 95% CI: 0.94, 1.2).

256

257 FEV₁ percent predicted was higher in females with SA (UKSAR adjusted ratio: 1.05, 95% CI: 1.03, 1.07)

representing a difference of 3.9% in absolute terms (68.7% vs. 64.8%, p<0.001). In primary care there

was no evidence of significant differences in PEF (adjusted ratio: 1.01, 95% CI: 1.00, 1.03).

260

261 Type-2 Biomarkers

262 Multivariable analysis found no evidence of a difference between sexes in baseline blood eosinophil count in the UKSAR dataset (adjusted ratio: 0.94, 95% CI: 0.88, 1.01), however, eosinophil counts were 263 264 lower in females for the OPCRD SA dataset (adjusted ratio: 0.85, 95% CI: 0.82, 0.89 (figure 1, tables 1 265 and 2,). Similarly, eosinophil counts were lower in females when looking specifically at prevalence of 266 eosinophils greater than 0.3 x10⁹/L in OPCRD SA (25.3% vs. 33%) cohort but did not differ significantly 267 in the UKSAR dataset. Female UKSAR patients also had lower levels of the T2 biomarkers IgE (adjusted 268 ratio: 0.63, 95% CI: 0.56,0.72) and FeNO (adjusted ratio: 0.79, 95% CI: 0.74, 0.85). In absolute terms, 269 FeNO levels in females were on average 10ppb less than males (36ppb vs. 46ppb, p<0.001), whilst IgE 270 was 79 IU/ml lower (129 IU/ml vs. 208 IU/ml, p<0.001).

271

272 Corticosteroid and biological therapy

In multivariable analysis, females in the UKSAR cohort were less likely to be on maintenance OCS (aOR:
0.86, 95% CI: 0.75, 0.99). No evidence of a difference in treatment adherence was found (UKSAR aOR:
1.20, 95% CI 0.97, 1.49; OPCRD SA aOR: 0.96, 95% CI: 0.88, 1.04). Fixed medication possession ratio of
inhaled corticosteroids between females and males was similar in the OPCRD SA (48.8% vs 49.9%,
p<0.001) group (figure 1, tables 1 and 2).

There was no evidence of a difference in the proportion of females and males on biological treatment(OR: 1.07, 95% CI: 0.89, 1.29).

281

282 **Comorbidities and lifestyle**

A higher proportion of female patients were found to be obese (figure 1, tables 1 and 2) in both the UKSAR (aOR: 1.67; 95% CI: 1.45, 1.93) and OPCRD SA (aOR: 1.46, 95% CI: 1.34, 1.58) cohorts. In terms of smoking, females were significantly less likely to have smoked (UKSAR aOR: 0.78, 95% CI: 0.67, 0.90; OPCRD SA aOR: 0.71. 95% CI: 0.65, 0.76). However, a higher proportion of females were current smokers in both the UKSAR (5% vs. 3.1%, p <0.001) and OPCRD SA (18.6% vs. 15.7%, p<0.001) groups.

288

Females were less likely to have nasal polyps compared to males in both datasets (UKSAR: 11.1% vs 16.8%; OPCRD: SA 1.3% vs 3.2%, p<0.001). There was no significant difference in atopic disease in the UKSAR (aOR: 0.96, 95% CI: 0.83, 1.11) or OPCRD SA (aOR: 1.04, 95% CI: 0.94, 1.15) groups. Allergic rhinitis was, however, more common in females (OPCRD SA 11.5% vs 10.2%, p=0.005).

293

Females were more likely than males to be suffering from depression and/ or anxiety in both datasets (UKSAR aOR: 1.55, 95% CI: 1.18, 2.02; OPCRD SA aOR: 1.88, 95% CI: 1.65, 2.14). Females in OPCRD SA had a higher prevalence of osteoporosis (3% vs 1%, p<0.001), however no significant sex difference was seen with other corticosteroid associated comorbidities, Including diabetes or cataracts.

298

299 Sensitivity and supplementary analysis

300 The OPCRD analysis included 54,150 (30,946 [57.1%] females) with mild/ moderate asthma 301 (Supplement figure 3). Results from this mild/ moderate OPCRD cohort (Supplement table 4) were 302 generally in line with the SA cohorts (Supplement table 2 and 3), revealing similar disparities. However, 303 females were significantly more likely to have asthma reviews (aOR: 1.13, 95% CI: 1.09, 117) and atopic 304 disease (aOR 1.17, 95% CI:1.11, 1.22) in the sensitivity analysis with no significant difference in the 305 OPCRD SA cohort. Furthermore, females in the mild/ moderate group were also more likely to have 306 exacerbations (IRR: 1.38, 95% CI: 1.31, 1.46), which was also seen with SA in the UKSAR but was not 307 significant in the OPCRD SA group.

309 Mediation analysis found the disparities in asthma control, exacerbations, and ED attendance to 310 persist even after adjustment for BMI, smoking status and co-existing depression/ anxiety 311 (Supplement figure 4).

312

313 **DISCUSSION**

The analysis of these cohorts across two independent data sources and spanning UK primary and secondary care found females with asthma to have worse asthma symptoms of asthma control, increased exacerbation rates and obesity compared with their male counterparts. The inclusion of the OPCRD demonstrates the applicability of the UKSAR to a wider unselected population of patients with SA. Disparities were consistent across both SA cohorts and the sensitivity analysis in the mild/ moderate asthma cohort, suggesting that many of the sex differences seen in SA also exist in patients with mild/ moderate asthma.

321

322 More patients with SA were females (UKSAR: 60.9%; OPCRD SA 67.9%), consistent with findings from 323 other SA cohorts and registries[14, 16]. Asthma control, as measured by self-reported symptoms 324 scores on both ACQ6 and RCP 3Q questionnaires, was statistically and clinically worse in females. 325 However, females were less likely to have indicators of T2 inflammation with reduced FeNO and IgE 326 levels in the UKSAR and lower blood eosinophil counts in the OPCRD cohort. Aligning with the findings 327 of a recent RASP-UK biomarker study post hoc analysis by sex which found the majority of females to 328 be T2 biomarker low but high in their ACQ6 symptom scores with the converse seen in males[19]. 329 Interestingly, females had a higher percent predicted FEV_1 than males despite their worse asthma 330 control scores. In prior cluster analyses, a similar group of females with poor asthma control and near 331 normal lung function has previously been identified[13].

332

333 Females from the UKSAR were also found to be significantly more likely to report hospital admissions 334 and/or ED attendance within the last year. These findings were consistent with the SARP study where 335 hospitalisations had a bimodal distribution, which mapped changes in asthma prevalence in the sexes, 336 with males more likely to utilise healthcare for their asthma during childhood and females later in 337 life[33]. Similarly, females in the RASP-UK biomarker study[19] were significantly more likely to have 338 asthma exacerbations and attend primary care within the last year. Whilst, Trawick et al found females 339 have also been found to be twice as likely as their male counterparts to have repeated asthma related 340 hospital admissions[34]. More generally, sex has been found to affect healthcare utilisation with 341 females to be more likely to seek and utilise healthcare, even when female specific illnesses are 342 accounted for[35, 36].

