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## **Factors that impact on the use of mechanical ventilation weaning protocols in critically ill adults and children: a qualitative-evidence synthesis**

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[Qualitative Review]

# Factors that impact on the use of mechanical ventilation weaning protocols in critically ill adults and children: a qualitative evidence-synthesis

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## ABSTRACT

### Background

Prolonged mechanical ventilation is associated with a longer intensive care unit (ICU) length of stay and higher mortality. Consequently, methods to improve ventilator weaning processes have been sought. Two recent Cochrane systematic reviews in ICU adult and paediatric populations concluded that protocols can be effective in reducing the duration of mechanical ventilation, but there was significant heterogeneity in study findings. Growing awareness of the benefits of understanding the contextual factors impacting on effectiveness has encouraged the integration of qualitative evidence syntheses with effectiveness reviews, which has delivered important insights into the reasons underpinning (differential) effectiveness of healthcare interventions.

### Objectives

1. To locate, appraise and synthesize qualitative evidence concerning the barriers and facilitators of the use of protocols for weaning critically-ill adults and children from mechanical ventilation;
2. To integrate this synthesis with two Cochrane effectiveness reviews of protocolized weaning to help explain observed heterogeneity by identifying contextual factors that impact on the use of protocols for weaning critically-ill adults and children from mechanical ventilation;
3. To use the integrated body of evidence to suggest the circumstances in which weaning protocols are most likely to be used.

### Search methods

We used a range of search terms identified with the help of the SPICE (Setting, Perspective, Intervention, Comparison, Evaluation) mnemonic. Where available, we used appropriate methodological filters for specific databases. We searched the following databases: Ovid MEDLINE, Embase, OVID, PsycINFO, CINAHL Plus, EBSCOHost, Web of Science Core Collection, ASSIA, IBSS, Sociological Abstracts, ProQuest and LILACS on the 26th February 2015. In addition, we searched: the grey literature; the websites of professional associations for relevant publications; and the reference lists of all publications reviewed. We also contacted authors of the trials included

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in the effectiveness reviews as well as of studies (potentially) included in the qualitative synthesis, conducted citation searches of the publications reporting these studies, and contacted content experts.

We reran the search on 3rd July 2016 and found three studies, which are awaiting classification.

### **Selection criteria**

We included qualitative studies that described: the circumstances in which protocols are designed, implemented or used, or both, and the views and experiences of healthcare professionals either involved in the design, implementation or use of weaning protocols or involved in the weaning of critically-ill adults and children from mechanical ventilation not using protocols. We included studies that: reflected on any aspect of the use of protocols, explored contextual factors relevant to the development, implementation or use of weaning protocols, and reported contextual phenomena and outcomes identified as relevant to the effectiveness of protocolized weaning from mechanical ventilation.

### **Data collection and analysis**

At each stage, two review authors undertook designated tasks, with the results shared amongst the wider team for discussion and final development. We independently reviewed all retrieved titles, abstracts and full papers for inclusion, and independently extracted selected data from included studies. We used the findings of the included studies to develop a new set of analytic themes focused on the barriers and facilitators to the use of protocols, and further refined them to produce a set of summary statements. We used the Confidence in the Evidence from Reviews of Qualitative Research (CERQual) framework to arrive at a final assessment of the overall confidence of the evidence used in the synthesis. We included all studies but undertook two sensitivity analyses to determine how the removal of certain bodies of evidence impacted on the content and confidence of the synthesis. We deployed a logic model to integrate the findings of the qualitative evidence synthesis with those of the Cochrane effectiveness reviews.

### **Main results**

We included 11 studies in our synthesis, involving 267 participants (one study did not report the number of participants). Five more studies are awaiting classification and will be dealt with when we update the review.

The quality of the evidence was mixed; of the 35 summary statements, we assessed 17 as 'low', 13 as 'moderate' and five as 'high' confidence. Our synthesis produced nine analytical themes, which report potential barriers and facilitators to the use of protocols. The themes are: the need for continual staff training and development; clinical experience as this promotes felt and perceived competence and confidence to wean; the vulnerability of weaning to disparate interprofessional working; an understanding of protocols as militating against a necessary proactivity in clinical practice; perceived nursing scope of practice and professional risk; ICU structure and processes of care; the ability of protocols to act as a prompt for shared care and consistency in weaning practice; maximizing the use of protocols through visibility and ease of implementation; and the ability of protocols to act as a framework for communication with parents.

### **Authors' conclusions**

There is a clear need for weaning protocols to take account of the social and cultural environment in which they are to be implemented. Irrespective of its inherent strengths, a protocol will not be used if it does not accommodate these complexities. In terms of protocol development, comprehensive interprofessional input will help to ensure broad-based understanding and a sense of 'ownership'. In terms of implementation, all relevant ICU staff will benefit from general weaning as well as protocol-specific training; not only will this help secure a relevant clinical knowledge base and operational understanding, but will also demonstrate to others that this knowledge and understanding is in place. In order to maximize relevance and acceptability, protocols should be designed with the patient profile and requirements of the target ICU in mind. Predictably, an under-resourced ICU will impact adversely on protocol implementation, as staff will prioritize management of acutely deteriorating and critically-ill patients.

## **PLAIN LANGUAGE SUMMARY**

### **Using qualitative evidence to identify factors influencing ICU health carers' use of guidelines to take adults and children off mechanical ventilation**

#### **Background**

Many critically-ill adults and children being cared for in an intensive care unit (ICU) are unable to breathe by themselves. When this happens they are put on a mechanical ventilator, a machine that helps them to breathe. Staying on a ventilator for too long increases the

likelihood of harmful effects, including trauma and infection of the lungs and complications of prolonged immobility such as blood clots in the legs or lungs. Consequently, researchers have tried to find ways to take people off ventilators (that is, to wean them) as soon as is safely possible. One way is by using guidelines, or *protocols*. Two recent Cochrane reviews combined evidence from different research studies. Some studies showed that protocols were successful in reducing the amount of time spent on a ventilator, while other studies showed that using protocols did not make any difference to the amount of time spent on a ventilator. These contrasting findings could have been caused by a range of factors. Researchers investigating these factors have used qualitative research methods, which usually involve talking to people or observing how people behave, or both.

### Review question

What are the factors influencing how healthcare professionals use protocols to wean adults and children from mechanical ventilation?

### Methods

To identify studies using qualitative methods, we searched relevant electronic databases of journals in February 2015. We also searched the reference lists of articles, contacted the authors of all of the studies included in the two earlier reviews and in our qualitative synthesis, and contacted experts in mechanical ventilation. We combined the findings of the relevant studies to produce a synthesis of the evidence on what influences health professionals to use protocols. We then combined our synthesis with the findings of the two earlier reviews to help explain why some of the studies had shown protocols to be effective and others had not. We were able to do so by producing explanations of how different factors work together to either promote or hinder the use of protocols. We outlined these explanations in a 'logic model'.

### Key findings

Our synthesis included 11 studies, involving around 267 participants; five more studies are awaiting classification. We identified several potential barriers and facilitators to the use of protocols. First, doctors used protocols only in certain circumstances; otherwise they preferred to wean using their own knowledge and skills. Relatively inexperienced nurses often lacked confidence. A protocol could encourage their involvement in weaning because it set out clear instructions and also helped them to feel more secure. Although more experienced nurses also recognized these positive qualities, they criticized protocols as sometimes instructing them to wean contrary to their own clinical judgement. Second, the practical arrangements for care within an ICU could either help or hinder healthcare professionals to work together, and in this way influence how (well) a protocol was used. Third, the use of a protocol reflected how healthcare professionals interact with one another generally. For example, the degree of experience a nurse or doctor possessed could influence the confidence others had that they could wean safely. For this reason, doctors tended to be reluctant to involve nurses they considered to be relatively inexperienced in weaning, even when there was a protocol in place. Furthermore, the fact that doctors occupied a higher professional status or position meant that it was difficult for nurses to be involved in weaning, including by using a protocol, unless the doctors s/he worked with permitted this to happen.

### Quality of the evidence

We developed 35 summary statements. Of these: we assessed 17 statements as 'low' confidence, largely because the evidence used to develop them came from only a small number of studies. We rated 13 statements as 'moderate' confidence, largely because the evidence used to develop them came from very well-conducted studies, and we rated five statements as 'high' confidence, largely because the evidence used to develop them came from a majority of the studies.

## BACKGROUND

Mechanical ventilation is a common life-supportive therapy for critically-ill adults and children with respiratory failure. Approximately 40% of adults and 55% of children admitted to an intensive care unit (ICU) require mechanical ventilation (Farias 2011;

Shahin 2014; Wunsch 2013). Most adults and children are successfully weaned off mechanical ventilation at the first attempt (Boles 2007; Farias 2011); for others weaning is difficult and more protracted. ICU mortality for ventilated patients is approximately 30% in adults (Esteban 2013) and 13% in children (Farias 2011). Prolonged mechanical ventilation is associated with longer ICU

length of stay and higher mortality (Peñuelas 2011), due to complications such as ventilator-associated lung injury and pneumonia (Grap 2009; Jubran 2010; Principi 2010; Shorr 2005). Substantial healthcare costs are associated with mechanical ventilation. In the United States, critical care accounts for an estimated USD 55.5 billion, 13.3% of hospital costs and 0.6% of the gross domestic product (Halpern 2010). Direct daily costs of an ICU bed in four European countries (Germany, Italy, the Netherlands, and the United Kingdom) ranged from EUR 1168 to 2025 (Tan 2012).

Potential consequences to patients and the healthcare system resulting from unnecessary delays to extubation have led research to focus on identifying methods that improve ventilator-weaning processes. Two large seminal clinical trials (Brochard 1994; Esteban 1995) indicated that the clinical processes promoting timely recognition of a patient's readiness to wean were more important in reducing the duration of mechanical ventilation than the weaning method itself (Boles 2007). Consequently, over recent years the application of weaning moved from an informal approach, based on clinician education and experience, to a formal approach using guidelines or protocols. Weaning protocols generally provide objective criteria for assessment of weaning and extubation readiness incorporated into a structured algorithm that includes a method of reducing ventilator support based on the patient's response. Protocolized weaning has gained some popularity among the adult and paediatric critical care community because of its purported success in reducing the duration of mechanical ventilation. Surveys of European adult ICUs show that 56% to 69% have weaning protocols (Rose 2011a), and in UK paediatric ICUs the prevalence of weaning protocols has increased from 5% (Manczur 2000) to 18% (Blackwood 2011).

### How the intervention is intended to work

Protocolized weaning may comprise traditional paper-based protocols or automated closed-loop systems embedded into the ventilator (Rose 2014). Both paper-based and ventilator-based protocols are designed to reduce undesirable variability in weaning practices and avoidable delays arising from clinician preference and availability. Weaning protocols frequently include steps to facilitate recognition of a patient's readiness to wean which may also reduce delays associated with failure to recognize weaning readiness. Another key element of weaning protocols, particularly paper-based versions, is that they enhance responsibilities and autonomy of the interprofessional team, thereby reducing delays created by decisional hierarchies.

### Why it is important to do this review

The Cochrane systematic review and meta-analysis evaluating the efficacy of weaning protocols in 17 trials and 2434 adults found evidence of effect that protocols reduce the duration of mechanical ventilation (Blackwood 2014). The evidence was graded as moderate because of significant variability in effect estimates. This

variability is unsurprising, given the international differences in ICU structure, staffing models and critical care education (Rose 2011b), as well as in mechanical ventilation and weaning practices (Blackwood 2011; Burns 2009; Horbar 1999; Rose 2008a; Santschi 2007). The Cochrane systematic review of the efficacy of weaning protocols in children also demonstrated discordant results (Blackwood 2013). One large trial (Foronda 2011) showed a significant reduction in the duration of mechanical ventilation; two trials (Jouvet 2013; Maloney 2007) indicated no effect.

As acknowledged in both these reviews (Blackwood 2013; Blackwood 2014), weaning is a complex clinical intervention influenced by inter-related and interdependent components, all of which are associated with the context in which the weaning intervention is implemented and delivered. 'Context' includes a wide range of potential factors and processes including, for example: ICU organization, resources, staffing and patient case-mix; hospital or unit culture (for example, interprofessional working and relationships); and healthcare professional characteristics (for example, skill mix, education and training) (Blackwood 2006; Krishnan 2004; Rose 2008b).

Furthermore, the values, preferences, knowledge and skills of clinicians may influence the uptake and implementation of weaning protocols. Protocols may be perceived to repress critical thinking, clinical innovation and individualized care and therefore may be rejected by clinical staff (Cohen 1991; Ely 2001; Morris 2003). The processes of ventilator weaning in children and adults are similar (Leclerc 2010) and the literature suggests that context, health professionals' characteristics and clinical processes also influence weaning in paediatric ICUs (Marcin 2005; Stockwell 2008).

Accordingly, when considering the potential effectiveness of weaning protocols, it is necessary to consider the ICU and wider context (for example, hospital) within which they are implemented. This is particularly the case when conducting systematic reviews, as the structure and processes of care (for example, healthcare systems, organizational arrangements and interprofessional relationships) vary considerably across countries (Blackwood 2003; Rose 2011b).

It is possible that unobserved patient or clinical factors confounded the trials included in the Blackwood 2013 and Blackwood 2014 reviews. For example, the durations of ventilation, weaning and ICU stay, common outcomes reported in weaning protocol trials, may be modified by different sedation practices such as the type of agent used (Pandharipande 2007), dosing regimens (Carson 2006), protocols (Bucknall 2008) and daily drug interruption (Mehta 2012). Sedative agents such as benzodiazepines have been associated with development of delirium (Kamdar 2015), which also prolongs the duration of ventilation and ICU stay (Lin 2008). Trials included in the Blackwood 2013 and Blackwood 2014 reviews provided little or no information on sedation practices or delirium prevalence.

Cochrane reviews of effectiveness are not intended to account for

their findings according to the types of issues outlined above. However, an increasing awareness of the benefits of understanding the factors underpinning effectiveness has focused attention on the value of qualitative research within and outside Cochrane. Accordingly, the past 15 years have seen a growing number of qualitative evidence syntheses provide greater clarity and understanding of contextual factors, and the mechanisms of their interaction, that may impact on the outcomes of a wide range of interventions (see, for example: [Glenton 2013](#); [Munro 2007](#); [Schumm 2010](#)). As these syntheses have been produced, so too has the supporting methodological literature ([Noyes 2011](#)).

Notwithstanding the benefits to be derived from stand-alone syntheses of qualitative evidence, integrating the findings with reviews of effectiveness can provide detailed evidence concerning the barriers and facilitators to the successful implementation of interventions. Relevant qualitative evidence may be derived in the following ways: first, synthesis of evidence from ‘sibling’ studies, reporting qualitative research conducted alongside or associated with the trials included in the effectiveness review. Second, synthesis of evidence from unrelated but relevant qualitative research to address specific questions arising from the effectiveness review. Finally, synthesis of evidence from both sibling and unrelated studies ([Noyes 2011](#)). Matching effectiveness reviews with qualitative syntheses in these ways adds value by exploring questions about the development, delivery, uptake, implementation and experience of interventions, including in relation to observed heterogeneity in outcomes across sites. In so doing, we gain important insights into why interventions do or do not work, for whom, and in what circumstances. Although still rare, several paired Cochrane effectiveness reviews and qualitative evidence syntheses are available ([Candy 2011](#); [Glenton 2013](#); [Noyes 2007](#)). These provide detailed, context-specific evidence concerning if, how and why specific interventions have been effective in the settings in which they were delivered and received.

## OBJECTIVES

The aim of this review is to integrate a qualitative evidence synthesis with two Cochrane effectiveness reviews of protocolized weaning ([Blackwood 2013](#); [Blackwood 2014](#)) to identify contextual factors that impact on the use of protocols for weaning critically-ill adults and children from mechanical ventilation. Our review expands on the [Blackwood 2013](#) and [Blackwood 2014](#) reviews by synthesizing trial-related qualitative evidence to help explain the observed heterogeneity in included trials. In addition, our review incorporates a synthesis of evidence from relevant qualitative research not related to the included trials to explore broader contextual factors (for example, ICU culture, organization, staffing levels and extent of collaboration) and their interplay, that may impact on the use of weaning protocols in mechanical ventilation. Against a backdrop of inconsistent evidence on the effectiveness of wean-

ing protocols, our review aims to provide clinicians and policy-makers with a rigorous, systematically-derived evidence base concerning the circumstances in which weaning protocols appear to be used in ways most likely to promote timely liberation from mechanical ventilation. This is particularly important to guide policy mandates for adoption of weaning protocols as a quality-improvement measure to improve efficiency, patient safety and healthcare spending.

The specific research questions guiding the review are:

1. Which contextual factors (facilitators and barriers to implementation) may have contributed to the heterogeneity in effect sizes of the randomized controlled trials included in the [Blackwood 2013](#) and [Blackwood 2014](#) reviews on protocolized weaning?
2. Which contextual factors (facilitators and barriers to implementation) may have an impact on the use of protocols for weaning critically-ill adults and children from mechanical ventilation?

We capitalized on the demonstrated value of matching Cochrane effectiveness reviews with a qualitative evidence synthesis in order to address our research questions. In so doing we pursued the following objectives:

- To locate, appraise and synthesize qualitative evidence concerning the barriers and facilitators of the use of protocols for weaning critically-ill adults and children from mechanical ventilation;
- To integrate this synthesis with two Cochrane effectiveness reviews of protocolized weaning to help explain observed heterogeneity by identifying contextual factors that impact on the use of protocols for weaning critically-ill adults and children from mechanical ventilation;
- To use the integrated body of evidence to suggest the circumstances in which weaning protocols are most likely to be used.

## METHODS

### Criteria for considering studies for this review

#### *Types of studies for better understanding heterogeneity in included studies in the [Blackwood 2013](#) and [Blackwood 2014](#) reviews*

We included studies conducted alongside or associated with the trials included in the [Blackwood 2013](#) and [Blackwood 2014](#) reviews. These included, but were not limited to, studies using participant and non-participant observation and interviews (one-to-one and focus group), underpinned by methodologies such as phenomenology, ethnography, grounded theory, action research, and narrative research.



### ***Types of studies for understanding the broader implementation context in relation to the Blackwood 2013 and Blackwood 2014 reviews***

We included qualitative empirical studies (either stand-alone or components of larger, mixed-method studies) that provided evidence concerning the contextual factors (facilitators and barriers) and their interplay, that may impact on the effectiveness of weaning protocols. These included, but were not limited to, studies using participant and non-participant observation and interviews (one-to-one and focus group), underpinned by methodologies such as phenomenology, ethnography, grounded theory, action research, and narrative research.

#### **Types of participants**

### ***Types of participants for better understanding heterogeneity in included studies in the Blackwood 2013 and Blackwood 2014 reviews***

We included studies conducted alongside or associated with the Blackwood 2013 and Blackwood 2014 reviews that reported on (a) contextual factors associated with protocolized weaning; (b) views and experiences of healthcare professionals involved in the design, development, training, uptake, implementation or evaluation of protocolized weaning; (c) and views and experiences of patients undergoing protocolized weaning and their relatives.

### ***Types of participants for understanding the broader implementation context in relation to the Blackwood 2013 and Blackwood 2014 reviews***

We included studies not associated with the two reviews that reported contextual factors associated with protocolized weaning, describing the views and experiences of healthcare professionals

- either actively involved in the design, implementation or use of protocols for weaning critically-ill adults or children from mechanical ventilation
- or involved in the weaning of critically-ill adults and children from mechanical ventilation NOT using protocols, and asked, for the purposes of the study, to reflect on any aspect of the use of protocols for weaning critically-ill adults or children from mechanical ventilation

We also included the views and experiences of patients undergoing protocolized weaning, and their relatives.

#### **Types of interventions**

### ***Types of interventions for better understanding heterogeneity in included studies in the Blackwood 2013 and Blackwood 2014 reviews***

We included studies specifically conducted to explore factors associated with protocolized and non-protocolized weaning in the trials included in the Blackwood 2013 and Blackwood 2014 reviews.

### ***Types of interventions for understanding the broader implementation context in relation to the Blackwood 2013 and Blackwood 2014 reviews***

We included studies that explored contextual factors relevant to

the development, implementation or use of written protocols or automated systems to reduce the level of ventilator support to facilitate liberation from mechanical ventilation.

#### **Types of outcome measures**

### ***Phenomena of interest for exploring heterogeneity in included studies in the Blackwood 2013 and Blackwood 2014 reviews***

We included studies that reported contextual phenomena and outcomes specifically identified as relevant to the effectiveness of the interventions offered in the trials included in the Blackwood 2013 and Blackwood 2014 reviews.

### ***Phenomena of interest for understanding the broader implementation context in relation to the Blackwood 2013 and Blackwood 2014 reviews***

We included studies that reported contextual phenomena and outcomes identified as relevant to the effectiveness of protocolized weaning from mechanical ventilation. These were identified on the basis of:

- perceptions and understandings of healthcare professionals concerning:
  - the use of protocols generally (i.e. not in relation to experience of using a specific protocol) for weaning ICU patients from mechanical ventilation, including barriers and facilitators;
  - the use of a specific weaning protocol (or protocols) for weaning ICU patients from mechanical ventilation, including barriers and facilitators;
- behaviour of healthcare professionals in relation to the use of a specific protocol for weaning ICU patients from mechanical ventilation (e.g. compliance with the protocol);
- social organization and relationships of professional practice (e.g. interprofessional team working)
- wider organizational constraints and opportunities (e.g. availability of relevant resources)

#### **Search methods for identification of studies**

We used the search terms outlined in the Blackwood 2013 and Blackwood 2014 reviews that included synonyms for ventilator weaning and clinical protocols (reflecting the clinical condition and intervention respectively); we omitted the methods filter used to identify randomized controlled trials and inserted a qualitative search filter. Where available, we used appropriate methodological filters for specific databases (e.g. MeSH term -“Program Evaluation” - in MEDLINE). The qualitative search filters we used were informed by supplementary guidance on searching provided by the Cochrane Qualitative and Implementation Methods Group (CQIMG) (Booth 2011). In line with available guidance, we used a range of search terms (database-specific thesaurus, free-text and broad-based) (Shaw 2004) identified with the help of the SPICE (Setting Perspective Intervention Comparison Evaluation) mnemonic (Booth 2004) to optimize identification of relevant studies.

#### **Electronic searches**

We searched the following electronic databases from 1st January 1950 to 26th February 2015 inclusive. We reran the search on

3rd July 2016 and found three further studies which are awaiting classification

- Ovid MEDLINE - Includes new records, not yet fully indexed, Ovid MEDLINE(R) Daily Update 3rd July 2016, Ovid MEDLINE(R) 1946 to 3rd July 2016.

- Embase, OVID
- PsycINFO, OVID
- CINAHL Plus, EBSCOHost
- Web of Science Core Collection
- Applied Social Science Index and Abstracts (ASSIA),

ProQuest

- International Bibliography of the Social Sciences (IBSS),

ProQuest

- Sociological Abstracts, ProQuest
- Latin American and Caribbean Health Science Information (LILACS)

We did not exclude studies based on language, because of the premium placed on identifying all relevant studies and the anticipated relatively low rate of return from our searches. Our search strategies are presented in [Appendix 1](#), [Appendix 2](#), [Appendix 3](#), [Appendix 4](#), [Appendix 5](#), and [Appendix 6](#).

