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Exacerbation Profile and Risk Factors in a T2-Low Severe Asthma Population

Sub-title: Exacerbation profile in T2-low severe asthma

PJ McDowell PhD, Busby J PhD, Hanratty CE PhD, Djukanovic R MD, Woodcock A MD, Walker S PhD, Hardman TC PhD, Arron JR PhD, Choy DF PhD, Bradding P MD, Brightling CE FMedSci, Chaudhuri R MD, Cowan D MD, Mansur AH MD, Fowler SJ MD, SE Diver PhD, Howarth P MD, Lordan J MD, Menzies-Gow A MD, Harrison T MD, Robinson DS MD, Holweg CTJ PhD, Matthews JG MD, Pavord ID FMedSci, Heaney LG MD, on behalf of the investigators for the MRC Refractory Asthma Stratification Program*

Centre for Experimental Medicine, School of Medicine, Dentistry and Biomedical Sciences, Queen's University, Belfast, UK (Prof L Heaney MD, J Busby PhD, CE Hanratty PhD, PJ McDowell);

23andMe, Sunnyvale, California, USA (J Matthews MD);

Gartnavel General Hospital, Glasgow and University of Glasgow, Glasgow, UK (Prof R Chaudhuri MD);

Oxford Respiratory NIHR BRC, Nuffield Department of Medicine, The University of Oxford, Oxford, UK (Prof ID Pavord FMedSci);

Niche Science & Technology Ltd., Unit 26, Falstaff House, Bardolph Road, Richmond TW9 2LH, UK (TC Hardman PhD);

Genentech Inc., South San Francisco, California, USA (JR Arron MD, CTJ Holweg PhD, DF Choy BS);

Department of Respiratory Sciences, Institute for Lung Health and Leicester NIHR Biomedical Research Centre, University of Leicester, Leicester, UK (Dr SE Diver, Prof P Bradding DM, Prof C Brightling PhD);

NHS Greater Glasgow and Clyde, Stobhill Hospital, Glasgow, UK (D Cowan MD);

School of Clinical and Experimental Sciences, University of Southampton, NIHR Southampton Biomedical Research Centre, Southampton, UK (Prof R Djukanovic MD, Prof PH Howarth MD);

Nottingham Respiratory NIHR Biomedical Research Centre, University of Nottingham, Nottingham, UK (Prof TW Harrison MD);

Division of Infection, Immunity and Respiratory Medicine, School of Biological Sciences, The University of Manchester; Manchester Academic Health Science Centre and NIHR Manchester Biomedical Research Centre, Manchester University Hospitals NHS Foundation Trust, Manchester, UK (Prof A Woodcock MD, SJ Fowler MD);

The Newcastle upon Tyne NHS Foundation Trust, Newcastle upon Tyne, UK (JL Lordan MD);

University of Birmingham and Heartlands Hospital, University Hospitals Birmingham NHS Foundation Trust, Birmingham, UK (Prof AH Mansur PhD);

Royal Brompton & Harefield Hospitals, London, UK (Prof A Menzies-Gow MD);

University College Hospitals NHS Foundation Trust, London, UK (Prof D Robinson MD);

Asthma UK & British Lung Foundation Partnership, 18 Mansell Street, London, UK (SM Walker PhD).

Corresponding Author:

Liam G Heaney

Centre for Experimental Medicine, School of Medicine, Dentistry and Biomedical Sciences,
Queen's University,
Belfast, UK.

Email: l.heaney@qub.ac.uk

Tel: +44 (0) 28 9097 6376

PJ McDowell, PhD, ORCID 0000-0003-2063-7992

Hanratty CE, PhD, ORCID 0000-0003-2453-6021

Djukanovic R, MD, ORCID 0000-0001-6039-5612

Woodcock A, MD, ORCID 0000-0002-5428-8578

Hardman TC, PhD , ORCID 0000-0002-1417-9019

Arron JR, PhD, ORCID 0000-0001-7677-9979

Bradding P, MD, ORCID 0000-0001-8403-0319

Brightling CE, FMedSci, ORCID 0000-0002-9345-4903

Chaudhuri R, MD, ORCID 0000-0001-8007-949X

Mansur AH, MD, ORCID 0000-0002-8615-8778

SE Diver, PhD, ORCID 0000-0002-5803-5121

Menzies-Gow A, MD, ORCID 0000-0001-9707-4986

Pavord ID, FMedSci, ORCID 0000-0002-4288-5973

Heaney LG, MD, ORCID 0000-0002-9176-5564

ABSTRACT

BACKGROUND: The last 25 years have seen huge progress in understanding of the pathobiology of T2 asthma, identification of measurable biomarkers and the emergence of novel monoclonal antibody treatments. Although present in a minority of severe asthmatics, very little is known about the mechanisms underlying T2-low asthma, making it a significant unmet need in asthma research.