343

344 Variations in symptoms between the sexes are also likely to influence clinical presentation, 345 interpretation, healthcare access and utilisation[37, 38]. Whilst caution should be applied when 346 interpreting self-reported outcomes, a dissociation between T2 biomarkers and symptom reporting 347 has been noted in both sexes [19]. The RASP-UK biomarker study post-hoc analysis, which was also 348 based on UK SA centres, was able to eliminate sex differences in symptom reporting from the ACQ by 349 adjusting for differences in obesity and depression/anxiety[19]. However, we were unable to replicate 350 this mediation affect in our cohorts perhaps in part due to the RASP-UK biomarker study selection 351 criteria, including a baseline FeNO of less than 45 ppb to enrich for T2 biomarker low participants, 352 compared with our real-world cohort. Other studies have suggested other contributory factors for the 353 discrepancy. One study examining acute moderate and severe asthma exacerbations found males less 354 likely to report symptoms or activity limitations despite clinically similar levels of PEF with 355 inappropriately low healthcare utilisation by males [38]. Females are also recognised to have an 356 enhanced somatosensory responses, including a heighted cough reflex sensitivity[39], which may play 357 a role in SA. This raises the possibility of differential item functioning in the reporting and experience 358 of asthma symptoms between males and females, and it is an area that is currently under active 359 research.

360

361 As previously reported in the UKSAR, males were more likely to have raised T2 biomarkers, such as 362 FeNO and total IgE, suggestive of T2 asthma, which can in turn be targeted through biological 363 therapies[20]. Whilst baseline blood eosinophils were not statistically different between sexes in the 364 UKSAR, eosinophil counts greater than 0.3 x10⁹/L were significantly higher in males compared to 365 females in OPCRD cohorts. Blood eosinophilia in moderate to SA has previously been associated with 366 male sex[15]. There was no significant difference between the proportion of males and females 367 receiving biologic therapy. There was no clear differentiation between medication adherence in males 368 and females, however, medicine possession ratio is notoriously difficult to interpret as it is subject to 369 significant reporting bias and multiple other confounders. There are, however, numerous studies 370 investigating the relationship between sex and adherence with most finding no association, in line 371 with our results[40].

373 Females with SA were more likely to be obese across both independent cohorts. The association with 374 obesity and asthma has multiple underlying mechanisms, including altered lung mechanics and airway 375 inflammation[41, 42]. Obesity is associated with poor asthma control[43], hospitalisation[44] and 376 asthma severity [45]. A number of studies have found the increased risk of asthma with obesity [46-48] 377 and poor asthma control[19, 49] to be associated with females and not males. Furthermore, obesity 378 may influence other parameters, for example, FeNO has been found to be lower in asthmatic patients 379 who are obese, despite raised sputum eosinophils suggestive of T2 inflammation[50]. Depression/ 380 anxiety, which was also more common in females, is associated with obesity and poor asthma 381 control[51]. Despite the potential confounding influence of obesity, depression/anxiety and smoking 382 mediation analysis showed the disparities to persist even taking these factors into account, suggesting 383 another mechanistic role for the sex differences seen in severe asthma.

384

385 The sensitivity analysis in the mild/ moderate OPCRD cohort aligned closely with the observations 386 made in the SA cohorts. Although females exhibited a greater tendency to have atopic disease and 387 undergo asthma reviews within the mild/ moderate group, the notable disparity in comparison to the 388 SA groups could potentially stem from the larger sample sizes. The sensitivity analysis thus reinforces 389 the strength of the findings derived from the SA cohorts, while also indicating that the disparities are 390 unlikely to stem solely from variations in disease severity. Specialist care could inherently influence 391 outcomes; however, referral rates from primary to specialist care did not exhibit any sex-based 392 differences. Moreover, in the UKSAR group, who are receiving specialist care, females continued to 393 have increased exacerbations, ED attendances and hospital admissions.

394 The UKSAR is a large well characterised cohort of patients with SA, as defined by ERS/ATS criteria[21]. 395 It provides high quality and real-world data using robust standardised biomarker and spirometry 396 measurements across multiple UK SA centres. It is important to note that the patients on the UKSAR 397 have been referred to specialist care and may have more severe disease than the overall OPCRD 398 population. Many patients are referred for biologic therapy, which focuses on T2 disease and may 399 therefore bias the population towards those with T2 disease. Selection bias was minimised by 400 examining two distinct data sources with the OPCRD providing an additional validatory data source to 401 UKSAR in the wider unselected population and a sensitivity analysis comparator for mild to moderate 402 asthma. This study, does however, have several potential limitations. Firstly, using retrospective 403 datasets, it has been assumed that the diagnosis of SA is correct. Whilst patients in the UKSAR will 404 have undergone specialist multi-disciplinary team assessment of their diagnosis, the OPCRD subjects 405 were selected as those who remained uncontrolled (≥ 2 exacerbations within a year) on GINA 2018[24] 406 step 4 treatment and not subject to the same diagnostic scrutiny. Secondly, as an observational study,

407 it is open to confounding influences such as unmeasured or poorly measured variables. Data used in 408 the analysis, such as asthma control in the OPCRD dataset, was frequently missing and the timing of 409 outcomes in relation to treatment can be difficult to account for. However, these factors are unlikely 410 to have acted differentially based on sex. Further measures, such as health-seeking behaviour, which 411 may mediate the effect seen between the sexes, and spirometry in primary care, which would provide 412 a more robust comparison of lung function variables between datasets and is now recommended[3], 413 were not measured and would benefit from further research.

414 In conclusion, this real-world data shows consistent and clinically important differences in the 415 characteristics of males and females with SA, with the use of two distinct data sets demonstrating the 416 applicability of the UKSAR to the wider unselected SA population. Females had worse asthma control, 417 increased exacerbations and were more likely to be obese despite higher FEV₁ percent predicted, 418 similar baseline blood eosinophils, lower FeNO and reduced total IgE compared with their male 419 counterparts. Although related to sex the reasons and mechanisms behind these disparities are likely 420 to be related to multiple factors such as hormonal, immunological, comorbidity and behavioural 421 influences which were not measured in our dataset.

Further prospective epidemiologic studies with high-quality linked datasets and measure of other potential mediating factors such as symptom perception, alongside mechanistic studies are required to understand the drivers behind these sex differences and provide tailored and personalised care to people with SA.

