Early warning systems and rapid response to the deteriorating patient in hospital: a systematic realist review.


Published in:
Journal of Advanced Nursing

Document Version:
Peer reviewed version

Queen's University Belfast - Research Portal:
Link to publication record in Queen's University Belfast Research Portal

Publisher rights
Copyright Wiley 2017. This work is made available online in accordance with the publisher's policies. Please refer to any applicable terms of use of the publisher.

General rights
Copyright for the publications made accessible via the Queen's University Belfast Research Portal is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy
The Research Portal is Queen's institutional repository that provides access to Queen's research output. Every effort has been made to ensure that content in the Research Portal does not infringe any person's rights, or applicable UK laws. If you discover content in the Research Portal that you believe breaches copyright or violates any law, please contact openaccess@qub.ac.uk.

Open Access
This research has been made openly available by Queen's academics and its Open Research team. We would love to hear how access to this research benefits you. – Share your feedback with us: http://go.qub.ac.uk/oa-feedback

Download date: 26. Mar. 2024
Title: EARLY WARNING SYSTEMS AND RAPID RESPONSE TO THE DETERIORATING PATIENT IN HOSPITAL: A SYSTEMATIC REALIST REVIEW

Corresponding author:
Dr Jennifer McGAUGHEY PhD, RNT, RGN
Senior Lecturer,
School of Nursing & Midwifery
Medical Biology Centre
Queen's University Belfast
Belfast, Northern Ireland
BT9 7BL
Email: j.mcgaughey@qub.ac.uk

Co-authors:
Dr Peter O’HALLORAN PhD, RNT, RGN
Lecturer
School of Nursing & Midwifery
Queen’s University of Belfast
Belfast, Northern Ireland
BT9 7BL

Professor Sam PORTER PhD, RGN
Professor & Head of Department
Social Work & Social Science
Bournemouth University
Poole
Dorset
BH12 5BB

Dr Bronagh BLACKWOOD PhD, RNT, RGN
Senior Lecturer
School of Medicine, Dentistry & Biomedical Sciences
Centre for Experimental Medicine
Queen’s University of Belfast
Belfast, Northern Ireland
BT7 1NN

Acknowledgements
We would like to thank members of the Steering group Heather Livingston (Senior Medical Officer), John Trinder (Consultant Anaesthetist), Joanna McCormick (Critical Care Nurse Consultant), Bronagh Blackwood (Primary Supervisor, Lecturer), Peter O’Halloran
(Supervisor, Lecturer) and Sam Porter (Supervisor, Professor of Nursing) who gave clinical advice and support. We would also like to thank the Northern Ireland Public Health Agency (Health and Social Care, Research and Development) and Critical Care Translational Research Group for support and advice as this review was part of a larger study.

Conflict of Interest Statement
Authors have no conflicts of interest.

Funding Statement
This realist review was completed as part of a PhD fellowship which was funded by the School of Nursing and Midwifery at Queen’s University Belfast.

ABSTRACT

Aim
To determine the Rapid Response System programme theory and investigate how the mechanisms of implementation and the characteristics of context combine to enable or constrain the implementation of Rapid Response Systems and the achievement of desired outcomes.

Background
Rapid Response Systems have been implemented internationally to improve the recognition and management of patient deterioration, reduce the need for cardiopulmonary resuscitation and improve patient outcomes.

Design
Realist Review
Data sources

We searched DARE, CENTRAL, NHSEED, MEDLINE, Medline In Process, Embase, CINAHL, PubMed, Scopus, The Web of Science and PsychInfo databases from 1997-2017 in addition to purposively searching the grey literature, citation and snowball searching and contacting professional groups looking for articles supporting, refuting or explaining Rapid Response System programme theories.

Review Methods

Included studies were critically appraised and graded using the Critical Appraisal Skills Programme tool. Data extraction and synthesis investigated the Rapid Response System theoretical propositions against the empirical evidence in order to refine programme theories.

Results

The review found that the Rapid Response System programme theory achieved desired outcomes when there were sufficient skills mix of experienced staff, EWS protocols were used flexibly alongside clinical judgement and staff had access to ongoing, multiprofessional, competency based education. However, ward cultures, hierarchical referral systems, workload and staffing resources impacted negatively on the implementation of the Rapid Response System.

Conclusion

To improve the recognition and management of patient deterioration policymakers need to address those cultural, educational and organisational factors that impact on the successful implementation of Rapid Response Systems in practice.
SUMMARY STATEMENT

Why is this review needed?

- There is limited research evidence to explain whether the implementation of a Rapid Response System improves recognition and management of patient deterioration in hospital.
- There is limited understanding of why Rapid Response Systems work in certain circumstances and not in others.
- Understanding what works or does not work in practice will improve the implementation and success of Rapid Response Systems internationally.

What are the key findings?