METHODS: Exacerbation assessment was a pre-specified secondary analysis of data from a 48-week, multicentre, randomised controlled clinical study comparing the use of biomarkers and symptoms to adjust steroid treatment in a T2-low severe asthma-enriched cohort.

Participants were phenotyped as T2^{LOW} (fractional exhaled nitric oxide [FeNO] \leq 20 ppb and blood eosinophil count [PBE] \leq 150 cells/ μ L) or T2^{HIGH} (FeNO >20 or PBE >150) at study enrolment and at each exacerbation. Here, we report the findings of the exacerbation analyses, including comparison of exacerbators and non-exacerbators, the physiological changes at exacerbation in those who had evidence of T2 biology at exacerbation versus those that did not, and the stability of inflammatory phenotypes when stable and at exacerbation.

RESULTS: Of the 301 participants, 60.8% (183/301) had one or more self-reported exacerbations (total of 390). Exacerbators were more likely to be female, have a higher BMI and more exacerbations requiring oral corticosteroid (OCS) and unscheduled primary care attendances for exacerbations.

At enrolment, 23.6% (71/301) were T2^{LOW}, and 76.4% (230/301) T2^{HIGH}. The T2^{LOW} group had more asthma primary care attendances, were more likely to have a previous admission to HDU/ICU and to be receiving maintenance OCS.

At exacerbation the T2^{LOW} events were indistinguishable from T2^{HIGH} exacerbations in terms of lung function (mean fall in T2^{LOW} FEV₁ - 200 [400] mL v T2^{HIGH} 200 [300] mL, p=0.93) and symptom increase (ACQ5: T2^{LOW} 1.4 [0.8] v T2^{HIGH} 1.3 [0.8], p=0.72), with no increase in T2 biomarkers from stable to exacerbation state in the T2^{LOW} exacerbations.

The inflammatory phenotype within individual patients was dynamic; inflammatory phenotype at study entry did not have a significant association with exacerbation phenotype.

CONCLUSION:

Asthma exacerbations demonstrating a T2^{LOW} phenotype were physiologically and symptomatically similar to T2^{HIGH} exacerbations. T2^{LOW} asthma was an unstable phenotype, suggesting that exacerbation phenotyping should occur at the time of exacerbation. The clinically significant exacerbations in participants without evidence of T2 biology at the time of exacerbation highlights the unmet and pressing need to further understand the mechanisms at play in non-T2 asthma.

Key words: severe asthma; T2-Low; Exacerbation

6 INTRODUCTION

7 Patients with inadequately controlled severe asthma despite optimised controller therapies shoulder
8 much of the disability, economic burden and health care consumption attributed to asthma [1–5].

9 Exacerbation events that result from type-2 (T2) cytokine-driven eosinophilic inflammation are an
10 important aspect of poor asthma control and T2 biomarkers (peripheral blood eosinophils [PBE], and
11 fractional exhaled nitric oxide [FeNO]) serve well as predictors of exacerbation risk [6–9]. Despite the
12 involvement of cytokine-driven eosinophilic inflammation in exacerbations, patients with well-
13 suppressed T2 biology, including those receiving T2-suppressing biological agents, continue to
14 experience exacerbations [9–14].

15 A proportion of patients in severe asthma clinics are characterised as having non-eosinophilic, ‘type-
16 2 low’ asthma; a phenotype often associated with smoking, obesity and recurrent or persistent
17 bacterial infection. Recent studies suggest that in many cases, patients with apparent ‘type-2 low’
18 severe asthma, expressing with low disease biomarkers (FeNO and PBE), also have underlying type-2
19 biology suppressed by corticosteroids administered in response to their poor symptom control [15–
20 17]. Ongoing corticosteroid suppression serves to confound our understanding of disease in this
21 patient group [18–20].

22 A recent trial comparing biomarker versus symptom-based treatment titration in severe asthmatics
23 enrolled a population of patients with a FeNO of less than 45ppb to enrich for a cohort that included
24 a substantial T2 low population [21]. A prespecified secondary endpoint was analysis of asthma
25 exacerbations. Here we report the findings of the exacerbation analysis in this T2-low enriched
26 severe asthma cohort, including comparison of study exacerbators and non-exacerbators, the
27 physiological changes at exacerbation in those who had evidence of T2 biology at exacerbation
28 versus those that did not, and the stability of inflammatory phenotypes when stable and at
29 exacerbation.

