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Understanding farmer and veterinarian's behavior in relation to antimicrobial use and resistance in dairy cattle: a systematic review

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Understanding farmer and veterinarian's behavior in relation to antimicrobial use and resistance in dairy cattle: A systematic review.

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DAIRY ANTIMICROBIAL USE: A SYSTEMATIC REVIEW

1 **Understanding farmer and veterinarian's behavior in relation to antimicrobial use and**
2 **resistance in dairy cattle: A systematic review**

3 S. Farrell*, C. McKernan*, T. Benson*, C. Elliott* and ¹M. Dean*

4 *Institute for Global Food Security, School of Biological Sciences, Queen's University
5 Belfast, 19 Chlorine Gardens, Belfast BT9 5DL

6

7 Sarah Farrell. Institute for Global Food Security, School of Biological Sciences, Queen's
8 University Belfast, 19 Chlorine Gardens, Belfast BT9 5DL. Sfarrell11@qub.ac.uk

9 Claire McKernan. Institute for Global Food Security, School of Biological Sciences, Queen's
10 University Belfast, 19 Chlorine Gardens, Belfast BT9 5DL. C.mckernan@qub.ac.uk

11 Tony Benson. Institute for Global Food Security, School of Biological Sciences, Queen's
12 University Belfast, 19 Chlorine Gardens, Belfast BT9 5DL. T.benson@qub.ac.uk

13 Chris Elliott. Institute for Global Food Security, School of Biological Sciences, Queen's
14 University Belfast, 19 Chlorine Gardens, Belfast BT9 5DL. Chris.elliott@qub.ac.uk

15 ¹Moira Dean. Institute for Global Food Security, School of Biological Sciences, Queen's
16 University Belfast, 19 Chlorine Gardens, Belfast BT9 5DL. Moira.dean@qub.ac.uk

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27 **ABSTRACT**

28 To tackle antimicrobial resistance, it is vital that dairy farmers and veterinarians antimicrobial
29 use behaviors and attitudes towards resistance are understood to identify how beliefs and
30 motives influence practices. Current literature details qualitative and quantitative research
31 exploring dairy farmer and veterinarian's knowledge, attitudes and perceptions on
32 antimicrobial resistance and antimicrobial practices with varied and conflicting reported
33 findings. Our objective was therefore to conduct a systematic review to assess the evidence and
34 knowledge gaps in published literature. Articles were identified via database searches of
35 Embase, Medline, PubMed, Scopus and Web of Science and were limited to published articles
36 available in English with no publication year restrictions. Article screening was conducted at
37 3 levels: title, abstract and full text. Of the 349 articles identified, 35 were retained for
38 systematic review. Transparency of reporting was assessed for each study using the
39 Consolidated Criteria for Reporting Qualitative Research (COREQ) framework. Quality was
40 assessed using the Critical Appraisal Skills Programme (CASP) qualitative checklist. Findings
41 relating to dairy farmers and veterinarian's knowledge, attitudes and perceptions on
42 antimicrobial resistance and practices were thematically analysed. Comprehensiveness of
43 reporting was variable: studies reported between 5 and 26 of the 32 COREQ checklist items.
44 Five key themes emerged from the data: (1) Knowledge and awareness of antimicrobial
45 resistance, (2) Factors influencing farmer and veterinarian decision making, (3) Perceived
46 barriers and facilitators to reduced antimicrobial use, (4) Perceived responsibility to
47 antimicrobial resistance and (5) The role of the farmer and veterinarian relationship in reducing
48 antimicrobial use. Awareness of prudent antimicrobial use was not uniform between reviewed
49 studies. Many factors influence farmer and veterinarian's decisions to use antimicrobials
50 including animal welfare and available resources. The farmer-veterinarian relationship is
51 considered a potential barrier or facilitator of reduced antimicrobial use, depending on the

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52 perceived relationship dynamic. Encouraging collaboration between farmers and veterinarians
53 could lead to a shared responsibility to reducing antimicrobial use. This review provided a
54 coherent picture of what is currently known and identified gaps in the current knowledge to be
55 used to inform future behavioural intervention research. Increased knowledge, skill
56 development, increased resources, increased engagement, and further research to address the
57 gaps identified are the main recommendations to effectively overcome barriers and elicit
58 appropriate behavior change to achieve reduced antimicrobial use in dairy cattle.

59 **KEYWORDS:** Antimicrobial Resistance; Antimicrobial Use; Dairy Farmers; Veterinary
60 Medicine; Systematic Review

61 INTRODUCTION

62 Antimicrobial resistance (**AMR**) occurs naturally when microorganisms are exposed to
63 antimicrobial drugs. Under selective pressure, susceptible bacteria are killed or inhibited
64 while bacteria which are naturally resistant or have acquired AMR have a greater chance of
65 survival and risk of disease spread (Prestinaci, Pezzotti and Pantosti, 2015). AMR is a major
66 global health challenge (WHO, 2014) as many lifesaving interventions such as chemotherapy
67 and organ transplant rely on effective antimicrobials (Telliant et al., 2015). Therefore, AMR
68 places humans and animals at substantial increased risk of prolonged illness or death from
69 infection (Lambert et al., 2011). Experts have warned that if AMR continues to rise, so too
70 will the associated social and economic costs (O'Neill, 2014).

71 Limiting antimicrobial use (**AMU**) is acknowledged as a vital step in limiting AMR
72 development (WHO, 2017). Inappropriate AMU includes over or under prescribing,
73 inappropriate dosing, incorrect treatment duration or drug choice and unnecessary use of
74 expensive drugs when established, cheaper and clinically adequate drugs are available
75 (WHO, 2000). "Prudent use" of antimicrobials involves avoiding inappropriate AMU to

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76 preserve their efficacy for as long as possible (Centers for Disease Control and Prevention,
77 2007). There is scientific recognition that antibiotic use in agriculture can lead to
78 consequential resistance in the environment and implications on public health (Manyi-Loh et
79 al., 2018). It is widely acknowledged that research and policy efforts are needed to reduce
80 agricultural AMU (FAO, 2016). A recent systematic review concluded that interventions
81 which aim to restrict AMU in livestock are associated with reduced AMR in such animals
82 (Tang et al., 2017).

83 Understanding stakeholder attitudes, decision-making and the translation of behavioral
84 intentions into sustained behavior change is an increasingly important discipline for policy
85 design (Jones et al., 2015). Studies exploring the reasons for current AMU in agriculture are
86 needed to design effective interventions to promote prudent agricultural AMU (Fischer et al.,
87 2019). Monitoring of AMU in livestock alone reveals little about what is driving AMU and
88 so it is important to assess the behaviors of key stakeholders responsible for antimicrobial
89 prescription and administration (Friedman et al., 2007).

90 It is important to understand dairy farmer and veterinarians AMU behaviors and attitudes
91 towards AMR to identify how their beliefs and motives influence their AMU (Busani et al.,
92 2004). It has previously been reported that understanding farmers' motivations to implement
93 recommended practices is necessary to reduce AMU (Poizat et al., 2017) as well as
94 measuring knowledge and behaviors which protect both animals and humans from AMR and
95 disease transfer (Friedman et al., 2007). In addition, there is a need to characterize on-farm
96 AMU and identify the key drivers of responsible AMU, it is anticipated that such findings
97 can inform interventions to reduce AMU on dairy farms (Higham et al., 2018).

98 Both qualitative and quantitative research methods have been used previously to explore
99 topics such as dairy farmer and veterinarian's knowledge, attitudes and perceptions of AMR

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100 and their individual AMU practices. Such research methodologies focus on one or two of
101 these areas with a range of findings presented and so research exploring all of the above
102 aspects are scarce. Previous studies have not followed a common methodology and the aims
103 of such papers have been broad ranging. In order to obtain a more in-depth knowledge of
104 what is currently known, this review aimed to collate and synthesise all available published
105 data relating to the knowledge, attitudes and perceptions that dairy farmers and veterinarians
106 have of AMR and their individual AMU practices. This will provide a coherent picture of
107 what is currently known, identifying commonalities and contradictions in findings between
108 studies and identify gaps in the current knowledge to inform future behavioral analysis
109 research and AMU intervention design.

110 **MATERIALS AND METHODS**

111 ***Review approach***

112 This review was reported in accordance with the Enhancing transparency in reporting the
113 synthesis of qualitative research (ENTREQ) framework, a reporting guideline for synthesis of
114 qualitative research (Tong et al., 2012). A copy of the framework is available as
115 supplementary information. In order to address the research question, the articles of interest
116 were those which explored dairy farmer and dairy veterinarian's knowledge, awareness,
117 attitudes and perceptions of antimicrobial use and antimicrobial resistance.

118 ***Search strategy***

119 In November and December 2019 a pre-planned, comprehensive, and systematic search of
120 electronic databases was undertaken to seek all available studies related to the research
121 question. To obtain relevant articles, literature searches on Embase, Medline, PubMed,
122 Scopus and Web of Science were conducted. Articles included in the review were
123 predominantly obtained via databases and where applicable, additional articles were retrieved

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124 from the reference lists of published articles. The search terms used in the databases include
125 “Dairy Farmer”, “Dairy Veterinarian”, “Antimicrobial use”, Antibiotic use” and
126 “Antimicrobial resistance”. Each database was searched multiple times using combinations of
127 the previously mentioned terms in order to yield as many relevant articles as possible. Full
128 details of the search terms used, and results yielded from each database search is available as
129 supplementary information. The search criteria for this review was limited to published peer-
130 reviewed articles available in English and no publication date limits were placed on the
131 database searches. Only peer reviewed journal articles aiming to explore the knowledge,
132 awareness, attitudes or perceptions of dairy farmers or veterinarians were included in the
133 review. Studies utilising surveys, questionnaires, interviews, and focus groups were included.