- Experienced nursing staff used clinical judgement to recognise patient deterioration.
- Early Warning System protocols empowered nurses to call for help.
- Existing ward cultures, workload and staffing issues impacted negatively on successful implementation of Rapid Response Systems.

How should the findings be used to influence policy/practice/research/education?

- Inform national staffing levels to improve early recognition.
- Utilisation of protocols to empower nursing staff.
- Provision of ongoing staff education to improve knowledge and skills in practice.

Keywords:
Realist review, Rapid Response Systems, programme theory, EWS protocols, patient deterioration, nursing.
INTRODUCTION

Rapid Response Systems (RRS) have been implemented internationally as a patient safety initiative to improve the recognition and management of patient deterioration in hospital (IHI 2006, NSPA 2007). The underlying premise is that implementation of standardised physiological scoring tools, graded referral protocols and response teams with specialist critical care knowledge and skills will prevent further patient deterioration, reduce the need for CardioPulmonary Resuscitation (CPR) and improve patient outcomes (NICE 2007). However, to date the evidence for RRS is equivocal, with systematic review evidence failing to show an effect (Esmonde et al. 2006, McGaughey et al. 2007, Winters et al. 2007, Chan et al. 2010, Alam et al. 2014). Experimental studies can demonstrate this apparent lack of effect but are not suited to explaining it (DeVita & Bellomo 2007). To fully understand the factors influencing the implementation of RRS the research approach needs to consider the complex nature of the intervention within the healthcare context. This highlights the need for further research to understand and explain the personal, social or organisational factors impacting on RRS. As a result this realist review is part of a larger funded research project which is outlined in the study protocol (McGaughey et al. 2010).

Background

The RRS consists of an number of interrelated components: a trigger arm which is the "crisis detection" and "response triggering" mechanism; a response arm which provides competent personnel and resources at the bedside; a governance structure to organize resources; and a hospital audit and improvement process to prevent future events (DeVita et al. 2006). To contain the depth and breadth of the review we focused the realist synthesis on the RRS components of the trigger arm and the ward response initiated by staff.
The identification of patients ‘at risk’ is fundamental to initiating the trigger arm of the RRS. To improve early identification of physiological instability Early Warning Systems (EWS) were implemented. EWS are weighted, aggregated scoring tools incorporated into the routine observation chart on general hospital wards to record physiological parameters of systolic blood pressure (BP), heart rate (HR), respiratory rate (RR), urinary output, temperature and level of consciousness (NICE 2016; RCP 2012). The weighted EWS score is compared with a predetermined physiological threshold or trigger score that assist hospital staff in recognising clinical deterioration and aid response decision-making. A response protocol or algorithm provides a clear and unambiguous action required by staff to a given trigger threshold or score.

Once a trigger threshold is reached the predefined response arm strategy initiates a call for help commensurate with the trigger score. The protocolised response strategy ensures appropriate and competent staff are alerted and then mobilised to rescue deteriorating patients (NICE 2016). Tiered education of staff is important to ensure varying levels of skill (DoH 2009, CEC 2017). A graded response strategy (low, medium and high score groups), with maximum response times specified, has been adopted as best practice (NICE 2016). Low level response increases the frequency of observations and alerts the nurse in charge; medium level response requires personnel with acute care competencies and the primary care team to be informed and a high level response should initiate an emergency call to a Rapid Response Team (RRT) who are knowledgeable, experienced, critical care staff competent in managing patient deterioration (Figure 2). This proactive management of at risk patients allows review of patient management plans, planning of admissions to higher levels of care or instituting Do Not Resuscitate (DNR) orders for patients who are too sick to benefit from resuscitation or
Intensive Care. It therefore avoids late or inappropriate cardiac arrest calls which result in unplanned and potentially avoidable admissions to the Intensive Care Unit (ICU).

Unfortunately, RRS does not always work as intended in practice. To understand how RRS works there is a need to determine the underlying programme theory - ‘the ideas and assumptions underlying how, why and in what circumstances complex social interactions work’ (Dalkin et al. 2015, p 3). To track and articulate these RRS programme theories we scoped the literature and policy documentation for theories, assumptions and rationales explaining how the intervention is supposed to work in order to map these out in broad terms from an expert and policy perspective (Figure 1). This provided initial RRS programme theories which illustrated the proposed linear and sequential process for successful implementation of RRS. However, healthcare interventions or programmes implemented in practice are often complex and non-linear. The aim of this realist review was to determine the RRS programme theory and investigate how the mechanisms of implementation and the characteristics of context combine to enable or constrain the implementation of Rapid Response Systems and the achievement of desired outcomes.