30 METHODS

31 *Study design*

32 This was a 48-week, multicentre, randomised controlled clinical study in severe asthma, where the
33 primary objective was to compare a treatment algorithm led by composite type-2 biomarkers (FeNO,
34 blood eosinophils and serum periostin) with a symptom/risk-based algorithm to optimise the
35 maintenance dose of corticosteroids (see **Supplementary Appendix** for details on the patient
36 inclusion and exclusion criteria) [15]. Comparison of exacerbation rates between the treatment
37 algorithms was an important pre-specified secondary outcome.

38 Participants were recruited from 12 specialist Severe Asthma Centres in the United Kingdom
39 between 8th January 2016 and 12th July 2018 (see **Supplementary Appendix**), with the last study visit
40 on 18th June 2019. Full inclusion and exclusion criteria are presented in the supplementary appendix,
41 but of note, all enrolled participants had severe asthma (Global Initiative for Asthma (GINA) steps 4
42 and 5) [2], were aged 18–80 years old and were enrolled in a non-selective manner. Inclusion criteria
43 included a documented history of $\geq 12\%$ change in forced expiratory volume in 1 second (FEV₁)
44 within the last 24 months, or a positive methacholine or mannitol challenge, and no ‘rescue’ oral
45 corticosteroids (OCS) for an exacerbation in the 4 weeks prior to enrolment. The study aimed to
46 enrich for a T2 biomarker low population within the cohort, so patients only proceeded to study
47 enrolment if they had a fractional exhaled nitric oxide (FeNO) of <45 ppb at the screening visit.

48 All participants had one scheduled study visit at study entry, and all other study visits were
49 unscheduled exacerbation study visits. All participants were asked to contact their study centre for
50 clinical assessment (unscheduled exacerbation study visit) when their asthma control deteriorated
51 to a level where they would usually seek medical advice or activate their personalised asthma action
52 plan (PAAP). During these unscheduled visits, detailed clinical evaluation, medication review and
53 spirometry were performed, asthma control questionnaire-7 (ACQ-7) completed, and FeNO,
54 peripheral blood eosinophils (PBE), and serum C-reactive protein (CRP) measured. A urine sample
55 and a spontaneous sputum sample for differential cell counting and biobanking of the supernatant
56 were collected where feasible. An exacerbation was defined as ‘severe asthma symptoms worsening
57 outside of a patient’s normal daily variation’ and following assessment, a clinical decision was
58 provided by the clinical team on the need to prescribe oral corticosteroids (OCS) and/or antibiotic

59 therapy. Where participants were unable to attend the clinical centre for this unscheduled study
60 visit, exacerbation information was collected at the subsequent scheduled study visit, which
61 occurred every 8 weeks from the time of enrolment until study completion at 48 weeks.

62 The inflammatory phenotype was attributed contemporaneously at each study visit, (at baseline and
63 at each exacerbation), using peripheral biomarkers of T2 inflammation, namely FeNO and PBE.
64 Biomarker cut-points similar to those described by the GINA guidelines were used to describe
65 phenotypes; a FeNO ≤ 20 ppb *and* PBE $\leq 0.15 \times 10^9/L$ was termed 'T2^{LOW}', and FeNO >20 ppb *or* PBE
66 $>0.15 \times 10^9/L$, termed 'T2^{HIGH}' to indicate the presence of detectable T2 biology [2].

67 As this was an observational analysis, all outcome measures were treated as exploratory.

68 The study protocol and primary study outcome have been previously published [15, 21]. Prior to
69 participant recruitment, the protocol was approved by the Office for Research Ethics Northern
70 Ireland (NI0158), local National Health Service Research and Development approval obtained for
71 each site (NHS R&D) and the study registered on Clinicaltrials.gov (NCT02717689). All participants
72 provided informed, written consent.

73 *Procedures*

74 Spirometry was conducted according to the American Thoracic Society/European Respiratory Society
75 guidelines, with Global Lung Function 2012 (GLI 2012) equations used to calculate FEV₁ and forced
76 vital capacity (FVC) predictive values [22]. NIOX Vero devices (Circassia, USA) were used for FeNO
77 measurement. Spontaneous sputum samples were collected and processed in line with a standard
78 operating procedure applied across all centres; RNA was extracted and underwent analysis following
79 polymerase chain reaction (PCR) using LightCycler® 480 II instrumentation (Roche Molecular
80 Diagnostics) to test for respiratory viruses including influenza A/B, respiratory syncytial virus A/B,
81 rhinovirus, metapneumovirus, adenovirus, parainfluenza 1-4, and coronavirus.