427 Acknowledgements

428

429 Approval for collection and analysis of pseudonymized UKSAR data was granted by ORECNI 430 (15/NI/0196). The OPCRD has been reviewed and ethically approved by the National Health Service 431 Health Research Authority to hold and process anonymized data as part of service delivery (Research 432 Ethics Committee reference: 15/EM/0150). Specific approval for this research study was granted by 433 the Anonymized Data Ethics Protocols and Transparency committee (ADEPT approval reference: 434 ADEPT0120). The Optimum Patient Care Research Database (OPCRD) is established and maintained 435 by Optimum Patient Care (OPC) Ltd. The OPCRD is approved by the UK National Health Service for 436 clinical research use (Research Ethics Committee reference 15/EM/0150). Although public access to 437 the dataset is not granted, researchers can request access through the OPCRD website or by 438 contacting info@opcrd.co.uk. The provision of the OPCRD data, which supported this research, was 439 facilitated by the Optimum Patient Care Research Institute (OPRI) and the Optimum Patient Care (OPC) 440 organization at no cost.

441

442 The UKSAR wishes to acknowledge the help and expertise of the following individuals and groups 443 without whom the study would not have been possible: The Academic Respiratory Unit, Translational 444 Health Sciences, University of Bristol, Southmead Hospital, Bristol: Daniel Higbee, Caitlin Morgan, 445 George Nava, John O'Brien, Rahul Shrimanker. Belfast Health & Social Care Trust, Belfast: Claire Butler, 446 Nuala McCullough, Joan Sweeney. Derriford Hospital, Plymouth: Kaylee Bawler, Beverley Castell, Gemma Hayes, Mickey Symes, Charlotte Wells, Jane Willis-Chan. Gartnavel General Hospital and 447 448 University of Glasgow, Glasgow: Jennifer Logan, Julie Nixon, Diane Slater. Glenfield Hospital, University 449 Hospitals of Leicester, Leicester: Clare Boddy. Guy's Severe Asthma Centre, King's Centre for Lung 450 Health, King's College London, London: Jaideep Dhariwal, Jodie Lam, Alexandra Nanzer, Cris Roxas. 451 Hull University Teaching Hospitals NHS Trust, Hull: Helena Cumming, Jackie Fergusson. The Newcastle 452 upon Tyne Hospitals NHS FT, Newcastle Upon Tyne: Catherine Smith. NIHR Respiratory BRC, Nuffield 453 Department of Medicine, University of Oxford, Oxford: Katie Borg, Clare Connelly. Observational and 454 Pragmatic Research Institute, Singapore: Derek Skinner. Portsmouth Hospitals University NHS Trust, 455 Portsmouth: Kate Harbour, Rachel Harvey, Laura Wiffen. Royal Brompton Hospital, London: Irene 456 Berrar-Torre, Pujan Patel, Rachel Stead. Royal Free Hospital, London: Simon Brill, James Brown. Royal 457 Liverpool Hospital, Liverpool: Rachel Burton, Livingstone Chishimba, Gareth Jones, Hannah Joplin, 458 Laura Root, Seher Zaidi. Royal Stoke University Hospital, Stoke: Angela Cooper, Alison Ellis, Princy

| 459 | Kallukalam, Alison Scale. St Bartholomew's Hospital, London: Laia Carsro, Anika Dewshi, Jola Karaj. |
|-----|---|
| 460 | University Hospitals Southampton NHS Foundation Trust, Southampton: Sumita Kerley. |

462 **Contributorship statement:**

LL, JB, RMcD, TB, HB, RC, PD, JWD, SD, SF, RG, EI, DJJ, MP, TP, IDP, PEP, DP, HR, SS, LGH and AMG
made substantial contributions to the study conception, design, data acquisition and interpretation.
JB and RMcD led the statistical analysis. LL was primarily responsible for manuscript drafting and
revisions and all authors commented on previous versions of the manuscript. The final manuscript
was approved by all the authors prior to submission.

468

469 **Funding statement:**

- 470 The authors have not declared a specific grant for this research from any funding agency in the
- 471 public, commercial or not-for-profit sectors.
- 472

473 **Competing interests**:

474 LL has no conflicts of interest.

- 475
- 476 JB has attended advisory boards for NuvoAir, outside the submitted work.

477

478 RMcD has no conflicts of interest.

479

480 TB has received speaker fees from Astra Zeneca, Glaxo Smith Kline, Sanofi, Teva, Novartis and Chiesi;

481 honoraria for advisory board attendance from Astra Zeneca, Sanofi and Teva; sponsorship to attend

482 international scientific meetings from Sanofi, GSK, Teva, Chiesi and Napp Pharmaceuticals.

483

HB has attended advisory board for AstraZeneca, GlaxoSmithKline and Sanofi; has given lectures at
meetings with/without lecture honoraria supported by AstraZeneca, GlaxoSmithKline and Chiesi; has
attended international conferences with AstraZeneca and Chiesi; has taken part in clinical trials
sponsored by AstraZeneca, Chiesi, GlaxoSmithKline, Teva and Sanofi.

RC has received lecture fees from GSK, AZ, Teva, Chiesi, Sanofi and Novartis; honoraria for Advisory
Board Meetings from GSK, AZ, Teva, Chiesi, Novartis; sponsorship to attend international scientific
meetings from Chiesi, Napp, Sanofi and GSK and a research grant to her Institute from AZ for a UK
multi-centre study.

493

494 PD has received honoraria/consultancy fees/sponsorship from Teva, AZ, GSK, Novartis and Omron.

495

496 JWD declares he has received honoraria for participating in advisory boards and given lectures at

497 meetings supported by GSK, Boerhinger Ingelheim, Chiesi, AstraZeneca, Fisher & Paykel, Aerogen; he

498 has received sponsorship for attending international scientific meetings from Chiesi; he has also

- 499 taken part in asthma clinical trials sponsored by Sanofi, AstraZeneca, Chiesi for which his institution
- 500 received remuneration.

501

502 SD has received lecture fees from GSK, AZ, and Sanofi; honoraria for Advisory Board Meetings from 503 GSK, AZ, and Novartis; sponsorship to attend international scientific meetings from AZ, Chiesi, Sanofi 504 and GSK.

505

506 SF has received speaker fees / sponsorship to attend specialty meetings from AstraZeneca, 507 GlaxoSmithKline, Chiesi, Novartis and Sanofi.

508 RG has received speaking / lecture fees from GSK, AstraZeneca, Sanofi and Novartis.

509

510 EI has no conflicts of interest.

511

512 DJJ has received lecture fees from GSK, AZ, Teva, Chiesi, and Sanofi; honoraria for Advisory Board

513 Meetings from GSK, AZ, Teva, Chiesi, Sanofi and Novartis; sponsorship to attend international scientific

514 meetings from AZ, Chiesi, Napp, Sanofi and GSK and research grants to his Institute from AZ.