THE REVIEW

Review Question
What factors impact on nurses', doctors' and managers' ability to implement components of the Rapid Response System in practice in order to improve the recognition, referral and immediate management of acutely ill patients on general hospital wards.
Design

We undertook a realist review guided by the principles of realist synthesis (Pawson & Tilley 1997). A realist review seeks to identify the theory underlying an intervention, recognising that interventions are embodied theories, and that outcomes are a product of the interaction of context, mechanism and outcome (CMOs). An intervention alters context, which triggers mechanisms, which leads to outcomes – both intended and unintended. This allows the various components or steps of the programme to be identified and evaluated in order to understand factors influencing the achievement of the desired outcomes. A realist review is an appropriate method to identify these interactions in order to explain “What works for whom under what circumstances, how and why?” (Wong et al. 2013, p 2).

Identification of the RRS initial programme theories from the scoping review informed the development of four theoretical propositions (initial CMOs) which were mutually agreed by clinical and academic experts on the study steering group (Table 1). These initial RRS programme theories were then investigated by gathering empirical evidence to support or refute the propositions. This stage of the realist review process utilised a systematic approach to search, appraise, extract and synthesise the evidence from a wide range of sources to provide an understanding in terms of personal choices, context, social, cultural and implementation influences which impacted on the desired outcomes (Pawson & Tilley 1997).

Search methods

We searched CENTRAL, DARE, NHSEED, Medline, Medline In Process, Embase, CINAHL, Scopus, The Web of Science and PsychInfo databases from 1997-2017 using a validated search strategy previously developed by a trials search co-ordinator for a Cochrane review (McGaughey et al. 2007) with both quantitative (RCT) and qualitative (Joanna Briggs
Institute 2008) methodological filters. The original search and analysis was carried out in 2010 as part of a larger study (refer to protocol). Since that time, a large number of papers have been published, so in the interests of keeping the review to a manageable size, we conducted a rapid review of the relevant literature since 2010, using the same search strategy. This rapid review process used the same methodological rigour to identify studies but omitted quality appraisal to provide information within time constraints. Our objective was to identify, a) evaluation studies that pertain to the efficacy of RRS; and b) papers that advanced theoretical understanding of how RRS works. Authors (JM and PO) scanned titles to identify key studies, then came together with the other authors to discuss findings, and to see if these papers demonstrate a tipping point in terms of efficacy or theoretical development. Thus, the results of this paper reflect our initial analysis, reviewed and modified in the light of data from key papers published since 2010.

Search outcome
Studies were included if they identified key factors that supported or hindered RRS in practice, provided an explanation of why RRS worked or did not work in practice and were qualitative or quantitative research studies. The search for evidence continued until theoretical saturation was reached. Following removal of duplicates all research citations were reviewed by JM for inclusion. In total 324 full text studies were reviewed and 222 studies which met the inclusion criteria in 2010 were included in the review. The rapid review update from 2010-2017 identified a further 53 studies (Figure 3).

Quality appraisal
All included studies were appraised by JM for relevance, rigour and credibility. Relevance judgements were based on whether the article addressed the theory under investigation and
rigour judges whether inferences drawn have sufficient methodological credibility to contribute to the theory (Pawson et al. 2004). Rigour was appraised using the appropriate Critical Appraisal Skills Programme tools (CASP, 2017) which facilitated quality assessment of each article using the 10 point scale. Included studies were graded as high (50), medium (95) or low quality (77) (See supplementary information table 1).

**Data extraction**

Data extraction was undertaken by JM. The data extraction process involved interrogating the empirical evidence against each of the four RRS theoretical propositions to assimilate those factors influencing how the programme worked in practice. This stage of the review sought both confirmatory and contradictory empirical evidence to facilitate synthesis and refinement of RRS programme theory. Data extraction involved coding emerging themes with evidence on context, mechanisms and outcomes. To enhance credibility BB checked 10% of data extraction and synthesis as it provided a reasonable sample of studies to ensure consistency in methods given the time constraints.

**Synthesis**

The empirical evidence was synthesised and correlated with RRS theoretical propositions to explain the programme outcomes in terms of contexts and mechanisms. Synthesis was an iterative process which allowed supporting and refuting evidence of the initial RRS programme theories to be reviewed, compared and reappraised in light of emerging evidence (Pawson et al. 2004). As a result inferences were drawn from the research evidence to support, refute or refine the theoretical propositions. Members of the Steering group then reviewed and refined the emerging theories.
RESULTS

Proposition one: Evaluation of early recognition evidence

EWS validity and reliability (Mechanism)
Research evidence supporting EWS validity and reliability showed that physiological variables (HR, BP, RR) accurately predicted outcomes which were associated with an increased risk of unplanned ICU admission/readmission and mortality in adult and paediatric patients within 24-48 hours (Etter et al. 2008, Cei et al. 2009; Cuthbertson et al. 2010, Smith et al. 2013, Massey et al. 2015). The risk of mortality was associated with an increased number of abnormal observations (Trinkle & Flabouris 2011, Visser 2014) and a higher EWS score (Mandell et al. 2015). However, refuting evidence highlights that EWS validated tools have largely been modified to individual localities whereby the sensitivity and positive predictive values were too low to predict patient deterioration in hospital (Gao et al. 2007, Cuthbertson et al. 2010, Jansen & Cuthberson 2010, Mandell et al. 2015). As a result the utility, validity and reliability of EWS tools has been questioned (Gao et al. 2007, Donohue & Endacott 2010, Shearer et al. 2012, Mandell et al. 2015).