134 Screening and data extraction

135 Articles were retained for review if the study population was comprised of or included dairy
136 farmers and dairy veterinarians. Articles were excluded if the research was focused on the
137 quantity of antimicrobial use on farms, did not focus on the dairy farmer or veterinarian’s
138 perspective in regard to AMU/AMR and if no independent research method was outlined.
139 Following the removal of duplicates, articles obtained from all sources were screened for
140 eligibility based on title and abstract (n=211). Articles were deemed eligible if they met the
141 outlined inclusion criteria. Following a full text review of articles (n=76), those deemed
142 eligible (n=35) were retained for systematic review. Articles were only included if the data
143 collection methods were adequately outlined i.e. gave details of the study population,
144 sampling method and data analysis. Figure 1 illustrates the process of searching, screening,
145 and identifying studies for inclusion in this systematic synthesis.

146 For each of the articles identified as eligible for this review, all text under the headings
147 “results” were extracted from the articles and exported into qualitative data analysis software
148 NVivo 12 (QSR International Pty Ltd, Doncaster, Victoria, Australia) for data synthesis.

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149 Transparency of reporting was assessed for each study using the Consolidated Criteria for
150 Reporting Qualitative Research (COREQ) framework (Tong, Sainsbury & Craig, 2007). The
151 quality of the included studies was assessed using the Critical Appraisal Skills Programme
152 Qualitative Research Checklist (Critical Appraisal Skills Programme, 2017). Appraisal was
153 conducted by the first author (SF) then discussed and agreed upon with other authors. No
154 study with data relevant to the aim of the review was excluded from the synthesis.

155 Data analysis

156 Extracted findings were thematically analysed inductively in line with the Braun and Clarke,
157 (2006) protocol allowing for themes to be constructed from the data. Extracted findings from
158 each article were coded line by line to search for information of interest to the research
159 question. All data relating to farmers and veterinarian's knowledge, awareness, attitudes and
160 perceptions of AMR and reduced AMU were coded. Codes were then grouped, along with
161 their related data into potential themes identifying overlap and commonalities and where
162 necessary themes were refined (I.e. collapsed or divided). At this stage extracted findings
163 were re-read to ensure no data had been missed in earlier coding stages. Coding was
164 performed by one author (SF) and then subsequently reviewed and approved by two
165 additional authors (MD and TB).

166 RESULTS & DISCUSSION

167 Thirty-five studies which collected data from 5537 participants were deemed relevant for this
168 review. An overview of the study characteristics is presented in figure 2. The study
169 population of 20 articles were exclusively farmers (17 dairy farmers only), eight were
170 exclusively veterinarians (3 dairy veterinarians only) and 7 had a multi stakeholder study
171 population which included dairy farmers and dairy veterinarians. Just over half of the studies
172 utilised surveys as the data collection method (54.3%). Interviews (25.7%), mixed methods

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173 (14.3%) and focus groups (5.7%) were also used as the data collection methods. Studies were
 174 conducted in mainland Europe (14), USA (8), United Kingdom (5), Asia (3), South America
 175 (2), North America (1), Oceania (1) and Africa (1). All included studies were published
 176 between 2002 and 2019. Thematic analysis identified five key themes within the data, themes
 177 and sub-themes are presented in figure 3. Table 1 summarises the key findings and
 178 recommendations made based on systematic review.

179 Table 1: Summary of the main review findings and recommendations made in relation to achieving reduced antimicrobial
 180 use on dairy farms based on systematic review

Recommendations made based on systematic review	Relevant stakeholder	Findings to support recommendation	References
<p><u>Increased knowledge</u> On AMR¹ in dairy cattle, its impact on human AMR and the role farmers and veterinarians can play in the development and spread of AMR</p>	Dairy Farmers and Dairy Veterinarians	<p>Variations in knowledge and awareness of AMR in dairy cattle. Lack of knowledge regarding the link between animal and human AMR. Imbalance in farmer and veterinarian's recognition of their role in AMR</p>	<p>Higham et al., 2018; Raymond et al., 2006; Friedman et al., 2007; Redding et al., 2014; Sadiq et al., 2018; Chauhan et al., 2018; Jones et al., 2015; Poizat et al., 2017; Kumar & Gupta 2018; Ekakoro et al., 2018; Speksnijder et al., 2015; Léger et al., 2015; Golding et al., 2019; Swinkles et al., 2015; McDougall et al., 2017; Magalhaes Sant'Ana et al., 2017; Cattaneo et al., 2009</p>
<p>How economic risks can be minimised while reducing on farm AMU²</p>	Dairy Farmers Dairy Veterinarians	<p>Concerns due to economic risks associated with reducing AMU on farm such as animal mortality and farm productivity</p>	<p>Raymond et al., 2006; Friedman et al., 2007; Speksnijder et al., 2015; Magalhaes Sant'Ana et al., 2017; Fischer et al., 2019; Golding et al., 2019</p>

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<p><u>Skill development</u> Improved ability and confidence to implement prudent AMU practices while maintaining animal welfare standards</p> <p>Improved ability to prevent and manage mastitis and other disease while reducing AMU via improved biosecurity and herd management measures</p>	<p>Dairy Farmers Dairy Veterinarians</p> <p>Dairy Farmers Dairy Veterinarians</p>	<p>Variations in awareness of prudent practices. Variations in perceived ability to implement reduced AMU. AMU deemed necessary for animal welfare</p> <p>Mastitis is the most commonly reported reason for AMU in dairy cattle. Biosecurity and herd management recognised by many farmers and veterinarians as important steps to reduce AMU on farm</p>	<p>Ekakoro et al., 2018; Jones et al., 2015; Poizat et al., 2017; Kumar & Gupta 2018; Redding et al., 2014; Higham et al., 2018; Vasquez et al., 2019; Fischer et al., 2019; Speksnijder et al., 2015; Golding et al., 2019; Orpin, 2007; Scherpenzeel et al., 2016 & 2018.</p> <p>Jones et al., 2015; Raymond et al., 2006; Carmo et al., 2018, Ekabro et al., 2018; Fischer et al., 2019; Higham et al., 2018; Kayitsinga et al., 2017; Vaarst et al., 2003; Cattaneo et al., 2009; Speksnijder et al. 2015; Holstege et al., 2018; Kumar and Gupta, 2018</p>
<p><u>Increased resources</u> Time and labour requirements of an intervention should be considered to ensure successful implementation</p>	<p>Dairy Farmers Dairy Veterinarians</p>	<p>Time constraints and labour burden reported as barriers to achieving reduced AMU</p>	<p>Friedman et al., 2007; Golding et al., 2019; Poizat et al., 2017; Scherpenzeel et al. 2016; Speksnijder et al. 2015</p>
<p><u>Increased engagement</u> Encouraging veterinarians to take on a proactive role in promoting alternatives to antimicrobials</p> <p>Encouraging farmers to utilise veterinary services and advice to reduce AMU</p>	<p>Dairy Veterinarians</p> <p>Dairy Farmers</p>	<p>Veterinarians are seen by many farmers as having the ability to facilitate reduced AMU.</p> <p>Farmers do not always seek veterinary advice due to the fees associated with their services.</p>	<p>Scherpenzeel et al., 2016; Golding et al., 2019</p> <p>Friedman et al., 2007</p>
<p><u>Further research</u> To obtain a clearer picture of the prophylactic use of antimicrobials in dairy cattle</p> <p>To explore how the opinions of others may influence farmer and veterinarian decision making regarding AMU</p>	<p>Dairy Farmers & Veterinarians</p> <p>Dairy Farmers Dairy Veterinarians</p>	<p>Limited published data on the prophylactic AMU on dairy farms.</p> <p>Many farmers and veterinarians are motivated by social norms within the industry.</p>	<p>Busani et al., 2004; Redding et al., 2014; Speksnijder et al., 2015</p> <p>Jones et al., 2015 Scherpenzeel et al. 2016 Scherpenzeel et al. 2018</p>

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To determine the influence farmers have on veterinarian's decision to prescribe antimicrobials and how this can be minimised	Dairy Veterinarians	Some veterinarians perceive pressure from farmers to prescribe antimicrobials and the perceived skills and knowledge of farmers can influence prescribing decisions.	Speksnijder et al. 2015; Higgins et al., 2017; Redding et al., 2013; Golding et al., 2019
To enable farmers and veterinarians to work together confidently and effectively to reduce AMU	Dairy Farmers Dairy Veterinarians	Many farmers and veterinarians are open to a more collaborative working relationship in order to achieve reduced AMU	Golding et al., 2019; Magalhaes Sant'Ana, 2017
To ensure harmonised policy and regulation relating to AMU in dairy cattle globally	Dairy Farmers Dairy Veterinarians	Many farmers and veterinarians would be accepting of harmonised policies and regulation to reduce AMU	Chauhan et al., 2018; Magalhaes Sant'Ana, 2017; Carmo et al., 2018; Speksnijder et al. 2015
To utilise behaviour change theory to determine evidence-based strategies to reduce AMU in dairy farming	Dairy Farmers Dairy Veterinarians	Behaviour change is considered difficult within dairy farming due to habitual practices associated with farming	Speksnijder et al. 2015

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182 Comprehensiveness of reporting varied between studies: studies reported between 5 and 26 of
 183 the 32 COREQ checklist items. COREQ checklist items are summarised in table 2 (Full
 184 COREQ assessment of each study is available as supplementary information).