515

516 MP has no conflicts of interest.

TP has received sponsorship for attending international scientific meetings from Chiesi,
 GlaxoSmithKline and Sanofi Genzyme; he is also taking part in asthma clinical trials sponsored by
 AstraZeneca and Sanofi Genzyme for which his institution receives remuneration.

521

522 IDP has received speaker's honoraria for speaking at sponsored meetings from Astra Zeneca, 523 Boehringer Inglehiem, Aerocrine, Almirall, Novartis, Teva, Chiesi, Sanofi/Regeneron, Menarini and GSK 524 and payments for organising educational events from AZ, GSK, Sanofi/Regeneron and Teva. He has 525 received honoraria for attending advisory panels with Genentech, Sanofi/Regeneron, Astra Zeneca, 526 Boehringer Ingelheim, GSK, Novartis, Teva, Merck, Circassia, Chiesi and Knopp and payments to 527 support FDA approval meetings from GSK. He has received sponsorship to attend international 528 scientific meetings from Boehringer Ingelheim, GSK, Astra Zeneca, Teva and Chiesi. He has received a 529 grant from Chiesi to support a phase 2 clinical trial in Oxford.

530

531 PEP has attended advisory board for AstraZeneca, GlaxoSmithKline and Sanofi; has given lectures at 532 meetings with/without lecture honoraria supported by AstraZeneca and GlaxoSmithKline; has 533 attended international conferences with AstraZeneca; has taken part in clinical trials sponsored by 534 AstraZeneca, GlaxoSmithKline, Novartis and Sanofi; and is conducting research funded by 535 GlaxoSmithKline for which his institution receives remuneration.

536

537 DP has advisory board membership with Amgen, AstraZeneca, Boehringer Ingelheim, Chiesi, Circassia, 538 Viatris, Mundipharma, Novartis, Regeneron Pharmaceuticals, Sanofi Genzyme, Teva Pharmaceuticals 539 and Thermofisher; consultancy agreements with Amgen, AstraZeneca, Boehringer Ingelheim, Chiesi, 540 GlaxoSmithKline, Viatris, Mundipharma, Novartis, Pfizer, Teva Pharmaceuticals and Theravance; 541 grants and unrestricted funding for investigator-initiated studies (conducted through Observational 542 and Pragmatic Research Institute Pte Ltd) from AstraZeneca, Boehringer Ingelheim, Chiesi, Circassia, 543 Viatris, Mundipharma, Novartis, Pfizer, Regeneron Pharmaceuticals, Sanofi Genzyme, Teva 544 Pharmaceuticals, Theravance and UK National Health Service; payment for lectures/speaking 545 engagements from AstraZeneca, Boehringer Ingelheim, Chiesi, Cipla, GlaxoSmithKline, Viatris, 546 Mundipharma, Novartis, Pfizer, Regeneron Pharmaceuticals, Sanofi Genzyme and Teva 547 Pharmaceuticals; payment for travel/accommodation/meeting expenses from AstraZeneca, 548 Boehringer Ingelheim, Circassia, Mundipharma, Novartis, Teva Pharmaceuticals and Thermofisher;

549 funding for patient enrolment or completion of research from Novartis; stock/stock options from AKL 550 Research and Development Ltd which produces phytopharmaceuticals; owns 74% of the social 551 enterprise Optimum Patient Care Ltd (Australia and UK) and 74% of Observational and Pragmatic 552 Research Institute Pte Ltd (Singapore); 5% shareholding in Timestamp which develops adherence 553 monitoring technology; is peer reviewer for grant committees of the UK Efficacy and Mechanism 554 Evaluation programme, and Health Technology Assessment; and was an expert witness for 555 GlaxoSmithKline.

556

HR has received lecture fees from GSK, AZ, Chiesi, and Sanofi; honoraria for Advisory Board Meetings
from GSK, AZ, and Teva; sponsorship to attend international scientific meetings from AZ and Sanofi
and research grants to her Institute from GSK and AZ.

560

SS has received honoraria for speaking or providing advisory services from AstraZeneca, Boehringer
 Inglehiem, GSK, CSL Behring, Chiesi, MUDIPHARMA, Owlstone Medical, ERT Medical.

563

564 LGH declares he has received grant funding, participated in advisory boards and given lectures at 565 meetings supported by Amgen, AstraZeneca, Boehringer Ingelheim, Circassia, Hoffmann la Roche, 566 GlaxoSmithKline, Novartis, Theravance, Evelo Biosciences, Sanofi, and Teva; he has received grants 567 from MedImmune, Novartis UK, Roche/ Genentech Inc, and Glaxo Smith Kline, Amgen, 568 Genentech/Hoffman la Roche, Astra Zeneca, MedImmune, Glaxo Smith Kline, Aerocrine and 569 Vitalograph; he has received sponsorship for attending international scientific meetings from 570 AstraZeneca, Boehringer Ingelheim, Chiesi, GSK and Napp Pharmaceuticals; he has also taken part in 571 asthma clinical trials sponsored by Boehringer Ingelheim, Hoffmann la Roche, and GlaxoSmithKline for 572 which his institution received remuneration; he is the Academic Lead for the Medical Research Council 573 Stratified Medicine UK Consortium in Severe Asthma which involves industrial partnerships with a 574 number of pharmaceutical companies including Amgen, AstraZeneca, Boehringer Ingelheim, 575 GlaxoSmithKline, Hoffmann la Roche, and Janssen.

576

577 AMG is an employee of Astra Zeneca.

- 578
- 579 Ethics approval:

580 Approval for collection and analysis of pseudonymized UKSAR data was granted by ORECNI 581 (15/NI/0196). The OPCRD has been reviewed and ethically approved by the National Health Service 582 Health Research Authority to hold and process anonymized data as part of service delivery (Research 583 Ethics Committee reference: 15/EM/0150). Specific approval for this research study was granted by 584 the Anonymized Data Ethics Protocols and Transparency committee (ADEPT approval reference: 585 ADEPT0120). The Optimum Patient Care Research Database (OPCRD) is established and maintained 586 by Optimum Patient Care (OPC) Ltd. The OPCRD is approved by the UK National Health Service for 587 clinical research use (Research Ethics Committee reference 15/EM/0150).

588

589 Data sharing:

- 590 No data are available for the UKSAR. Researchers can request access for OPCRD data through the
- 591 OPCRD website or by contacting info@opcrd.co.uk, although public access to the dataset is not
- 592 granted.