Recording practices (Mechanism)
Implementation of EWS in several studies found improved process outcomes in relation to detection rates (Chatterjee et al. 2005), scoring accuracy (Higgins et al. 2008), compliance (Mackintosh et al. 2012) and recording practices, with an increase in respiratory rate recording the most noted improvement (Mitchell et al. 2010, Mackintosh et al. 2012, McKay et al. 2013, Kyriacos et al. 2015). However, refuting evidence suggests that nurses are not able to detect or interpret signals of impending danger (Despins 2009) and that incomplete or absent EWS physiological variables are a significant factor in the failure of the trigger arm to detect patient deterioration (MERIT et al. 2005, Chen et al. 2009, Oliver 2010, Tirkkonen et al. 2013). It is
suggested that the process fails as a result of inconsistency in recording observations, calculation errors and not communicating vital sign abnormalities (Ludikhuize et al. 2011, Mackintosh et al. 2012). These findings indicate that as the degree of physiological abnormality increased scoring errors were more likely to lead to underscoring (Peterson et al. 2014) and delayed referral (Smith & Oakey 2006).

**Proposition two: Evaluation of early referral evidence**

**RRS call rates (Mechanism)**

The call rates to RRTs are used to determine the utilisation of specialist teams to respond to episodes of patient deterioration in hospitals. The availability of standardised processes and procedures has increased RRT call rates (Mitchell et al. 2010, Etter et al. 2014, Herod et al. 2014, Frost et al. 2015) emphasising that more patients are being identified and referred earlier for help. The main reason or trigger for calling the RRT was found to be the subjective criteria of ‘concern’ which was initiated before vital sign parameters were reached (Chen et al. 2010, Clifton et al. 2015, Stafseth et al. 2016). Prospective audit and retrospective analysis of RRT calls showed that the most common physiological criteria which trigger calls for help were low oxygen saturation, low systolic BP, a change in conscious level or change in HR or RR (Rothschild et al. 2008, Calzavacca et al. 2008, Visser 2014). However, several studies suggest that there was a high rate of underutilisation of RRT prior to unplanned ICU admissions (MERIT et al. 2005, Bucknall et al. 2013, Davis et al. 2014, Massey et al. 2015) which Chen et al (2010) suggests is a result of reliance on physiological criteria to trigger a call for help.

The underutilisation or delays in activation were found to be due to failure to recognise deterioration (Azzopardi et al. 2011), lack of understanding regarding role of RRT (Massey et
al. 2014), escalation protocol not clear to junior nurses (Johnston et al. 2014, Braaten 2015), fear of criticism (Azzopardi et al. 2011; Johnston et al. 2014; Massey et al. 2014), staff attempting to deal with the situation by conventional ward care (Shearer et al. 2012, Braaten 2015), waiting for further investigations (Shearer et al. 2012), preference to rely on own clinical judgement when deciding to call RRT (Shearer et al 2012; Radeschi et al 2015), consulting peers (Donohue & Endacott 2010; Massey et al. 2014; Braaten 2015) or seeking ward medical review before calling the RRT (Azzopardi et al 2011, Oglesby et al 2011, Braaten 2015, Radeschi et al. 2015).

Referral protocols (Mechanism)
Evidence suggests that the EWS protocols improve communication of vital signs (McKay et al. 2013) and empower nurses to vocalise their concerns by 'packaging' information using clinical judgement and quantifiable evidence to call for help (Andrews & Waterman 2005, Donohue & Endacott 2010, Mackintosh et al. 2012, Massey et al. 2014). Packaging information ensures that nurses communicate using convincing, precise referral language which doctors can contextualise in order to judge and prioritise the referral (Andrews & Waterman 2005, Stafseth et al. 2016). Nursing experience is associated with RRT activation (Mackintosh et al. 2012, Johnston et al. 2015). However, nurses were less confident referring calls to medical staff when concerned about a patient as these subjective cues were difficult to communicate (Cioffi et al. 2000a, McArthur-Rose 2001, NSPA 2007), required more justification and took time to collect data to place the clinical change in context (Braaten 2015). As a result delays in referral were associated with lack of self efficacy, clinical experience and uncertainty (Massey et al. 2014, Roberts et al. 2014) and untrained and junior staff (Pattison & Eastham 2011, Ludikhuize et al. 2011, Radeschi et al. 2015).
**Communication and teamwork (Context)**