185 Table 2: Overview of comprehensiveness of reporting for review studies based on Consolidated Criteria for Reporting
 186 Qualitative Research (COREQ) framework

COREQ Item	Total studies	COREQ Item	Total studies
Domain 1: Research team and reflexivity		<u>Data collection</u>	
<u>Personal Characteristics</u>		17. Interview guide	33/35
1. Interviewer/facilitator	18/35	18. Repeat interviews	1/35
2. Credentials	2/35	19. Audio/visual recording	12/35
3. Occupation	9/35	20. Field notes	4/35
4. Gender	5/35	21. Duration	16/35
5. Experience and training	3/35	22. Data saturation	5/35
<u>Relationship with participants</u>		23. Transcripts returned	0/35

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6. Relationship established	1/35	Domain 3: analysis and findings <u>Data analysis</u>	
7. Participant knowledge of the interviewer	3/35		
8. Interviewer characteristics	2/35	24. Number of data coders	8/35
Domain 2: study design <u>Theoretical framework</u>		25. Description of the coding tree	9/35
		26. Derivation of themes	16/35
9. Methodological orientation and Theory	10/35	27. Software	19/35
<u>Participant selection</u>		28. Participant checking	0/35
10. Sampling	35/35	<u>Reporting</u>	
11. Method of approach	22/35	29. Quotations presented	11/35
12. Sample size	34/35	30. Data and findings consistent	33/35
13. Non-participation	4/35	31. Clarity of major themes	13/35
<u>Setting</u>		32. Clarity of minor themes	8/35
14. Setting of data collection	30/35	<i>Average no. COREQ items per study</i>	11
15. Presence of non-participants	1/35	<i>Minimum no. COREQ items per study</i>	5
16. Description of sample	35/35	<i>Maximum no. COREQ items per study</i>	26

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188 ***Theme 1: Farmer and veterinarians' knowledge and awareness of antimicrobial resistance***

189 Previous reports suggest that a significant proportion of the farming community lack
190 knowledge of prudent AMU and AMR (WHO, 2015). Within this review about a quarter of
191 studies explored the knowledge and awareness farmers have of antimicrobials and AMR and
192 the reported findings varied considerably. Studies of UK and Washington dairy farmers
193 reported high levels of awareness (Higham et al., 2018; Raymond et al., 2006), while lower
194 levels of knowledge and awareness were reported for South Carolina, Malaysian, Indian and
195 Peruvian dairy farmers (Friedman et al., 2007; Redding et al., 2014; Sadiq et al., 2018;
196 Chauhan et al., 2018). Knowledge and awareness of AMR were found to be higher within
197 higher income countries therefore knowledge and awareness campaigns aiming to address
198 AMR in dairy cattle should be disseminated globally and be consistent, with all farmers
199 having access to this information.

200 Recent reports highlight the need to provide livestock farmers with training in the appropriate
201 use of antimicrobials in animals to tackle AMR (Ozturk et al., 2019). Five studies within this
202 review explored farmer awareness of appropriate AMU with variations in reported findings.

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203 Tennessee dairy farmers generally perceived their AMU to be prudent (Ekakoro et al., 2018)
204 while those studied in the UK, India and France admitted to lacking knowledge and
205 information about prudent AMU (Jones et al., 2015; Poizat et al., 2017; Kumar & Gupta,
206 2018). Larger dairy farmers in India were more aware of the importance of animal husbandry
207 practices in improving AMU compared with small farmers (Kumar and Gupta, 2018). Many
208 Peruvian and Kenyan farmers lacked understanding of antimicrobial withdrawal times
209 (Redding et al., 2014; Higham et al., 2016). This suggests that prudent AMU guidance should
210 be disseminated globally to enable farmers to engage in practices which reduce the need for
211 antimicrobials. Due to the variation of farm sizes globally, the ability to reach farmers may
212 differ and it is important to ensure that farmers receive practical guidance relevant to the
213 scale of their operations.

214 Previous literature shows that some livestock farmers do not have sufficient awareness of the
215 severity of the problems resulting from AMR (Landfried et al., 2018, Moreno, 2014). This
216 was explored by ten studies within this review with awareness of both farmers and
217 veterinarians regarding the risks associated with AMR varying. Many South Carolina,
218 Washington and UK dairy farmers were aware that increased AMU contributed to the
219 development of AMR (Raymond et al., 2006; Friedman et al., 2007; Jones et al., 2015;
220 Golding et al., 2019). Many Malaysian, Kenyan and Peruvian dairy farmers were aware that
221 resistant bacteria could be difficult to treat and posed a threat to their animals (Redding et al.,
222 2014; Higham et al., 2016; Sadiq et al., 2018). Large dairy farmers in India were more aware
223 that the overuse of antibiotics increases the reservoir of antibiotic resistance in the food chain
224 compared with small farmers (Kumar and Gupta, 2018). Most veterinarians understood the
225 risks posed by AMU and agreed that it is important that AMU in livestock is restricted. UK
226 veterinarians demonstrated good awareness and understanding of the risks posed in terms of
227 animal welfare threats, farm income and productivity (Golding et al., 2019). Meanwhile most

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228 Canadian veterinarians agreed that AMU within the dairy industry, contributes to decreased
229 antimicrobial efficacy in dairy cattle (Léger et al., 2015). In the Netherlands, almost all
230 veterinarians (92%) agreed (or partly agreed) that it is important that veterinary AMU is
231 restricted to reduce AMR (Scherpenzeel et al., 2018). These findings suggest that the
232 awareness of the risks associated with AMR is relatively high and widespread. Continuous
233 information transfer of the risks associated with animal health because of AMU and AMR
234 development is important to ensure all stakeholders are aware of the consequences of
235 antimicrobial misuse.

236 Scepticism within the livestock sector about the contribution of agriculture to AMR has been
237 previously identified, especially in terms of the potential links between agricultural AMU and
238 human health risks (Morris et al., 2016; Etienne et al., 2017). In line with previous findings,
239 this review found that the awareness of the link between AMR in agriculture and humans was
240 low in the 20% of studies exploring the topic. Despite many South Carolina and Washington
241 dairy farmers agreeing that AMR could occur in both humans and animals, there was a lack
242 of concern that the overuse of antimicrobials or AMR in animals could threaten farm workers
243 (Raymond et al., 2006; Friedman et al., 2007). Very few UK, Indian and Tennessee dairy
244 farmers perceived the threat of AMR in humans as a result of AMU or AMR development in
245 animals (Jones et al., 2015; Ekakoro et al., 2018; Kumar & Gupta, 2018). Only two studies
246 explored veterinarian's awareness of the link between AMR in humans and animals. Some
247 Dutch veterinarians were motivated to reduce their AMU for public health reasons, while
248 others doubted a significant contribution from veterinary AMU to AMR in humans
249 (Speksnijder et al., 2015). Most Canadian dairy veterinarians disagreed on some level that
250 AMU in dairy cattle contributes to resistance in human medicine (Léger et al., 2015). This
251 suggests that despite many farmers and veterinarians having an awareness of some of the
252 potential risks associated with AMR, there is a belief amongst many that the overuse of

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253 antimicrobials in agriculture does not impact on the development of AMR in humans. To
254 overcome this, evidence-based information relating to the link between AMU and AMR in
255 livestock and AMR in human medicine should be promoted to both farmers and
256 veterinarians, to improve their understanding and encourage more prudent AMU.

257 These findings show that although some farmers and veterinarians know AMR can occur in
258 humans and animals, many do not perceive a risk to human health as a result of agricultural
259 AMU. The gap between knowledge and behavior suggests that they hold competing beliefs
260 about what constitutes appropriate use, this can be considered a form of cognitive dissonance
261 (Festinger, 1957) and highlights the need for increased education of farmers and veterinarians
262 on the need for prudent AMU. It is important that awareness raising campaigns are targeted at
263 dairy farmers and veterinarians globally to improve AMR understanding and promote
264 consistent prudent AMU. Academic research in the field should be translated to farmers and
265 veterinarians in a relatable manner and continuous transfer of AMR risk information relating
266 to livestock is necessary, with emphasis on its link with human medicine.

267 ***Theme 2: Determinants of farmer and veterinarian's AMU practices***

268 ***Reasons for AMU in the dairy sector.*** Previous literature has shown mastitis to be the
269 most frequently occurring disease in dairy cows (Ruegg, 2017) and the most prevalent reason
270 for antimicrobial prescription in dairy cattle (DeBriyne, 2014). This review confirmed these
271 findings as the majority of dairy farmers and veterinarians indicated that their most common
272 reason for AMU was as a result of mastitis in the eight studies exploring reasons for AMU.
273 The majority of veterinarians studied in Denmark, Portugal and Sweden, and dairy farmers
274 studied in the UK, USA, Denmark and Sweden agreed that mastitis is the most common
275 reason for AMU on dairy farms (Vaarst et al., 2003; Raymond et al., 2006; Jones et al., 2015;
276 Kayitsinga et al., 2017; Carmo et al., 2018, Ekakoro et al., 2018; Higham et al., 2018; Fischer

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277 et al., 2019). Respiratory infections such as pneumonia (Jones et al., 2015; Ekakoro et al.,
278 2018; Fischer et al., 2019), lameness and hoof problems were also noted as common reasons
279 for dairy farm AMU (Raymond et al., 2006; Ekakoro et al., 2018, Fischer et al., 2019). Whilst
280 antimicrobials are used to treat a number of conditions in dairy cattle, AMU is linked more
281 significantly to mastitis. In order to overcome this, education of farmers and veterinarians on
282 the prevention and management of mastitis without or with minimal AMU is necessary
283 including strategies such as selective dry cow therapy (SDCT), whereby cows with a low
284 probability of an intramammary infection do not receive antibiotics (Kabera et al., 2020).