82 Bacterial load within the DNA extracted from sputum plugs was measured by quantitative PCR
83 (qPCR), using ThermoFisher Quantstudio 5, based on abundance of 16S ribosomal subunit encoding
84 genes and pathogen specific genes including *Moraxella catarrhalis*, *Haemophilus influenzae*, and

85 *Streptococcus pneumoniae* [23]. We used a specific bacteria threshold of $\geq 10^6$ genome copies/mL on
86 sputum qPCR as significant as this threshold of detection had a 98% concordance with bacteria
87 detection on routine culture and was associated with an increased percentage sputum neutrophils in
88 COPD exacerbations [24].

89 *Statistical analysis*

90 As this was a pre-specified secondary analysis of existing data, no sample size calculation was
91 conducted. Depending on distribution, descriptive statistics are presented as means (SD), medians
92 [IQR] or counts (%). Univariate analyses were conducted using t-tests, chi-square tests and Mann-
93 Whitney U as appropriate. To ensure that bias wasn't introduced as a consequence of repeated
94 exacerbations in an individual participant, each participant's first exacerbation was used when
95 comparing inflammatory phenotypes in the cohort.

96 The stability of the exacerbation phenotype across multiple exacerbations in an individual patient
97 was assessed using McNemar's test and the Kappa statistic. Data for assessed exacerbations were
98 collected prospectively during exacerbations and there were few missing data. Therefore, all
99 analyses under a complete-case framework. Analyses were conducted using STATA 16 (StataCorp,
100 Texas, USA).

101

102 **RESULTS**

103 Demographics and baseline clinical descriptors for the study cohort are presented in the
104 **Supplementary Appendix Table E1**. This cohort were generally middle aged (mean (SD) 55.7 (13.1)
105 years), with a female preponderance (64.5%) and high BMIs (31.6 (7.2) Kg/m²). During the 12 month
106 period prior to enrolment patients reported a median [IQR] of 2 [1,4] exacerbations; one fifth had a
107 history of ICU admission and their symptom-burden and quality of life impairment were high,
108 respectively judged by ACQ-7 and AQLQ patient reported outcomes.

109 *Clinical and biomarker characteristics of exacerbating patients*

110 301 study participants reported a total of 390 exacerbation events, with 60.8% (183/301)
111 experiencing ≥ 1 exacerbation (**Figure 1 and Table E1 supplement**). Baseline characteristics of those
112 who exacerbated and those who did not are shown in the **Table 1**. When compared to non-
113 exacerbators, patients who exacerbated during the study were more likely to be female [70.5% vs.
114 55.1%], have a higher BMI [33.1 kgm⁻² vs. 29.4 kgm⁻² on enrolment] and had more frequent
115 exacerbations requiring OCS and unscheduled primary care attendances for exacerbations in year
116 prior to the study (**Table 1**). Those who exacerbated were more likely to be receiving maintenance
117 OCS and had a lower sputum eosinophil count at study entry, though other T2 biomarkers (PBE,
118 FeNO or serum periostin) were not different. There was no difference between the exacerbators
119 and non-exacerbators in the proportion of patients who reduced (24.4% vs. 29.3%), maintained
120 (39.6% vs. 38.4%) or increased (36.0% vs. 32.3%) their steroid dose (OCS / ICS) during the study
121 (p=0.66).

122 When phenotyping at study enrolment, 23.6% (71/301) of participants met the criteria for T2^{LOW} and
123 76.4% (230/301) had evidence of T2 biology (T2^{HIGH}) (**Supplementary Appendix, Table E2**). The T2^{HIGH}
124 group had more obstructive lung function, higher sputum eosinophil count, higher periostin levels
125 and a higher incidence of nasal polyposis. The T2^{LOW} group had more primary care attendances for
126 asthma and were more likely to have had an ICU or HDU admission for asthma. There was no
127 difference in symptom burden (ACQ7: 2.1 vs. 1.9) or impact on quality-of-life (AQL: 4.7 vs. 5.0)
128 between the T2^{LOW} and T2^{HIGH} cohorts. A higher proportion of the T2^{LOW} cohort were receiving

129 maintenance OCS (34 (47.9%) v 77 (33.5%), $p=0.03$), at a higher dose (10 mg [10, 12] v 8 mg [5, 10],
130 $p=0.04$) than the T2^{HIGH} cohort, and were significantly more likely to be advised to down-titrate their
131 CS usage as per the biomarker treatment strategy within the study.