The process of providing healthcare is inherently interdisciplinary and team performance is crucial to patient safety (Manser 2009). However, evidence suggests that the barriers to teamwork and interdisciplinary communication are associated with role confusion (McCrory et al. 2015, Michalec et al. 2015), nurses not knowing who to call (NSPA 2007, O’Leary et al. 2010), poor and inadequate communication (McCrory et al. 2015, Michalec et al. 2015), lack of experienced staff (Peebles et al. 2012) and professional hierarchies (NPSA 2007). The lack of communication and teamwork is attributed to existing ways of working which demonstrate a uniprofessional perspective and a lack of cohesion between doctors’ and nurses’ work practices (NSPA 2007, Clinical Excellence Commission 2008).

**Proposition three: Evaluation of early rescue evidence**

**Educational courses (Mechanism)**

The provision and range of acute care courses has increased in hospitals following Government recommendations (NCEPOD 2005, NICE 2007, DOH 2009). Evaluation of educational courses and simulation training have identified improved outcomes of knowledge, confidence, attitude, teamwork, communication (Fuhrmann et al. 2009b, Cooper et al. 2013, Hogg & Miller 2016, Murphy et al. 2016) and enhanced competence in practice with regular in situ simulation training, clinical or outreach secondment (Cooper et al. 2013, Theilen et al. 2013). However, refuting evidence suggests that the implementation of an educational programme or simulated training does not improve knowledge, situation awareness, skill performance, clinical judgment (Sittner et al. 2009, Cooper et al. 2013) or patient outcomes (Fuhrmann et al. 2009a).

**Role of RRT (Mechanism)**
Findings indicate that the role of RRTs has a positive impact on the education of ward staff with identified improvements in confidence, knowledge, critical care skills, communication and support between critical care and ward staff (Endacott et al. 2009, Donohue & Endacott 2010). This supportive, educational role by RRTs empowers and supports staff (Stafseth et al. 2016), results in timelier organisation of care, provides weight and access to expert help and increases morale (Pattison & Eastham 2011).

**Proposition four: Evaluation of patient outcome evidence**

*Patient outcomes (Outcomes)*

The majority of studies to determine the effectiveness of RRS are based on weak, retrospective before and after studies (Moon et al. 2011, Joffe et al. 2011, Howell et al. 2012, Herod et al. 2014). The findings from these studies and from two randomised control trials (Priestley et al. 2004; MERIT et al. 2005) provide contradictory evidence on the impact of RRS on cardiac arrest, unplanned ICU admission or mortality rates. Systematic review evidence concluded that there was limited or weak evidence of RRT effectiveness on cardiac arrest or hospital mortality rates due to study heterogeneity (NICE 2007, McGaughey et al. 2007, Ranji et al. 2007, Alam et al. 2014).

**Explaining the equivocal evidence for RRS**

*Hospital and ward culture (Context)*

In hospitals the recording of observations was found to be a ritualistic, task orientated practice on wards delegated to Health Care Assistants (HCAs) and student nurses in order to manage workload (Hogan 2006, Odell et al. 2009). The perceived increased EWS reliability EWS and weighted values legitimise delegation to HCAs (Mackintosh et al. 2012). As a result observations are being undertaken by the most junior staff on the ward who do not have sufficient training or experience to understand the significance or communicate findings
This ward culture has led to the erosion of observation skills and reliance on electronic equipment which has resulted in a deficit in assimilating information and effective patient care decisions as nurses are not clinically assessing or recording observations (McQuillan et al. 1998, Wheatley 2006, Oakey & Slade 2006, Higgins et al. 2008, Odell et al. 2009).

Hierarchical culture (Context)
Reasons underpinning inadequate monitoring and response are associated with the traditional hierarchical referral culture in hospitals. This exists and is maintained by a set of hidden informal, institutional and cultural expectations (Farnan et al. 2008) whereby patients are referred up through the appropriate levels of authority. Ward staff are reluctant to breach this traditional hierarchical system by calling for help from RRTs when the trigger threshold or calling criteria are met (Donohue & Endacott 2010, Braaten 2015, Radeschi et al. 2015). This traditional hierarchical system delays calls for help and compromises patient care (Kerridge 2000, Garretson et al. 2006) as the most junior staff in the hospital attempt to care for the sickest patients on general wards (Jones et al. 2006, Hillman 2002).

Clinical judgement (Mechanism)
Evidence suggests that nurses detect patient deterioration through a process of intuitive knowledge and pattern recognition (Odell et al. 2009, Mackintosh et al. 2012). These assessment skills are developed by nurses from knowing the patient and from past experiences which were based on subjective rather than objective criteria (Cioffi 2000b, Mackintosh et al. 2012). As a result findings suggest that experienced nurses use a complex interaction of intuition, protocols and clinical judgment to recognise patient deterioration and not just the objective MET criteria to refer patients (Pattison & Eastham 2011, Johnston et al. 2007).
As a result EWS were used flexibly by senior staff to support decision making (Pattison & Eastham 2011, Mackintosh et al. 2012, Hands et al. 2013). However, junior nurses rigidly apply EWS protocol guidelines and the process of decision making was found to cause confusion for inexperienced nurses when intuition and EWS did not correlate (Odell et al. 2009).