285 The use of antimicrobials for disease prevention in addition to therapeutic use has been
286 argued in other veterinary contexts (Coyné et al., 2016). Three studies within this review
287 explored prophylactic AMU. Many Italian veterinarians stated that they administered
288 antimicrobials before the onset of mastitis (62%) more often than before the onset of
289 respiratory diseases signs (28%) (Busani et al., 2004). Peruvian dairy farmers and Dutch
290 veterinarians also reported some prophylactic AMU although they advised that their use was
291 primarily therapeutic (Redding et al., 2014; Speksnijder et al., 2015). These findings suggest
292 that prophylactic AMU is uncommon in dairy farming. However, it should be noted that very
293 few studies within this review reported on such use and so findings may not be applicable to
294 the wider dairy sector. It is important to note that farmers may be apprehensive to fully
295 disclose the degree to which they prophylactically use antimicrobials as a result of bias.
296 Future research should explore prophylactic use further to obtain a clearer idea of the level at
297 which such use occurs in dairy cattle.

298 ***Farmer treatment choice factors.*** Antimicrobials play a crucial role in veterinary
299 medicine to maintain animal health and welfare (FAO, 2016; Hudson et al., 2017) and so it is
300 unsurprising that animal welfare has previously been highlighted as a driver of AMU in other
301 agricultural sectors (Lhermie et al., 2019). The importance of animal welfare in deciding on

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302 AMU was confirmed within this review by three studies of dairy farmers from the UK, USA,
303 and Denmark (Vaarst et al., 2003; Jones et al., 2015; Ekakoro et al., 2018; Golding et al.,
304 2019). Farmers in other livestock sectors have previously reported that they have adequate
305 knowledge of their animal's behavior and the ability to identify abnormalities which indicate
306 the presence of disease (Landfried et al., 2018). Within this review, previous experience and
307 ability to accurately judge their animal's health when deciding on treatment options were
308 reported to influence AMU decision making in four studies by many famers from Germany,
309 the Netherlands, New Zealand and USA (Raymond et al., 2006; Swinkels et al., 2015;
310 McDougall et al., 2017; Ekakoro et al., 2018).

311 Previous literature stated that farmers rely strongly on their veterinarian for advice (Lathers,
312 2001) and recommendations have been made that farmers should rely on knowledgeable
313 veterinarians to make accurate treatment decisions based on credible examinations of their
314 animals (Ruegg, 2006). The impact of veterinary advice on farmer decision making varied
315 between the six studies which explored the topic within this review. Farmers studied in the
316 Netherlands, New Zealand, Peru and USA (Michigan, Minnesota, New York & Wisconsin)
317 reported that veterinary recommendation is the most important factor in their decision making
318 process (Zwald et al., 2004; Redding et al., 2014; Swinkels et al., 2015; McDougall et al.,
319 2017), while less than half of the dairy farmers studied in Malaysia and Pennsylvania
320 routinely seek veterinary advice or prescription prior to antimicrobial administration (Sawant
321 et al., 2005; Sadiq et al., 2014).

322 Other factors influencing farmer AMU decision making within this review included drug
323 attributes such as perceived efficacy of medicines, withdrawal times and cost (Redding et al.,
324 2014; Ekakoro et al., 2018) ensuring profitability and financial safeguarding (Jones et al.,
325 2015; Golding et al., 2019), specific cow characteristics (Vaarst et al., 2002; Vaarst et al.,

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326 2003), culture and sensitivity testing (Ekakoro et al., 2018) and recommendations of other
327 farmers (Swinkels et al., 2015).

328 The variety of reported influences on farmer behaviour within this review suggests that
329 farmer decision making is not always straightforward and depends on individual situations as
330 well as potentially their working relationship with their veterinarian. It is important to note
331 that within the scope of this review not all studies explored the reasoning behind farmers
332 AMU in the same way, therefore future research should consider investigating such factors
333 further.

334 ***Determinants of veterinarians prescribing practices.*** Clinical factors such as disease
335 signs, antimicrobial susceptibility and predicted treatment outcomes have been found to
336 motivate antimicrobial prescribing decisions in human medicine (Coenen et al., 2002,
337 Teixeira Rodrigues et al., 2013). Many veterinarians from five studies within this review
338 confirmed that this plays an integral part in antimicrobial prescribing in livestock with many
339 ideally basing their treatment decision on the specific clinical situation. Prior to prescribing it
340 is vital for many veterinarians to perform a physical examination of the animal (Redding et
341 al., 2013; Chauhan et al., 2018; Golding et al., 2019). Understanding farm disease history and
342 treatment response is also considered by many veterinarians (Cattaneo et al., 2009; Redding
343 et al., 2013; McDougall et al., 2017; Golding et al., 2019).

344 Antimicrobial characteristics have been reported to motivate antimicrobial prescribing
345 decisions in human medicine (Coenen et al., 2002, Teixeira Rodrigues et al., 2013). Three
346 studies within this review reported that drug attributes influence veterinarian's decision-
347 making. Drug efficacy, withdrawal time and ease of administration are considered by some
348 Canadian, Dutch and UK veterinarians when prescribing antimicrobials (Léger et al., 2015;
349 Speksnijder et al., 2015; McDougall et al., 2017). Veterinarians perceptions of farmers

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350 knowledge and abilities were considered by UK & Peruvian veterinarians (Redding et al.,
351 2013; Golding et al., 2019). Profit was not commonly considered by the veterinarians within
352 this review when deciding on treatment options, some Canadian and Dutch veterinarians felt
353 that veterinarian profit is no longer a driver for antimicrobial prescription within the dairy
354 sector (Léger et al., 2015; Speksnijder et al., 2015).

355 Veterinarians perceptions of their client's willingness or ability to pay for treatment and how
356 compliant they would be in administering the treatments, have been found to influence
357 veterinary prescribing outside of the dairy sector (Mateus et al., 2014). Within this review it
358 was reported by veterinarians in three studies that farmers often influence their decision
359 making. Some veterinarians in the UK and Kenyan admitted that they sometimes fulfil
360 treatment requests of farmers to avoid upsetting them (Higham et al., 2016; Higgins et al.,
361 2017) and some Canadian veterinarians dispensed antimicrobials over the counter to regular
362 clients more often (Léger et al., 2015).

363 It should be noted that within this review studies exploring the decision making of
364 veterinarians for prescribing antimicrobials within the dairy sector were scarce. The most
365 common influencer of veterinarian's decision making reported within this review was the
366 individual clinical situation and perceptions of the farmer. Future research should explore
367 how veterinarians can build better relationships with their clients so that they can focus on the
368 individual clinical situation as opposed to concerns of upsetting farmers.

369 ***Theme 3: Barriers and facilitators to reduced antimicrobial use in the dairy sector***

370 ***Barriers to reduced AMU.*** Deciding whether to continue or withdraw antimicrobial
371 treatment was found to be problematic for many farmers and veterinarians within this review,
372 due to the unpredictable nature of disease and the potential cost of disease returning when
373 antimicrobials are discontinued. Such concerns are also common within pig production

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374 (Sheehan et al., 2013). Economic constraints such as the cost of disease and changes in farm
375 structures to achieve reduced AMU were reported within six studies as limitations in Dutch,
376 Swedish, Irish, UK and US studies (Raymond et al., 2006; Friedman et al., 2007; Speksnijder
377 et al., 2015; Magalhaes Sant'Ana et al., 2017; Fischer et al., 2019; Golding et al., 2019).
378 Some farmers in the USA suggested that veterinarians are not always consulted for AMU
379 advice due to their fees (Friedman et al., 2007) while others reported they have previously
380 been concerned that milk production would reduce if antibiotics were not used for dry-cow
381 treatment (Raymond et al., 2006). Prophylactic AMU was considered necessary by some
382 Dutch veterinarians, arguing that if certain infections were not prevented, they could result in
383 increased mortality and financial loss (Speksnijder et al. 2015). These findings suggest that
384 many farmers and veterinarians are concerned that reduced AMU may come with economic
385 risks. Further research should focus on how economic risks of reducing AMU can be
386 minimised.

387 Previous findings suggest that having a productive farm and taking good care of their animals
388 are important to farmers and central to how they conceptualise a good farmer (Wilkie, 2005;
389 Bock et al., 2007, Ellis, 2014, Shortall et al., 2018). This was confirmed by six studies within
390 this review, farmers and veterinarians reported animal welfare as a barrier to reducing AMU.
391 There was concern amongst farmers and veterinarians in the UK that reductions could inhibit
392 their ability to treat sick animals and maintain animal welfare (Golding et al., 2019). Farmers
393 studied in France and the UK feared that no antibiotic cover would lead to severe mastitis and
394 death (Orpin, 2007; Poizat et al., 2017). Dutch farmers and veterinarians were unsure whether
395 a cow would recover without antimicrobials (Scherpenzeel et al. 2016; Scherpenzeel et al.
396 2018) and many Dutch veterinarians reported it is their duty to treat diseased animals for
397 reasons of animal welfare, regardless of the issue of AMR (Speksnijder et al. 2015). Despite
398 these findings, research in the UK dairy sector found that ceasing the use of the highest

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399 priority critically important antimicrobials can occur while maintaining herd health and farm
400 productivity (Turner et al., 2018). These findings highlight the responsibility to animal
401 welfare felt by farmers and veterinarians; thus they feel that antimicrobials are essential to
402 preserve animal welfare and productivity. Further education on the efficacy of alternative
403 practices to reduce AMU, may build confidence in their ability to maintain animal welfare
404 while reducing AMU.