#### Other searches

To identify additional relevant published and unpublished work, we undertook the following activities. A comprehensive grey literature search encompassed the following electronic databases: Biosis; Scirus; Scientific Webplus; Science Watch; US Dept. of Health and Human Services (National Guideline Clearing House, Annotated Bibliographies, Expert Commentaries, Guideline Syntheses); Google; MSN; Medpage; ProQuest (Dissertation and Theses, Nursing and Allied Health Source; Biological Science). Search terms varied according to individual search engines, but were kept as inclusive as possible (for example, wean\*, protocol\*, extub\*) and used in multiple combinations.

We searched the websites of the following professional associations, and also searched for publications (policy documents, editorials and other statements) by them within BIOSIS and using Google:

- European Society of Intensive Care Medicine;
- European Federation of Critical Care Nursing Associations;
- European Society of Pediatric and Neonatal Intensive Care;
- American Association of Critical Care Nurses;
- American Thoracic Society;
- American Association of Respiratory Care;
- Society of Critical Care Medicine;
- Australian College of Critical Care Nurses;
- Australian and New Zealand Intensive Care Society;
- World Federation of Societies of Intensive and Critical Care Medicine;
- World Federation of Pediatric Intensive and Critical Care Societies;
- World Federation of Critical Care Nurses.

We initially undertook all searches on 26th February 2015 and

reran them on 3rd July 2016. In addition, we handsearched the reference lists of all publications reviewed, contacted authors of the trials included in the effectiveness reviews as well as authors of included studies, conducted citation searches of the publications reporting these studies, and contacted content experts.

#### Data collection and analysis

##### Selection of studies

Two review authors (JJ, LR) independently screened all retrieved titles and abstracts to assess eligibility, using a specifically-designed study eligibility form ([Appendix 7](#)). We retrieved full-text versions of all papers identified by either or both review authors as potentially eligible. We resolved disagreement by discussion with a third review author (BB). On occasion, we contacted the study authors for further information in order to make a final decision.

##### Data extraction and management

Two review authors (JJ, BB) independently extracted study data using a specifically-designed data extraction form ([Appendix 8](#)). We extracted data on study setting and population, phenomena of interest, study design, methods, findings and comments. JJ contacted study authors to seek clarification on issues of reporting (typically in relation to study design and methods).

The difficulties inherent in deciding what constitute 'findings' in qualitative research ([Glenton 2013](#); [Sandelowski 2002](#); [Thomas 2008](#)) coalesce around the essential difference between (raw) data, the author's analysis or interpretation of these data and other inferences or conclusions made by the author. For the purposes of this review we focused on the authors' analysis, typically presented as analytical themes or categories. We therefore extracted theme- or category-level evidence, irrespective of how simple or complex its development. In order to ensure a strict demarcation between 'findings' (that is, the authors' analysis) and the authors' inferences or conclusions based on these findings, we only extracted data included within the 'Findings' section of the included papers. In so doing, we adhered to the same approach as that adopted by [Thomas 2008](#).

##### Assessment of confidence in extracted evidence

We used a two-stage process to arrive at a final assessment of our overall confidence in the evidence used in the synthesis. In Stage 1, we assessed the quality of the included studies. Following the guidance provided by CQIMG ([Noyes 2011](#)), we adopted a multidimensional concept of quality to assess:

- the quality of *reporting* (that is, explicitness in reporting all aspects of study aims, design, process and findings)
- the *methodological rigour* (that is, the validity and reliability of study design and process)
- the overall *conceptual integrity* (that is, if the stated study aims/rationale were properly reflected in study design, process and findings, AND/OR, if a study was explicitly theoretically informed, if the theory was adequately reflected in study design, process and findings).

Two review authors (JJ, BB) independently critically appraised the included studies using a specifically-designed quality appraisal

form (Table 1). We resolved disagreements by discussion and did not require arbitration. The framework consisted of 10 domains, adapted from existing sets of criteria recommended for assessing the quality of qualitative research, and designed to capture the three dimensions of quality in which we were interested. The first set, the Critical Appraisal Skills Programme (CASP) checklist for qualitative research (CASP 2014), is a well-known quality-assessment tool. Although useful, the CASP framework is not designed to consider the more conceptual or theoretical aspects of a study. Consequently, we included two specific domains from the framework developed by Popay 1998, which allowed us to assess these aspects of the included studies.

Using this critical appraisal process, we differentiated between 'high', 'moderate' and 'low' quality studies, as follows:

- High: criteria appropriately applied and described in the paper or ascertained in communication with the primary author of the study.
- Moderate: criteria not reported and impossible to acquire from or clarify with the primary study author.
- Low: criteria inappropriately or not applied.

Essentially, the overall assessment represented a 'weighting' of the respective methodological strengths and weaknesses of each study. We summarized our assessment in an easily accessible table format, in which each study was colour-coded according to its assigned quality (high = green; moderate = yellow; low = red).

In Stage 2, we used version 1 of CERQual (Glenton 2013) to assess confidence in the evidence. This relatively recent approach uses principles similar to the GRADE framework (Guyatt 2011), taking into account two dimensions of the evidence. First, the methodological robustness of the included studies (assessed in Stage 1, above). Second, the coherence of the findings generated by the synthesis. Coherence was assessed as either high, moderate or low, according to the extent to which a finding was consistent across multiple contexts or settings. If a finding was applicable to multiple contexts or settings (for example, in terms of ICU organization or routines of care, or both), we designated its coherence as 'high'. Conversely, if a finding was relevant to one context or setting only, we designated its coherence as 'low'.

We combined the two aspects of the evidence (methodological quality and coherence) to create an overall confidence rating for each finding as either 'high', 'moderate' or 'low'. Two review authors (JJ, BB) independently assigned a rating, resolving disagreements by discussion. We generated all such ratings through a process of expert judgement. Accordingly, we rated a finding drawn from methodologically robust studies and relevant to a wide range of contexts as 'high' confidence. Conversely, we rated a finding drawn from methodologically weak studies and relevant to a limited number of contexts as 'low' confidence. Finally, we rated a finding that was either drawn from studies that evidenced methodological limitations or limited coherence as 'moderate' confidence. Again, the overall assessment of each finding represented a 'weighting' of their respective methodological robustness and coherence.

## Synthesis of qualitative evidence

### *Data synthesis for understanding heterogeneity in included studies in the Blackwood 2013 and Blackwood 2014 reviews*

#### AND

### *Data synthesis for understanding the broader implementation context in relation to the Blackwood 2013 and Blackwood 2014 reviews*

In line with CQIMG (Noyes 2011) guidance, our approach to the synthesis of qualitative evidence for both components of the review was the same. Data synthesis was premised on the type of qualitative data available. We were conceptually oriented in that we sought to analyse the original (author-generated) findings to develop new interpretive constructs, set out as analytical themes. This approach reflected the underlying aims and objectives of the review, namely, the identification of contextual barriers and facilitators to the use of protocols for weaning. We used the 'thematic synthesis' approach (Thomas 2008), involving three stages: Stage 1: The coding of text line-by-line: four review authors (JJ, BB, LR, KD) read all of the included studies and independently coded a selection. We developed initial codes on a line-by-line basis to reflect directly the meaning and content of the text. This stage of the synthesis constituted a relatively straightforward process of study-specific 'substantive coding', in that the codes remained close to the substance of the (line or lines of) text to which they had been assigned.

Stage 2: The development of descriptive themes: four review authors (LR, KD, BB, JJ) shared their respective coding frameworks. We used this as a starting point for the development of themes that cross-cut the collective body of findings. We achieved this through completion of two consecutive analytical processes:

- On a study-by-study basis we compared the individual codes with one another, looking for similarities and differences in how they related to the segments of text which they summarized. Through this process we gradually developed a shared coding framework that encompassed all of the findings. During this inductive process, preliminary codes could be lost, amalgamated or new ones created as we worked to ensure that all of the designated codes related to their assigned segments of text in essentially the same way. That is, we worked to ensure that we achieved equivalence in the meaning of the codes across the collective body of findings. Consequently, by the end of this process we were confident that the coding framework was both coherent and consistent. Thomas 2008 describes this process as beginning the translation of concepts from one study to another, and a cornerstone of any developing synthesis;

- Once this coding framework had been agreed, we undertook a process of reviewing all component codes with the aim of identifying any that clustered together according to correspondence in their meaning or focus. We were looking for underpinning themes that could be said to link a number of codes together. We then considered codes identified as such in terms of their potential for categorization under the same

'descriptive theme'. As these were developed, each was given a name that descriptively summarized the (shared) content or focus of the included codes.

**Stage 3:** Generating analytical themes: in order to develop a series of themes that directly addressed barriers and facilitators to the use of protocols, we undertook the following process:

- One review author (JJ) independently reviewed the entire body of descriptive themes, including the individual codes and associated bodies of text from the original study findings. Simultaneously, another review author (KD) undertook the same process in relation to a selection of descriptive themes. We were confident that a firm basis had been established for the lead author (JJ) to assume primary responsibility for this stage of the analysis, because of the detailed shared development of the descriptive themes previously completed by four review authors (KD, BB, LR, JJ);

- On the basis of a close reading and re-reading of the data, JJ and KD independently developed a series of analytical themes that directly addressed barriers and facilitators to the effective use of protocols for weaning from mechanical ventilation. We undertook this process iteratively, involving an ongoing refinement of the analytical themes as they incorporated growing amounts of 'evidence', in the form of the descriptive themes and associated bodies of texts. Inevitably, a significant degree of interpretation was involved as both review authors moved between the descriptive themes, their constituent codes, relevant individual study findings and the developing analytical themes;

- On completion, JJ and KD shared the two sets of analyses and discussed them with a view to producing a joint analysis. Although this process inevitably enhanced the reliability of the final analytical framework, we were more concerned with exhausting the full possibilities for analytical insight. Accordingly, the discussion addressed a wide range of issues of interpretation and relevance, premised on the insights both authors had gained in the course of their analyses. They subsequently presented the agreed framework to the other review authors and refined it into its final form based on their reading and feedback.

### Summary of qualitative synthesis findings

In order for the relatively large body of evidence encapsulated within our analytic themes to be used effectively in the synthesis, we condensed it into a series of summary statements. When developing the statements we strategically focused on extracting evidence that directly addressed barriers and facilitators to the use of protocols. Following [Glenton 2013](#), we summarized our analytical themes in the form of a 'Summary of qualitative findings' table. This table is similar to the 'Summary of findings' tables used in Cochrane reviews of effectiveness. Our table summarized the key findings, our confidence in the evidence for each finding, and an explanation of how we arrived at our confidence in the evidence for each finding.

### Sensitivity analysis

We included all studies in our synthesis of qualitative evidence, irrespective of quality assessment. We undertook two subsequent sensitivity analyses. The first ascertained how the removal of studies assessed as 'low' quality impacted on the content and confidence of the synthesis. It involved a two-stage process:

#### Stage 1

We reviewed the summary statements, identifying those that had been developed using evidence derived from studies assessed as 'low' quality.

#### Stage 2

We extracted the evidence from the low-quality studies in relation to each summary statement. During this process we sought to:

- ascertain the impact of the removal of this evidence on the relevant summary statement;
- assign a new confidence rating;
- provide a rationale for the new confidence ratings assigned.

Our second sensitivity analysis focused on differences in the evidence according to setting, either adult or paediatric ICU. Again, we undertook a two-stage analysis:

#### Stage 1

We reviewed the summary statements, extracting those that had been developed using evidence derived from studies set in paediatric ICUs.

#### Stage 2

We extracted the evidence from these studies in relation to each summary statement. During this process we sought to:

- ascertain the impact of the removal of this evidence on the relevant summary statement;
- assign a new confidence rating;
- provide a rationale for the new confidence ratings assigned.

### Synthesis of the qualitative evidence and the effectiveness reviews

A key objective of this review was to integrate the findings of the qualitative evidence synthesis with those of the Cochrane effectiveness reviews. Such integration remains relatively innovative, with a number of approaches in use. One such is a logic model methodology ([Allmark 2013](#); [Anderson 2011](#); [Baxter 2014](#)). Utilizing this methodology we took the evidence from our qualitative synthesis (in the form of our summary statements) to develop a series of 'chains of reasoning', which linked specific features of the context of weaning to the outcome of interest, namely, the use of protocols.

Two review authors (BB, JJ) used the summary statements to develop lines of logic that we propose as possible pathways to the use of protocols for weaning from mechanical ventilation. Our lines of logic included:

- A *component* or feature of the context in which protocols for weaning adults and children from mechanical ventilation may be implemented;

- The **barriers** and **facilitators** associated with the component;
- A *moderator*, that is, a factor that could affect, either positively or negatively, the barriers and facilitators;
- The *longer-term outcome*, that is, the optimal use of a protocol, which the identified chain could bring about.

The two review authors (JJ, BB) responsible for the development of the lines of logic worked collaboratively. We considered this an appropriate approach as our lines of logic sought to summarize a complex process along multiple dimensions, and as such required deliberation and redrafting in pursuit of clarity and precision. This process was aided considerably by discussion and feedback between the two review authors. We shared a preliminary draft with all review authors, and an iterative process of feedback and refinement saw several versions produced before we agreed a final one across the research team.

In developing the lines of logic we adhered closely to our original

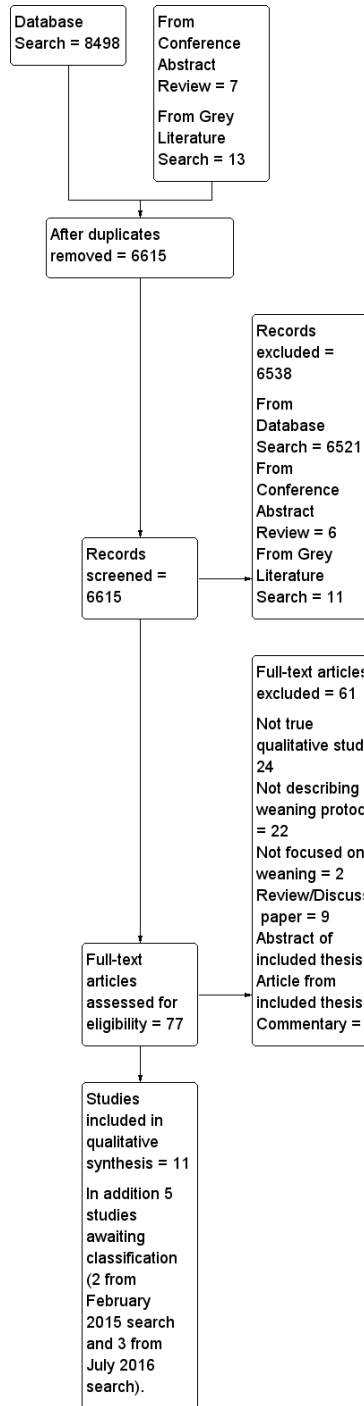
findings (that is, summary statements). By so doing, we ensured that the chain of events we developed directly reflected the features and processes of protocol design, implementation and use originally reported in the included studies.

## RESULTS

### Results

We identified 7770 titles and abstracts, of which we reviewed 77 full-text papers. We included 11 studies that reported qualitative evidence on protocolized weaning of adults and children from mechanical ventilation (Blackwood 2004; Gelsthorpe 2004; Hansen 2007; Hansen 2009a; Hansen 2009b; Keogh 2009; Kydonaki 2011; Lavelle 2011; McLean 2006; Myneni 2012; Vaerland 2011). All of the papers were published since 2004. (Figure 1)

**Figure 1. Study flow diagram.**



We initially ran the searches in February 2015 and reran them in 2016, when we found a further three studies which now await classification. There are now five studies awaiting classification (Pettersson 2012; Solberg 2015; Tingsvik 2014; Tume 2014; Wongrostrai 2016). We will deal with these studies when the review is updated. See the Table [Characteristics of studies awaiting classification](#) for more details.

#### **Included studies**

Despite extensive searching, including contacting trial authors, we were unable to locate any trial-related qualitative evidence (so-called 'sibling' studies) for either the [Blackwood 2013](#) (adult) or [Blackwood 2014](#) (paediatric) reviews. Only one of the unrelated included studies ([Keogh 2009](#)) was conducted in a paediatric ICU, which arguably is a different context from adult ICUs, as children present with different diagnoses, anatomy and pathophysiology from adults. Consequently, our synthesis uses evidence derived from unrelated qualitative studies, drawn from similar ICU contexts to those in which the trials included in the [Blackwood 2014](#) and effectiveness reviews were conducted, with similar participants and using (where we could tell) broadly similar types of protocols. We use the trial-unrelated qualitative evidence to address our two review questions. In relation to the first question, concerning the contextual factors (facilitators and barriers) that may have contributed to the heterogeneity in effect sizes of the randomized controlled trials included in the [Blackwood 2013](#) and [Blackwood 2014](#) reviews, our main focus is on the facilitators and barriers to the use of protocols. In so doing, we triangulate the qualitative synthesis findings concerning barriers and facilitators to the use of a protocol generally with the hypotheses put forward by the trial study authors concerning barriers and facilitators to the use of the protocol in their specific trials. As already indicated, the unrelated qualitative studies also provide evidence addressing the second of our review questions, concerning the contextual factors (facilitators and barriers) that may generally have an impact on the use of weaning protocols. As such, we are also able to comment on the broader implementation context in relation to the [Blackwood 2013](#) and [Blackwood 2014](#) reviews. All studies were published in English except one that was translated (from Norwegian into English) ([Vaerland 2011](#)).

#### **Study participants**

Participants in the studies included in the qualitative synthesis did not appear to differ markedly from participants in those included in the effectiveness reviews. Most studies (n = 9) ([Gelsthorpe 2004](#); [Hansen 2007](#); [Hansen 2009b](#); [Keogh 2009](#); [Kydonaki 2011](#); [Lavelle 2011](#); [McLean 2006](#); [Myneni 2012](#); [Vaerland 2011](#)) sought the views and experiences of nurses, either alone or alongside those of other ICU staff, typically physicians and physiotherapists. Two studies, based in Canada ([McLean 2006](#)) and the United States ([Myneni 2012](#)), also included respiratory therapists. A minority of studies (n = 2) ([Blackwood 2004](#); [Hansen 2009a](#))

focused entirely on the views and experiences of physicians.

#### **Setting**

The settings of the studies included in the qualitative synthesis did not appear to be markedly different from those included in the effectiveness reviews. Except for one study ([Kydonaki 2011](#)), all were completed in high-income countries, with the majority being undertaken in Europe. Except for one paediatric study ([Keogh 2009](#)), all were conducted in an adult ICU. Where reported, ICUs were mixed. Most studies (n = 9) ([Gelsthorpe 2004](#); [Hansen 2007](#); [Hansen 2009a](#); [Hansen 2009b](#); [Keogh 2009](#); [Lavelle 2011](#); [McLean 2006](#); [Myneni 2012](#); [Vaerland 2011](#)) were undertaken in a single ICU. Typically, the studies that addressed a specific protocol (n = 9) ([Gelsthorpe 2004](#); [Hansen 2007](#); [Hansen 2009a](#); [Keogh 2009](#); [Kydonaki 2011](#); [Lavelle 2011](#); [McLean 2006](#); [Myneni 2012](#); [Vaerland 2011](#)) were poor at reporting the details of protocol content; only two ([Gelsthorpe 2004](#); [Vaerland 2011](#)) included any detailed information concerning its content and procedures for use, which made direct comparisons with included trial intervention protocols challenging. In general the protocols for which there was some description appeared to be broadly similar in purpose to those used in the included trials. A summary of the characteristics of study settings is presented in [Table 2](#).

#### **Use of protocols in unrelated qualitative studies**

A majority of the studies (n = 9) ([Gelsthorpe 2004](#); [Hansen 2007](#); [Hansen 2009a](#); [Keogh 2009](#); [Kydonaki 2011](#); [Lavelle 2011](#); [McLean 2006](#); [Myneni 2012](#); [Vaerland 2011](#)) sought the views and experiences of participants in relation to a specific weaning protocol. The remaining two studies ([Blackwood 2004](#); [Hansen 2009b](#)) sought the views and experiences of participants of weaning patients from mechanical ventilation more generally, including in relation to the perceived benefits and disadvantages of using a protocol. In most unrelated studies the protocol had already been implemented and in some studies the protocol had been used for some time, although it was difficult to ascertain exactly how long. This contrasts with included trial interventions that focused on the immediate implementation context and for a defined period of follow-up.

#### **Quality of included studies**

The included studies were of variable quality. They tended to adopt a relatively functional approach to the research design and process. Accordingly, attention was paid to issues of transparency and credibility but not to other issues that mark the particular character of qualitative research such as, for example, reflexivity or conceptual elaboration. In addition, the studies tended to provide limited detail concerning all aspects of methodology. In this respect, it is not surprising that the only study ([Kydonaki 2011](#)) to have been rated positively across all 10 domains was a PhD thesis that was able to report in detail on all aspects of research design and process.

The studies relied heavily on interviews and to a lesser extent on focus groups, with only two studies (Kydonaki 2011; Myneni 2012) incorporating observation. In terms of findings, they tended to present technically competent but relatively undeveloped descriptive analyses, showing little evidence of theoretical or conceptual development. However, given the lack of stated theoretical underpinnings, such development would not be expected. Accordingly, only one study (Gelsthorpe 2004) was rated negatively on this domain, having stated an explicit theoretical orientation, but failing to build on this in terms of either research design or analysis of findings. Appendix 9 presents a summary table of our assessment of the quality of included studies.

### Thematic synthesis of qualitative evidence

We developed nine analytical themes, as follows:

- Continual staff training and development: the essentials of knowing how (to use a protocol) to wean
  - Clinical experience: the basis of a necessary felt and perceived competence and confidence for (protocolized) weaning
    - The vulnerability of weaning protocols to differential (inter) professional working
    - Rigidity of protocols militate against a necessary proactivity in clinical practice
      - Perceived nursing scope of practice and professional risk
      - ICU structure and processes of care
      - Protocols as a prompt for shared care, consensus and consistency in weaning
        - Maximizing the use of protocols through visibility, relevance and ease of implementation
        - Protocols as a framework for communication with parents

Each of the themes includes evidence that directly addresses possible barriers and facilitators to the use of protocols for weaning adults and children from mechanical ventilation as this impacts on their overall effectiveness. Each theme is discussed below.

#### ***Continual staff training and development: the essentials of knowing how (to use a protocol) to wean***

The need for ongoing staff development and training was stressed amongst participants, physicians and non-physicians alike (Blackwood 2004; Hansen 2009b; Lavelle 2011). Such training was understood as critical to the maintenance of a comprehensive body of weaning-related pathophysiological knowledge and to achieving competence in the use of protocols (Blackwood 2004; McLean 2006). In one setting observations confirmed the negative outcomes associated with inadequate clinician understanding of the protocol when patients were left on spontaneous breathing trials for prolonged periods of time (Myneni 2012). Some physicians expressed concern over potentially inappropriate use of protocols, being used as a replacement for, rather than as an adjunct to, clinical judgement (Blackwood 2004; Hansen 2009a; Hansen 2009b). Training was considered to minimize the potential for this by helping to equip all those involved with due clinical insight, knowledge and competence (Blackwood 2004). In line with this understanding, those nurses who received regular training in

ventilator weaning considered their weaning-related competence and confidence to have improved, including in relation to the use of a protocol (Hansen 2009b; Vaerland 2011). In addition, some nurses were aware of a separate outcome of training, namely, enhancing their credibility to wean amongst colleagues (especially physicians), such that the latter were more inclined to allow them an increased role (Lavelle 2011).