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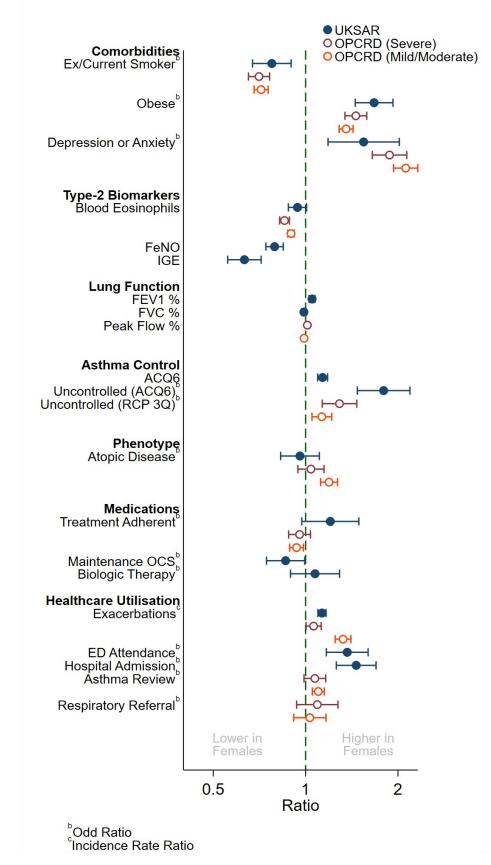
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732 LIST OF ABBREVIATIONS

- 733 ACQ: asthma control questionnaire
- 734 BDP: beclomethasone dipropionate
- 735 BMI: body mass index
- 736 ED: emergency department
- 737 FeNO: fractional exhaled nitric oxide
- 738 FEV₁: forced expiratory volume in 1 second
- 739 FVC: forced vital capacity
- 740 ICS: inhaled corticosteroids
- 741 IgE: Immunoglobulin E
- 742 KCO: carbon monoxide transfer coefficient
- 743 MPR: medicine possession ratio
- 744 OCS: oral corticosteroid
- 745 OPCRD: Optimum Patient Care Research Database
- 746 PEF: peak expiratory flow
- 747 RASP-UK: Refractory Asthma Stratification Programme
- 748 RCP 3Q: Royal College of Physicians 3 Questions
- 749 SA: severe asthma
- 750 SARP: Severe Asthma Research Program
- 751 T2: type-2
- 752 UKSAR: UK Severe Asthma Registry

Figure 1: Summary of multivariate regression results comparing males and females with severe 754 asthma in the UK Severe Asthma Registry and Optimum Patient Care Research Database



SUPPLEMENT

The impact of sex on severe asthma: an analysis of UK primary and specialist care

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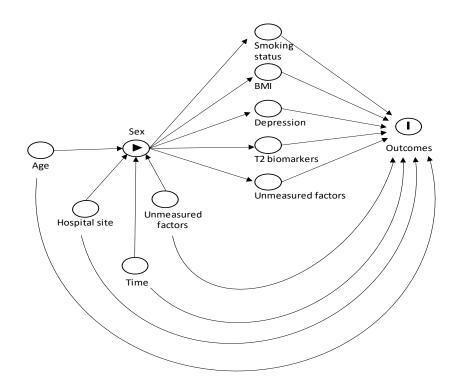
a.menzies-gow@rbht.nhs.uk

| Variable | Description | Ascertainment period |
|---------------------|----------------------------------|--------------------------------|
| Exposures | | |
| Sex | Reported by the general | N/A |
| | practice for all patients | |
| Outcomes | | |
| Asthma exacerbation | Read code indicating an | 1 year from start of follow-up |
| | 'Asthma Exacerbation' or | |
| | 'Asthma Attack, prescription of | |
| | acute oral corticosteroids | |
| | (OCS), or a lower respiratory | |
| | infection requiring antibiotics. | |
| | We applied an algorithm based | |
| | on number of days medication | |
| | given, strength of tablet, | |
| | diagnosis codes recorded | |
| | during the prescribing visit, | |
| | dosing instruction and | |
| | frequency of OCS prescription | |
| | to differentiate maintenance | |
| | and acute OCS use. OCS | |
| | prescribed during annual | |
| | asthma reviews were | |
| | excluded. | |
| Asthma review | Read code list recognised | 1 year from start of follow up |
| | within the NHS Quality and | |
| | Outcomes Framework: Asthma | |
| | annual review (Read code: | |
| | Xaleq), Asthma follow-up | |
| | (Xaler), Asthma monitoring by | |
| | nurse (Xalu5), Asthma | |
| | monitoring by doctor (Xalu6), | |
| | Asthma medication review | |

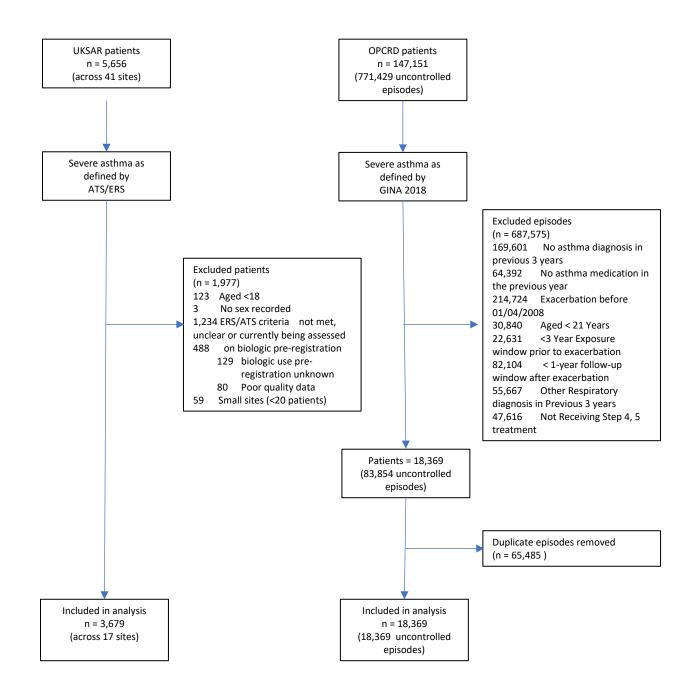
Supplement table 1. Definition of demographic and clinical outcomes in the OPCRD