405 Time constraints and labor burden were commonly noted as barriers to reduced AMU within
406 five studies (Friedman et al., 2007; Speksnijder et al. 2015; Scherpenzeel et al. 2016; Poizat
407 et al., 2017; Golding et al., 2019). Some South Carolina farmers reported not having time to
408 wait for a veterinarian to make a farm visit as they need to make quick judgements to avoid
409 infection spread (Friedman et al., 2007). Selective antimicrobial treatments were seen by
410 many Dutch and French farmers as requiring additional work (Scherpenzeel et al. 2016;
411 Poizat et al., 2017) and some Dutch veterinarians agreed that such treatment is generally
412 more labor intensive than mass medication and so it can be difficult to convince farmers to
413 adopt such practices (Speksnijder et al. 2015). Despite a desire to make greater use of
414 diagnostic testing and an appreciation for its importance to reduce AMU, many veterinarians
415 felt that it is often impractical due to delays in obtaining results (DeBriyne et al., 2013; Coyne
416 et al., 2016; Golding et al., 2019;) and is sometimes only used by Dutch, Indian and UK
417 veterinarians when initial treatments are unsuccessful (Speksnijder et al., 2015; McDougall et
418 al., 2017; Chauhan et al., 2018). These findings suggest that when considering interventions
419 and promoting reduced AMU, labor and time requirements should be considered to ensure
420 successful implementation.

421 Pressure from farmers to prescribe antimicrobials is often highlighted in studies investigating
422 the prescribing behaviours of veterinarians in a range of veterinary contexts (DeBriyne et al.,
423 2013, Gibbons et al., 2013; McIntosh and Dean, 2015; Coyne et al., 2016). This was

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424 confirmed in this review with many veterinarians in two studies reporting that pressure from
425 farmers can limit their efforts to reduce AMU. Some Dutch veterinarians felt pressure to
426 prescribe antimicrobials and sometimes have difficulty persuading farmers to reduce AMU
427 (Speksnijder et al. 2015). Within this review some UK veterinarians reported that it is
428 sometimes difficult to prioritize responsible AMU due to conflicts of interest and fear of
429 upsetting farmers (Higgins et al., 2017). Findings in other veterinary contexts have shown
430 that some veterinarians are more influenced by social expectations than scientific reasoning,
431 acting upon client pressures to prescribe even when they felt antimicrobials were
432 unnecessary. This prescribing behavior has also been observed with human antibiotic
433 prescribing practices (Paredes et al., 1996; Tonkin-Crine et al., 2011; Broom et al., 2014;
434 Hockenhull et al., 2017; Smith et al., 2018). Veterinarians have previously been advised to
435 adopt new communication styles and overcome the assumptions they may have about farmers
436 in order to ensure engagement with them on disease prevention and antimicrobial stewardship
437 (Jansen et al., 2010). Although perceptions of farmers were highlighted by many
438 veterinarians within this review as influencing prescribing practices and often limiting their
439 efforts to reduce AMU, not many explicitly identified this as a barrier to reducing AMU.
440 Despite similar findings being reported in other veterinary contexts regarding farmer pressure
441 as a barrier to reduced AMU, such literature in the context of dairy farming is limited and so
442 may be worth focus from future research.

443 Some Dutch veterinarians noted that high on farm AMU may be due to insufficient skills of
444 farmers in terms of disease detection and recommended practices (Speksnijder et al. 2015).
445 Irish veterinarians acknowledged that farmers generally have little awareness of the
446 connection between their husbandry practices and AMR in human medicine, suggesting that
447 until this is understood, farmer behavior will not change (Magalhaes Sant'Ana et al., 2017).
448 Despite this being highlighted as a barrier to reduced AMU within this review it cannot be

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449 assumed as the view of all veterinarians due to the small number of studies exploring
450 veterinarians perceptions of farmers skills and knowledge as a barrier to reduced AMU.
451 Future research is needed to determine whether this is a common attitude of veterinarians
452 dealing with dairy farmers.

453 Other barriers reported included social constraints (Fischer et al., 2019), industry pressure
454 (Golding et al., 2019), low risk perception (Higgins et al., 2017), scepticism of antimicrobial
455 alternatives (Vaarst et al., 2003) and the fact that antimicrobial treatments are effective and
456 convenient (Poizat et al., 2017). These findings were not as commonly reported however
457 should still be given consideration in attempts to reduce AMU and perhaps deserve attention
458 in future research.

459 These findings show farmers and veterinarians consider numerous factors to be barriers to
460 reducing their AMU in dairy cattle. Economic constraints, animal welfare, structural
461 limitations (time constraints and labor requirements), individual attitudes to AMR, pressure
462 felt by veterinarians from farmers as well as veterinarians' perceptions of farmers are
463 commonly reported barriers to reduced AMU. To overcome the main barriers identified by
464 this review future research should explore how AMU can be reduced with minimal economic
465 risk, promoting the efficacy of alternative practices while maintaining animal welfare and
466 how pressure felt by veterinarians from farmers can be reduced. From these findings it is
467 recommended that interventions aimed at reducing AMU consider the labor and time
468 requirements, as well as providing the skills and knowledge necessary to efficiently achieve
469 reductions.

470 ***Facilitators of reduced AMU.*** Previous research suggests herd management and
471 improved biosecurity as a cost effective and feasible approach to disease prevention, and
472 alternative to reliance on routine AMU (Postma et al., 2015; Rojo-Gimeno et al., 2016).

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473 Improved biosecurity and management practices have been associated with reduced AMU in
474 pig production (Lannen et al., 2013; Arnold et al., 2016; Postma et al., 2016). On farm
475 management measures and disease prevention controls were welcomed by many farmers and
476 veterinarians from eight studies within this review as facilitators of reduced AMU. Disease
477 prevention with consistent health management practices was considered important by
478 veterinarians studied in Denmark, Portugal, Switzerland, and the USA (Cattaneo et al., 2009;
479 Speksnijder et al. 2015; Carmo et al., 2018). Similarly, many Indian, Swedish, Dutch and
480 American dairy farmers agreed that practices such as proper nutrition, housing, breeding and
481 infection control were important to reduce AMU (Ekakoro et al., 2018; Holstege et al., 2018;
482 Kumar and Gupta, 2018; Fischer et al., 2019). Vaccinations are also seen by some farmers
483 and veterinarians as a method of reducing AMU (Cattaneo et al., 2009, Ekakoro et al., 2018)
484 and it is seen as a cost-effective strategy to control the spread of AMR by Indian farmers
485 (Kumar and Gupta, 2018). On farm diagnostic testing is considered a facilitator of reduced
486 AMU by Irish veterinarians (Magalhaes Sant'Ana et al., 2017) and some Tennessee dairy
487 farmers reported that such testing has previously led to a reduction in AMU (Ekakoro et al.,
488 2018). Correct diagnostic processes and early disease detection are considered important by
489 some US dairy farmers and Dutch veterinarians to avoid unnecessary AMU (Speksnijder et
490 al. 2015; Ekakoro et al., 2018). This suggests that many farmers and veterinarians within this
491 review and potentially outside its scope, see improved herd management and biosecurity
492 measures as important steps to reduce AMU while maintaining productivity and animal
493 welfare and should be promoted to encourage behavior change.

494 Increasing farmers' ability to implement alternative practices has been reported to enhance
495 their efficiency in reducing AMU (Visschers et al., 2016). Programs aimed at increasing
496 awareness of AMU and AMR are hypothesised to contribute to a reduction in agricultural
497 antimicrobial sales (Carmo et al., 2017). Relevant and targeted information is considered vital

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498 when aiming to alter behavior and for the targeted population to pay attention to information,
499 it is important that education is tailored to their needs and knowledge (Kreuter et al., 2019).
500 Within this review, training and education was considered important by farmers and
501 veterinarians to facilitate reduced AMU within five studies. Some UK veterinarians felt that
502 farmers having the skills to implement selective therapy facilitates reduced AMU (Higgins et
503 al., 2017) and the need for additional farmer training on infection prevention and control was
504 supported by some Tennessee dairy farmers to reduce AMU (Ekakoro et al., 2018). Many
505 veterinarians studied supported increased veterinary education on prudent AMU and
506 alternative practices to promote their use amongst clients and facilitate reduced AMU
507 (Magalhaes Sant'Ana et al., 2017; Poizat et al., 2017; Carmo et al., 2018). The review
508 findings suggest that education of farmers and veterinarians is fundamental in the fight
509 against imprudent AMU in dairy cattle. Combined with the desire for increased knowledge
510 relating to AMU and AMR, expressed by farmers within this review, this highlights its
511 importance and potential to help facilitate reduced AMU.

512 The potential economic rewards of profitability and reduced costs have been reported as the
513 most important driver of AMU behavior change in pig farming (Visscher et al., 2015). Some
514 Dutch veterinarians were concerned that higher tariffs for their services would decrease
515 farmers' motivation to consult them and so they consider low tariffs essential for animal
516 health and appropriate AMU (Speksnijder et al. 2015). To reduce farm AMU, it has been
517 suggested that farmers and veterinarians should be incentivised to make reduced AMU more
518 salient in their day to day operations, perhaps by using financial incentives such as those
519 recently used in the English national health service (Islam et al., 2018). Some Indian
520 veterinarians were concerned by the lack of incentive for farmers withholding antimicrobial
521 treated milk, stating that withholding should be incentivised to prevent antimicrobial tainted
522 milk entering the food chain (Chauhan et al., 2018). Within this review such financial

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523 facilitators to reduced AMU were confirmed by farmers and veterinarians. In the
524 Netherlands, some noted ‘financial consequences’ as one of the most positive aspects of
525 reducing AMU (Scherpenzeel et al. 2016) and some French veterinarians stated that they
526 have highlighted the cost benefit of alternative medicines to farmers to promote reduced
527 AMU (Poizat et al., 2017). These findings suggest that many farmers and veterinarians see
528 economic rewards for reducing AMU as having the potential to facilitate and encourage
529 behavior change. As economic burden has been highlighted within this review as a barrier to
530 reduce AMU, promoting the evidence that farms can remain productive and profitable while
531 reducing AMU is necessary.