#### ***Clinical experience: the basis of a necessary felt and perceived competence and confidence for (protocolized) weaning***

Nurses drew a direct association between experience and clinical expertise and confidence (Gelsthorpe 2004; Hansen 2009b; Keogh 2009; Kydonaki 2011; Lavelle 2011; Vaerland 2011). The greater the experience of a nurse, the more s/he could and should rely on independent clinical insight and skills as the basis of clinical decision-making, including in relation to weaning (Gelsthorpe 2004; Lavelle 2011; Vaerland 2011). Thus, the use of or reliance upon weaning protocols tended to be associated with more junior/less experienced staff (Kydonaki 2011; Lavelle 2011; Vaerland 2011). Some nurses talked about the weaning protocol as enhancing their feeling of safety when weaning (Hansen 2007). Others talked about not weaning despite the protocol guiding them to do so (Gelsthorpe 2004; Hansen 2007). For junior nursing staff, this caution was explicitly associated with a more generalized caution in weaning practice based on felt inexperience (Gelsthorpe 2004). Some nurses, including but not restricted to relatively junior staff, confirmed that although fully understanding the protocol, they routinely waited for explicit instruction from senior colleagues (typically physicians but sometime senior nurses), based on a felt lack of confidence (Gelsthorpe 2004; Hansen 2007).

Other experienced nurses considered themselves as proactive in weaning. They understood that, over time, their day-to-day work at the patient's bedside had enabled them to develop relevant knowledge, skills and confidence in weaning (Hansen 2007; Hansen 2009b; Lavelle 2011; Vaerland 2011). Such experiential knowledge and the confidence it engendered were regarded as core to their ability to both observe as well as correctly interpret clinical and other indicators. Consequently, some nurses expressed a preference for weaning based on personal insight and expertise, with a protocol acting as a guideline to care, rather than a determinant of it (Gelsthorpe 2004; Kydonaki 2011; Vaerland 2011). Some nurses identified protocols as problematic, in that they interfered with their ability to effectively wean using personal clinical expertise and insight (Lavelle 2011).

Physicians also acknowledged that the degree of clinical experience and concomitant felt competence and confidence directly impacted on their own as well as colleagues' use of the protocol (Blackwood 2004; Hansen 2009b). Inexperienced or junior physicians described the protocol as providing them with a means of ensuring that decisions made were in line with accepted practice (Hansen 2009b). In this respect, the protocol was understood as a 'safety check', providing reassurance concerning the correctness of weaning management. Similar to experienced nurses, experi-



enced physicians confirmed a preference for weaning decision-making based on personal expertise and insight (Blackwood 2004; Hansen 2009a; Myneni 2012). Moreover, they understood experienced nurses as more likely to 'commit' to weaning, compared to their more inexperienced counterparts (Hansen 2009a). Physicians also expressed a clear preference for engaging in collaborative weaning with experienced nurses (Blackwood 2004; Hansen 2009a). Physicians differed in their understanding of protocolized nurse-led weaning. Whilst some suggested that only experienced nurses could be relied upon to use the protocol appropriately as less experienced nurses would be likely to adhere uncritically to its guidelines (Blackwood 2004; Hansen 2009a), others considered that a combination of training and explicit instructions enshrined in a protocol could underpin enhanced involvement of relatively junior staff (Blackwood 2004).

The fact that the use of protocols increased the involvement of nursing as well as junior medical staff was understood by participants (both nurses and junior physicians) as important to the development of a necessary confidence and competence to wean (Keogh 2009; Kydonaki 2011). Their use afforded nurses and junior medical staff an opportunity to improve as clinicians, including in relation to weaning, and to understand themselves as having improved. This process was two-fold. First, in terms of competence as the protocol encouraged and enabled them to make decisions and take action (Hansen 2007; Keogh 2009). Second, over time, as they practised in this way, confidence in their effectiveness as autonomous practitioners increased (Keogh 2009; McLean 2006). For these reasons, a protocol was talked about as motivating staff in their clinical practice (Hansen 2007).

#### ***The vulnerability of weaning protocols to differential (inter) professional working***

Amongst physician participants, weaning was understood in two main ways. First, to involve (patho)physiological indicators that are readily observed, measured and understood (Blackwood 2004). This aspect of weaning was considered to lend itself to the involvement of nursing staff; in such cases, a protocol acted as a tool for nurse decision-making, particularly in the context of straightforward or 'routine' patient weaning (Blackwood 2004). Second, to involve more subtle (patho)physiological and other indicators that could only be observed or understood, or both, on the basis of enhanced clinical insight and expertise (Blackwood 2004). In that these indicators either presented ambiguous information or were only 'visible' to expert/more experienced clinicians, this aspect of weaning was considered by physicians to militate against the involvement of nursing, particularly junior staff (Blackwood 2004; Hansen 2009a).

This understanding of weaning was associated with inconsistency in weaning practice, including in relation to the use of protocols (McLean 2006). Physicians could actively pursue nursing involvement through discussion and joint decision-making. This approach was most likely to be adopted with nurses considered to have sufficient experience and consequent skills to be trusted to un-

dertake clinically appropriate weaning (Blackwood 2004; Hansen 2009a). Here, the protocol was understood to act as a reference point and basis of collaboration (Blackwood 2004; Gelsthorpe 2004). Second, physicians could assume responsibility for weaning, either performing tasks in the absence of any communication with nursing or other clinical staff or simply directing the latter in terms of how to proceed with weaning, sometimes totally ignoring the protocol in the process (Hansen 2007; Hansen 2009a; Kydonaki 2011; Myneni 2012). At times, limited autonomy, in terms of how instructions could be executed, was available to nurses, particularly in relation to patients presenting as clinically unproblematic (Blackwood 2004; Hansen 2009a). However, even in terms of this reduced role, the potential for less experienced nurses to lack sufficient confidence to independently execute instructions, even those set out unambiguously in the form of a protocol, was identified (Blackwood 2004; Hansen 2009a).

Nurse participants were similarly aware of inconsistency in the use of protocols (McLean 2006). In relation to physicians, they variously described a protocol as being implemented "by chance", only through physician choice (so that it could be totally overlooked), or when explicitly prompted by nurses (Hansen 2007; Hansen 2009b; Vaerland 2011). At times, a fundamental lack of interest in weaning was attributed to some physician as well as nursing staff (Hansen 2007). Alternatively nurses acknowledged that, due to the labour-intensive nature of weaning or the stress it placed on patients, or both, they could also choose not to wean, focusing instead on other clinical duties (Hansen 2007). Some physicians were understood to encourage an interprofessional approach to weaning; others denied nurses a role by assuming either sole or main responsibility for relevant decision-making (Hansen 2007; Hansen 2009b). Irrespective, the degree of nurse involvement in weaning was understood to be effectively determined by physicians (Hansen 2007; Kydonaki 2011). At least in part, inconsistency in interprofessional collaboration was understood as stemming from inequalities in professional status. In this context, participants could see themselves as extremely limited in their ability to effectively challenge physician decision-making, such was the disparity in respective professional status (Hansen 2007).

The fact that physicians could deviate from a protocol's instructions or simply ignore its existence was considered by nurses to frustrate effective weaning in that it prevented them from undertaking relevant activity (Hansen 2007). This situation was viewed as particularly unfortunate, as it meant that the detailed patient knowledge possessed by a nurse, a sound basis for appropriate weaning activities, was wasted (Hansen 2007). Nurse participants upheld the value of the particular contribution made by nurses to the weaning process. It was the immediacy (both temporal and physical) with which they could observe and respond to individual patients that was considered to set them apart from other clinical staff (Lavelle 2011).

The lack of consistency in physicians' approach to nurse involvement was perceived to breed uncertainty about how to proceed

with weaning, including in relation to the use of a protocol (Hansen 2007). Consequently, individual nurses adopted different strategies in an attempt to ensure that their role in weaning adhered to personal preference, as well as felt knowledge and competence, leading to inevitable variation in weaning practice. More experienced and confident nurses could take deliberate steps to involve themselves, particularly in situations where they assessed a patient as ready for weaning, beyond that being pursued by the physician (Hansen 2007). Even here, they could be frustrated as their recommendations could be ignored or overruled. Typically, less confident nurses allowed the physician to dictate the weaning process and their role in it (Hansen 2007).

Physician reluctance to allow nurses a meaningful role in weaning was associated with an individualization of nursing competence (Hansen 2009b). The removal of such individualization was understood to be crucial to enabling nurses to assume an effective role in weaning (Hansen 2007; Hansen 2009b). Such was the perceived importance of meaningful interprofessional collaboration that it could outrank other factors. Thus, for example, although lack of time was understood to significantly militate against weaning, even when such time was available, lack of interprofessional working further impacted negatively on the weaning process (Hansen 2009b). Not only could nurse participants discern the practical value (that is, impact on weaning outcomes) of interprofessional collaboration (Gelsthorpe 2004; Hansen 2007), but were also aware of how such collaboration could contribute to an improvement in personal professional development in terms of improving their ability to convey to others (namely, physicians) their clinical expertise (Hansen 2009b).

#### ***Understanding of protocols as militating against a necessary proactivity in clinical practice***

Even when physician participants understood protocols as a valuable means of facilitating the weaning process, they identified an important proviso, namely their limitations in relation to complex patients (Blackwood 2004; Hansen 2009a; Hansen 2009b; Kydonaki 2011). Typically, these patients had significant comorbidity or were otherwise physiologically vulnerable, such that they could be on long-term ventilation. The severity of their condition was understood to necessitate a high degree of physician control of the weaning process (Blackwood 2004; Kydonaki 2011). Other relatively straightforward patients required less physician involvement and so lent themselves to nurse-led weaning using a protocol (Blackwood 2004). Other physicians were more dismissive of the value of protocols. Effective practice was understood by them as premised strictly on clinical judgement and autonomy in decision-making; a protocol could encourage abdication of such responsibility as, once implemented, others could be left to oversee the process (Hansen 2009a).

Relatedly, the protocol could be understood by physician participants as overly generalized and rigid, representing a 'cook-book' approach (Blackwood 2004; Hansen 2009a), and thus unable to deal with an inevitably heterogeneous patient population

(McLean 2006). A similar lack of protocol sensitivity was identified in relation to specific phases of weaning, particularly extubation, something exacerbated in clinically complex cases (Myneni 2012). In this regard, protocols were considered redundant in that they could not accommodate decision-making 'at the margins' (Myneni 2012). Moreover, protocols were considered to have the potential to induce clinical apathy, in that clinicians could adhere to their instructions in the absence of a necessary considered decision-making process.

Participants, most notably experienced physicians and nurses, prioritized clinical experience as an important arbiter of the appropriate use of a protocol (Blackwood 2004; Gelsthorpe 2004). Experience was thought to equip clinicians with a necessary clinical insight and expertise such that they would be able both to identify the need for, as well as clinically execute, a deviation from a protocol's instructions (Blackwood 2004; Hansen 2009b). Lack of experience and concomitant potential for inappropriate adherence to a protocol, leading to inappropriate or even harmful weaning, was associated particularly with junior nursing and medical staff (Blackwood 2004; Hansen 2009a).

Nurse participants frequently prioritized individualized care as fundamental to effective weaning. This requirement was often seen as militating against the use of protocols, which were understood as overly rigid in the context of a clinically complex process during which different information must be taken into account (Lavelle 2011; McLean 2006; Vaerland 2011). Some nurse participants contrasted a cautious approach typically adopted by nurses (based on their knowledge of the patient as an individual) with a more aggressive approach of physicians, based at times on their perceived focus on generic (patho)physiological criteria contained in the protocol (Gelsthorpe 2004). Furthermore, protocols could be considered as entirely unnecessary in relation to the weaning of 'straightforward' patients. Not only were these patients 'easy' to wean, but also participants saw themselves as entirely competent to do so on the basis of personal knowledge and expertise (Lavelle 2011).

#### ***Perceived nursing scope of practice and professional risk***

Nurse participants described an essentially 'risk averse' approach to clinical activity, including weaning (Gelsthorpe 2004). As such, they routinely sought and closely adhered to explicit instruction. Typically, this instruction was provided by medical, sometimes senior nursing colleagues, with participants considering themselves to be essentially absolved of responsibility so long as a physician had sanctioned the relevant action(s) (Gelsthorpe 2004). To a more limited extent, the same 'cover' could be associated with a weaning protocol, in so far as it too set out explicit instruction to which a nurse was expected to adhere (Hansen 2007; Kydonaki 2011). Differences in the degree to which nurses involved themselves in weaning could be closely related to the degree of risk such involvement was perceived to entail. In one particular setting, nurses understood themselves as totally lacking any legal or professional cover on the grounds that no formal documentation existed, either

in the form of a protocol or documented weaning plan. Consequently, they avoided making any independent weaning decisions (Kydonaki 2011). In another setting, nurses operated with explicitly-documented instructions set out in a protocol. Consequently, they felt relatively confident in taking weaning-related decisions using these instructions. That said, when the instructions provided by physicians were perceived to be ambiguous in nature, requiring a significant degree of interpretation, only the more experienced or senior nursing staff took any significant involvement in weaning (Kydonaki 2011).

#### ***ICU structure and processes of care***

The use of a protocol for weaning was considered to be closely related to pre-existing ICU organization and routines of care. Physician working hours and arrangements were discussed by both nurse and physician participants as regularly interrupting the weaning process (Hansen 2009a). The fact that lead clinicians worked on a nine-to-five basis, excluding weekends, was regarded as limiting the opportunities for necessary interprofessional communication and decision-making. Physicians could be absent from the ICU even when on duty, yet again frustrating necessary communication and diminishing the continuity and timeliness of weaning (Hansen 2007; Hansen 2009a). Some physician participants drew attention to their increased dependency on nursing staff to undertake weaning during their absence. In such circumstances the use of a protocol was deemed inappropriate; rather, the expertise of particular nurses was relied upon (Hansen 2009a). In one setting, it was the non-participation of respiratory therapists in the morning ward round that was understood to contribute to suboptimal interprofessional communication concerning weaning, including in relation to the use of the protocol (Myneni 2012).

Participants perceived the rotation of nurses amongst patients as restricting the opportunities available for the development of in-depth patient-specific knowledge (Hansen 2007; Hansen 2009b). Such knowledge was typically considered to underpin effective weaning, as it facilitated a comprehensive insight into the ongoing physiological status and associated requirements of patients (Hansen 2009b). More fundamentally, lack of continuity could be understood to impede the development of a sense of responsibility to patients, with a consequent reduction in felt impetus to proactively wean (Hansen 2009b). In those settings in which continuity in nurse-patient allocation was preferred, participants highlighted staff shortages as routinely preventing such a system (Hansen 2009b). Some nurse participants described a lack of urgency to wean amongst physician colleagues, with other more immediate clinical issues thought to take priority (Hansen 2007). For some nurses, their awareness of lack of proactivity on the part of physicians increased felt responsibility to initiate weaning and consequent frustration when physicians continued to thwart their efforts (Hansen 2007). Furthermore, weaning was at times acknowledged by nurses to slip down their own clinical agenda as other issues, typically associated with the care of acutely-ill or deteriorating patients, or both, were prioritized (Hansen 2007).

The fact that weaning was a demanding, time-consuming activity made it vulnerable to being discontinued or even 'avoided', particularly at times of pressure on resources (Hansen 2007; Hansen 2009a; Hansen 2009b; Myneni 2012). Participants could be already overburdened with core clinical duties, all associated with the provision of essential and often time-consuming care (Hansen 2009b). In one setting, observations confirmed the detrimental impact of inadequate resources when delays in the provision of weaning-related information occurred because of ICU 'crowding' and the need to concentrate attention on an acutely-deteriorating patient (Myneni 2012).

Several other organizational routines were discussed as adversely impacting on the weaning process. Some participants talked about a preference for weaning to be undertaken in the mornings, based on a felt 'proactivity' (Gelsthorpe 2004), as well as greater physician presence (and thus opportunities to discuss and plan patient weaning) at this time of the day (Blackwood 2004; Gelsthorpe 2004). Furthermore, open patient visiting, meaning that visitors were present in a unit throughout the working day, was talked about as potentially disruptive to the weaning process (Hansen 2009b).

Participants highlighted a lack of time for important informal (for example, ad hoc 'bedside learning') as well as formal opportunities (for example, ward rounds) for interprofessional discussion as these contributed to weaning-related professional knowledge and skills, as well as multidisciplinary collaboration (Hansen 2009b; Myneni 2012). In this context, they identified one organizational routine as facilitating protocolized weaning, namely, ICU ward rounds. These were seen as providing excellent opportunities for interprofessional discussion and decision-making. This regular or routine interaction was understood to help facilitate a shared or team approach to weaning, including in relation to the use of a protocol (Gelsthorpe 2004).

Finally, some physician participants highlighted how current weaning practice served to make the introduction of a protocol redundant. As staff were already encouraged to titrate respiratory support frequently to individual patient's needs, they considered that a protocol would have little or no effect in making the weaning process more timely (Blackwood 2004).

#### ***Protocols as a prompt for shared care, consensus and consistency in weaning***

Both nurse and physician participants associated a number of positive attributes with the use of protocols, all of which were understood to increase the timeliness, consistency and ultimately effectiveness of weaning. Accordingly, protocols were considered to raise the profile of weaning generally (Hansen 2009a; Hansen 2009b; Vaerland 2011). In their absence, weaning was understood as vulnerable to being overlooked, as staff concentrated on other essential aspects of patient care. Furthermore, protocols were understood to facilitate both intra- and interprofessional discussion and collaboration (Hansen 2007; Hansen 2009a; Hansen 2009b; Keogh 2009; Kydonaki 2011; McLean 2006; Vaerland 2011), to

provide explicit instruction concerning the weaning process according to known and agreed criteria (Keogh 2009), and to provide a formalized framework for decision-making within which nurses had clear instructions as well as authority to act, including in the absence of physicians (Hansen 2007; Hansen 2009a; Keogh 2009). In addition, some participants understood a protocol to enhance consistency and continuity of care, as all staff were encouraged and facilitated to follow a systematic weaning process (Hansen 2007; Hansen 2009a; Hansen 2009b; Kydonaki 2011). In this context, some physicians identified a need for an extension of nursing weaning responsibility, seeing a protocol as a means of formalizing this process (Hansen 2009b).

#### ***Maximizing the use of protocols through visibility, relevance and ease of implementation***

Nurse and physician participants discussed a range of features, either inherent to a protocol itself or to the process by which it was implemented, as likely to enhance its use or effectiveness or both. First, they emphasized the need for it to be easily understood, providing a straightforward framework for decision-making (Keogh 2009; McLean 2006). It was the simplicity of the protocol, enshrining explicit criteria within an equally explicit process of care, that was considered particularly important in promoting its use. In one setting, observations confirmed the detrimental impact of a complicated protocol when repeated misinterpretation occurred, leading to significant delays in the weaning process (Myneni 2012). Second, participants highlighted the need for a protocol to be consistently visible and easily accessible, to encourage and facilitate its use; examples of such accessibility included permanent, prominent display at different locations in the ICU (McLean 2006). The detrimental impact of a lack of ongoing protocol 'revalidation' or emphasis was further suggested by nurse participants who talked about an initial enthusiasm for and adherence to the use of a protocol as diminishing over time (McLean 2006).

#### ***Protocols as a framework for communication with parents***

Nurse participants could describe protocols as a useful tool for improving communication between themselves and parents. In particular, it provided a framework to which they could refer when explaining or clarifying the weaning process (Keogh 2009).

#### **Synthesis of the qualitative evidence and the effectiveness reviews**

We condensed the findings from the synthesis of qualitative evidence into a series of summary statements, presented in Table 3. So that a direct line may be traced from the thematic synthesis to the summary statements, each is listed under the analytical theme from which it has been derived.

#### **Confidence in the summary of finding statements derived from the synthesis**

We assessed most statements of findings ( $n = 17$ ) as 'low' confidence. In such cases, the overriding factor was a lack of coherence. We rated 13 statements as 'moderate' confidence; typically, these were derived from studies assessed as 'moderate' or 'high' qual-

ity and conducted across different settings. In relation to the five statements graded as 'high' confidence, the primary factor was the observed high levels of coherence.

#### **Sensitivity analysis**

Our quality appraisal process identified three studies as 'low quality' (Keogh 2009; McLean 2006; Myneni 2012) (Appendix 9). It is important to note that these assessments are comparative (relative to the other studies included in the qualitative synthesis) and specific to the objectives of this review. Appendix 10 presents the results of our two-stage sensitivity analysis, showing the impact on our confidence in the relevant statements when the evidence derived from the three low-quality studies (Keogh 2009; McLean 2006; Myneni 2012) is no longer available for synthesis. Eight summary statements were impacted by the removal of evidence derived from low-quality studies. In the case of five statements, the impact was restricted to a change in designated confidence. Assessed confidence dropped, in all cases from 'moderate' to 'low', because the finding was no longer seen across multiple contexts and thus its coherence decreased. However, despite the drop in assigned confidence, the statements remained valid in terms of evidence that could be used in the development of our lines of logic. In the case of the remaining three statements, namely:

- Protocols should have clarity in their design and instruction, and be straightforward to use
- Protocols should be readily accessible/visible within an ICU at all times
- Nurses understand a protocol to be a useful communication tool, providing a framework through which they can explain and otherwise communicate with parents about the process of weaning their child from ventilation,

the impact was much greater, in that the relevant evidence was derived only from studies designated as of low quality. Consequently, the statements were lost as evidence for use in the development of our lines of logic. Given the uncertainty characterizing the validity of the statements, future research could usefully be undertaken that focuses on their content as a means of strengthening the evidence base.

Only one of the included studies (Keogh 2009) was conducted in a paediatric ICU. Appendix 11 presents the results of our two-stage sensitivity analysis, showing the impact on the relevant summary statements when the evidence derived from the Keogh 2009 study is no longer available for synthesis. Two summary statements were impacted by the removal of evidence derived from the paediatric ICU study (Keogh 2009). In the case of one statement, the impact was restricted to a change in designated confidence. Assessed confidence dropped, from 'moderate' to 'low'. Consequently, the statement remained valid in terms of evidence that could be used in the development of our lines of logic. In the case of the remaining statement, namely:

- Nurses understand a protocol to be a useful communication tool, providing a framework through which they can explain and otherwise communicate with parents about the process of

weaning their child from ventilation

the impact was much greater, in that the relevant evidence was derived only from the paediatric study. Consequently, this statement was lost as evidence for use in the development of our lines of logic.