| | (XalfK) or Asthma monitoring | |
|--------------------------------|--|---|
| | check done (XE2Nb). | |
| Blood Eosinophil count | Blood eosinophil count | 1 year from start of follow up, |
| | measured in cells per litre | last measurement recorded |
| | (109/L). | |
| Highest blood eosinophil count | Blood eosinophil count | 1 year from start of follow up, |
| | measured in cells per litre | highest measurement |
| | (109/L). | recorded |
| Peak Flow | Percent predicted values were | 1 year from start of follow up |
| | calculated using raw | |
| | measurements and the | |
| | formula specified by Knudson | |
| | et al ¹ . We used a percent | |
| | predicted peak flow value | |
| | recorded directly in the | |
| | medical records when no raw | |
| | peak flow measure was | |
| | available, or when the | |
| | patient's height was | |
| | unavailable. | |
| Respiratory Referral | Read code for respiratory | 1 year from start of follow up |
| , , | referral (Read Codes: XaAfm, | , |
| | XaAcS, XaAfl) | |
| Treatment Adherence | Assessed using the fixed | 1 year from start of follow up |
| | medications possession ratio | |
| | of inhaled corticosteroids | |
| | during the exposure period. | |
| | Good adherence was defined | |
| | as an MPR of greater than or | |
| | equal to 70%. Medication | |
| | quantity and dosing | |
| | instructions were imputed | |
| | using the most common for | |
| | that medication (by Read | |
| | Code) when insufficient | |
| | information was recorded in | |
| | the primary care record. When | |
| | the patient received more | |
| | than one type of ICS | |
| | prescription, we averaged the | |
| | MPR across all relevant | |
| | medications. | - |
| Uncontrolled Disease | Measured using the Royal | 1 year from start of follow up |
| | College of Physicians 3 | |
| | questions ² . Patients were | |
| | classified as having poor | |
| | control if 2 or 3 of the | |
| | measures denote poor control | |
| | or if patients experience | |
| | difficulty sleeping because of | |
| | their asthma symptoms. | |

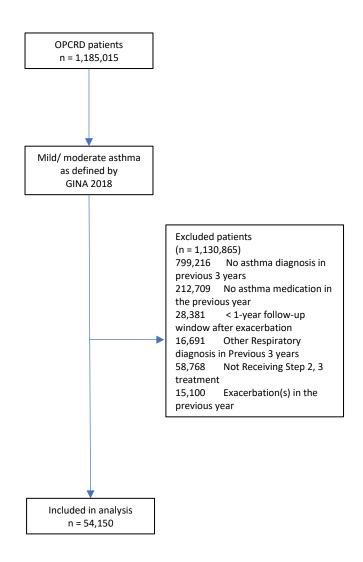
| Covariates | | |
|-----------------|---|---|
| Atopic disease | Record of hay fever or eczema ³ . | Beginning of medical record to start of follow up |
| Body Mass Index | Using Read Codes and measured in kg/m ² and categorised according to WHO criteria as <18.5 (underweight), 18.5 – 24.9 (normal weight), 25-29.9 (overweight) and ≥30 kg/m2 (obese) ⁴ . | Last record before start of follow up |
| Comorbidities | A large list of comorbidities were extracted including those comprising Charleston comorbidity score ⁵ , depression ⁶ , and those related to corticosteroid morbidity ⁷ . Comorbidities with low prevalence (e.g. AIDs) were excluded and some categories were combined (e.g. mild/moderate liver disease was combined with severe liver disease to form a single category). | 3 years before start of follow up |
| Ethnicity | Read codes were grouped in five categories: White, Asian (including Asian British), Black (including Black British), Chinese and Mixed ⁸ . | Entire Medical Record |
| Smoking Status | Using Read Codes and categorised as Non-smoker, Current smoker, Ex-smoker. | Last record before start of follow up |
| Treatment Step | Asthma medications were identified using Read/SNOMED hierarchies, and patients were categorised according to GINA 2018 treatment step ⁹ . Combination therapies (e.g. ICS/LABA, ICS/LABA/LAMA) where broken into their constituent parts and ICS dose was converted to a BDP equivalent ¹⁰ . Step five was defined as more than 6 prescriptions of OCS in a year, spanning across at least two quarters ¹¹ . | 1 year before start of follow up |
| Year of birth | Reported by the general practice for all patients | N/A |



Supplement figure 1. Directed acyclic graph on asthma outcomes showing confounders considered in the multivariable analysis and potential mediating variables.



Supplement figure 2. Flow chart of the UK Severe Asthma Registry (UKSAR) and Optimum Patient Care Research Database (OPCRD) severe asthma patients included in the analysis



Supplement figure 3. Flow chart of Optimum Patient Care Research Database (OPCRD) mild/ moderate asthma patients included in the sensitivity analysis.

Supplement table 2. Multivariable analysis comparing males and females with severe asthma in the UK Severe Asthma Registry

| N Relative | | Univariable | | Multivariable | |
|----------------|--|--|---|---|--|
| IN | measure | Ratio (95% CI) | P-value | Ratio (95% CI) | P-value |
| | | | | | |
| 3,359 | Ratio | 1.06 (1.04,1.08) | <0.0001 | 1.05 (1.03,1.07) | <0.0001 |
| 3,191 | Ratio | 0.99 (0.98,1.01) | 0.361 | 0.99 (0.97,1.00) | 0.119 |
| | | | | | |
| 2,909 | Ratio | 1.16 (1.12,1.21) | <0.0001 | 1.14 (1.09,1.18) | <0.0001 |
| 2,909 | OR | 1.90 (1.57,2.31) | <0.0001 | 1.80 (1.47,2.19) | <0.0001 |
| | | | | | |
| | RR | 1.16 (1.12,1.19) | <0.0001 | 1.13 (1.10,1.17) | <0.0001 |
| 3,445 | OR | 1.53 (1.31,1.78) | <0.0001 | 1.37 (1.17,1.60) | <0.0001 |
| 3,529 | OR | 1.58 (1.36,1.83) | <0.0001 | 1.46 (1.26,1.70) | <0.0001 |
| | | | | | |
| 3,601 | OR | 0.76 (0.65,0.87) | <0.0001 | 0.78 (0.67,0.90) | 0.001 |
| 3,573 | OR | 1.10 (0.95,1.26) | 0.193 | 0.96 (0.83,1.11) | 0.569 |
| 3,679 | OR | 1.67 (1.28,2.17) | <0.0001 | 1.55 (1.18,2.02) | 0.001 |
| 3,517 | OR | 1.68 (1.46,1.93) | <0.0001 | 1.67 (1.45,1.93) | <0.0001 |
| | | | | | |
| 3 <i>,</i> 562 | Ratio | 0.96 (0.89,1.03) | 0.213 | 0.94 (0.88,1.01) | 0.081 |
| 2,761 | Ratio | 0.81 (0.75,0.86) | <0.0001 | 0.79 (0.74,0.85) | <0.0001 |
| 3 <i>,</i> 453 | Ratio | 0.67 (0.59,0.76) | <0.0001 | 0.63 (0.56,0.72) | <0.0001 |
| | | | | | |
| 3,444 | OR | 1.07 (0.87,1.32) | 0.523 | 1.20 (0.97,1.49) | 0.090 |
| 3,657 | OR | 0.81 (0.70,0.93) | 0.004 | 0.86 (0.75,0.99) | 0.040 |
| 3,647 | OR | 1.02 (0.85,1.23) | 0.795 | 1.07 (0.89,1.29) | 0.451 |
| | 3,191 2,909 2,909 3,445 3,529 3,601 3,573 3,679 3,517 3,562 2,761 3,453 3,444 3,657 | N measure 3,359 Ratio 3,191 Ratio 2,909 Ratio 2,909 Ratio 2,909 OR 3,445 OR 3,529 OR 3,601 OR 3,573 OR 3,573 OR 3,517 OR 3,517 OR 3,517 OR 3,453 Ratio 3,453 Ratio 3,454 OR 3,567 OR 3,567 OR 3,453 Ratio 3,453 Ratio 3,454 OR | N measure Ratio (95% Cl) 3,359 Ratio 1.06 (1.04,1.08) 3,191 Ratio 0.99 (0.98,1.01) 2,909 Ratio 1.16 (1.12,1.21) 2,909 OR 1.90 (1.57,2.31) 3,445 OR 1.53 (1.31,1.78) 3,529 OR 1.58 (1.36,1.83) 3,601 OR 0.76 (0.65,0.87) 3,573 OR 1.10 (0.95,1.26) 3,679 OR 1.67 (1.28,2.17) 3,517 OR 1.68 (1.46,1.93) 3,562 Ratio 0.916 (0.89,1.03) 2,761 Ratio 0.81 (0.75,0.86) 3,453 Ratio 0.67 (0.59,0.76) 3,444 OR 1.07 (0.87,1.32) 3,657 OR 1.07 (0.87,1.32) | N Ratio (95% Cl) P-value 3,359 Ratio 1.06 (1.04,1.08) <0.0001 | N Ratio (95% Cl) P-value Ratio (95% Cl) 3,359 Ratio 1.06 (1.04,1.08) <0.0001 |