532 It has been reported that there may be scope for veterinarians to take on a more proactive role
533 in promoting preventative medicine to farmers, in order to reduce AMU (Higgins et al.,
534 2013). Within this review some farmers highlighted the role their veterinarians could play in
535 reducing AMU. Dutch farmers felt that veterinarians could help facilitate change as they are
536 their main advisors and encouragers of reduced AMU (Scherpenzeel et al. 2016) and UK
537 farmers also expressed a desire for guidance from their veterinarians to reduce AMU due to
538 the support and motivation they provide (Golding et al., 2019). These findings, although
539 limited within the scope of this review, coupled with many farmers reporting the key role of
540 veterinarians in their decision making, suggests that veterinarians can play a pivotal role in
541 promoting AMU reduction.

542 Improved policy and regulation of AMU in livestock was deemed necessary by Irish and
543 Indian veterinarians within this review to reduce AMU (Magalhaes Sant’Ana et al., 2017;
544 Chauhan et al., 2018). Some veterinarians from Denmark, Portugal and Switzerland also
545 advised that mandatory interventions applied by national or international authorities have
546 appeared to work best in reducing AMU in the past (Carmo et al., 2018) and many Dutch
547 veterinarians felt that policies to reduce AMU should be equal in all countries to maintain a

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548 fair, competitive market and prevent illegal antimicrobial trade (Speksnijder et al. 2015). UK
549 farmers reported that they see government and industry bodies as having the resources and
550 expertise to conduct research and produce evidence-based guidelines to facilitate reduced
551 AMU (Golding et al., 2019). This suggests that farmers and veterinarians would be accepting
552 of evidenced based policies and regulations being introduced or revised to reduce AMU. It is
553 suggested that many veterinarians and farmers would be open to regulations being
554 harmonised in all countries, but this would need to be a potential focus for future research
555 due to the limited findings within this review.

556 These findings show that numerous factors are perceived by farmers and veterinarians as
557 having the potential to facilitate reduced AMU. From these findings future research
558 recommendations include the promotion of herd health management and biosecurity
559 measures, coupled with improved education of farmers and veterinarians on alternative
560 practices to increase their confidence in their ability to reduce AMU. Promotion of evidence
561 that farms can remain productive and profitable with reduced AMU is necessary to overcome
562 this uncertainty which exists amongst some farmers and veterinarians. Findings suggest that
563 veterinarians can play a pivotal role in promoting reduced AMU and that harmonisation of
564 regulations and policy regarding AMU should be considered by future research as ways in
565 which AMU in the dairy industry can be improved.

566 ***Theme 4: Farmer and veterinarians' responsibility to AMR and desire to reduce AMU***

567 Prudent use of antimicrobials in livestock is reported to be the responsibility of veterinarians
568 and farmers and so both need to be aware of the impact their AMU can have (Reyher et al.,
569 2017). Within this review, 60% of studies reported on farmer and veterinarians perceived
570 responsibility to AMR. Some UK farmers expressed ownership for improving AMR (Golding
571 et al., 2019) while of the Malaysian farmers studied, their role in tackling AMR was

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572 perceived as more important by those with a larger herd size (Sadiq et al., 2018). Dairy
573 farmers studied in Germany and the Netherlands felt that meat-producing farmers were
574 responsible for agricultural AMR (Swinkels et al., 2015) and some UK and Malaysian famers
575 considered veterinarians responsible for managing the emergence of AMR (McDougall et al.,
576 2017; Sadiq et al., 2018). Research has found that this external attribution of responsibility to
577 others is a major barrier to behavioral change and must be understood, accounted for and
578 managed in policy development (Ruegg et al., 2017). These findings suggest that not all
579 farmers recognise the role they play in the development and spread of AMR.

580 Previous literature advised that enabling behavioral change requires farmers and veterinarians
581 to perceive their own personal roles and actions as efficacious and important in relation to
582 AMR (Fishbein and Cappella, 2006). Within this review many UK and Irish veterinarians
583 acknowledged their responsibility to prescribe appropriately and have a sense of ownership in
584 promoting reduced AMU (Magalhaes Sant'Ana et al., 2017; Golding et al., 2019). Some US
585 veterinarians believe that many individuals including themselves contribute to AMR
586 (Cattaneo et al., 2009) and while many Dutch veterinarians appeared to be motivated to
587 reduce veterinary AMU for public health reasons, others doubted the contribution their use
588 has on human health (Speksnijder et al. 2015). These findings suggest that although some
589 veterinarians perceive their role in AMR as important, further education to consider their own
590 practices as important to mitigate the spread of AMR is needed.

591 Many Dutch, UK and US farmers agreed that it is important to reduce AMU (Jones et al.,
592 2015; Scherpenzeel et al. 2016; Kayitsinga et al., 2017). Some UK farmers felt that people in
593 the industry would respect them for reducing their AMU (Jones et al., 2015) and Dutch
594 farmers felt they could still be a good farmer while using less antimicrobials (Scherpenzeel et
595 al. 2016). Some Dutch veterinarians also agreed that it is important to restrict livestock AMU,
596 many trusting that they can be a good veterinarian and farmers can still be good farmers with

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597 less AMU (Scherpenzeel et al. 2018). These findings suggest that farmers and veterinarians
598 are motivated by being considered “good” by their peers and industry colleagues by
599 conforming to the social norms of the industry, which may facilitate reduced AMU. Within
600 the scope of this review, few studies were found that focused on the opinion of others as a
601 facilitator to reduced AMU and so may be considered by future research.

602 Previous literature stated that veterinarians and farmers are not always aware of the public
603 health risks associated with extensive agricultural AMU and so do not always feel
604 responsible for the problematic outcomes, lowering their motivation to change their behavior
605 (Coyne et al., 2016; Ritter et al., 2017; Visschers et al., 2016). The perceived ability to reduce
606 AMU varied amongst farmers within this review. Many UK and US farmers felt they had the
607 ability to reduce AMU (Higham et al., 2018; Vasquez et al., 2019) and some Swedish farmers
608 admitted they could make more effort to reduce AMU (Fischer et al., 2019). Dutch and
609 French farmers felt reducing their AMU could be difficult and despite many veterinarians
610 feeling a responsibility to tackle AMR, some still consider prophylactic AMU necessary to
611 safeguard animal welfare (Speksnijder et al. 2015; Poizat et al., 2017). These findings show
612 that the perceived ability of farmers and veterinarians to reduce AMU is varied, combined
613 with the concern and uncertainty associated with reduced AMU reported within this review,
614 reinforces the importance of education on alternative practices to enable farmers and
615 veterinarians to confidently reduce their AMU.

616 Within this review dairy farmers in the UK and USA reported positive intentions towards
617 prudent AMU (Jones et al., 2015; Vasquez et al., 2019), while farmers and veterinarians in
618 Switzerland supported the proposal of voluntary programs to reduce AMU (Van den Borne et
619 al., 2017). Another study of Dutch veterinarians also reported a positive attitude towards
620 policy change to reduce AMU (Scherpenzeel et al. 2018). Previous literature recommended
621 that shared responsibility between farmers and veterinarians may help behavior change as

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622 there is evidence that farmers intentions to change is reinforced by mutual support from their
623 major referents which includes veterinarians and other advisors (Ellis-Iversen et al., 2010).
624 These findings suggest that the intentions of many farmers and veterinarians towards
625 reducing AMU within the dairy sector may be primarily positive. This could be beneficial for
626 the introduction of policy and programs designed to reduce AMU. Further research is
627 however necessary to reinforce this finding.

628 Based on these findings it is recommended that there is a focus on improving the education of
629 farmers and veterinarians so that they recognise the role their AMU practices can have on
630 AMR in both veterinary and human medicine, in order to heighten the responsibility they feel
631 towards the issue. There is also scope for research into how peers and colleagues of farmers
632 and veterinarians within the dairy industry can act as motivators to their desire to reduce
633 AMU.

634 ***Theme 5: The importance of the farmer-veterinarian relationship dynamic in reducing***
635 ***AMU***

636 Just over 50% of the studies included in this review reported on the farmer-veterinarian
637 relationship and the role it may play in AMU within the dairy sector.

638 ***Communication and information transfer between farmers and veterinarians.*** It has
639 been reported by several studies that veterinarians are farmers preferred information source
640 for general farming practices (Garforth et al., 2013) and AMU guidance (Visschers et al.,
641 2015, Visschers et al., 2016). Within this review the relationship and communication with
642 veterinarians were valued highly by many Dutch, German, UK and US farmers, with
643 veterinarians often identified as their most credible, reliable and influential AMU and AMR
644 information sources (Friedman et al., 2007; Jones et al., 2015; Swinkels et al., 2015; Kramer
645 et al., 2017; Golding et al., 2019). Some Dutch, German and US farmers consider

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646 veterinarians to be the most important information source for mastitis (Swinkels et al., 2015;
647 Kayitsinga et al., 2019) and veterinary recommendation and advice is considered by some
648 Peruvian, Dutch and UK farmers as their most important decision making factor (Redding et
649 al., 2014; Scherpenzeel et al. 2016; McDougall et al., 2017). Some Swedish farmers
650 highlighted the importance of a good relationship with their veterinarian, despite this many
651 reported that they only call on them when they are sure they cannot cure the animal
652 themselves (Fischer et al., 2019). Many Peruvian, UK and US famers do not always seek the
653 advice of their veterinarian before administering antimicrobials (Redding et al., 2014; Jones
654 et al., 2015; Sawant et al., 2007). It could be suggested from these findings that despite many
655 farmers perceiving their veterinarians as credible and important information sources they do
656 not always seek their advice which may be linked to the findings within this review that some
657 farmers feel veterinary fees are too expensive.