Two of the studies (Blackwood 2004; Hansen 2009b) explored ICU staff views on the use of a protocol in the absence of any direct experience of protocol use. Appendix 12 presents the results of our two-stage sensitivity analysis, showing the impact on the relevant summary statements when the evidence from the Blackwood 2004 and Hansen 2009b studies was no longer available for synthesis. Six summary statements were impacted by the removal of evidence derived from the Blackwood 2004 and Hansen 2009b studies. In the case of two statements, the impact was restricted to a change in designated confidence. Assessed confidence dropped from 'moderate' to 'low'. Consequently, the statement remained valid in terms of evidence that could be used in the development of our lines of logic. In the case of four statements, namely:

- Due to perceived limitations in clinical knowledge and expertise, physicians consider nursing staff as most suitable for a support role in weaning, in which they operate with limited autonomy only
- Physicians are wary of involving any but the most experienced nurses in weaning because it requires advanced clinical insight and judgement
- Nurses associate physician reluctance to involve nurses in weaning decision-making with an individualization of nursing competence
- Physicians consider that a protocol will have little or no material impact on weaning because the ICU practice already encourages clinicians to wean proactively,

the impact was much greater in that the relevant evidence was derived only from one of these two studies. Consequently, these statements were lost as evidence for use in the development of our lines of logic.

### **The logic model**

Using the summary statements, we developed our logic model. This process involved:

1. identifying selected components, that is, features of the context of implementation;
2. linking these components with the same designated outcome, namely, use of protocol;
3. developing lines of reasoning that made explicit the nature of the links between the components and the use of a protocol through the identification of barriers and facilitators, moderators and intermediate outcomes.

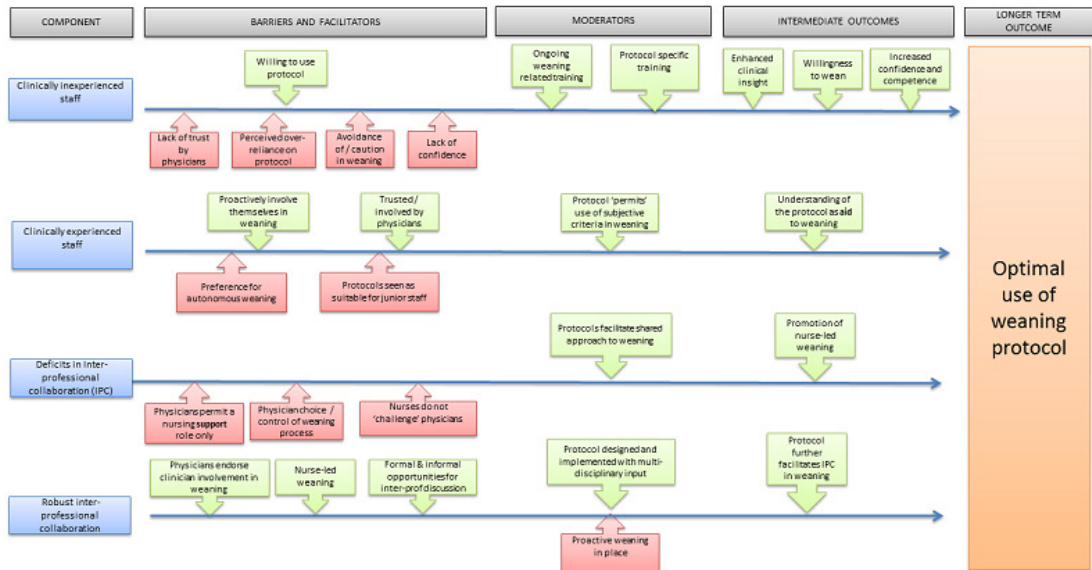
### **Integrating the logic model with the findings of the trials included in the effectiveness review to explore heterogeneity of effect**

We used the logic model to integrate the findings of the qualitative synthesis with the contextual evidence concerning the effect of the trials included in the effectiveness reviews. In order to do so, we undertook the following process:

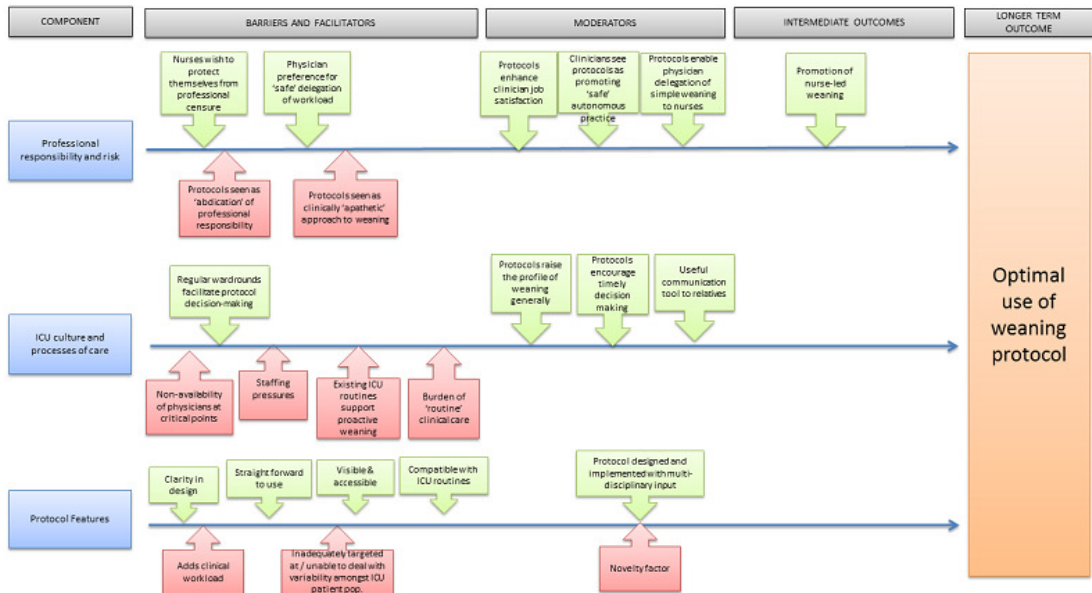
1. identified whether a trial intervention was effective or not in terms of the primary and secondary outcomes;
2. extracted the statements made by trial authors (typically included in the Discussion section) that addressed, directly and indirectly, the barriers and facilitators of effectiveness;
3. developed hypotheses on the basis of these statements (see Table 4);
4. mapped these hypotheses onto the logic model by identifying correspondence between them and the barriers and facilitators, moderators and intermediate outcomes associated, directly and indirectly, with the use of a protocol identified by our synthesis of the qualitative evidence.

Through this process, we identified the degree to which the model accommodated the trial hypotheses concerning the use of a protocol; that is, we determined the degree to which the logic model could be considered a useful framework for understanding the outcomes of the trials in terms of protocol use. During this exercise, we were, of course, dependent on the degree to which the authors reported relevant contextual data. The logic model, with the trial-generated hypotheses embedded in relevant summary statements (by label), is presented in Figure 2; Figure 3; Figure 4; Figure 5.

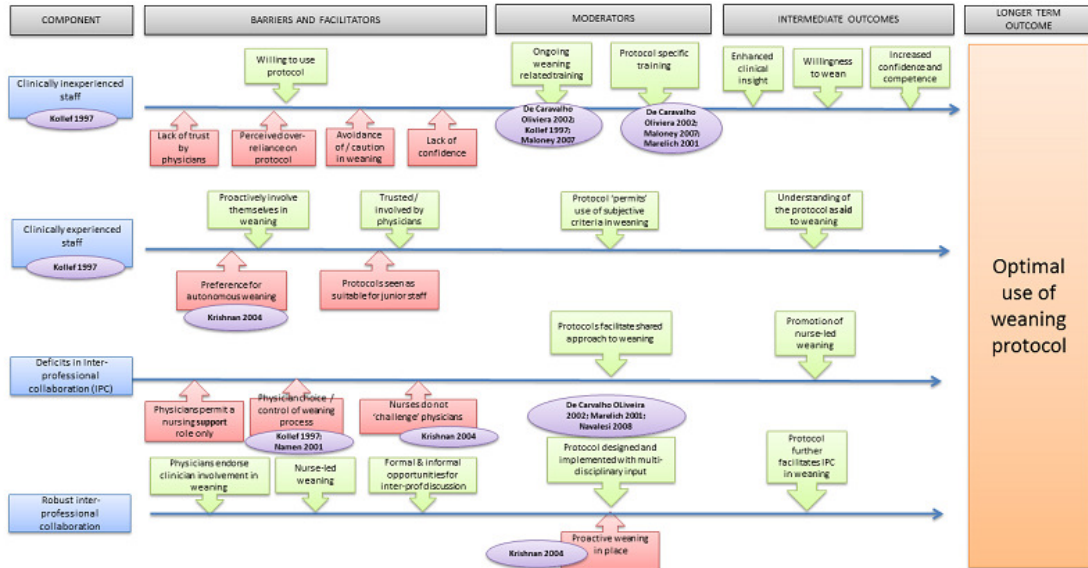
**Figure 2. Logic model, with trial authors absent (1)**



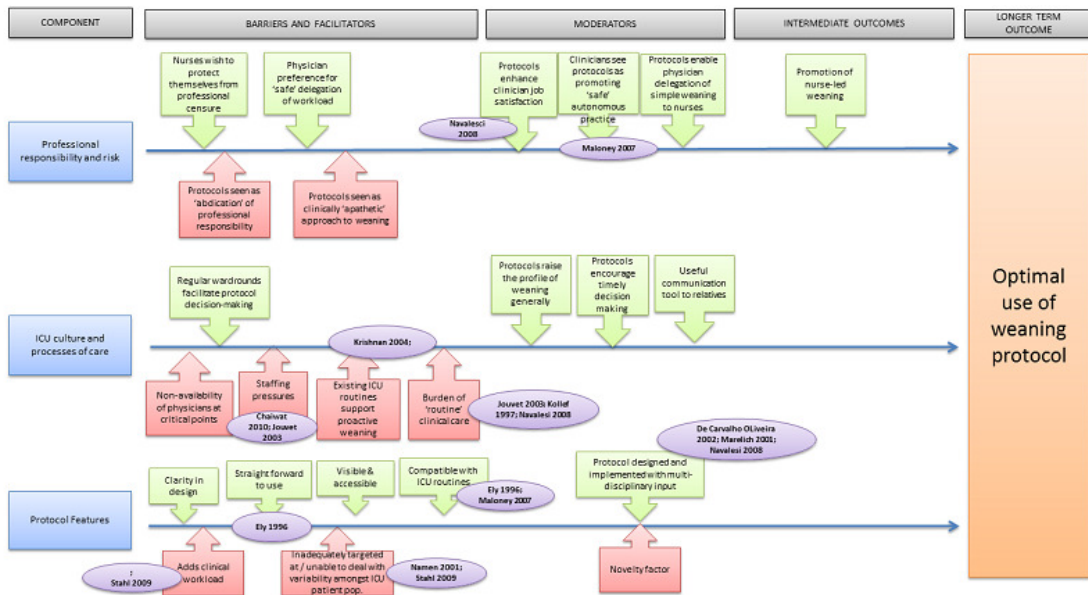
**Figure 3. Logic model, with trial authors absent (2)**



**Figure 4. Logic model, with trial authors included (1)**



**Figure 5. Logic model, with trial authors included (2)**



As can be seen from Figures 2 to 5, of the 23 hypotheses that dealt with the use of a protocol proposed by trial authors, 22 were identified in the logic model. Predictably, the trial-author

hypotheses were minimally elaborated, and remained specific to the particularities of the circumstances and outcomes of the trials.

The added value of the logic model is confirmed by the fact that the trial authors did not report a range of contextual issues that the qualitative evidence synthesis demonstrated to be of central importance to whether and how a protocol is used. Issues related to 'clinical (in)experience' and 'nursing scope of practice' were particularly marked by their absence.

Only one hypothesis was not directly identified within the logic model; it was, however, addressed indirectly. Thus, the hypothesis: 'Pre-existing acknowledgement of the need for standardization of weaning increases acceptance of a protocol' (Maloney 2007), was reflected in the qualitative synthesis evidence that confirmed the importance of the values and preferences of understandings of ICU staff as these impacted on the use of a protocol. Taken overall, the fact that the contextual data available from the trial papers mapped so readily onto the logic model suggests its usefulness as a framework for gaining insight into the factors that impacted, positively and negatively, on protocol use within the trials included in the effectiveness reviews.

#### **Using the logic model to propose core features of the context and content of weaning protocols likely to promote their use**

Using the logic model it is possible to complete an original objective of this review, namely, to suggest the contextual factors likely to promote the use of protocols (that is, the facilitators of a protocol). These are as follows:

In terms of context, the factors are:

- All clinical staff receive ongoing weaning-related training
- Routine involvement of all nursing staff in weaning
- Use of a protocol is wholesale, involving all ICU staff
- Nurses' use of a protocol/involvement in weaning is interprofessionally endorsed/mandated
- In so far as is possible, implementation of a protocol is facilitated by (changes to) relevant ICU routine
- Interprofessional collaboration is promoted and facilitated as inherent to ICU clinical practice
- Protocols capitalize on existing proactivity in weaning

In terms of protocol content, the factors are:

- The protocol is designed and implemented with interprofessional input
- All ICU healthcare staff receive protocol-specific training
- Protocols are targeted at the clinical profile and needs of the ICU patient population
- Protocols are flexible and enable some degree of clinical autonomy
- Protocols set out straightforward, unambiguous instruction
- Protocols are highly visible and easily accessed

## **DISCUSSION**

### **Summary of main results**

Our synthesis identified a number of potential factors (barriers and facilitators) and the processes through which they might influence

the use of protocols. First, factors related to the understandings of healthcare professionals; the decision to use a protocol was influenced by their personal values and priorities, as well as the body of supporting clinical knowledge they possessed. Fundamentally, ICU staff could choose whether and how they used a protocol based on these understandings, something that inevitably introduced inconsistency in weaning practice. Second, the practical arrangements for care operating within an ICU. To an extent, it was how these arrangements supported or impeded a collaborative approach to weaning that determined whether and how well a protocol was used. Resource constraints were an ever-present backdrop, impacting on staffing levels and concomitant (inter)professional working practice.

Third, the use of a protocol was seen to adhere to certain core properties of (inter)professional working practice. One such was the status inequity that informed working relations between nurses and medical staff. Another was clinical experience, and the perceived competence and confidence this engendered. Accordingly, physicians were inclined to relegate use of a protocol to quite specific circumstances, with a preference for their own practice to be based on autonomous decision-making. Moreover, they tended to espouse reluctance to involve nurses they perceived as relatively inexperienced even with, or sometimes because of, the existence of a protocol. Nurses' use of a protocol was shown to be closely associated with felt confidence. In this context, the role of a protocol in providing professional 'cover' for nursing staff was highlighted. This was especially the case in relation to junior nurses. Amongst more experienced nurses, the situation was more nuanced. On the one hand, they too were aware of the protection offered by protocols; on the other hand they were equally aware of the (potentially) restrictive nature of the protocol on clinical decision-making.

### **Overall completeness and applicability of evidence**

We were unable to locate any trial-related qualitative evidence (sibling studies) for either the Blackwood 2013 or Blackwood 2014 reviews. Such evidence would have added to an understanding of the contextual factors specific to particular trial interventions, thereby helping to further explain observed heterogeneity in the effectiveness of weaning protocols on ventilation outcomes. Although we did not have access to trial-related qualitative evidence in the context of addressing the second of our study objectives (to explain heterogeneity in the findings of the studies included in the effectiveness reviews), we were able to draw on the evidence from the unrelated qualitative studies conducted in broadly similar and mostly adult ICU contexts. This evidence is therefore of relevance when considering the factors likely to have impacted on the observed differential effectiveness. For example, protocols must be used if they are to produce an effect. Of the 46 statements made by trial authors concerning contextual factors they considered likely to have impacted on the outcomes of their trials, exactly half ( $n = 23$ ) (Table 4) were concerned, directly or indirectly, with factors impacting on the use of protocols. Moreover, as has already been



confirmed, all of these factors were identified by our qualitative synthesis (one indirectly).

Effectiveness of protocolized weaning is also premised on a wide range of other contextual factors. Several of these were suggested by the qualitative synthesis and by authors of the trial papers included in the effectiveness reviews. Thus, the qualitative evidence showed that when a protocol is implemented in a unit in which weaning is already proactively pursued (for example, nurse-led weaning is in place), its effectiveness is likely to be limited by the fact that key functions of the protocol (for example, to enable nurses to pursue autonomous weaning) are already being fulfilled. The same issue was highlighted by three of the trial authors. Thus, [Kollef 1997](#) explicitly associated pre-existing physician delegation of weaning function to nursing staff with diminished protocol effectiveness. [Krishnan 2004](#) speculated that sustained physician presence in the unit may have allowed them to assess patient's readiness to breathe unassisted on a regular basis, thereby making the protocol redundant. Additionally, the authors discussed the permanent display of a 'rounding' template as likely to have prompted staff to address ventilator issues on a regular basis, again effectively marginalizing the (added) value of the protocol. Finally, [Rose 2008b](#) suggested that the proactive, nurse-led weaning regimen already in place within the participating trial unit contributed to the lack of a significant effect of the weaning protocol.

A second such contextual factor impacting on effectiveness that was suggested by the qualitative synthesis and by authors of the trial papers concerns autonomy of clinical practice. The qualitative evidence confirmed a strong preference for autonomous practice amongst experienced nursing and medical staff alike. Effective clinical practice was understood by them as premised on independent judgement and decision-making. An outcome of this impetus towards autonomy in practice was highlighted by several of the trial authors. [Krishnan 2004](#) highlighted enhanced physician proactivity in the weaning of patients over whom they maintained clinical authority. Consequently, the weaning of these 'non-protocolized' patients was more timely (and thus effective) than those patients whose weaning was being directed by a protocol. [Namen 2001](#) suggested that physician adherence to a weaning protocol was dependent on their appreciation of its suitability to the clinical profile and needs of the ICU patient population. As such, adherence can be seen to be premised on autonomous practice, whereby physicians decide on a case-by-case basis whether or not to follow the protocol's instructions.

The above examples demonstrate that established clinical practice (in this case, proactive weaning) and clinician preference (in this case, for autonomous decision-making) can impact significantly on protocol effectiveness. They illuminate the contextual factors that can contribute to a situation in which a protocol is used but its effectiveness is curtailed. The situation is further complicated by the fact that the same weaning proactivity and preference for clinical autonomy can discourage actual use of a protocol on the

basis of its (perceived) irrelevance to ICU practice. In the first case, as understood by ICU staff, there is no need for a protocol, as weaning practice is already optimally proactive. In the second case, as understood by experienced ICU staff, use of a protocol adversely interferes with a necessary autonomy of clinical practice. However, a further layer of complication is added when we take into account the findings of the qualitative synthesis that amongst relatively inexperienced staff there is a preference for use of a weaning protocol, as this is understood to facilitate a degree of clinical autonomy within safe 'limits'.

The qualitative evidence we were able to include clearly pertained to different settings from those in which the trial studies were conducted. That said, the limited integration that was possible between the contextual data included in the trial studies and the qualitative synthesis suggests the latter to be pertinent to understanding the trial outcomes in terms of protocol use. We used the qualitative evidence, extracted from our logic model, to suggest certain core factors to be taken into consideration in the planning, design, implementation and use of any protocol. In line with our original research question, we took a pragmatic decision to frame these factors positively, that is, in ways likely to promote the use of a protocol.

The majority of the included studies ( $n = 10$ ) ([Blackwood 2004](#); [Gelsthorpe 2004](#); [Hansen 2007](#); [Hansen 2009a](#); [Hansen 2009b](#); [Kydonaki 2011](#); [Lavelle 2011](#); [McLean 2006](#); [Myneni 2012](#); [Vaerland 2011](#)) were undertaken in an adult ICU setting. Only one study ([Keogh 2009](#)) investigated the use of a weaning protocol in a paediatric ICU setting. All of the studies focused on the views and experiences of ICU staff, with those of other key stakeholders, for example, hospital educators and managers, being entirely absent. We were therefore unable to explore contextual factors impacting on the use of the protocol from their perspectives. This is a significant gap, as these stakeholders are likely to be involved in a range of relevant organizational systems, not least how resources are identified and allocated.

Two of the studies ([Blackwood 2004](#); [Hansen 2009b](#)) explored ICU staff views of the use of a protocol 'in theory', as distinct from being based on actual use. Although these findings remain pertinent (they still report relevant views and experiences of ICU staff), they are limited by not being based on specific clinical practice. Studies evidenced a heavy European bias ( $n = 8$ ) ([Blackwood 2004](#); [Gelsthorpe 2004](#); [Hansen 2007](#); [Hansen 2009a](#); [Hansen 2009b](#); [Kydonaki 2011](#); [Lavelle 2011](#); [Vaerland 2011](#)), with half undertaken in Scandinavia ([Hansen 2007](#); [Hansen 2009a](#); [Hansen 2009b](#); [Vaerland 2011](#)). All studies were undertaken in high-income countries. Consequently, despite our efforts to include geographically disparate studies (for example, our inclusion criteria of studies published in non-English languages), this did not happen. Notwithstanding, the evidence base of this qualitative synthesis is inclusive of a range of geographical settings.

Only one unrelated low-quality study was included from a paediatric setting (Keogh 2009) and therefore evidence relating to this specific context is incomplete and inadequate. It is, however, likely that there are some common issues between adult and paediatric contexts and we have made the judgement that it is appropriate to include adult and paediatric contextual evidence as a single data set until more qualitative evidence derived from the paediatric setting is available. When further paediatric qualitative studies are published, a high-quality comparative analysis can be undertaken in a future update of this review, and paediatric-specific implementation factors explicated.

### Quality of the evidence and certainty of the findings

The majority of studies involved the use of one-to-one or group interviews. Only two studies included observation (Kydonaki 2011; Myneni 2012), which was used in combination with other methods (e.g. interviews) as part of an overall ethnographic research design. The relative lack of 'naturally occurring' data is a limitation, given the insight afforded by direct observation of social processes occurring in real time (Silverman 2015). In this case, an enhanced body of evidence based on observation would have delivered important knowledge concerning the day-to-day challenges and opportunities underpinning the use of a protocol. Instead, our evidence base relied heavily on what ICU staff said, rather than what they actually did. The two are not necessarily the same. Nowhere is this made clearer than in the contrast drawn by one of the included study authors (Kydonaki 2011) between statements made by physicians upholding the benefits of a protocol in providing consistency in weaning and subsequent observation of marked differences in relevant clinical practice. In attempting to explain the contradictory evidence (by directly quizzing ICU staff), the author identified two factors, namely perceived limitations of the protocol and physician preference for autonomous practice, both of which have been identified in our review as important factors impacting on the use of a protocol.

Findings across study settings were essentially consistent. Differences tended to be a matter of degree, rather than of kind. The same contextual factors emerged, but could be more pronounced in some settings than others. This included, for example, the degree of 'control' over weaning enjoyed by physicians or the degree of clinical circumspection evidenced by nurses. Substantive difference in findings emerged in two respects. First, between studies conducted in an adult ICU setting and that undertaken in a paediatric setting. Thus, the idea of a protocol as enhancing communication between ICU staff and patient carers emerged only in the context of communication with parents of children. Given the need for parents to be kept informed of the nature of and rationale for the care of their child, and the fact that a protocol enshrined both, it is unsurprising that it provided a ready framework for communication. Second, two findings were restricted to studies assessed as of 'low quality'. Both addressed the inherent properties of a protocol, either in terms of its content or its exposure within

an ICU. Both were endorsed by the hypotheses generated from trial authors' suggestions.