Experience (Mechanism)
The ability of ward staff to refer or activate RRT in response to patient deterioration is linked to nursing experience as a result of being more confident in decision making (Massey et al. 2014, Braaten 2015). Critical care experience in particular was found to improve nurses’ knowledge and recognition of deteriorating patients (Thompson et al. 2009, Pattison & Eastham 2011). Findings concluded that engagement in deliberate practice is the primary means by which nurses attain expertise (Whyte et al. 2009) and that the experience of staff may be fundamental in reducing risk (Wheatley 2006). Whereas, studies found that junior nurses relied on EWS score, doubted their ability to recognise deterioration and reported barriers to calling RRT (Azzopardi et al. 2011, Mackintosh et al. 2012, Roberts et al. 2014).

Workload and staffing resources (Context)
Evidence suggests that ward staff are struggling to recognise and manage deteriorating patients as a result of inexperience, time pressures, staffing levels and excessive workloads (Odell et al. 2009, Hands et al 2013; Braaten 2015, Jeddian et al 2016). To prioritise and manage workload nurses delegate much of the direct patient care to HCAs and student nurses (Wood et al 2004). Effective delivery and organisation of care requires the right number of knowledgeable and skilled nurses to the level of service provided (DoH 2001). However, the lack of adequate staff for patient load and acuity have been attributed to the low level of
awareness of patients at risk, response delays, failure to rescue and patient mortality (Fuhrmann et al. 2009a; Donohue & Endacott 2010).

Multi-professional educational strategies (Context)

Simulation training and educational programmes reduce barriers to utilisation (Radeschi et al. 2015) and improve recognition, escalation and review of patient deterioration (Theilen et al. 2013). The delivery of these programmes need to extend beyond increasing awareness of calling criteria to training staff in pre-emptive management of deteriorating patients (Naeem 2007). The rationale is underpinned by the evidence which suggests that only basic ward level interventions for breathing or circulation were required to reverse deterioration (Flabouris et al. 2010). These simple interventions should be within the ability of most health care staff (Bright et al. 2004) and nurses should have access to competency-based education and training within the workplace to ensure that they are educationally prepared to assess, document, interpret and initiate interventions within their scope of practice (NICE 2016). Fundamentally, education needs to be ongoing as a reduction in education and training over time impacts on the effectiveness of the implementation of RRS (MERIT et al. 2005, Higgins et al. 2008, Kinney 2008). However, the conflict between service provision and education requirements often results in resistance to releasing staff and not training doctors alongside their nursing colleagues (Clinical Excellence Commission 2008).

Synthesis of Emerging Programme Theories (EPT)

In the light of our results, we set out our emerging programme theories (EPTs) below, identifying the intervention (I), mechanisms (M), context (C) and outcomes (O).
**EPT One: EWS scoring system with trigger threshold leads to identification of patient deterioration**

Using a EWS scoring system with a trigger threshold for referral (I); enables less experienced nursing staff to make more consistent, objective judgements and provides evidence to support clinical judgements for experienced nurses (M); which improves the recognition and trigger of patient deterioration. (O). This is more likely to happen when experienced nurses have critical care experience and confidence in their clinical judgement; where there is a higher ratio of trained to untrained staff; where the skill-mix is appropriate to patient acuity; when EWS criteria are used flexibly alongside intuition and clinical judgement by experienced nurses; when experienced nurses assess patients and document EWS to detect deterioration (C). It is less likely to happen when EWS tools are unreliable; when EWS observations are delegated to junior staff; when there is increased workload and time pressure and when staff are not trained to recognise patient deterioration (C) (Figure 4).

**EPT Two: Protocolised referrals lead to an appropriate and timely response to patient deterioration**

The use of a standardised referral protocol (I) empowers competent nursing staff to contact and mobilise an appropriate member of staff with skills commensurate with the trigger score (M) to respond within a specified time and initiate early response strategies at the bedside to prevent patient deterioration (O). This is more likely when experienced staff use the EWS criteria flexibly in conjunction with clinical judgement to act and refer patients early based on a complex decision making process; when there are good working relationships in the multidisciplinary team; and when staff access to ongoing, competency-based multiprofessional acute care education that allows for application and transfer of learning to practice (C). This is less likely when there is an existing hierarchical (slower) referral pattern;
when nurses are unable to verbalise their subjective concerns to medical staff; when a formal communication tool (SBAR) is required to communicate concern; where acute care education is not ongoing or multidisciplinary and where nurses and doctors are anxious about the reaction of senior medical staff to referral (C) (Figure 5).