ACQ6, Asthma Control Questionnaire-6; ED, emergency department; FeNO, Fractional exhaled nitric oxide; FEV₁%, forced expiratory volume in 1 second percentage predicted; FVC %, forced vital capacity percentage predicted; IgE: Immunoglobulin E; OCS, oral corticosteroids; OR, odds Ratio; RR, Rate Ratio.

Supplement table 3. Multivariable regression comparing males and females with severe asthma in the Optimum Patient Care Research Database

| Variable | | Relative | Univariable | | Multivariable | |
|-------------------------------|--------|----------|------------------|---------|------------------|---------|
| | Ν | measure | Ratio (95% CI) | P-value | Ratio (95% CI) | P-value |
| Lung function Peak flow (% | 12 262 | Datia | 1 02 (1 00 1 02) | 0.000 | 1 01 (1 00 1 02) | 0.062 |
| predicted) | 12,362 | Ratio | 1.02 (1.00,1.03) | 0.006 | 1.01 (1.00,1.03) | 0.062 |
| Asthma control | | | | | | |
| Uncontrolled (RCP 3Q) | 5,880 | OR | 1.22 (1.09,1.36) | 0.001 | 1.29 (1.13,1.47) | <0.0001 |
| Healthcare utilisation | | | | | | |
| Exacerbations | 18,369 | RR | 1.04 (0.99,1.09) | 0.139 | 1.06 (1.00,1.12) | 0.038 |
| Asthma review | 18,369 | OR | 1.03 (0.97,1.10) | 0.303 | 1.07 (0.99,1.16) | 0.096 |
| Respiratory referral | 18,369 | OR | 1.07 (0.94,1.21) | 0.287 | 1.09 (0.94,1.27) | 0.267 |
| Comorbidities | | | | | | |
| Ex/Current smoker | 17,984 | OR | 0.73 (0.69,0.78) | <0.0001 | 0.71 (0.65,0.76) | <0.0001 |
| Atopic disease | 18,369 | OR | 1.00 (0.92,1.08) | 0.971 | 1.04 (0.94,1.15) | 0.425 |
| Depression or anxiety | 18,369 | OR | 2.00 (1.79,2.24) | <0.0001 | 1.88 (1.65,2.14) | <0.0001 |
| Obese | 15,433 | OR | 1.43 (1.33,1.53) | <0.0001 | 1.46 (1.34,1.58) | <0.0001 |
| Type-2 biomarkers | | | | | | |
| Blood eosinophils | 9,195 | Ratio | 0.87 (0.84,0.90) | <0.0001 | 0.85 (0.82,0.89) | <0.0001 |
| Medications | | | | | | |
| Treatment adherent | 17,909 | OR | 0.92 (0.86,0.99) | 0.021 | 0.96 (0.88,1.04) | 0.278 |

OR, odds Ratio; RR, Rate Ratio; RCP 3Q, Royal College of Physicians 3 Questions

Supplement table 4. Comparison of female and male patients with mild to moderate asthma in the Optimum Patient Care Research Database

| Characteristic | Female (N = 30,946) | Male (N = 23,204) | P-value | |
|---|------------------------|----------------------|---------|--|
| Age (years) ^a | 51.8 (17.2) | 49.6 (16.5) | <0.001 | |
| <35 | 5,834 (18.9%) | 4,956 (21.4%) | | |
| 35-54 | 11,939 (38.6%) | 9,719 (41.9%) | | |
| 55-7 | 9,982 (32.3%) | 6,776 (29.2%) | | |
| 75+ | 3,191 (10.3%) | 1,753 (7.6%) | | |
| Ethnicity ^b | | | 0.173 | |
| White | 19,986 (95.0%) | 14,374 (94.7%) | | |
| Mixed | 72 (0.3%) | 52 (0.3%) | | |
| Asian | 729 (3.5%) | 594 (3.9%) | | |
| Black | 160 (0.8%) | 100 (0.7%) | | |
| Other | 84 (0.4%) | 65 (0.4%) | | |
| Index of multiple deprivation (quintile) ^b | | | 0.077 | |
| 5 (Least deprived) | 6,762 (22.0%) | 5,310 (23.1%) | | |
| 4 | 6,503 (21.2%) | 4,875 (21.2%) | | |
| 3 | 6,147 (20.0%) | 4,552 (19.8%) | | |
| 2 | 7,296 (23.8%) | 5,372 (23.3%) | | |
| 1 (Most deprived) | 3,976 (13.0%) | 2,923 (12.7%) | | |
| Peak flow (% predicted) ^c | 89.4 (77.7,100.3) | 90.5 (78.0,102.4) | <0.002 | |
| Uncontrolled (RCP 3 questions) ^b | 3,875 (36.2%) | 2,535 (32.9%) | <0.001 | |
| Exacerbations ^c | 0.0 (0.0,0.0) | 0.0 (0.0,0.0) | <0.001 | |
| Any exacerbations ^b | 4,668 (15.1%) | 2,638 (11.4%) | <0.001 | |
| Prior exacerbations ^b | | | | |
| 0 | 30,946 (100.0%) | 23,204 (100.0%) | | |
| 1 | 0 (0.0%) | 0 (0.0%) | | |
| 2 | 0 (0.0%) | 0 (0.0%) | | |
| 3 | 0 (0.0%) | 0 (0.0%) | | |
| 4+ | 0 (0.0%) | 0 (0.0%) | | |
| ICS dose (BDP equivalent-ug) ^c | 400 (400,500) | 400 (400,500) | <0.001 | |
| Treatment step (GINA 2018) ^b | | | <0.001 | |
| 2 | 18,701 (60.4%) | 13,647 (58.8%) | | |
| 3 | 12,245 (39.6%) | 9,557 (41.2%) | | |
| Asthma review ^b | 14,529 (46.9%) | 10,179 (43.9%) | <0.001 | |
| Respiratory referral ^b | 905 (2.9%) | 656 (2.8%) | 0.503 | |
| Medication possession ratio fixed (%) ^c | 41.0 (20.1,73.0) | 41.0 (21.9,73.8) | 0.001 | |