As far as we were able to establish, the vast majority of contexts in which the qualitative studies were undertaken (that is, adult ICUs) operated with similar structures and processes of care. Consequently, it was appropriate for us to assess the coherence of our summary statements as, by and large, high or moderate, with a low assessment being reserved for findings derived from a small number or even single studies only. We assessed the quality of a study on a 'stand-alone' basis, without any predetermined hierarchy of study design. Certainly, Kydonaki 2011, using an ethnographic approach, was assessed as 'high quality'. However, Myneni 2012, which was also ethnographic, was assessed as 'low quality', primarily because of a sustained lack of reporting of methodological details.

### Agreements and disagreements with other studies and reviews

This review followed on from earlier effectiveness reviews (Blackwood 2013; Blackwood 2014). A related scoping review (Rose 2014) sought to determine the available qualitative evidence concerning weaning from mechanical ventilation, but did not involve any systematic synthesis of this evidence.

We found no other systematic review of qualitative evidence concerning the use of protocols for weaning from mechanical ventilation. This is not surprising, given the absence of any qualitative evidence pertaining to the trials included in either of the effectiveness reviews. What is surprising is that we found no other systematic reviews of qualitative evidence concerning the use of protocols in any area of clinical practice. Moreover, we found none concerning any aspect of care delivered in an ICU setting. Hence, this review is the first to provide robust qualitative evidence concerning the implementation and use of protocols, and the first to provide such evidence concerning any aspect of care provided in the ICU.

The benefits to be derived from qualitative research, whether as part of primary research (e.g. a process evaluation accompanying an effectiveness trial) or in terms of a systematic review, are now well established (Moore 2015; Noyes 2011; Oakley 2006). It remains to be seen if the factors that we have identified as pertinent to the use of ICU weaning protocols have more generic resonance concerning the implementation and use of other types of protocols, including in other types of settings.

### The use of novel methodological approaches in the synthesis

Our review utilized both well-established as well as more innovative methods. The thematic content analysis we conducted of the qualitative data following Thomas 2008 is now routinely used. We found it to be methodologically straightforward, delivering an explicitly robust analysis. The approach we used to assess confidence in the assembled evidence (CERQual) (Glenton 2013) is more innovative and continues to evolve. We are aware that since we conducted our assessment, a new version of CERQual has been

developed and is currently being used in at least one other systematic review (Whitaker 2014). Again, our experience of using CERQual is overwhelmingly positive; we found it to be an effective way of assessing confidence in the assembled evidence (as distinct from the individual studies from which this evidence is derived). Although we included all available evidence, irrespective of assessed confidence, the exercise enabled us to determine, through the sensitivity analysis, the impact of the removal of 'low-confidence' evidence on the analysis. As reported above, the impact was minimal, with only three out of 35 summary statements being lost (one of these was the single statement derived only from the paediatric study (Keogh 2009)).

Our use of a logic model supported a step-by-step analytical process and also acted as a heuristic device, making explicit our conceptual thinking. The danger here is that it encouraged a reductionist approach, whereby complex phenomena became overly simplified, stripped of the very contextual nuances that we hoped to illuminate. We sought to avoid this by presenting our thematic content analysis in some detail. Only after this was set out did we 'condense' the analysis into the summary statements and then use these to develop the logic model. Throughout this process, we sought to ensure that the summary statements did not conflate different issues in ways that glossed over the inherent complexities.

A potential weakness of our model is that the unrelated qualitative evidence mainly related to a postimplementation context and retrospective recall of implementation, as well as current views and experiences of using protocols as routine practice, with two studies where protocols had not yet been implemented. The included trials Blackwood 2013 all focused on immediate implementation, with a relatively short follow-up. The model would therefore be further strengthened by the inclusion of evidence from well-conducted prospective process evaluations conducted alongside future RCTs to determine the immediate implementation factors that optimize effect and sustainability of use.

The lines of reasoning did not take into account the views and experiences of stakeholders beyond the immediate ICU context. As these stakeholders play a central role in determining the policy and practice environment (for example, in decision-making concerning the allocation of resources), the robustness of the model in accommodating a broader range of relevant contextual factors would have been improved by their inclusion.

In addition, our model sets out discrete and linear processes through which different components are linked to the same outcome. Of course, in reality, not only do these processes work together but can feed back on themselves. If process evaluations had been conducted alongside the trials included in the effectiveness reviews, it would have been possible to consider using a causal loop analysis, thereby enabling consideration of how positive and negative factors interact with each other in clinical environments. Notwithstanding these potential limitations, the very simplicity of

logic models can be a strength, so long as they strive to distil and not eliminate the complexity of the processes involved (Glenton 2013). If assembled in this way, logic models can be an effective means of presenting information that can be used to think through how best to develop and implement a specific intervention or wider programme of work.

We were able to achieve a partial integration of the trial-related findings and those of the qualitative evidence synthesis. After synthesizing the qualitative evidence we 'tested' the logic model using the trial-related contextual findings. Inevitably, the value of this exercise was compromised by the partiality with which authors reported relevant information. Moreover, the entire exercise runs the risk of being seen as one of collective 'member checking' (Guba 1998). We would argue that it is more than this, as it provides an effective means of bringing together disparate evidence in the development of an overarching explanatory framework.

## AUTHORS' CONCLUSIONS

### Implications for practice

There is a clear need for weaning protocols to take account of the social and cultural environment in which they are to be implemented. Irrespective of its inherent strengths, a protocol will not be used if it does not accommodate the complexities of clinical practice found in the ICU environment. Our logic model presents these complexities in a way that can be used to inform protocol development and implementation with an emphasis on the adult context. In terms of development, comprehensive inter-professional input will help to ensure broad-based understanding and a sense of ownership. In terms of general implementation, all relevant ICU staff will benefit from both general weaning as well as protocol-specific training; not only will this help secure a relevant clinical knowledge base and operational understanding of the protocol, but also demonstrate to others that this knowledge and understanding is in place.

In this regard, our review suggests an under-utilization of nursing expertise. Ironically, the marginalization of junior staff from weaning (by themselves and by physicians) militated against them gaining the very experience both they and their senior colleagues prioritized. The professional and clinical reassurance provided by a protocol suggests its importance in facilitating the involvement of junior staff in weaning. Amongst more experienced nurses, the situation is more nuanced. On the one hand, they too were aware of the protection offered by protocols; on the other hand they were equally aware of the (potentially) restrictive nature of the protocol on clinical practice. This finding, alongside that of the prioritization of clinical autonomy amongst physicians, suggests protocols should be designed with the patient profile and requirements of the target ICU in mind. It also further underscores the need for

protocols to be planned, designed, implemented and used with meaningful (not token) interprofessional input.

Predictably, an under-resourced ICU will impact adversely on protocol implementation, as staff will prioritize management of acutely deteriorating and critically-ill patients. Of particular importance is nursing workload; as protocolized weaning is nurse-led, nurses must have access to adequate time and clinical 'space' in which to undertake weaning-related tasks. In this context, hospital management plays a paramount role in ensuring appropriate organizational arrangements, particularly staffing levels.

### Implications for research

As a complex intervention, the implementation of protocolized weaning should be accompanied by qualitative research, preferably as part of a wider process evaluation, to help explain the outcomes achieved. A vital component of this explanation will address the views and experiences of the ICU staff who are responsible for delivery. As the intervention is so heavily dependent on their actions, it is imperative that we seek to understand the consequences of delivery on them.

Future research should include the perspectives and experiences of stakeholders concerning initial implementation and occupying spaces beyond the immediate context of the ICU. This should include, for example, hospital management (clinical leads as well as managers) as well as relevant policy-makers. Collectively, they are responsible for setting important organizational parameters (e.g. staffing levels, relevant policies) within which the ICU operates, including in relation to weaning. In the absence of their perspectives, we are likely to miss important 'upstream' factors impacting on outcomes. The paediatric and neonatal contexts require particular attention, as the context and population are arguably different

from adults and present different challenges.

As already highlighted, observational research will enable insight into the actions of staff - most obviously whether and how they use the protocol in their day-to-day 'real life' environment of practice. This will help counter a current over-reliance on self-report data.

In terms of this review, we can envisage three primary uses. First, the evidence can inform the future design, development and implementation of weaning protocols. Second, the evidence may be used as a 'checklist' against which ongoing implementation of weaning protocols can be reviewed. In relation to both suggestions, we are not claiming that the factors identified in our synthesis will be pertinent to all cases or even that they are exhaustive. But they do provide a useful set of criteria with which to consider the possibilities for action. Third, one way of effectively testing the validity of our findings is by trialing an intervention that specifically addresses the factors our evidence suggests as likely to impact on the use of a protocol.

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- \* Indicates the major publication for the study

## CHARACTERISTICS OF STUDIES

### Characteristics of included studies [ordered by study ID]

#### Blackwood 2004

Study type	Qualitative research exploring the understandings and experiences of ICU physicians concerning weaning from mechanical ventilation, with a particular focus on key issues impacting on the use of protocols and involvement of nurses in the weaning process	
Theoretical/Conceptual framework	None stated	
Methods	Method of data collection: individual semi-structured interviews Method of data recording: audio-recorded Method of data analysis: thematic content Reliability: interview guide; transcribing; cross-checking Validity: respondent validation	
Notes		
<b><i>Risk of bias</i></b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes, logical fit
Was the recruitment strategy appropriate to the aims of the research?	Low risk	Yes, all ICU consultants invited and agreed to participate (p. 27)
Is there detailed evidence of steps taken in data collection?	Unclear risk	Yes, minimal detail
Is there a clear and detailed statement of findings?	Low risk	Yes
Were the data audio-recorded and transcribed?	Low risk	Yes
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, minimal detail
Did data analysis involve inter-rater discussion?	Low risk	Yes, in that emerging categories were cross-checked by BB and another author (p. 28)
Was there consideration of disconfirming findings?	Low risk	Yes, for example, concerning disparate understandings of the value of protocols (p. 30)

**Blackwood 2004** (Continued)

Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No reflexive concern apparent
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant

**Gelsthorpe 2004**

Study type	Qualitative research, exploring the decision-making of nurses regarding the commencing of weaning of patients from mechanical ventilation using a protocol
Theoretical/Conceptual framework	Phenomenology
Methods	Method of data collection: individual structured interviews, involving use of a vignette Method of data recording: tape-recorded Method of data analysis: thematic analysis Reliability: transcribing; inter-rater reliability Validity: inter-rater validation
Notes	

***Risk of bias***

<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	High risk	No, because the authors claim phenomenology as the theoretical framework within which the study is located but this framework is not subsequently reflected in any aspect of the methodology. In addition, although a vignette is employed with the stated aim of enabling participants to reflect on/make explicit their decision-making concerning weaning, there is no linking of the vignette to (the production) of findings. These findings appear to be derived mainly from structured questions also asked and not the vignette itself
Was the recruitment strategy appropriate to the aims of the research?	Low risk	Yes, in that the stated approach is purposive sampling. But, the authors do not make sufficiently explicit the rational underpinning the selection of participants. They state that the latter were selected accord-

		ing to their exposure to nurse-led weaning and experience of ICU nursing and that 7 nurses out of a total of 55 potential participants were selected, but they provide no detail on how/why these 7 participants were deemed appropriate. Reporting is further confused by the fact that the authors state in the section on methods, and nowhere else, that this is a pilot study
Is there detailed evidence of steps taken in data collection?	Unclear risk	Yes, minimal detail
Is there a clear and detailed statement of findings?	High risk	No, the Findings are not presented as a discrete body of evidence. Rather, they are presented in a cursory manner, largely embedded within a discussion that incorporates evidence from other research literature
Were the data audio-recorded and transcribed?	Low risk	Yes
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, minimal detail. Aside from telling the reader that thematic content analysis was used (p. 216), the way in which this procedure was applied and how it led to the production of findings is not made explicit
Did data analysis involve inter-rater discussion?	Low risk	Yes, 3 researchers independently analysed data (p. 216)
Was there consideration of disconfirming findings?	Low risk	Yes, (p. 219), in relation to disparate perceptions, between more and less experienced nurses, of the need for support regarding the clinical decision to wean
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	Low risk	Yes (p. 217), there is an acknowledgement of a potential ethical problem caused by the principal researcher also being a staff nurse in the unit under study
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	High risk	No, yet relevant. Lived experience, as promoted by the stated theoretical framework of phenomenology, is not explicitly reflected in the findings

**Hansen 2007**

Study type	Qualitative research exploring participants' experiences of a weaning protocol, the implementation process and interdisciplinary collaboration. The key question was the nurses' perceptions (attitudes and beliefs) of the weaning protocol. Participants were asked to describe their initial thoughts on hearing the term 'weaning protocol' and allowed to talk freely about it
Theoretical/Conceptual framework	None stated
Methods	Method of data collection: 3 focus-group interviews Method of data recording: audio-taped Method of data analysis: content analysed Reliability: inter-rater discussion Validity: not reported
Notes	

***Risk of bias***

<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes
Was the recruitment strategy appropriate to the aims of the research?	High risk	No, authors claim that participants were randomly selected, but state that the ward manager decided how many and who should participate in the interviews in order to ensure that the ward was adequately staffed at all times. They subsequently state that the participants were representative of nurse working in ICU. However, no information on the total population is provided and limited information on sample characteristics is provided - need all such information in order to assess if recruitment strategy is appropriate. Not only does the fact that the ward manager selected participants introduce bias, but why did they opt for (supposed) 'random' sampling within a qualitative study and when it was clear that they needed a range of experience etc?
Is there detailed evidence of steps taken in data collection?	Low risk	Yes, full detail
Is there a clear and detailed statement of findings?	Low risk	Yes

**Hansen 2007** (Continued)

Were the data audio-recorded and transcribed?	Low risk	Yes (p. 198)
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, full detail
Did data analysis involve inter-rater discussion?	Unclear risk	Not reported. There is reference (p. 200) to discussion between the focus group 'moderator' and 'observer' of the significance of the data after completion of interviews but the purpose/outcome of this discussion also not made clear
Was there consideration of disconfirming findings?	High risk	No, Findings tend to represent all nurses as holding the same views concerning issues associated with protocol-directed weaning
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant

**Hansen 2009a**

Study type	Qualitative research exploring the perceptions of ICU physicians concerning protocol-directed weaning from mechanical ventilation	
Theoretical/Conceptual framework	None stated	
Methods	Method of data collection: 1 focus group Method of data recording: audio-taped Method of data analysis: content analysed Reliability: inter-rater discussion Validity: not reported	
Notes		
<b><i>Risk of bias</i></b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes

**Hansen 2009a** (Continued)

Was the recruitment strategy appropriate to the aims of the research?	High risk	No, participants selected by their manager on pragmatic grounds that this ensured the ward was adequately staffed so potential bias introduced. Authors state that manager was aware of need for mix of experience. However, no information on the total population is provided and limited information on sample characteristics is provided; we need all such information in order to assess if recruitment strategy is appropriate
Is there detailed evidence of steps taken in data collection?	Low risk	Yes, minimal detail
Is there a clear and detailed statement of findings?	Low risk	Yes
Were the data audio-recorded and transcribed?	Low risk	Yes (p. 72)
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, minimal detail
Did data analysis involve inter-rater discussion?	High risk	No
Was there consideration of disconfirming findings?	Low risk	Yes, Findings acknowledge disparate attitudes amongst physicians concerning the usefulness of protocol-directed weaning (e.g. last paragraph of p. 72 summarizes this disparity)
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant

**Hansen 2009b**

Study type	Qualitative research exploring the understandings of ICU physicians and nurses of the findings of research that focused on protocol-directed weaning	
Theoretical/Conceptual framework	None stated	
Methods	Method of data collection: multistage focus groups (2 sessions) Method of data recording: audio-recorded Method of data analysis: content analysed Reliability: inter-rater discussion Validity: not reported	
Notes		
<b><i>Risk of bias</i></b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes
Was the recruitment strategy appropriate to the aims of the research?	High risk	No, participants selected by their managers on pragmatic grounds that this ensured the ward was adequately staffed so potential bias introduced. Authors state that managers were aware of need for mix of experience. However, no information on the total population is provided and limited information on sample characteristics is provided; we need all such information in order to assess if recruitment strategy is appropriate
Is there detailed evidence of steps taken in data collection?	Low risk	Yes, minimal detail
Is there a clear and detailed statement of findings?	Low risk	Yes
Were the data audio-recorded and transcribed?	Low risk	Yes (p. 149)
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, full detail
Did data analysis involve inter-rater discussion?	Unclear risk	Not reported; there is reference (p. 149) to an 'observer' with whom the author discussed the significance of the data after completion of interviews but the purpose/outcome of this discussion is not made



**Hansen 2009b** (Continued)

		clear. Also reference (p. 149) to the fact that the 2 authors discussed alternatives in order to reach consensus but again the precise nature etc of these discussions remains unclear
Was there consideration of disconfirming findings?	High risk	No; although the Findings draw distinctions between the understandings of physicians and nurses, intra-group understandings are presented as uniform
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant

**Keogh 2009**

Study type	Qualitative research exploring the attitudes, perceptions and understandings of nurses and doctors concerning the use of collaborative weaning guidelines
Theoretical/Conceptual framework	None stated
Methods	Method of data collection: focus group interviews using a semi-structured guideline Method of data recording: audio-taped Method of data analysis: thematic content analysis Reliability: inter-rater discussion, participant verification, decision trail Validity: independent generation of categories by 3 researchers, return of results to unit staff to check truth value
Notes	

**Risk of bias**

Bias	Authors' judgement	Support for judgement
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes
Was the recruitment strategy appropriate to the aims of the research?	High risk	No, author claims the use of a purposive sample but confuses the situation by also stating that participants 'volunteered' (p. 6). There is no information on how/accord-

**Keogh 2009** (Continued)

		ing to what criteria the sample was purposive
Is there detailed evidence of steps taken in data collection?	Low risk	Yes, minimal detail
Is there a clear and detailed statement of findings?	High risk	No, presentation of Findings is superficial with little data to support the identified themes. Moreover, Findings are copresented along with Discussion
Were the data audio-recorded and transcribed?	Low risk	Yes (p. 6)
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, minimal detail (p. 7)
Did data analysis involve inter-rater discussion?	Low risk	Yes, author states (p. 7) that 2 colleagues also independently generated list of categories and 3 lists subsequently compared. No information is provided on how the 2 independent lists were developed or how the 3 were compared
Was there consideration of disconfirming findings?	High risk	No
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant

**Kydonaki 2011**

Study type	Qualitative research exploring the decision-making processes and behaviour of nurses in relation to weaning patients from mechanical ventilation as these occur in the socio-cultural context of ICU
Theoretical/Conceptual framework	Interpretivism (conceptual framework); ethnography (methodology)
Methods	Method of data collection: participant observation, think-aloud interviews followed by explanatory and semi-structured interviews Method of data recording: audio-recording and written report of observations at the end of each day

	Method of data analysis: thematic analysis Reliability: independent coding, inter-rater discussion Validity: participant validation	
Notes		
<b>Risk of bias</b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes
Was the recruitment strategy appropriate to the aims of the research?	Low risk	Yes. But there is an ambiguity around selection of participants. The sampling strategy is never formally labelled but author states that participants were 'invited' and participation was 'voluntary' (p. 128). Provides inclusion criteria for nurse participants (p. 128) but none for medical staff and physiotherapists. On p. 198, a table setting out the demographic characteristics of nurse participants is included. Explicitly states the need to select nurse participants as well as medical staff participants with a range of experience. No such need ascribed to selection of physiotherapists (simply that they specialized in critical care). Appendix 4.6 sets out demographic characteristics of medical staff but no such information provided for physiotherapists
Is there detailed evidence of steps taken in data collection?	Low risk	Yes, full detail
Is there a clear and detailed statement of findings?	Low risk	Yes
Were the data audio-recorded and transcribed?	Low risk	Yes (p. 134 & p. 144)
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, full detail
Did data analysis involve inter-rater discussion?	Low risk	Yes (pp. 155 - 157)

**Kydonaki 2011** (Continued)

Was there consideration of disconfirming findings?	Low risk	Yes (e.g. pp. 282 - 285, in which intra-group nurse and doctor disparity is presented/explained re the use of weaning protocols and documentation of weaning plans)
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	Low risk	Yes, e.g. pp. 135 - 137 & pp. 184 - 192
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	Yes, relevant

**Lavelle 2011**

Study type	Qualitative research exploring nurses' involvement in weaning in the Irish context
Theoretical/Conceptual framework	None stated
Methods	Method of data collection: semi-structured interviews guided by a vignette Method of data recording: audio-recording Method of data analysis: thematic content analysis (Burnard 1991) Reliability: development of vignette based on literature review and patient case histories; reviewed by expert panel and pretested on 2 ICU nurses; independent confirmation of categories and themes by subject expert Validity: participant validation

Notes

***Risk of bias***

Bias	Authors' judgement	Support for judgement
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes, but although a vignette is employed with the stated aim of enabling participants to reflect on/make explicit their decision-making concerning weaning, some of the themes identified in the Findings section are derived from non-vignette-related questions, and the reader is told nothing about how/why these questions were asked
Was the recruitment strategy appropriate to the aims of the research?	Low risk	Yes (p. 247), but nurses were stratified by level of experience into 3 groups and then randomly selected; why not simply adopt purposive?