**DISCUSSION**

The realist review developed two emerging programme theories to explain the contexts and mechanisms enabling and constraining successful implementation of RRS.

**EPT One:**

A mechanistic, linear approach to decision-making is not always possible in a complex environment (Gazarian *et al.* 2010). As a result nurses use EWS more commonly to quantify deterioration once deterioration had been recognised by a combination of visual assessment, intuition and clinical judgement (Rycroft-Malone *et al.* 2009, Cioffi *et al.* 2010). Critical care experience and acute care education improve nursing confidence to make these complex decisions. As a result it has been recommended that EWS should always be used as an adjunct to clinical judgement and experience (Fullerton *et al.* 2012). This is important given that EWS are unreliable (Chapman *et al.* 2010) and the use of intuitive or subjective criterion to refer patients accounts for 47% of rapid response activation (Beitler *et al.* 2011). However, key contextual factors that constrain successful EWS implementation are associated with an existing culture of delegating observations due to workload pressures and staffing resources. Review of staffing ratios and skill mix are required to allow nurses to undertake observations as currently there is no evidence that HCAs are effectively substituting for registered nurses (Ball *et al.* 2016). To improve patient deterioration in hospital there may be no safe substitute for properly trained professionals who contribute to early detection and timely interventions that save lives (Aiken *et al.* 2011).
The implementation of a standardised referral protocol empowers nurses to call for help and ensures patients are reviewed within specified timeframes. Experienced nurses use the referral protocol flexibly alongside clinical judgment and intuition to contact ward doctors for help (Brady & Goldenhau 2014). However, key contextual constraining factors were associated with the traditional hierarchical referral system, interprofessional communication and the ongoing provision of multidisciplinary education within hospitals in this review and other studies. As a result several mechanisms were triggered. Nurses were reluctant to breach hierarchical systems, referred directly to junior ward doctors, attempted to manage patients on wards and delayed referral due to uncertainty in decision-making and fear of criticism (Benin et al. 2012, Bagshaw et al. 2010). Experienced nurses assessed and referred patients more frequently than non trained nurses (Salamonson et al. 2006). SBAR was not used by nurses to communicate patient deterioration in this review or other studies (Bingham 2015).

Education and training in EWS improves knowledge, confidence, attitude and teamwork to manage patients on general wards. However, contextual factors of workload pressures and staffing resources mitigate against ward based education due to a resistance of releasing staff for training. This review and other studies highlight that ongoing multiprofessional courses with practical applicability and opportunities to implement learning in practice are required for successful learning transfer and to breakdown cultural and professional boundaries (Baker-McClearn & Carmel 2008, Campello et al. 2009, Calzavacca et al. 2010, Smith & Aitken 2015).

Strengths and Limitations
This is the first realist review to investigate the theories underpinning the RRS programme against the research evidence available to explain the contexts and mechanisms which impact on the success or failure of implementation. The establishment of a steering group and data checking enhanced the trustworthiness of the findings. However, the review had its limitations. Due to time constraints the search and quality appraisal processes were undertaken by a single individual and only 10% of data extracted was doubled checked which may have increased the risk of bias.

CONCLUSION

The RRS dominant programme theories do not always work to produce predicted outcomes. To improve organisational and cultural practices in hospital managers and policymakers need to address those factors that are widely accepted in UK health care, such as managing increased workloads, inadequate staffing ratios and communication barriers between professions, which constrain successful RRS implementation. Recommendations for practice focus on ensuring there are adequate numbers of experienced staff on general wards for patient acuity, competency-based, multidisciplinary education is ongoing, set out standards of competence and accountability for primary, secondary or tertiary levels of response and staff are empowered through protocols to act in order to improve recognition and referral of deteriorating patients. To test and refine the RRS emerging programme theories a Realist Evaluation has been undertaken to explain why such a conceptually logical model does or does not work in practice (McGaughey et al, 2017).

Author Contributions: All authors have agreed on the final version and meet at least one of the following criteria (recommended by the ICMJE*): 1) substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; 2) drafting
the article or revising it critically for important intellectual content. *
http://www.icmje.org/recommendations/

REFERENCES


Cei, M., Bartolomei, C., & Mumoli, N. (2009). In-hospital mortality and morbidity of elderly medical patients can be predicted at admission by the Modified Early Warning Score: a prospective study. The International Journal of Clinical Practice, 63(4), 591-595.