658 Veterinarians' communication experiences with farmers varied within this review. Many
659 Indian veterinarians reported that by the time a farmer seeks their help they have already tried
660 many unsuccessful treatment strategies (Chauhan et al., 2018). Despite this many Dutch
661 veterinarians reported that providing advice to farmers is increasingly becoming part of their
662 daily work (Speksnijder et al. 2015). One-on-one meetings between veterinarians and farmers
663 are considered by many US veterinarians as the most effective way to educate farmers on
664 AMR (Cattaneo et al., 2009). Despite a desire to educate farmers, it was reported that some
665 Canadian veterinarians are more likely to dispense antimicrobials over the counter to clients
666 they have regular contact with as opposed to those who they rarely meet with (Léger et al.,
667 2015). These findings suggest that many veterinarians have a desire to provide advice and
668 education to farmers however some acknowledge that they are not always farmer's first point
669 of contact. It could be suggested that veterinarians have the ability to guide farmers in

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670 reducing their AMU and encouraging them to utilise veterinary services more frequently may
671 help tackle antimicrobial misuse.

672 ***Farmer and veterinarians' perceptions of each other.*** Previous literature highlighted
673 the need to communicate the importance of restrictive AMU through information channels
674 which farmers perceive to be trustworthy (Ritter et al., 2017). Within this review many
675 Swedish and UK farmers trusted their veterinarians' AMU information and recommendations
676 (Ekakoro et al., 2018; Fischer et al., 2019; Golding et al., 2019). Some Swedish farmers
677 expressed a desire for a trust-based dialog with their veterinarian, they want their
678 veterinarians to trust in their farming competence and abilities as much as farmers trust in
679 their medical expertise (Fischer et al., 2019). Veterinarians' perceptions of their client's
680 compliance have been reported previously as a common non-clinical factor influencing
681 antimicrobial prescribing decisions, this influence has also been reported in human medicine
682 (Teixeira Rodrigues et al., 2013; Coyne et al., 2016). Some Peruvian veterinarians admitted
683 that their perception of farmers' education level and ability to understand drug attributes and
684 pathogens sometimes influenced their prescribing (Redding et al., 2013). Although many UK
685 veterinarians felt farmers follow their treatment advice some advised that if they were
686 concerned a farmer would not adhere, they would account for this when prescribing (Golding
687 et al., 2019). Dutch veterinarians reported that as they are only able to make
688 recommendations to prevent disease with no way of enforcing it, they often experienced
689 feelings of frustration with farmers because when a farmer did not follow their advice, they
690 were again confronted with sick animals (Speksnijder et al. 2015). Existing literature advises
691 that generally, veterinarians are perceived as trustworthy referents for farmers therefore it is
692 postulated that they act as the main information source on prudent AMU (Ellis-Iversen et al.,
693 2010, Speksnijder and Wagenaar, 2018). These review findings suggest that farmers trust in
694 their veterinarians for advice however some may desire that the trust is reciprocated by the

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695 veterinarians. This highlights the importance of two-way trust between farmers and
696 veterinarians and future research to increase this could improve their relationship and AMU
697 practices.

698

699 Within this review some UK veterinarians perceived differences in farmers' personalities,
700 occasionally influencing their prescribing decision and willingness to raise the topic of
701 antimicrobial stewardship. They sometimes prescribed antimicrobials to prevent awkward
702 situations noting that it takes time to develop effective relationships with farmers (Higgins et
703 al., 2017; Golding et al., 2019). Dutch and UK veterinarians were sensitive to the financial
704 pressures they feel farmers face, leaving them limited in their ability to help and hesitant to
705 increase their service tariffs (Speksnijder et al. 2015; Golding et al., 2019). This suggests that
706 not all farmers are perceived equally by veterinarians and such perceptions depend on the
707 relationship they have with their clients. Farmers and veterinarians' perceptions of each other
708 may be contributing to difficulties in reducing AMU and so future research should explore
709 this further.

710 Many Dutch veterinarians perceived that farmers are accustomed to raising their animals with
711 antibiotics (Speksnijder et al., 2015), and client habits were noted by Peruvian veterinarians
712 as a determinant of prescribing (Redding et al., 2013). Some Indian and UK veterinarians
713 perceived farmers as reluctant to change their behavior and considered changing farmers
714 AMU a challenge (Chauhan et al., 2018; Golding et al., 2019; Higgins et al., 2019).

715 Relatively new commercial dairy farmers were found by some Indian veterinarians to be
716 more open to modifying practices (Chauhan et al., 2018) and many UK veterinarians believe
717 that a key part of their role is to better educate farmers and believe that engaging with a
718 farmer and understanding their needs can impact on farmer behavior (Golding et al., 2019).

719 This suggests that according to many veterinarians, achieving behavior change amongst dairy

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720 farmers is difficult. Future research should utilise behavior change theory in an effort to
721 determine evidence-based interventions and strategies which can be aimed at both farmers
722 and veterinarians to achieve reduced AMU.

723 ***Collaboration between veterinarians and farmers.*** Research suggests that the
724 reduction of AMU requires participation from all stakeholders responsible for administering
725 antimicrobials including veterinarians, producers, and animal handlers (Salisbury et al.,
726 2002). Better animal health outcomes may be achieved by collating expert opinions, the use
727 of multi-disciplinary teams in human healthcare has been shown to improve patient outcomes
728 (Hickman et al., 2016, Mudge et al., 2016). Within this review, encouraging collaborative
729 work between veterinarians and farmers was considered an important strategy for improving
730 antimicrobial stewardship by some UK farmers and veterinarians (Golding et al., 2019). A
731 collective responsibility amongst stakeholders was also seen by Irish veterinarians as having
732 the potential benefit of limiting individual stakeholder ownership of the AMR problem
733 (Magalhaes Sant'Ana, 2017). Despite a desire to work collectively, some UK farmers and
734 veterinarians demonstrated frustrations towards other stakeholders and colleagues, as they
735 felt that their behavior is sub optimal while others felt their own antimicrobial stewardship
736 efforts are undermined by other stakeholders and differing practices globally (Golding et al.,
737 2019). This other blaming (placing the blame for increased AMR and imprudent AMU on
738 other parties) has been observed previously by stakeholders in both human and veterinary
739 medicine (Labi et al., 2018; Nicholson et al., 2018). It has been suggested that at an
740 individual level approach, increasing the use of inclusive, one health stewardship initiatives
741 which target individual knowledge and motivations may overcome other blaming as both
742 veterinarians and farmers can feel blamed and stigmatized by others for AMR (Fynbo and
743 Jensen, 2018; Johnson et al., 2018). Despite the authors awareness that tackling AMR is a
744 One Health priority encompassing a multisectoral approach (FAO/OIE/WHO, 2017) only the

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745 practices and opinions of dairy farmers and veterinarians are considered within the scope of
746 this review. These findings suggest that farmers and veterinarians may be open to more
747 collaborative working. It should be considered however that the relationship between farmers
748 and veterinarians and its impact on AMU is not heavily focused on by many of the studies
749 within this review and further research is needed to confirm findings.

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751 Some Danish farmers admitted that although their veterinarians would like closer
752 collaboration, their financial situation would not allow for increased veterinary services
753 (Vaarst et al., 2003) while some Dutch veterinarians also acknowledged that low tariffs for
754 veterinary services are needed to encourage farmers to utilize their services (Speksnijder et al.
755 2015). Evidence suggests that collaboration between farmers and veterinarians can be
756 beneficial in developing antimicrobial stewardship plans on farms (Van Dijk et al., 2017) and
757 drawing on a social identity approach could increase communication and collaboration
758 between the groups (Jetten et al., 2017). Promoting the importance of a common fate can
759 strengthen the shared social identity of stakeholders, and drive co-operation to achieving
760 shared goals (Gaertner et al., 1993, Turner et al., 1994). Within this review farmers and
761 veterinarians have identified each other as influencers of their decision-making processes and
762 as having the ability to limit and facilitate reduced AMU. These findings make it credible that
763 future research should focus on enabling farmers and veterinarians to work together
764 confidently and effectively to achieve their common goal of reduced AMU on dairy farms.

765 This research has found that communication, individual perceptions, and trust in one another
766 contribute to the working relationship between farmers and veterinarians. Certain aspects of
767 these relationships have been highlighted by some as having the potential to limit or facilitate
768 reduced AMU. Future research should aim to promote more frequent utilisation of veterinary
769 services by farmers. Attempts to build the trust farmers and veterinarians have in one another

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770 is recommended in order to strengthen their relationships by overcoming inaccurate
771 perceptions they may have of each other. Habits were highlighted as a potential reason why
772 farmers do not always consult veterinarians for advice and so future research applying
773 behavior change theory to farmer and veterinarian behavior is suggested to help overcome
774 behavioral habits and result in reduced AMU. Overall it is suggested that future research into
775 the relationship between farmers and veterinarians is necessary in order to highlight areas
776 which can be targeted to strengthen their relationships and promote collaboration to enable
777 them to work together effectively to reduce AMU.

778 *Strengths & Limitations*

779 This research had a number of strengths and limitations. This review for the first time to the
780 best of our knowledge systematically combined the existing literature exploring dairy
781 farmers' and veterinarians' knowledge and awareness of AMR as well as their attitudes
782 towards and perceptions of their AMU. This has extended previous research findings by
783 summarising and comparing the literature from a broad range of study designs (interviews,
784 surveys, focus groups), synthesised their primary findings and reviewed evidence supporting
785 the findings. This review has identified potential links between previous study findings,
786 providing scope for further research and potential avenues for promoting reduced AMU
787 within the dairy sector. Despite the reasonable number of studies used in this review (n=35),
788 almost all were conducted in developed countries limiting the generalizability of the results
789 on a global scale. The findings of the majority of studies were typically self-reported and so
790 caution should be noted with regard to social desirability of the findings. The review has
791 combined studies carried out over a broad period of time and so data reported by some of the
792 studies may not necessarily reflect the current practices, attitudes, knowledge, and
793 perceptions of participants.