**Lavelle 2011** (Continued)

Is there detailed evidence of steps taken in data collection?	Low risk	Yes, minimal detail
Is there a clear and detailed statement of findings?	Low risk	Yes
Were the data audio-recorded and transcribed?	Low risk	Yes (p. 247)
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, minimal detail
Did data analysis involve inter-rater discussion?	Low risk	Yes (p. 247); 3 nurse participants reviewed transcribed interview and identified main points emerging. No detail provided concerning how these identified points were built into the final analysis
Was there consideration of disconfirming findings?	High risk	No
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	Low risk	Yes, briefly on p. 251 - see 'Study Limitations'
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant

**McLean 2006**

Study type	Qualitative research exploring the perceptions of ICU staff of a ventilator-weaning protocol and the practice safety climate as these impact on the effectiveness of the Model for Accelerating Improvement to improve weaning adherence and clinical outcomes
Theoretical/Conceptual framework	None stated
Methods	Method of data collection: focus groups Method of data recording: not reported Method of data analysis: content analysis Reliability: not reported Validity: not reported
Notes	
<b><i>Risk of bias</i></b>	

Bias	Authors' judgement	Support for judgement
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes
Was the recruitment strategy appropriate to the aims of the research?	Unclear risk	Not reported
Is there detailed evidence of steps taken in data collection?	High risk	No, no detail
Is there a clear and detailed statement of findings?	High risk	No in relation to the focus group data (data that are relevant to the synthesis) Presented in summary form only (Table 1, p. 303)
Were the data audio-recorded and transcribed?	Unclear risk	Not reported
Is there evidence of detailed steps taken in data analysis?	High risk	No, no detail
Did data analysis involve inter-rater discussion?	Unclear risk	Not reported
Was there consideration of disconfirming findings?	High risk	No, although there is evidence within the Findings that participants expressed a range of views, the data are so superficially presented/reduced that it is impossible to know the precise nature of this 'range'. As it stands, the Findings read as very generic, e. g. although it is clear that participants differed in their assessments of the value of a protocol, the precise nature of these differences/how they were expressed is not made clear
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant

**Myneni 2012**

Study type	Qualitative research focusing on the implementation and use of a risk assessment method (Functional Resonance Accident Method (FRAM)), originally proposed for adverse event analysis in the aviation industry, to evaluate the effectiveness of a computerized weaning protocol (CWP) in a medical ICU	
Theoretical/Conceptual framework	None stated	
Methods	Method of data collection: non-participant observation, semi-structured interviews Method of data recording: not reported Method of data analysis: not reported Reliability: not reported Validity: not reported	
Notes		
<b><i>Risk of bias</i></b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes
Was the recruitment strategy appropriate to the aims of the research?	Unclear risk	Not reported
Is there detailed evidence of steps taken in data collection?	High risk	No, no detail
Is there a clear and detailed statement of findings?	Low risk	Yes
Were the data audio-recorded and transcribed?	Unclear risk	Not reported
Is there evidence of detailed steps taken in data analysis?	High risk	No, no detail
Did data analysis involve inter-rater discussion?	Unclear risk	Not reported
Was there consideration of disconfirming findings?	Unclear risk	Not reported
Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No

**Myneni 2012** (Continued)

Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	No, not relevant* *There is no evidence of such analysis in relation to methodology but the entire research process and Findings are framed using the FRAM model
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**Vaerland 2011**

Study type	Qualitative research exploring the views and experiences of nurses of weaning patients from mechanical ventilation, with a specific focus on the role of medical evidence	
Theoretical/Conceptual framework	Phenomenology	
Methods	Method of data collection: semi-structured interviews Method of data recording: audio-recording Method of data analysis: content analysis Reliability: not reported Validity: not reported	
Notes		
<b><i>Risk of bias</i></b>		
<b>Bias</b>	<b>Authors' judgement</b>	<b>Support for judgement</b>
Is there a logical fit between stated research aim(s) and method(s) used?	Low risk	Yes
Was the recruitment strategy appropriate to the aims of the research?	High risk	No, in that the Nursing Director selected participants (p. 290)
Is there detailed evidence of steps taken in data collection?	Low risk	Yes, minimal detail
Is there a clear and detailed statement of findings?	Low risk	Yes
Were the data audio-recorded and transcribed?	Low risk	Yes (p. 290)
Is there evidence of detailed steps taken in data analysis?	Low risk	Yes, minimal detail
Did data analysis involve inter-rater discussion?	Unclear risk	Not reported
Was there consideration of disconfirming findings?	High risk	No, Findings are presented as uniform/consistent across all 8 participants



Vaerland 2011 (Continued)

Is there evidence of a reflexive concern with the conduct of the study? (i.e. does the author reflect on his or her own role in the research, including as this might introduce bias?)	High risk	No
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	Low risk	Yes, relevant

CWP: Computerised Weaning Protocol

FRAM: Functional Resonance Accident Method

HDU: high dependency unit

ICU: Intensive Care Unit

PICU: Paediatric Intensive Care Unit

**Characteristics of excluded studies** [ordered by study ID]

Study	Reason for exclusion
Crocker 2009a	Did not address the issue of protocolized weaning
Crocker 2009b	Did not address the issue of protocolized weaning
Hancock 2006	Did not address the issue of protocolized weaning
Kydonaki 2010	Conference abstract of findings from author's PhD thesis
Kydonaki 2014	Article included partial findings from author's PhD thesis. We included the PhD thesis as the detail in relation to e.g. study setting, data collection and analysis and findings was more complete
Powers 2006	Presents the views and opinions of 3 staff members, none of whom had experience of protocolized weaning

PhD: Doctor of Philosophy

## Characteristics of studies awaiting assessment *[ordered by study ID]*

### Pettersson 2012

Study type	Method of data collection: explorative qualitative interviews Method of data recording: taped recording Method of data analysis: Dahlgren & Fallsberg's seven steps (Dahlgren 1991) Reliability: not reported Validity: not reported
Theoretical/Conceptual framework	Phenomenology
Methods	Method of selection: purposive sample Inclusion/exclusion criteria: At least 1 year of clinical experience in an ICU and of ventilator weaning
Notes	

### Solberg 2015

Study type	Method of data collection: focus group Method of data recording: audiotaped Method of data analysis: concept-driven concept analysis Reliability/Validity: Only 1 focus group convened and the participants were from a single NICU
Theoretical/Conceptual framework	Theory of Relational Co-ordination
Methods	Method of selection: purposive sample Inclusion/exclusion criteria: none reported
Notes	

**Tingsvik 2014**

Study type	<p>Method of data collection: semi-structured interviews</p> <p>Method of data recording: audio-taped</p> <p>Method of data analysis: qualitative content analysis</p> <p>Reliability/Validity: Authors adhered to <a href="#">Lincoln 1985</a> qualitative research criteria in order to strengthen credibility. The pre-understanding of 2 of the authors comprised several years experience as ICU nurses. This may have been positive, as the authors were familiar with the subject and context but it also involved a risk that they might construct their own interpretations. To counteract this, the authors adopted a critical attitude and discussed their pre-understanding throughout the data analysis process. A prerequisite for transferability is a careful description of the selection process, context and results. Four ICUs were included, and the fact that these were similar in character can be regarded as a limitation. A greater variation of experiences among ICU nurses could have been seen if university hospitals as well as county regional hospitals were included in the study. Due to practical reasons the unit manager arranged contact with ICU nurses interested in participating in the study. This resulted in less control of the selection process. The authors had no relationship with the included units or participants, which strengthened credibility</p>
Theoretical/Conceptual framework	None reported
Methods	<p>Method of selection: strategic</p> <p>Inclusion/exclusion criteria: Inclusion criteria = registered ICU nurses, and those with experience of nursing patients during ventilator weaning, and with a minimum of 2 years clinical practice in this area</p>
Notes	

**Tume 2014**

Study type	<p>Method of data collection: Cross-sectional survey, including closed and open-ended questions</p> <p>Method of data recording: not relevant</p> <p>Method of data analysis: for data derived from the open-ended questions - not reported</p> <p>Reliability: for data derived from the open-ended questions - not reported</p> <p>Validity: for data derived from the open-ended questions - not reported</p>
Theoretical/Conceptual framework	None stated
Methods	<p>Method of selection: not reported</p> <p>Inclusion/exclusion criteria: not reported</p>
Notes	

**Wongrostrai 2016**

Study type	Method of data collection: descriptive, with observation of practice, focus-group discussion, and in-depth interviews Method of data recording: audio-recording Method of data analysis: thematic analysis (of interview and focus-group data) Reliability/Validity: To enhance trustworthiness of the data analysis (defined as credibility, accuracy, transferability, and dependability of the study findings): multiple methods of data collection used (methodological triangulation); data sources compared in order to ensure accurate findings; member-checking; peer debriefing; findings shared with co-authors for verification of accuracy of interpretation; detailed descriptions were developed to help reader understand study context and participants in order to evaluate transferability of the findings to other contexts. An audit trail of the data collection process, complete record of raw data, audiotapes and transcripts, and decisions made was created for evaluation of dependability; advisory committee members served as auditors of the research process and end product
Theoretical/Conceptual framework	None reported
Methods	Method of selection: purposive and snowball sampling Inclusion/exclusion criteria: For the focus groups: nurses had to have at least 1 year's work experience at the bedside with mechanical ventilation weaning protocols; For the in-depth interviews: Head nurses: have responsibility for staff continuing education, resources, and supervision; Nurse supervisors: have responsibility for facilitating communication and collaboration among staff and with other units, monitoring MVWP use, and staff continuing education on MVWP use; For the physicians: have work experience with mechanical ventilation weaning protocol implementation for at least 1 year in 1 of the 4 study hospitals
Notes	

ANPs: Advanced Nurse Practitioners

ICU: Intensive Care Unit

MVWP: mechanical ventilation weaning protocol

NICU: Neonatal Intensive Care Unit

NLVW: Nurse-led ventilator weaning

PICU: Paediatric Intensive Care Unit

WTE: whole-time equivalent

## DATA AND ANALYSES

This review has no analyses.

## ADDITIONAL TABLES

**Table 1. Framework for quality assessment**

Question / Criteria	Assessment
Is there a logical fit between stated research aim(s) and method(s) used?	'Yes', 'No' or 'Not reported'
Is the recruitment strategy appropriate to the aims of the research?	'Yes', 'No' or 'Not reported'
Is there detailed evidence of steps taken in data collection (e.g. interview guide, means of recording, how focus group composed) and why?	'Yes (full/minimal detail)', 'No' or 'Not reported'
Were the data audio-recorded and transcribed?	'Yes', 'No' or 'Not reported'
Is there a detailed statement of steps taken in data analysis?	'Yes (full/minimal detail)', 'No' or 'Not reported'
Did data analysis involve inter-rater discussion?	'Yes', 'No' or 'Not reported'
Was there consideration of disconfirming findings?	'Yes', 'No' or 'Not reported'
Is there a clear and detailed statement of findings?	'Yes', 'No' or 'Not reported'
Is there evidence of a reflexive concern with the conduct of the study?	'Yes', 'No' or 'Not reported'
Is there evidence of analysis and interpretation of the findings at a conceptual and theoretical level?	'Yes', 'No' or 'Not reported'
Summary quality assessment	'High', 'Moderate' or 'Low'

**Table 2. Characteristics of included study settings**

Study	Methodology	Country	Number of participants	Number of Hospitals	ICU number & type	Population	ICU clinical population	Protocol - presence & level of description
<a href="#">Blackwood 2004</a>	Semi-structured interviews; thematic content analysis	Northern Ireland	10	2	2 Closed	Physicians	Not reported	No protocol

<b>Gelsthorpe 2004</b>	Unstructured interviews; thematic analysis	UK	7	1	1 Closed	Nurses	Adult mixed - medical & surgical	Written protocol in use Detailed description
<b>Hansen 2007</b>	Focus groups; content analysis	Norway	24	1	1 Closed	Nurses	Adult mixed - medical, surgical and trauma	Written protocol in use Very limited description
<b>Hansen 2009a</b>	Focus groups; content analysis	Norway	6	1	1 Not reported	Physicians	Not reported	Written protocol in use No details reported
<b>Hansen 2009b</b>	Focus groups; content analysis	Norway	10	1	1 Not reported	Nurses & physicians	Adult mixed - medical, surgical and trauma	No protocol
<b>Keogh 2009</b>	Focus groups; thematic content analysis	Australia	22	1	1 Not reported	Nurses & physicians	Paediatric	Protocol in use No details reported
<b>Kydonaki 2011</b>	Observation; think-aloud & follow-up explanatory interviews; semi-structured interviews; framework analysis	Scotland & Greece	44	1 hospital per site	1 ICU per hospital Not reported	Nurses, physicians & physiotherapists	Adult mixed - medical, surgical and trauma	Written protocol in use No details reported
<b>Lavelle 2011</b>	Semi-structured interviews with vignette; thematic content analysis	Ireland	24	1	1 Not reported	Nurses	Not reported	Protocol in use No details reported
<b>McLean 2006</b>	Focus groups; content analysis	Canada	112	1	1 Closed	Nurses, physiotherapists, respiratory therapists	Adult mixed - medical, surgical and trauma	Protocol in use No details reported

**Table 2. Characteristics of included study settings** (Continued)

						pists, physicians		
<b>Myneni 2012</b>	Semi-structured interviews; observation, review of documents	USA	Not reported	1	1 Not reported	Nurses, physicians, respiratory therapists	Not reported	Automated protocol in use No details reported
<b>Vaerland 2011</b>	Semi-structured interviews; content analysis	Norway	8	1	1 Not reported	Nurses	Not reported	Written protocol in use Detailed description

ICU: Intensive Care Unit

**Table 3. Summary statements derived from synthesis of qualitative evidence**

Summary statement	Confidence in the evidence	Explanation of assessed confidence
<b>Analytic theme: Continual staff training and development: the essentials of knowing how (to use a protocol) to wean</b>		
Physicians and nurses should possess a comprehensive (patho) physiological knowledge base	Moderate Confidence	The studies were of at least moderate quality and the finding was seen in 3 settings <a href="#">Blackwood 2004</a> (UK, Northern Ireland) <a href="#">Hansen 2007</a> (Norway) <a href="#">Vaerland 2011</a> (Norway)
Physicians and nurses delivering protocolized weaning should receive ongoing discipline-relevant clinical training to increase clinical competence and confidence	Moderate Confidence	The majority of studies were of high quality and the finding was seen in 3 settings <a href="#">Blackwood 2004</a> (UK, Northern Ireland) <a href="#">Hansen 2009b</a> (Norway) <a href="#">Lavelle 2011</a> (Ireland)
Physicians and nurses should receive training on the practicalities of using a protocol being introduced into the intensive care setting to ensure proper understanding and implementation	Moderate Confidence	The studies were of mixed quality and the finding was seen in 5 settings <a href="#">Blackwood 2004</a> (UK, Northern Ireland) <a href="#">Hansen 2009b</a> (Norway) <a href="#">Lavelle 2011</a> (Ireland) <a href="#">McLean 2006</a> (Canada) <a href="#">Myneni 2012</a> (USA)
<b>Analytic theme: Clinical experience: the basis of a necessary felt and perceived competence and confidence for (protocolized) weaning</b>		

**Table 3. Summary statements derived from synthesis of qualitative evidence** (Continued)

<p>The cautious approach to (protocolized) weaning by inexperienced nurses is mediated by felt lack of clinical competence and confidence</p>	<p>High Confidence</p>	<p>The studies were of at least moderate quality and the finding was seen in 7 settings  <a href="#">Blackwood 2004</a> (UK, Northern Ireland)  <a href="#">Gelsthorpe 2004</a> (UK, England)  <a href="#">Hansen 2007</a> and <a href="#">Hansen 2009b</a> (Norway)  <a href="#">Kydonaki 2011</a> (Scotland)  <a href="#">Kydonaki 2011</a> (Greece)  <a href="#">Lavelle 2011</a> (Ireland)  <a href="#">Vaerland 2011</a> (Norway)</p>
<p>Lack of clinical competence and confidence is understood by physicians to limit some nurses' ability to contribute effectively to weaning</p>	<p>High Confidence</p>	<p>The studies were of at least moderate quality and the finding was seen in 7 settings  <a href="#">Blackwood 2004</a> (UK, Northern Ireland)  <a href="#">Gelsthorpe 2004</a> (UK, England)  <a href="#">Hansen 2007</a> and <a href="#">Hansen 2009b</a> (Norway)  <a href="#">Kydonaki 2011</a> (Scotland)  <a href="#">Kydonaki 2011</a> (Greece)  <a href="#">Lavelle 2011</a> (Ireland)  <a href="#">Vaerland 2011</a> (Norway)</p>
<p>Nurses understand personal weaning competence and confidence to be based on the day-to-day routine of work, and the experience consequently gained</p>	<p>Moderate Confidence</p>	<p>The studies were of at least moderate quality and the finding was seen in 4 settings  <a href="#">Gelsthorpe 2004</a> (UK, England)  <a href="#">Hansen 2007</a> and <a href="#">Hansen 2009b</a> (Norway)  <a href="#">Lavelle 2011</a> (Ireland)  <a href="#">Vaerland 2011</a> (Norway)</p>
<p>More experienced nurses prefer to base weaning decision-making on their clinical insight and expertise. Protocols are considered to interfere with this process</p>	<p>High Confidence</p>	<p>The studies were of at least moderate quality and the finding was seen in 6 settings  <a href="#">Gelsthorpe 2004</a> (UK, England)  <a href="#">Hansen 2007</a> (Norway)  <a href="#">Kydonaki 2011</a> (Scotland)  <a href="#">Kydonaki 2011</a> (Greece)  <a href="#">Lavelle 2011</a> (Ireland)  <a href="#">Vaerland 2011</a> (Norway)</p>
<p>Following the protocol provides security for inexperienced physicians and nurses in that it ensured they were adhering to 'safe'/accepted practice</p>	<p>Moderate Confidence</p>	<p>The majority of studies were of high quality and the finding was seen in 4 settings  <a href="#">Hansen 2009b</a> (Norway)  <a href="#">Kydonaki 2011</a> (Scotland)  <a href="#">Kydonaki 2011</a> (Greece)  <a href="#">Lavelle 2011</a> (Ireland)</p>
<p>The use of a protocol increases felt confidence and competence amongst nurses and junior physicians as it 'supports' autonomous practice</p>	<p>Moderate Confidence</p>	<p>The studies were of mixed quality and the finding was seen in 4 settings  <a href="#">Hansen 2009b</a> (Norway)  <a href="#">Keogh 2009</a> (Australia)  <a href="#">Kydonaki 2011</a> (Scotland)</p>



**Table 3. Summary statements derived from synthesis of qualitative evidence** (Continued)

		<a href="#">Kydonaki 2011</a> (Greece)
Effective weaning requires nurses to be able to 'read' both readily observable and more subtle clinical indicators. An ability to do so is premised on extended clinical experience	High Confidence	The studies were of at least moderate quality and the finding was seen in 6 settings <a href="#">Gelsthorpe 2004</a> (UK, England) <a href="#">Hansen 2007</a> and <a href="#">Hansen 2009b</a> (Norway) <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece)) <a href="#">Lavelle 2011</a> (Ireland) <a href="#">Vaerland 2011</a> (Norway)
<b>Analytic theme: The vulnerability of weaning protocols to differential (inter) professional working</b>		
Due to perceived limitations in clinical knowledge and expertise, physicians consider nursing staff as most suitable for a support role in weaning, in which they operate with limited autonomy only	Low Confidence	The study was of high quality and the finding was seen in 1 setting <a href="#">Blackwood 2004</a> (UK, Northern Ireland)
Physicians are wary of involving any but the most experienced nurses in weaning because it requires advanced clinical insight and judgement	Low Confidence	The study was of high quality and the finding was seen in 1 setting <a href="#">Blackwood 2004</a> (UK, Northern Ireland)
Nurses and respiratory therapists highlighted a lack of interest in the protocol amongst physicians as evidenced by a lack of reference to/disregard of the protocol during interaction	Low Confidence	The studies were of low/moderate quality and the finding was seen in 2 settings <a href="#">Hansen 2007</a> (Norway) <a href="#">Myneni 2012</a> (USA)
Nurses' role in weaning is characterized by them as that 'permitted' by physicians. Based on felt inequalities in professional status and consequent authority, nurses do not feel able to challenge physicians concerning this limitation placed on their weaning role	Moderate Confidence	The studies were of at least moderate quality and the finding was seen in 3 settings <a href="#">Hansen 2007</a> and <a href="#">Hansen 2009b</a> (Norway) <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece)
Experienced nurses counter physician 'control' of weaning by involving themselves in weaning decision-making. Less experienced/confident nurses allow physicians to control the weaning process	Low confidence	The study was of moderate quality and the finding was seen in 1 setting <a href="#">Hansen 2007</a> (Norway)

**Table 3. Summary statements derived from synthesis of qualitative evidence** (Continued)

The availability of routine (formal and informal) opportunities for interprofessional discussion and learning is considered by nurses to be a crucial bedrock of collaborative and effective weaning Lack of time is one factor militating against such opportunities	Low confidence	The studies were of moderate quality and the finding was seen in 2 settings <a href="#">Gelsthorpe 2004</a> (UK, England) <a href="#">Hansen 2009b</a> (Norway)
Nurses associate physician reluctance to involve nurses in weaning decision-making with an individualization of nursing competence	Low confidence	The study was of moderate quality and the finding was seen in 1 setting <a href="#">Hansen 2009b</a> (Norway)
Nurses consider physicians as able to choose whether or not to use the protocol	Low confidence	The studies were of moderate quality and the finding was seen in 1 setting <a href="#">Hansen 2007</a> and <a href="#">Hansen 2009b</a> (Norway)
<b>Analytic theme: Understanding of protocols as militating against a necessary proactivity in clinical practice</b>		
The use of a protocol is associated with abdication of professional responsibility and/or a clinically 'apathetic' approach to weaning	Moderate confidence	The studies were of mixed quality and the finding was seen in 6 settings <a href="#">Blackwood 2004</a> (UK, Northern Ireland) <a href="#">Gelsthorpe 2004</a> (UK, England) <a href="#">Hansen 2009a</a> (Norway) <a href="#">Keogh 2009</a> (Australia) <a href="#">McLean 2006</a> (Canada) <a href="#">Myneni 2012</a> (USA)
No one protocol is able to address the diversity of ICU patient conditions and requirements	High confidence	The majority of studies were of at least moderate quality and the finding was seen in 8 settings <a href="#">Blackwood 2004</a> (UK, Northern Ireland) <a href="#">Hansen 2009a</a> and <a href="#">Hansen 2009b</a> (Norway) <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece) <a href="#">Lavelle 2011</a> (Ireland) <a href="#">McLean 2006</a> (Canada) <a href="#">Myneni 2012</a> (USA) <a href="#">Vaerland 2011</a> (Norway)
<b>Analytic theme: Perceived nursing scope of practice and professional risk</b>		
Based on a felt need to protect themselves from professional risk/censure, nurses prefer to undertake weaning-related activity based on explicit instruction, either in the form	Moderate confidence	The studies were of at least moderate quality and the finding was seen in 3 settings <a href="#">Gelsthorpe 2004</a> (UK, England) <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece)

**Table 3. Summary statements derived from synthesis of qualitative evidence** (Continued)

of instructions from senior colleagues or in the form of a protocol		
Nurses understand a protocol as advancing professional autonomy As such it is considered to motivate clinical practice	Moderate confidence	The studies were of mixed quality and the finding was seen in 5 settings <a href="#">Hansen 2007</a> (Norway) <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece) <a href="#">McLean 2006</a> (Canada) <a href="#">Vaerland 2011</a> (Norway)
Physicians consider a protocol to alleviate their workload as nurses can be left to wean 'straightforward' patients while they concentrate on other clinical tasks	Low confidence	The study was of moderate quality and the finding was seen in 1 setting <a href="#">Hansen 2009a</a> (Norway)
<b>Analytic theme: ICU structure and processes of care</b>		
ICU routines impact adversely on weaning. Examples included: the limited availability of physicians outside of set times (such as morning clinical rounds); a more 'proactive' approach to weaning at the beginning of a working day; and the interruption to weaning caused by patient visiting (hours)	Moderate confidence	The majority of studies were of at least moderate quality and the finding was seen in 4 settings <a href="#">Blackwood 2004</a> (UK, Northern Ireland) <a href="#">Gelsthorpe 2004</a> (UK, England) <a href="#">Hansen 2007</a> , <a href="#">Hansen 2009a</a> and <a href="#">Hansen 2009b</a> (Norway) <a href="#">Myneni 2012</a> (USA)
Nurses prioritize continuity of care as necessary for patient-specific clinical insight and effective weaning. Staffing pressures can encourage the rotating of nurses between patients, which militates against this continuity	Low confidence	The studies were of moderate quality and the finding was seen in 1 setting <a href="#">Hansen 2007</a> and <a href="#">Hansen 2009b</a> (Norway)
Weaning is frequently subordinated to immediate clinical priorities, particularly in the context of caring for acutely ill/deteriorating patients	Low confidence	The studies were of moderate/low quality and the finding was seen in 2 settings <a href="#">Hansen 2007</a> , <a href="#">Hansen 2009a</a> and <a href="#">Hansen 2009b</a> (Norway) <a href="#">Myneni 2012</a> (USA)
Physicians consider that a protocol will have little or no material impact on weaning because the ICU practice already encourages clinicians to wean proactively	Low confidence	The study was of high quality and the finding was seen in 1 setting <a href="#">Blackwood 2004</a> (UK, Northern Ireland)