Joffe A.R., Anton, N.R., & BurkholderS. (2011) Reduction in Hospital Mortality Over Time In a Hospital Without a Pediatric Medical Emergency Team. Limitations of Before-and-After Study Designs Archives of Pediatrics & Adolescent Medicine, 65 (5), 419-423


Figure 1: RRS Programme Theory & Implementation Chain

Table 1: RRS Theoretical Propositions

<table>
<thead>
<tr>
<th>RRS Component</th>
<th>Proposition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early Recognition</td>
<td>Accurate, regular monitoring of vital signs on general hospital wards (Context) using a standardised EWS tool alongside subjective assessment (Intervention) improves recognition of patient deterioration (Outcome) by highlighting changes in physiological parameters to nurses (Mechanism).</td>
</tr>
<tr>
<td>Early Referral</td>
<td>Predefined trigger thresholds with graded protocolised response strategies</td>
</tr>
</tbody>
</table>
Empower nurses to refer patients to an appropriate member of staff who will attend within specified response times.

### Early Response

Educational courses in caring for the acutely ill patient for all hospital staff at varying levels of competence improves early intervention as an appropriate member of staff will address deranged physiology according to the level of patient need at the bedside.

### Improved outcome

The use of a sensitive and specific EWS tool, with predefined triggers and graded response algorithm improves early recognition, referral and response to patient deterioration by staff to reduce the incidence of cardiac arrests, unplanned ICU admissions and unexpected deaths.

---

**Figure 2: Overview of RRS Programme Theories**

<table>
<thead>
<tr>
<th>Patients at risk</th>
<th>Early Recognition</th>
<th>Early Referral</th>
<th>Early Rescue</th>
<th>Patient Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Evidence-based physiological antecedents</td>
<td>Trigger scores require balance between sensitivity &amp; specificity</td>
<td>Chain of response ensure all staff competent to level of care</td>
<td>Evidence-based physiological antecedents</td>
<td>Chain of response ensure all staff competent to level of care</td>
</tr>
<tr>
<td>Implementation of aggregated weighted scoring systems</td>
<td>Referral algorithm provides clear unambiguous action</td>
<td>Competencies set out standards &amp; accountability for all levels of intervention</td>
<td>Implementation of aggregated weighted scoring systems</td>
<td>Competencies set out standards &amp; accountability for all levels of intervention</td>
</tr>
<tr>
<td>Increases awareness &amp; relevance of vital signs</td>
<td>Graded response strategy (low/medium/high)</td>
<td>Provision of RRT for high level response</td>
<td>Increases awareness &amp; relevance of vital signs</td>
<td>Provision of RRT for high level response</td>
</tr>
<tr>
<td>Policy to set out hospital standards of practice</td>
<td>Response strategy provides clear guidance on level of response for trigger threshold</td>
<td>RRT support and educate ward staff</td>
<td>Policy to set out hospital standards of practice</td>
<td>RRT support and educate ward staff</td>
</tr>
<tr>
<td>EWS for all hospital patients</td>
<td>EWS recording &amp; graded response stated in policy documentation</td>
<td>Multidisciplinary strategies advocated</td>
<td>EWS for all hospital patients</td>
<td>Multidisciplinary strategies advocated</td>
</tr>
<tr>
<td>Minimum EWS recording 12 hourly</td>
<td>Variation to escalation protocol to be documented</td>
<td>Quality assurance programme to monitor acquisition of skills</td>
<td>Minimum EWS recording 12 hourly</td>
<td>Quality assurance programme to monitor acquisition of skills</td>
</tr>
<tr>
<td>Routine monitoring to include HR, RR, SBP, AVPU, SaO2, Temperature</td>
<td>Increase observation if abnormal physiology detected or requested at senior level</td>
<td>Audit &amp; feedback</td>
<td>Routine monitoring to include HR, RR, SBP, AVPU, SaO2, Temperature</td>
<td>Audit &amp; feedback</td>
</tr>
<tr>
<td>Documented plan of vital signs &amp; frequency required</td>
<td>Changes to level of recording or frequency to be documented</td>
<td>Variation to escalation protocol to be documented</td>
<td>Documented plan of vital signs &amp; frequency required</td>
<td>Variation to escalation protocol to be documented</td>
</tr>
</tbody>
</table>

---

**Figure 3: PRISMA**
Figure 4: EPT One. EWS scoring system with trigger threshold leads to identification of patient deterioration.
Figure 5: EPT Two. Protocolised referrals lead to an appropriate and timely response to patient deterioration

**Context (+ve)**
Experienced medical & nursing staff confident to refer; higher ratio of trained to untrained staff; understanding of professional roles & teamwork; communication; ongoing multiprofessional education with practical applicability and opportunities to implement learning in practice; RRT support and empower nursing staff

**Interventio**
Use of protocolised graded response algorithm to respond to deterioration trigger

**Mechanism**
Protocol adjunct to clinical judgement; empowers experienced nurses to contact medical staff

**Outcome**
Nurses re-evaluate EWS, refer patient to medical staff or RRT

**Context (-ve)**
traditional hierarchical referral system; uncertainty regarding decisions to call for help; continuation of conventional ward