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794 ***Recommendations for future research***

795 This review has identified gaps and scarcities in previous research investigating drivers of
796 AMU within dairy cattle. While many reasons for farmer's AMU have been highlighted
797 within this review, some factors although quoted less frequently may provide a deeper insight
798 into the motives behind farmer's AMU. The impact of herd size on farmer's perceptions of
799 their AMU practices and its contribution to AMR remains relatively unknown and so further
800 research in this area may provide a better indication as to how best to promote behaviour
801 change with specific farming groups. There is a need for further research investigating the
802 relationship dynamic between farmers and veterinarians and how it can be enhanced to
803 implement reduced AMU on dairy farms. The perceptions farmers and veterinarians have of
804 one another, in addition to the trust they have in each other remains relatively unexplored and
805 may provide key insights into just how much this relationship may influence on farm AMU.
806 A deeper understanding of this relationship may help facilitate a collaborative effort between
807 farmers and veterinarians to tackle the global issue of AMR by reducing their use of
808 antimicrobials in dairy cattle.

809 **CONCLUSIONS**

810 The knowledge, awareness and perceptions of dairy farmers and veterinarians regarding
811 AMU and AMR varied between studies. Awareness of prudent AMU practices does not
812 appear to be uniform amongst farmers and veterinarians within the dairy farming industry
813 globally. Increasing awareness of AMR may increase farmers and veterinarians perceived
814 individual responsibility to reducing their AMU to tackle AMR. Many factors determine the
815 decision to use antimicrobials on dairy farms of both farmers and veterinarians which include
816 animal welfare, time constraints and labour requirements. Addressing the perceived barriers
817 to reducing AMU may alter farmers' and veterinarians' decision-making and education on

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818 antimicrobial alternatives may increase their perceived ability to reduce their AMU. The
819 relationship between farmers and veterinarians and their perceptions of each other can be
820 considered as both a barrier and a facilitator to prudent AMU on dairy farms depending on
821 their perceived relationship dynamic. As a trusted and valuable information source for many
822 farmers, veterinarians can play a vital role in educating farmers on AMR and promoting
823 antimicrobial alternatives to reduce on farm AMU. By altering their perception of their
824 clients, veterinarians may be able to act more successfully as antimicrobial stewards to reduce
825 on farm AMU, while maintaining a good relationship with farmers. Many farmers and
826 veterinarians may be open to collaboration with each other in order to achieve prudent AMU
827 and encouraging collaboration between these two key stakeholders is expected to create a
828 shared responsibility to tackling their common goal of reducing AMU within the dairy sector.
829 Behavior change theory should be applied to investigations of dairy farmer and veterinarians
830 AMU behaviors in order to effectively overcome barriers and elicit behavior change to
831 achieve reduced AMU.

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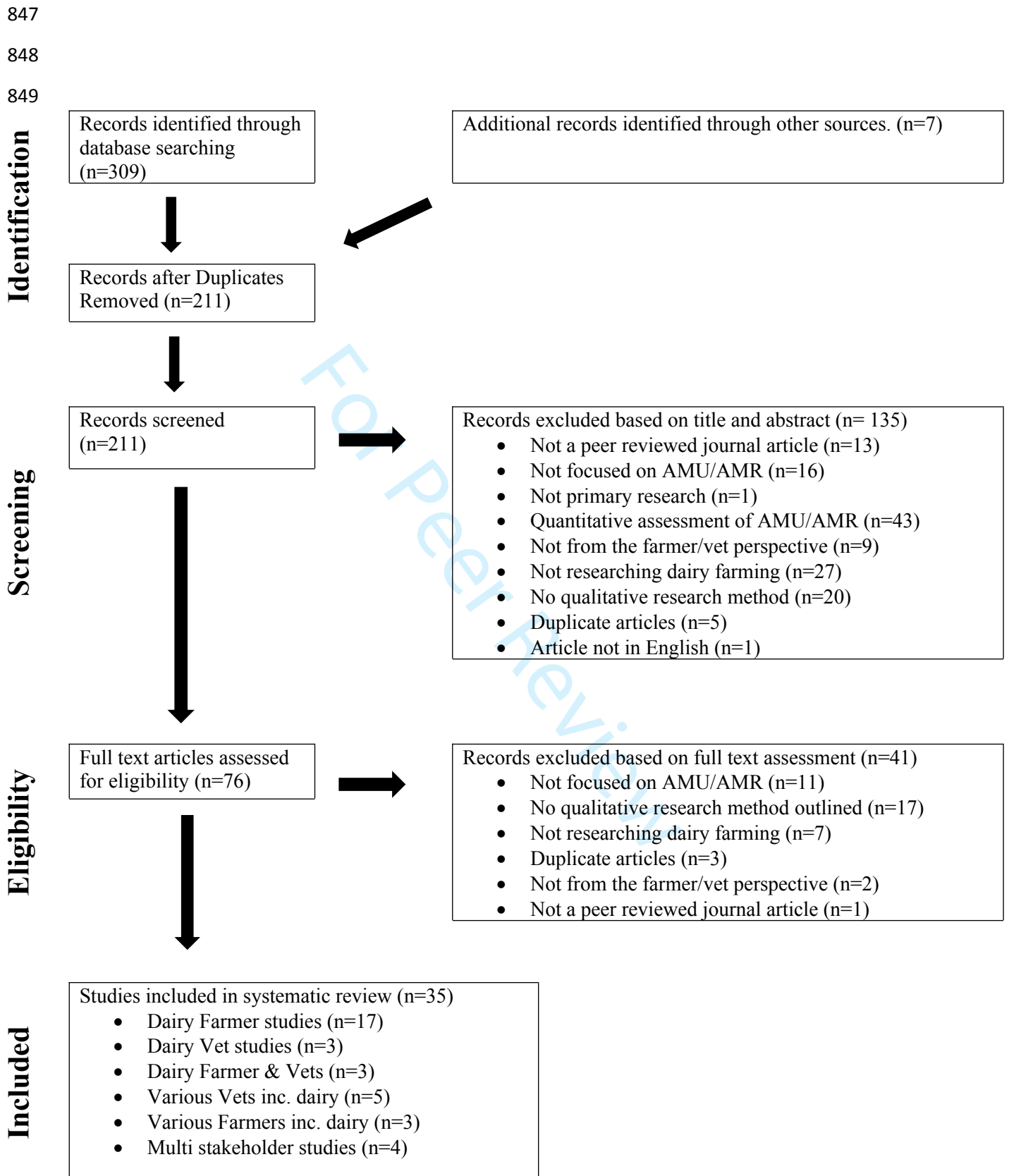
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846 Farrell, Figure 1



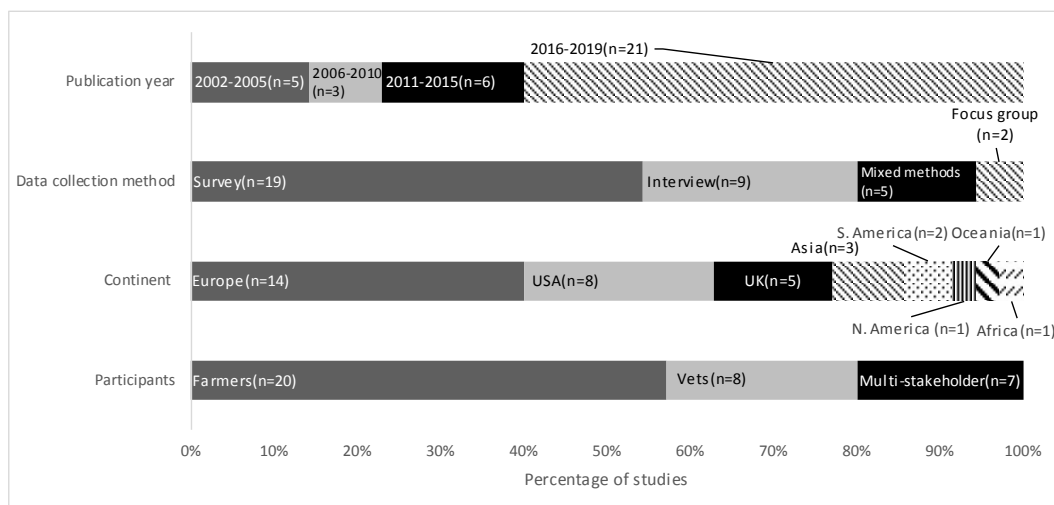
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852 Farrell, Figure 2

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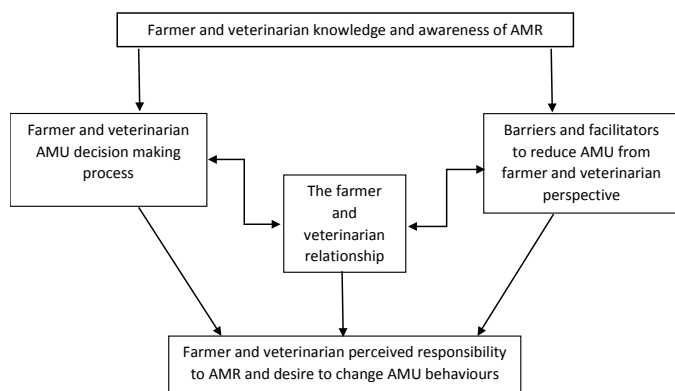
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872 Farrell, Figure 3

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898 **Figure captions**

899 Figure 1: PRISMA flow diagram of literature review process for studies on dairy farmer and
900 veterinarians' current practices, attitudes & perceptions of AMR and reducing AMU.

901 Figure 2: Summary of review study characteristics (n=number of studies)

902 Figure 3: Overview of key themes identified through thematic analysis

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For Peer Review

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