**Table 3. Summary statements derived from synthesis of qualitative evidence** (Continued)

Ward rounds provide a timely opportunity to discuss patient weaning, including in terms of the use of the protocol	Low confidence	The study was of moderate quality and the finding was seen in 1 setting <a href="#">Gelsthorpe 2004</a> (UK, England)
<b>Analytic theme: Protocols as a prompt for shared care, consensus and consistency in weaning</b>		
Use of a protocol facilitates a shared understanding of the weaning process, thereby enhancing inter-professional collaboration and, through this process, greater effectiveness in weaning	Moderate confidence	The studies were of mixed quality and the finding was seen in 6 settings <a href="#">Hansen 2007</a> , <a href="#">Hansen 2009a</a> & <a href="#">Hansen 2009b</a> (Norway) <a href="#">Keogh 2009</a> (Australia) <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece) <a href="#">McLean 2006</a> (Canada) <a href="#">Vaerland 2011</a> (Norway)
A protocol contributes positively to weaning as it helps raise the profile of weaning generally, prompting clinicians to think about weaning when they might otherwise focus on other clinical priorities	Moderate confidence	The studies were of mixed quality and the finding was seen in 5 settings <a href="#">Blackwood 2004</a> (UK, Northern Ireland) <a href="#">Hansen 2009a</a> and <a href="#">Hansen 2009b</a> (Norway) <a href="#">Keogh 2009</a> (Australia) <a href="#">McLean 2006</a> (Canada) <a href="#">Vaerland 2011</a> (Norway)
Physicians consider a protocol as a positive contribution to their working practice as it allows them to delegate the weaning of 'straightforward' patients to nurses, while they concentrate on other clinical tasks	Low confidence	The study was of moderate quality and the finding was seen in 1 setting <a href="#">Hansen 2009a</a> (Norway)
<b>Analytic theme: Maximizing the use of protocols through visibility, relevance and ease of implementation</b>		
Protocols should have clarity in their design and instruction, and be straightforward to use	Low confidence	All of the studies were of low quality and the finding was seen in 3 settings <a href="#">Keogh 2009</a> (Australia) <a href="#">McLean 2006</a> (Canada) <a href="#">Myneni 2012</a> (USA)
Protocols should be readily accessible/visible within an ICU unit at all times	Low confidence	The study was of low quality and the finding was seen in 1 setting <a href="#">McLean 2006</a> (Canada)
Protocols require constant 'revalidation' to encourage ongoing adherence	Low confidence	The study was of moderate quality and the finding was seen in 1 setting <a href="#">Hansen 2007</a> (Norway)
<b>Analytic theme: Protocols as a framework for communication with parents of children</b>		

**Table 3. Summary statements derived from synthesis of qualitative evidence** (Continued)

Nurses understand the protocol to be a useful communication tool, providing a framework through which they can explain and otherwise communicate about the process of weaning their child from ventilation	Low confidence	The study was of low quality and the finding was seen in 1 setting <a href="#">Keogh 2009</a> (Australia)
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ICU: Intensive Care Unit

**Table 4. Hypotheses generated from trial author statements concerning the use of protocols**

Trial study with summary outcome	Hypotheses generated through trial author statement(s) concerning factors likely to impact positively on the use of a protocol	Hypotheses generated through trial author statement(s) concerning factors likely to impact negatively on the use of a protocol
<a href="#">Chaiwat 2010</a> (Significant effect on duration of mechanical ventilation)		Increased nurse:patient ratios (staff shortages) reduces the time available to adhere to a weaning protocol
<a href="#">De Carvalho 2002</a> (No effect)	Shared multidisciplinary team design and development of a weaning protocol promotes successful implementation	Resistance to change within the interdisciplinary team should be managed through training and education
<a href="#">Ely 1996</a> (Significant effect on duration of mechanical ventilation and on duration of weaning)	Compatibility between a weaning protocol and professional routines of care promotes its adoption Weaning protocols that are technically straightforward to understand and use require minimal additional workload	
<a href="#">Jouvet 2013- Paediatric ICU</a> (Significant effect on duration of weaning)		Weaning can be delayed due to ICU workload and resource pressures
<a href="#">Kollef 1997</a> (Significant effect on duration of mechanical ventilation)	Physician and non-physician weaning-related training and experience impacts on use of weaning protocols	Physician and non-physician weaning-related training and experience impacts on use of weaning protocols Changing clinical priorities and workload pressures impacts on use of weaning protocols Physicians can choose whether or not to adhere to a protocol
<a href="#">Krishnan 2004</a> (No effect)		Nurses' reluctance to interrupt physicians in their work prevents them from securing explicit authority to execute a weaning protocol

**Table 4. Hypotheses generated from trial author statements concerning the use of protocols** (Continued)

<p><b>Maloney 2007- Paediatric ICU</b> (Significant effect on duration of weaning)</p>	<p>Enhanced perceived autonomy encourages acceptance of a protocol amongst clinicians Computerized paediatric weaning protocols are minimally intrusive to workflow Pre-existing acknowledgement of the need for standardization of weaning increases acceptance of a protocol Strong leadership, commitment and support from ICU clinical support teams are key to successful implementation of a weaning protocol Ongoing feedback concerning the impact of weaning-related research and development initiatives encourages their acceptance/implementation</p>	
<p><b>Marelich 2000</b> (Significant effect on duration of mechanical ventilation and on duration of weaning)</p>	<p>Multidisciplinary team protocol development promotes its implementation by the wider ICU team Education and leadership provided by medical and clinical leads to their respective colleagues promotes the use of a weaning protocol</p>	
<p><b>Namen 2001</b> (No effect)</p>	<p>Physician adherence to a weaning protocol is dependent on their appreciation of its suitability to the clinical profile and needs of the ICU patient population</p>	
<p><b>Navalesi 2008</b> (No effect)</p>	<p>Shared multidisciplinary team design and development of a weaning protocol encourages high protocol adherence Implementation of a weaning protocol improves nursing and allied health professional staff felt professional status and concomitant job satisfaction</p>	<p>Implementation of a weaning protocol is perceived to increase ICU workload</p>
<p><b>Stahl 2009</b> (No effect)</p>		<p>A weaning protocol will be poorly accepted if it increases staff workload</p>

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## APPENDICES

### Appendix 1. MEDLINE search strategy

1. ventilator weaning/ or ventilators, mechanical/ or ventilators, negative-pressure/ or respiration, artificial/ or exp positive-pressure respiration/ or ventilator weaning/ or (mechanical\$ adj5 ventilat\$).mp. or (ventilat\$ adj5 (wean\* or liberat\* or extubat\*)).mp.
2. Clinical Protocols/ or guidelines as topic/ or practice guidelines as topic/ or (guideline or practice guideline).pt. or exp Patient Care Management/ or (protocol\$ or guideline\$).mp.
3. 1 and 2
4. Qualitative Research/ or interviews as topic/ or focus groups/ or narration/ or questionnaires/ or self report/ or exp attitudes/ or exp tape recording/ or Nursing Methodology Research/
5. (qualitative or ethno\$ or emic or etic or phenomenolog\$ or hermeneutic\$ or heidegger\$ or Husserl\$ or colaizzi\$ or giorgi\$ or glaser\$ or strauss\$ or van kaam\$ or van manen\$).mp.
6. (constant compar\$ or focus group\$ or grounded theory or narrative analysis or lived experience\$ or life experience\$ or theoretical sampl\$ or purposive sampl\$ or ricoeur\$ or speigelberg\$ or merleau\$ or metasynthes\$ or meta-synthes\$ or metasummar\$ or meta-summar\$ or metastud\$ or meta-stud\$ or maximum variation or snowball\$ or field stud\$ or field note\$ or fieldnote\$ or field record\$ or content analy\$ or unstructured categor\$ or structured categor\$ or action research or audiorecord\$ or taperecord\$ or videorecord\$ or videotap\$ or digitalrecord\$ or digitaltap\$).mp.
7. (thematic\$ adj3 analy\$).mp.
8. ((participant\$ or nonparticipant\$ or non-participant\$ or non participant\$) adj3 observ\$).mp.
9. ((audio or tape or tapes or taping or video\$ or digital\$) adj5 (record\$ or interview\$)).mp.
10. (findings or interview).tw.
11. or/4-10
12. 3 and 11

### Appendix 2. Embase (Ovid SP) search strategy

1. artificial ventilation/ or ventilator/ or exp positive end expiratory pressure/ or (mechanical\* adj5 ventilat\*).mp. or (ventilat\* adj5 (wean\* or liberat\* or extubat\*)).mp.
2. clinical protocol/ or practice guideline/ or (guideline or practice guideline).pt. or patient care/ or (protocol\* or guideline\*).mp.
3. 1 and 2
4. qualitative research/ or interview/ or information processing/ or verbal communication/ or questionnaire/ or self report/ or exp attitude/ or recording/ or nursing methodology research/ or (qualitative or ethno\* or emic or etic or phenomenolog\* or hermeneutic\* or heidegger\* or Husserl\* or colaizzi\* or giorgi\* or glaser\* or strauss\* or van kaam\* or van manen\*).mp. or (constant compar\* or focus group\* or grounded theory or narrative analysis or lived experience\* or life experience\* or theoretical sampl\* or purposive sampl\* or ricoeur\* or speigelberg\* or merleau\* or metasynthes\* or meta-synthes\* or metasummar\* or meta-summar\* or metastud\* or meta-stud\* or maximum variation or snowball\* or field stud\* or field note\* or fieldnote\* or field record\* or content analy\* or unstructured categor\* or structured categor\* or action research or audiorecord\* or taperecord\* or videorecord\* or videotap\* or digitalrecord\* or digitaltap\*).mp. or (thematic\* adj3 analy\*).mp. or ((participant\* or nonparticipant\* or non-participant\* or non participant\*) adj3 observ\*).mp. or ((audio or tape or tapes or taping or video\* or digital\*) adj5 (record\* or interview\*)).mp. or (findings or interview).tw. (2333396)
5. 3 and 4
4. 1 and 2 and 3

### Appendix 3. CINAHL (EBSCO host) search strategy

S1. ((MH "Ventilator Weaning") OR (MH "Ventilators, Mechanical") OR (MH "Ventilation, Negative Pressure") OR (MH "Respiration, Artificial") OR (MH "Positive Pressure Ventilation")) OR ((mechanical\* and ventilat\*) or (ventilat\* and (wean\* or liberat\* or extubat\*)))

S2. ((MH "Practice Guidelines") OR (MH "Patient Care Plans")) OR (guideline or practice guideline) OR (protocol\* or guideline\*)

S3. S1 and S2

S4. ((MH "Qualitative Studies") OR (MH "Interviews") OR (MH "Focus Groups") OR (MH "Narratives") OR (MH "Questionnaires") OR (MH "Self Report") OR (MH "Audiorecording") OR (MH "Research, Nursing") ) OR (qualitative or ethno\* or emic or etic or phenomenolog\* or hermeneutic\* or heidegger\* or Husserl\* or colaizzi\* or giorgi\* or glaser\* or strauss\* or van kaam\* or van manen\* ) OR ( constant compar\* or focus group\* or grounded theory or narrative analysis or lived experience\* or life experience\* or theoretical sampl\* or purposive sampl\* or ricoeur\* or speigelberg\* or merleau\* or metasynthes\* or meta-synthes\* or metasummar\* or meta-summar\* or metastud\* or meta-stud\* or maximum variation or snowball\* or field stud\* or field note\* or fieldnote\* or field record\* or content analy\* or unstructured categor\* or structured categor\* or action research or audiorecord\* or taperecord\* or videorecord\* or videotap\* or digitalrecord\* or digitaltap\* ) OR ( (thematic\* and analy\*) or ((participant\* or nonparticipant\* or non-participant\* or non participant\*) and observ\*) or ((audio or tape or tapes or taping or video\* or digital\*) and (record\* or interview\*)) or (findings or interview))

S5. S3 and S4

### Appendix 4. PsycINFO (Ovid SP) search strategy

1. exp Artificial Respiration/ or (mechanical\* adj5 ventilat\*).mp. or (ventilat\* adj5 (wean\* or liberat\* or extubat\*)).mp.

2. exp Clinical Practice/ or exp Treatment Guidelines/ or exp Professional Standards/ or (guideline or practice guideline).mp. or (protocol\* or guideline\*).mp.

3. 1 and 2

4. exp Qualitative Research/ or exp Interviews/ or exp Group Discussion/ or exp Narratives/ or exp Questionnaires/ or exp Self Report/ or exp Attitudes/ or exp Tape Recorders/ or (qualitative or ethno\* or emic or etic or phenomenolog\* or hermeneutic\* or heidegger\* or Husserl\* or colaizzi\* or giorgi\* or glaser\* or strauss\* or van kaam\* or van manen\*).mp. or (constant compar\* or focus group\* or grounded theory or narrative analysis or lived experience\* or life experience\* or theoretical sampl\* or purposive sampl\* or ricoeur\* or speigelberg\* or merleau\* or metasynthes\* or meta-synthes\* or metasummar\* or meta-summar\* or metastud\* or meta-stud\* or maximum variation or snowball\* or field stud\* or field note\* or fieldnote\* or field record\* or content analy\* or unstructured categor\* or structured categor\* or action research or audiorecord\* or taperecord\* or videorecord\* or videotap\* or digitalrecord\* or digitaltap\*).mp. or (thematic\* adj3 analy\*).mp. or ((participant\* or nonparticipant\* or non-participant\* or non participant\*) adj3 observ\*).mp. or ((audio or tape or tapes or taping or video\* or digital\*) adj3 (record\* or interview\*)).mp. or (findings or interview).mp.

5. 3 and 4

### Appendix 5. ISI Web of Science search strategy

#1. TS=((mechanical\* and ventilat\*) or (ventilat\* SAME (wean\* or liberat\* or extubat\*)))

#2. TS=(guideline or practice guideline) or TS=(protocol\* or guideline\*)

#3. #2 AND #1

#4. TS=((qualitative or ethno\* or emic or etic or phenomenolog\* or hermeneutic\* or heidegger\* or Husserl\* or colaizzi\* or giorgi\* or glaser\* or strauss\* or van kaam\* or van manen\*) or (constant compar\* or focus group\* or grounded theory or narrative analysis or lived experience\* or life experience\* or theoretical sampl\* or purposive sampl\* or ricoeur\* or speigelberg\* or merleau\* or metasynthes\* or meta-synthes\* or metasummar\* or meta-summar\* or metastud\* or meta-stud\* or maximum variation or snowball\* or field stud\* or field note\* or fieldnote\* or field record\* or content analy\* or unstructured categor\* or structured categor\* or action research or audiorecord\* or taperecord\* or videorecord\* or videotap\* or digitalrecord\* or digitaltap\*) or (thematic\* and analy\*) or ((participant\* or nonparticipant\* or non-participant\* or non participant\*) and observ\*) or ((audio or tape or tapes or taping or video\* or digital\*) and (record\* or interview\*)) or (findings or interview))

#5. #4 AND #3



## Appendix 6. LILACS (BIREME) search strategy

((mechanical\$ and ventilat\$) or (ventilat\$ and (wean\$ or liberat\$ or extubat\$))) [Palabras] and (guideline or practice guideline) or (protocol\$ or guideline\$) [Palabras] and ((qualitative or ethno\$ or emic or etic or phenomenolog\$ or hermeneutic\$ or heidegger\$ or Husserl\$ or colaizzi\$ or giorgi\$ or glaser\$ or strauss\$ or van kaam\$ or van manen\$) or (constant compar\$ or focus group\$ or grounded theory or narrative analysis or lived experience\$ or life experience\$ or theoretical sampl\$ or purposive sampl\$ or ricoeur\$ or speigelberg\$ or merleau\$ or metasyntes\$ or meta-syntes\$ or metasummar\$ or meta-summar\$ or metastud\$ or meta-stud\$ or maximum variation or snowball\$ or field stud\$ or field note\$ or fieldnote\$ or field record\$ or content analy\$ or unstructured categor\$ or structured categor\$ or action research or audiorecord\$ or taperecord\$ or videorecord\$ or videotap\$ or digitalrecord\$ or digitaltap\$) or (thematic\$ and analy\$) or ((participant\$ or nonparticipant\$ or non-participant\$ or non participant\$) and observ\$) or ((audio or tape or tapes or taping or video\$ or digital\$) and (record\$ or interview\$)) or (findings or interview)) [Palabras]

## Appendix 7. Study eligibility form

**Date form completed:**

**Study ID.**

**Title**

**Study ID for RevMan**

(Family name of first author and year of publication + letter if more than one per year, e.g. Smith 2001a)

**Are there other articles of the same study?**

**Yes, No, Unclear**

**Study eligibility.**

**A. Types of study**

Does the study incorporate qualitative methods and fully report data collection and analysis methods and findings?

**Yes, No, Unclear**

**B. Focus of study**

Does the study focus on the design, development, training, uptake, implementation and/or evaluation of weaning protocols?

**Yes, No, Unclear**

**Conclusion:**

If any of the answers to A. or B. are 'No', exclude.

If any of the answers to A. or B. are 'Unclear', proceed

of first author and year of publication + letter if more than one per year, e.g. Smith 2001a)

**Are there other articles of the same study?**

**Yes, No, Unclear**

**Study eligibility.**

**A. Types of study**

Does the study incorporate qualitative methods and fully report data collection and analysis methods and findings?

**Yes, No, Unclear**

**B. Focus of study**

Does the study focus on the design, development, training, uptake, implementation and/or evaluation of weaning protocols?

**Yes, No, Unclear**

**Conclusion:**

If any of the answers to A. or B. are 'No', exclude.

If any of the answers to A. or B. are 'Unclear', proceed to reading of full paper.

**More information needed before inclusion decision (specify)**

## Appendix 8. Data extraction form

*For all categories of data - where information is not provided or is unclear, please state.*

**Date form completed:**

**Study ID**

**Title**

**Study ID for RevMan**

(Family name of first author and year of publication + letter if more than one per year, e.g. Smith 2001a)

**Electronic Database**

Which one?

**Unpublished Source**

Where?

**Personal Communication**

From whom?

**Study Design**

**Was the study conducted as ...**

Stand alone qualitative study

Part of larger qualitative study

Part of larger mixed methods study

**Focus of study**

Development of protocol

Implementation of protocol

Evaluation of protocol

Mixed (specify)

Other (specify)

**Aims and Objectives**

**Phenomena of interest** e.g. attitudes / perceptions / knowledge / understandings / behaviour

**Study population**

**Participants**

Numbers

Gender

Length of service

Grades

**Method of selection** e.g. purposive / convenience sampling

**Inclusion / Exclusion criteria**

**Theoretical/Conceptual framework**

**Stated framework / orientation**

e.g. phenomenology, feminist, grounded theory, critical inquiry, interpretivist, ethnography\*

**Detail provided regarding chosen framework**

e.g. rationale for choice / how framework relates to study aims and objectives

**Data collection**

**Method(s) of data collection** e.g.

unstructured / semi structured individual interviews / focus groups / participant observation / non-participant observation

**Method(s) of data recording** e.g. hand written notes / digital recording

**Detail provided re chosen data collection methods**

e.g. rationale for choice of methods/ how these methods relate to theoretical /conceptual framework

**Data analysis**

**Method(s) of data analysis** e.g. thematic content analysis, grounded theory, discourse analysis, narrative analysis

**Procedures for data analysis** e.g. use of computer software package, process(es) of coding

**Detail provided re chosen data analysis methods**

e.g. rationale for choice of methods / how these methods relate to theoretical / conceptual framework

**Research rigour**

**Reliability** e.g. transparency - making explicit e.g. interview guide, following conventions e.g. transcribing, shared analyses

**Validity** e.g. search for disconfirming evidence, respondent validation, comprehensive data treatment, reflexivity

**Detail provided re chosen methods of research rigour**

e.g. how these methods / processes relate to theoretical / conceptual framework

**Stated study strengths and limitations**

**Reviewer rating of study**

**'Fit' between stated aims/objectives and study design/process** i.e. does the way the study went about collecting and analysing data make sense in terms of underlying aims and objectives

**Methodological quality**

High, Medium, Low, Unsure

**Quality of findings (i.e. 'richness' = detail provided in relation to outcome measures of interest)**

High, Medium, Low, Unsure

**Study Setting**

**Country**

**Hospital setting**

**Type**

**Location(s)**

**Bed numbers**

**ICU setting**

Single ICU, >1 ICU (specify no.):

**Type of patient population**

Paediatric only patients

Mixed adult and paediatric patients

Adult medical only

Adult surgical only

Adult cardiac only

Adult mixed medical, surgical, trauma

Adult 'Other' (specify):

**Type of ICU unit**

Open, Closed, Not stated

**Any other descriptor of unit** e.g. average length of stay

**Organization of care**

**ICU staffing** (specify numbers)

Nurses

Medical personnel

Respiratory therapists

Other (specify):

**ICU staff:patient ratio**

(specify staff i.e. nurse and/or doctor)

**Any other descriptor of organization of care** (e.g. nature of multi-disciplinary / team working / staff characteristics such as, ratio of specialist to non-specialist / years of ICU experience)

**Intervention and delivery**

**Type of protocol**

Written

Automated

**Description of protocol** e.g. SIMV, PS, Intermittent T-piece

**Length of time protocol has been in use (specify or N/A)**

**Background - design and development** e.g. why protocol introduced, whose decision, how designed/developed (e.g. evidence-based) and by whom (e.g. presence of 'champion')?