| Treatment adherent (MPR ≥70%) ^b | 7,728 (26.1%) | 5,905 (26.6%) | 0.192 |
|--|------------------|------------------|--------|
| Blood Eosinophil Count (10 ⁹ /L) ^c | 0.20 (0.10,0.30) | 0.21 (0.15,0.35) | <0.001 |
| Highest blood eosinophil count (10 ⁹ /L) ^b | | | <0.001 |
| <0.150 | 3,984 (33.8%) | 1,472 (24.8%) | |
| 0.150-0.300 | 5,168 (43.8%) | 2,790 (47.0%) | |
| >0.300 | 2,650 (22.5%) | 1,679 (28.3%) | |
| BMI (Kg/m²) ª | 28.0 (6.3) | 27.5 (4.9) | <0.001 |
| Underweight (<18.5) | 445 (1.7%) | 257 (1.4%) | |
| Normal weight (18.5-24.9) | 8,925 (35.1%) | 5,485 (30.3%) | |
| Overweight (25-29.9) | 7,948 (31.3%) | 7,723 (42.7%) | |
| Obese (≥30) | 8,112 (31.9%) | 4,628 (25.6%) | |
| Smoking status ^b | | | <0.001 |
| Never smoked | 18,010 (61.0%) | 11,785 (53.3%) | |
| Ex-smoker | 7,373 (25.0%) | 6,994 (31.6%) | |
| Current smoker | 4,123 (14.0%) | 3,327 (15.1%) | |
| Comorbidities ^b | | | |
| Atopic dermatitis | 3,200 (10.3%) | 2,116 (9.1%) | <0.001 |
| Atopic disease | 4,783 (15.5%) | 3,145 (13.6%) | <0.001 |
| Allergic rhinitis | 3,146 (10.2%) | 2,064 (8.9%) | <0.001 |
| Cataract | 454 (1.5%) | 224 (1.0%) | <0.001 |
| Depression/ anxiety | 3,351 (10.8%) | 1,301 (5.6%) | <0.001 |
| Diabetes | 1,854 (6.0%) | 1,408 (6.1%) | 0.71 |
| Nasal polyps | 168 (0.5%) | 229 (1.0%) | <0.001 |
| Osteoporosis | 462 (1.5%) | 53 (0.2%) | <0.001 |

Data is calculated as mean (SD) using t-test (^a), count (%) with chi-square (^b) and median (IQR) with Man-Whitney U (^c) statistical tests.

Supplement table 4: Multivariable regression comparing males and females with mild to moderate asthma in the Optimum Patient Care Research Database

| | N | Relative Univariable | | Multivariable | | |
|-------------------------------------|--------|----------------------|---------------------|---------------|------------------|---------|
| Variable | | _ | Ratio (95% | | | |
| | | measure | CI) | P-value | Ratio (95% CI) | P-value |
| Lung function | | | 0.00 | | | |
| Peak flow (% predicted) | 30,053 | Ratio | 0.99 (0.98,0.99) | <0.0001 | 0.99 (0.98,0.99) | <0.0001 |
| Asthma control Uncontrolled (RCP | | | 1.16 | | | |
| 3Q) | 18,409 | OR | (1.09,1.24) | <0.0001 | 1.13 (1.05,1.22) | 0.001 |
| Healthcare utilisation | | | | | | |
| | | | 1.38 | | | |
| Exacerbations | 54,150 | RR | (1.31,1.46) 1.13 | <0.0001 | 1.32 (1.25,1.41) | <0.0001 |
| Asthma review | 54,150 | OR | (1.09,1.17) 1.04 | <0.0001 | 1.10 (1.05,1.15) | <0.0001 |
| Respiratory referral | 54,150 | OR | (0.94,1.15) | 0.502 | 1.03 (0.91,1.17) | 0.610 |
| Comorbidities | | | | | | |
| | | | 0.73 | | | |
| Ex/ current smoker | 51,612 | OR | (0.70,0.76) 1.17 | <0.0001 | 0.72 (0.68,0.76) | <0.0001 |
| Atopic disease Depression or | 54,150 | OR | (1.11,1.22) 2.04 | <0.0001 | 1.19 (1.12,1.27) | <0.0001 |
| anxiety | 54,150 | OR | (1.89,2.21) | <0.0001 | 2.12 (1.93,2.32) | <0.0001 |
| Obese | 43,523 | OR | (1.31,1.42) | <0.0001 | 1.35 (1.29,1.43) | <0.0001 |
| Type-2 biomarkers | | | | | | |
| | | | 0.89 | | | |
| Blood eosinophils | 17,743 | Ratio | (0.87,0.91) | <0.0001 | 0.90 (0.87,0.92) | <0.0001 |
| Medications | | | | | | |
| Treatment adherent | 51,782 | OR | 0.97 (0.93,1.02) | 0.223 | 0.93 (0.89,0.98) | 0.010 |

OR, odds Ratio; RR, Rate Ratio; RCP 3Q, Royal College of Physicians 3 Questions

Supplement figure 4. Mediation analysis of affect of body mass index, depression/ anxiety and smoking on sex differences in severe asthma in the UKSAR cohort

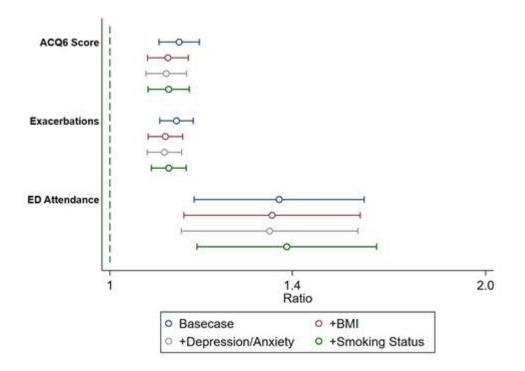


Figure legend

Supplement figure 4. Summary of mediation analysis results comparing males and females with severe asthma in the UK Severe Asthma Registry (UKSAR). Adjusting for body mass index, depression/anxiety and smoking status. ACQ6, Asthma Control Questionnaire-6; ED, emergency department; BMI, body mass index.

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