**Delivered by**

Nurse

Respiratory therapist

Nurse & Respiratory therapist

Doctors

All

Other

Not specified

**Procedure(s) for delivery** e.g. how protocol implemented / different roles and responsibilities etc.

**Training required (specify)**

(e.g. degree, respiratory module, ICU course, in-service)

Nurse; Doctor; Respiratory Therapist; Other

**Training received (specify)**

(e.g. degree, respiratory module, ICU course, in-service)

Nurse; Doctor; Respiratory Therapist; Other

**Previous/other current weaning practice within ICU unit(s)?**

**Study Findings**

**'Process' outcomes** e.g. perceptions, attitudes, views of healthcare professionals / behaviour of healthcare professionals / nature of multi-disciplinary working / staff morale / hospital 'culture'

**Author(s)' inferences / implications for practice, policy**

**Author(s)' conclusions**

**Any other issues / comments**

## Appendix 9. Quality assessment of included studies

Study ID	Is there a logical fit between stated research aim (s) and method (s) used?	Was the recruitment strategy appropriate to the aims of the re-search?	Is there a detailed statement of steps taken in data collec-tion?	Is there a clear and de-tailed state-ment of find-ings?	Were the data audio-recorded and tran-scribed?	Is there a detailed state-ment of steps taken in data analy-sis?	Did data anal-ysis in-volve in-ter-rater discus-sion?	Was there consid-eration of discon-firming find-ings?	Is there evi-dence of a reflex-ive concern with the conduct of the study?	Is there evi-dence of analysis and inter-pretation of the findings at a concep-tual and the-oretical level?	Summary quality assess-ment
<a href="#">Black-wood 2004</a>	Yes	Yes	Yes, minimal	Yes	Yes	Yes, minimal	Yes	Yes	No	No, not relevant	HIGH
<a href="#">Gel-sthorpe 2004</a>	No	Yes	Yes, minimal	No	Yes	Yes, minimal	Yes	Yes	Yes	No, relevant	MOD-ERATE
<a href="#">Hansen 2007</a>	Yes	No	Yes, full detail	Yes	Yes	Yes, full detail	Not re-ported	No	No	No, not relevant	MOD-ERATE
<a href="#">Hansen 2009a</a>	Yes	No	Yes, minimal detail	Yes	Yes	Yes, minimal detail	No	Yes	No	No, not relevant	MOD-ERATE

(Continued)

<b>Hansen 2009b</b>	Yes	No	Yes, minimal detail	Yes	Yes	Yes, full detail	Not reported	No	No	No, not relevant	MODERATE
<b>Keogh 2009</b>	Yes	No	Yes, minimal detail	No	Yes	Yes, minimal detail	Yes	No	No	No, not relevant	LOW
<b>Kydonaki 2011</b>	Yes	Yes	Yes, full detail	Yes	Yes	Yes, full detail	Yes	Yes	Yes	Yes, relevant	HIGH
<b>Lavelle 2011</b>	Yes	Yes	Yes, minimal detail	Yes	Yes	Yes, minimal detail	Yes	No	Yes	No, not relevant	HIGH
<b>McLean 2006</b>	Yes	Not reported	No, no detail at all	No	Not reported	No, no detail at all	Not reported	No	No	No, not relevant	LOW
<b>Myneni 2012</b>	Yes	Not reported	No	Yes	No	No, no detail at all	No	No	No	Yes, relevant (in relation to FRAM model)	LOW
<b>Vaerland 2011</b>	Yes	No	Yes, minimal detail	Yes	Yes	Yes, minimal detail	Not reported	No	No	Yes, relevant	MODERATE

## Appendix 10. Impact of removal of low-quality study evidence on summary statements

Summary statement	Original confidence rating	Rationale for original confidence rating	Relevant studies*	Impact of removal of low quality studies on evidence synthesis	New confidence rating	Rationale for new confidence rating
Physicians and nurses should receive training on the practicalities of using a protocol being introduced	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 5 settings	<a href="#">Blackwood 2004</a> <a href="#">Hansen 2009b</a> <a href="#">Lavelle 2011</a> <a href="#">McLean 2006*</a> <a href="#">Myneni 2012*</a>	<b>Impact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 3 settings

(Continued)

into the intensive care setting in order to ensure proper understanding and implementation						
The use of a protocol increases felt confidence and competence amongst nurses and junior physicians as it 'supports' autonomous practice	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 4 settings	<a href="#">Hansen 2009b</a> <a href="#">Keogh 2009*</a> <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece)	<b>Im- pact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 3 settings
Nurses and respiratory therapists highlighted lack of interest in the protocol amongst physicians as evidenced by a lack of reference to/disregard of the protocol during interaction	<b>Low</b>	The studies were of low/moderate quality and the finding was seen in 2 settings	<a href="#">Hansen 2007</a> <a href="#">Myneni 2012*</a>	<b>No impact</b>	<b>Low</b>	The study was of moderate quality and the finding was seen in 1 setting
The use of a protocol is associated with abdication of professional responsibility and/or a clinically uncritical/apathetic approach to weaning	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 6 settings	<a href="#">Blackwood 2004</a> <a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2009a</a> <a href="#">Keogh 2009*</a> <a href="#">McLean 2006*</a> <a href="#">Myneni 2012*</a>	<b>Im- pact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 3 settings
No one protocol is able to address the diversity of ICU patient conditions and requirements	<b>High</b>	The majority of studies were of at least moderate quality and the finding was seen in 8 settings	<a href="#">Blackwood 2004</a> <a href="#">Hansen 2009a</a> <a href="#">Hansen 2009b</a> <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a>	<b>No impact</b>	<b>High</b>	The studies were of at least moderate quality and the finding was seen in 6 settings

(Continued)

			(Greece) McLean 2006* Myneni 2012 * Lavelle 2011 Vaerland 2011			
ICU working routines impact adversely on weaning. Examples include: the limited availability of physicians outside of set times (such as morning clinical rounds); a more 'proactive' approach to weaning at the beginning of a working day; and the interruption to weaning caused by patient visiting (hours)	<b>Moderate</b>	The majority of studies were of at least moderate quality and the finding was seen in 4 settings	Blackwood 2004 Gelsthorpe 2004 Hansen 2007 Hansen 2009a Hansen 2009b Myneni 2012*	<b>Im- pact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 3 settings
Weaning is frequently subordinated to other clinical priorities, particularly in the context of caring for critically-ill patients	<b>Low</b>	The studies were of moderate/low quality and the finding was seen in 2 settings	Hansen 2007 Hansen 2009a Hansen 2009b Myneni 2012*	<b>No impact</b>	<b>Low</b>	The studies were of moderate quality and the findings were seen in one setting
Use of a protocol facilitates a shared understanding of the weaning process, thereby enhancing interprofessional collaboration and through this greater effectiveness in weaning	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 6 settings	Hansen 2007 Hansen 2009a Hansen 2009b Keogh 2009* Kydonaki 2011 (Scotland) Kydonaki 2011 (Greece) McLean 2006* Vaerland 2011	<b>No impact</b>	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 4 settings

(Continued)

Nurses understand the protocol as advancing professional autonomy. As such, it motivates clinical practice	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 5 settings	<a href="#">Hansen 2007</a> <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece) <a href="#">McLean 2006*</a> <a href="#">Vaerland 2011</a>	<b>No impact</b>	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 4 settings
A protocol contributes positively to weaning as it helps raise the profile of weaning generally, prompting clinicians to think about weaning when they might otherwise focus on other clinical priorities	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 5 settings	<a href="#">Blackwood 2004</a> <a href="#">Hansen 2009a</a> <a href="#">Hansen 2009b</a> <a href="#">Keogh 2009*</a> <a href="#">McLean 2006*</a> <a href="#">Vaerland 2011</a>	<b>Impact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 3 settings
Protocols should have clarity in their design and instruction, and be straightforward to use	<b>Low</b>	All of the studies were of low quality and the finding was seen in 3 settings	<a href="#">Keogh 2009*</a> <a href="#">McLean 2006*</a> <a href="#">Myneni 2012*</a>	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A
Protocols should be readily accessible/visible within an ICU unit at all times	<b>Low</b>	The study was of low quality and the finding was seen in 1 setting	<a href="#">McLean 2006*</a>	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A
Nurses understand a protocol to be a useful communication tool, providing a framework through which they can explain and otherwise communicate about the process of wean-	<b>Low</b>	The study was of low quality and the finding was seen in 1 setting	<a href="#">Keogh 2009*</a>	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A



(Continued)

ing their child from ventilation						
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\*Studies assessed as 'Low quality' are marked with an asterisk

ICU: Intensive Care Unit

N/A: Not applicable

## Appendix 11. Impact of removal of evidence from paediatric ICU study on summary statements

Summary statement	Original confidence rating	Rationale for original confidence rating	Relevant studies*	Impact of removal of evidence from study conducted in a paediatric ICU	New confidence rating	Rationale for new confidence rating
The use of a protocol increases felt confidence and competence amongst nurses and junior physicians as it 'supports' autonomous practice	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 4 settings	<a href="#">Hansen 2009b</a> <a href="#">Keogh 2009*</a> <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece)	<b>Impact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 3 settings
The use of a protocol is associated with abdication of professional responsibility and/or a clinically uncritical/apathetic approach to weaning	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 6 settings	<a href="#">Blackwood 2004</a> <a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2009a</a> <a href="#">Keogh 2009*</a> <a href="#">McLean 2006</a> <a href="#">Myneni 2012</a>	<b>Impact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 3 settings
Use of a protocol facilitates a shared understanding of the weaning process, thereby enhancing interprofessional collaboration	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 6 settings	<a href="#">Hansen 2007</a> <a href="#">Hansen 2009a</a> <a href="#">Hansen 2009b</a> <a href="#">Keogh 2009*</a> <a href="#">Kydonaki 2011</a> (Scotland) <a href="#">Kydonaki 2011</a> (Greece)	<b>No impact</b>	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 4 settings

(Continued)

tion and through this greater effectiveness in weaning			McLean 2006 Vaerland 2011			
A protocol contributes positively to weaning as it helps raise the profile of weaning generally, prompting clinicians to think about weaning when they might otherwise focus on other clinical priorities	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 5 settings	Blackwood 2004 Hansen 2009a Hansen 2009b Keogh 2009* McLean 2006 Vaerland 2011	<b>No impact</b>	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 4 settings
Protocols should have clarity in their design and instruction, and be straightforward to use	<b>Low</b>	All of the studies were of low quality and the finding was seen in 3 settings	Keogh 2009* McLean 2006 Myneni 2012	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A
Nurses understand a protocol to be a useful communication tool, providing a framework through which they can explain and otherwise communicate about the process of weaning their child from ventilation	<b>Low</b>	The study was of low quality and the finding was seen in 1 setting	Keogh 2009*	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A

\*Study conducted in a paediatric ICU is marked with an asterisk

N/A: Not applicable

**Appendix 12. Impact of the removal of evidence from two studies not involving use of a protocol on summary statements**

Summary statement	Original confidence rating	Rationale for original confidence rating	Relevant studies*	Impact of removal of evidence from studies not involving the use of a protocol	New confidence rating	Rationale for new confidence rating
Physicians and nurses should possess a comprehensive (patho) physiological knowledge base	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 3 settings	<a href="#">Blackwood 2004*</a> <a href="#">Hansen 2007</a> <a href="#">Vaerland 2011</a>	<b>Im-</b> <b>pact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The studies were of at least moderate quality and the finding was seen in 2 settings
Physicians and nurses delivering protocolized weaning should receive ongoing discipline-relevant clinical training to increase clinical competence and confidence	<b>Moderate</b>	The majority of studies were of high quality and the finding was seen in 3 settings	<a href="#">Blackwood 2004*</a> <a href="#">Hansen 2009b*</a> <a href="#">Lavelle 2011</a>	<b>Im-</b> <b>pact:</b> confidence rating drops to 'Low' because coherence of finding decreases	<b>Low</b>	The study was of high quality and the finding was seen in 1 setting
Physicians and nurses should receive training on the practicalities of using a protocol being introduced into the intensive care setting to ensure proper understanding and implementation	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 5 settings	<a href="#">Blackwood 2004*</a> <a href="#">Hansen 2009b*</a> <a href="#">Lavelle 2011</a> <a href="#">McLean 2006</a> <a href="#">Myneni 2012</a>	<b>No impact</b>	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 3 settings
The cautious approach to (protocolized) weaning by inexperienced nurses is mediated by felt lack of clinical com-	<b>High</b>	The studies were of at least moderate quality and the finding was seen in 7 settings	<a href="#">Blackwood 2004*</a> <a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2007</a> <a href="#">Hansen 2009b*</a> <a href="#">Kydonaki 2011</a> (Scotland)	<b>No impact</b>	<b>High</b>	The studies were of at least moderate quality and the finding was seen in 6 settings

(Continued)

petence and confidence			<a href="#">Kydonaki 2011 (Greece)</a> <a href="#">Lavelle 2011</a> <a href="#">Vaerland 2011</a>			
Lack of clinical competence and confidence is understood by physicians to limit some nurses' ability to contribute effectively to weaning	<b>High</b>	The studies were of at least moderate quality and the finding was seen in 7 settings	<a href="#">Blackwood 2004*</a> <a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2007</a> <a href="#">Hansen 2009b*</a> <a href="#">Kydonaki 2011 (Scotland)</a> <a href="#">Kydonaki 2011 (Greece)</a> <a href="#">Lavelle 2011</a> <a href="#">Vaerland 2011</a>	<b>No impact</b>	<b>High</b>	The studies were of at least moderate quality and the finding was seen in 6 settings
Nurses understand personal weaning competence and confidence to be based on the day-to-day routine of work, and the experience consequently gained	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 4 settings	<a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2007</a> <a href="#">Hansen 2009b*</a> <a href="#">Lavelle 2011</a> <a href="#">Vaerland 2011</a>	<b>No impact</b>	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 4 settings
Following the protocol provides security for inexperienced physicians and nurses in that it ensured they are adhering to 'safe'/accepted practice	<b>Moderate</b>	The majority of studies were of high quality and the finding was seen in 4 settings	<a href="#">Hansen 2009b*</a> <a href="#">Kydonaki 2011 (Scotland)</a> <a href="#">Kydonaki 2011 (Greece)</a> <a href="#">Lavelle 2011</a>	<b>No impact</b>	<b>Moderate</b>	All of the studies were of high quality and the finding was seen in 3 settings
The use of a protocol increases felt confidence and competence amongst nurses and junior physicians as it 'supports' autonomous practice	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 4 settings	<a href="#">Hansen 2009b*</a> <a href="#">Keogh 2009</a> <a href="#">Kydonaki 2011 (Scotland)</a> <a href="#">Kydonaki 2011 (Greece)</a>	<b>No impact</b>	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 3 settings

(Continued)

Effective weaning requires nurses to be able to 'read' both readily-observable and more subtle clinical indicators. An ability to do so is premised on extended clinical experience	<b>High</b>	The studies were of at least moderate quality and the finding was seen in 6 settings	<a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2007</a> <a href="#">Hansen 2009b*</a> <a href="#">Kydonaki 2011</a> (Sotland) <a href="#">Kydonaki 2011</a> (Greece) <a href="#">Lavelle 2011</a> <a href="#">Vaerland 2011</a>	<b>No impact</b>	<b>High</b>	The studies were of at least moderate quality and the finding was seen in 6 settings
Due to perceived limitations in clinical knowledge and expertise, physicians consider nursing staff as most suitable for a support role in weaning, in which they operate with limited autonomy only	<b>Low</b>	The study was of high quality and the finding was seen in 1 setting	<a href="#">Blackwood 2004*</a>	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A
Physicians are wary of involving any but the most experienced nurses in weaning because it requires advanced clinical insight and judgement	<b>Low</b>	The study was of high quality and the finding was seen in 1 setting	<a href="#">Blackwood 2004*</a>	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A
Nurses' role in weaning is characterized by them as that 'permitted' by physicians. Based on felt inequalities in professional status and consequent author-	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 3 settings	<a href="#">Hansen 2007</a> <a href="#">Hansen 2009b*</a> <a href="#">Kydonaki 2011</a> (Sotland) <a href="#">Kydonaki 2011</a> (Greece)	<b>No impact</b>	<b>Moderate</b>	The studies were of at least moderate quality and the finding was seen in 3 settings

(Continued)

ity, nurses do not feel able to challenge physicians concerning this limitation placed on their weaning role						
The availability of routine (formal and informal) opportunities for interprofessional discussion and learning is considered by nurses to be a crucial bedrock of collaborative and effective weaning. Lack of time is one factor militating against such opportunities	<b>Low</b>	The studies were of moderate quality and the finding was seen in 2 settings	<a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2009b*</a>	<b>No impact</b>	<b>Low</b>	The study was of moderate quality and the finding was seen in 1 setting
Nurses associate physician reluctance to involve nurses in weaning decision-making with an individualization of nursing competence	<b>Low</b>	The study was of moderate quality and the finding was seen in 1 setting	<a href="#">Hansen 2009b*</a>	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A
Nurses consider physicians as able to choose whether or not to use the protocol	<b>Low</b>	The studies were of moderate quality and the finding was seen in 1 setting	<a href="#">Hansen 2007</a> <a href="#">Hansen 2009b*</a>	<b>No impact</b>	<b>Low</b>	The study was of moderate quality and the finding was seen in 1 setting
The use of a protocol is associated with abdication of professional responsibility or	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 6 settings	<a href="#">Blackwood 2004*</a> <a href="#">Gelsthorpe 2004</a> <a href="#">Hansen 2007</a> <a href="#">Hansen 2009a</a> <a href="#">Keogh 2009</a>	<b>No impact</b>	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 5 settings

(Continued)

a clinically 'apathetic' approach to weaning, or both			McLean 2006 Myneni 2012			
No one protocol is able to address the diversity of ICU patient conditions and requirements	<b>High</b>	The majority of studies were of at least moderate quality and the finding was seen in 8 settings	Blackwood 2004* Hansen 2009a Hansen 2009b* Kydonaki 2011 (Scotland) Kydonaki 2011 (Greece) Lavelle 2011 McLean 2006 Myneni 2012 Vaerland 2011	<b>No impact</b>	<b>High</b>	The majority of studies were of at least moderate quality and the finding was seen in 7 settings
ICU routines impact adversely on weaning. Examples included: the limited availability of physicians outside of set times (such as morning clinical rounds); a more 'proactive' approach to weaning at the beginning of a working day; and the interruption to weaning caused by patient visiting (hours)	<b>Moderate</b>	The majority of studies were of at least moderate quality and the finding was seen in 4 settings	Blackwood 2004* Gelsthorpe 2004 Hansen 2007 Hansen 2009a Hansen 2009b* Myneni 2012	<b>No impact</b>	<b>Moderate</b>	The majority of studies were of moderate quality and the finding was seen in 3 settings
Nurses prioritize continuity of care as necessary for patient-specific clinical insight and effective weaning. Staffing pressures can encourage the rotating of nurses between	<b>Low</b>	The studies were of moderate quality and the finding was seen in one setting	Hansen 2007 Hansen 2009b*	<b>No impact</b>	<b>Low</b>	The study was of moderate quality and the finding was seen in one setting

(Continued)

patients, which militates against this continuity						
Weaning is frequently subordinated to immediate clinical priorities, particularly in the context of caring for acutely ill/deteriorating patients	<b>Low</b>	The studies were of moderate/low quality and the finding was seen in 2 settings	<a href="#">Hansen 2007</a> <a href="#">Hansen 2009a</a> <a href="#">Hansen 2009b*</a> <a href="#">Myneni 2012</a>	<b>No impact</b>	<b>Low</b>	The studies were of moderate / low quality and the finding was seen in 2 settings
Physicians consider that a protocol will have little or no material impact on weaning because the ICU practice already encourages clinicians to wean proactively	<b>Low</b>	The study was of high quality and the finding was seen in 1 setting	<a href="#">Blackwood 2004*</a>	<b>Impact:</b> the summary statement and the evidence on which it is based are lost from the analysis	<b>N/A</b>	N/A
Use of a protocol facilitates a shared understanding of the weaning process, thereby enhancing interprofessional collaboration and through this process greater effectiveness in weaning	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 6 settings	<a href="#">Hansen 2007</a> <a href="#">Hansen 2009a</a> <a href="#">Hansen 2009b*</a> <a href="#">Keogh 2009</a> <a href="#">Kydonaki 2011 (Scotland)</a> <a href="#">Kydonaki 2011 (Greece)</a> <a href="#">McLean 2006</a> <a href="#">Vaerland 2011</a>	<b>No impact</b>	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 6 settings
A protocol contributes positively to weaning as it helps raise the profile of weaning generally, prompting clinicians to think about weaning	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 5 settings	<a href="#">Blackwood 2004*</a> <a href="#">Hansen 2009a</a> <a href="#">Hansen 2009b*</a> <a href="#">Keogh 2009</a> <a href="#">McLean 2006</a> <a href="#">Vaerland 2011</a>	<b>No impact</b>	<b>Moderate</b>	The studies were of mixed quality and the finding was seen in 4 settings



(Continued)

when they might otherwise focus on other clinical priorities						
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\*Studies not involving the use of a protocol are marked with an asterisk  
N/A: Not applicable

## HISTORY

Protocol first published: Issue 7, 2015

Review first published: Issue 10, 2016

Date	Event	Description
12 October 2015	New search has been performed	The heading structure used in this review may not reflect Cochrane Qualitative and Implementation Methods (CQIMG) recommendations which are forthcoming

## CONTRIBUTIONS OF AUTHORS

Conceiving the review: Bronagh Blackwood (BB)

Co-ordinating the review: Joanne Jordan (JJ), BB

Undertaking manual searches: JJ

Screening search results: JJ, Louise Rose (LR)

Organizing retrieval of papers: JJ, LR

Screening retrieved papers against inclusion criteria: JJ, LR

Appraising quality of papers: JJ, BB, Katy Dainty (KD)

Abstracting data from papers: JJ, BB, KD

Writing to authors of papers for additional information: JJ

Providing additional data about papers: JJ

Obtaining and screening data on unpublished studies: JJ

Data management for the review: JJ, KD

Entering data into Review Manager 5 ([RevMan 2014](#)): JJ

Synthesis and interpretation of data: JJ, KD, Jane Noyes (JN)

Writing the review: All authors

Securing funding for the review: LR

Performing previous work that was the foundation of the present study: BB

Guarantor for the review (one author): JJ

Person responsible for reading and checking review before submission: JJ

## DECLARATIONS OF INTEREST

Joanne Jordan: none known.

Louise Rose: none known.

Katie N Dainty: none known.

Jane Noyes: none known.

Bronagh Blackwood was involved in the design, conduct and publication of a study included in the review ([Blackwood 2004](#)).

## SOURCES OF SUPPORT

### Internal sources

- Canadian Institutes of Health Research Knowledge Synthesis grant, Canada.  
Part funding of review

### External sources

- No sources of support supplied

## DIFFERENCES BETWEEN PROTOCOL AND REVIEW

*Objectives:* in the review we expand on the objectives outlined in the protocol. In doing so, we use the body of evidence derived from integrating the qualitative synthesis with the effectiveness review to suggest the circumstances in which weaning protocols are most likely to be used.

*Criteria for considering studies for this review:* in the review we include more detail than outlined in the protocol.

*Data extraction and management:* two rather than three authors undertook data extraction. A specifically-designed data extraction form was used rather than the anticipated standardized form.

*Assessment of risk of bias:* in the review this section has been renamed *Assessment of confidence in the extracted evidence*; this change reflects methodological developments occurring in the three years since the protocol was published.

*Data Synthesis:* in the review this section has been renamed *Thematic synthesis of qualitative evidence*; we provide a detailed explanation of the process of synthesizing the qualitative evidence, in relation to the type and quality of data available.

## **NOTES**

2015: The heading structure used in the review may not reflect Cochrane Qualitative and Implementation Methods (CQIMG) recommendations which are forthcoming.