132 A total of 118 exacerbation events (118/390, 30%) in 80 patients were assessed by study clinicians
133 during unscheduled study visits (**Figure 1**). There was no evidence that the unassessed exacerbations
134 differed in severity to those that were assessed, with a similar proportion of patients admitted to
135 hospital during the event (8 of 118 [6.8%] vs. 23 of 272 [8.5%], $p>0.5$). As has been described in
136 previous exacerbation studies [20], patients with unassessed exacerbations who followed their PAAP
137 or had non-specialist assessment, were more likely to receive rescue OCS than those treated by
138 asthma specialists during an unscheduled study visit (265 of 272 [97.4%] vs. 84 of 118 [71.2%],
139 respectively; $p<0.0001$) or antibiotics (145 of 272 [53.3%] vs. 45 of 118 [38.1%], respectively;
140 $p=0.0059$). In patients assessed by asthma specialists, those who did not receive OCS had a lower
141 FeNO compared to those who received OCS ((15 ppb [IQR: 10, 23]) vs. 28 ppb [IQR: 14, 45],
142 respectively $p=0.001$). Thirty-four patients did not receive OCS during an unscheduled study visit,
143 and of these, only four (11.8%) went on to have systemic CS from another source (General
144 Practitioners) within the following 7 days to address persistent or worsening symptoms (treatment
145 failure).

146 *Clinical and biomarker characteristics during exacerbations*

147 At first assessed exacerbation for each patient, 27% (19/71) exacerbations were phenotyped as
148 T2^{LOW} and 73% (52/71) of exacerbations as T2^{HIGH} (**Table 2**). The exacerbations in both groups looked
149 clinically similar with comparable increase in symptoms, fall in FEV₁ (mean ~200 mL), decline in FVC,
150 FEV₁/FVC and peak flow from study entry. T2^{HIGH} had a greater increase in FeNO and PBE from study
151 entry to time of exacerbation compared to the T2^{LOW} cohort who had no associated increase in any
152 T2 biomarkers from baseline, despite the similar physiological changes and symptom increase.

153 Of the first assessed exacerbation episodes for each patient, 26 of 71 (33%) produced spontaneous
154 sputum and had a cell differential: 7/26 (27%) had a sputum eosinophil count <2% and neutrophil
155 count $\geq 65\%$; 6/26 (23%) had a sputum eosinophil count <2% and neutrophil count <65%; 4/26 (15%)

156 a sputum eosinophil count $\geq 2\%$ and neutrophil count $\geq 65\%$ and 9/26 (35%) had a sputum eosinophil
157 count $\geq 2\%$ and neutrophil count $< 65\%$. Sputum eosinophils were higher in the T2^{HIGH} group, with the
158 T2^{HIGH} group showing a median 2.9% (IQR: -3.8, 10.3) increase in sputum eosinophils from the stable
159 to exacerbation state. The T2^{LOW} group had a greater number of sputum samples positive for virus at
160 exacerbation, and all of the T2^{LOW} cohort had a virus or bacteria detected on qPCR above threshold,
161 however, there was no concomitant elevation of the sputum neutrophil count or serum CRP
162 (described in **Table 2**). There was no significant difference between the T2^{LOW} and T2^{HIGH} cohorts with
163 regards to systemic corticosteroids (CS) or antibiotics prescribed by the asthma team.

164 Within the cohort who were T2^{LOW} at study entry, those who went on to exacerbate had a higher
165 number of primary care attendances for asthma prior to study entry, more OCS rescue courses in the
166 previous year and higher baseline ACQ-7 score compared to those who did not exacerbate (**Table 3**).
167 Comparing T2 status at baseline (when stable) and exacerbation, 45/75 (60%) of patients had T2
168 biology detectable at baseline and exacerbation. T2 biology was also evident during exacerbation in
169 11/17 (65%) who were T2^{LOW} at study entry. The observed change in exacerbation phenotype may
170 be related to changes in corticosteroid exposure, as 5/7 who were T2^{LOW} at baseline and
171 subsequently reduced the dose of CS demonstrated a T2^{HIGH} phenotype at exacerbation (see
172 **Supplementary Appendix Table E3**). However, further analysis showed the inflammatory phenotype
173 at study entry was not associated significantly with the phenotype at exacerbation ($p=0.84$,
174 $\kappa=0.12$), **Table 4a**). Inflammatory phenotype at first exacerbation was not associated
175 significantly with the phenotype at secondary exacerbation ($p=1.00$, $\kappa=0.19$), **Table 4b**).

176 177 **DISCUSSION**

178 The advent of biologic therapies targeting the T2-cytokine axis has reduced the frequency of asthma
179 exacerbations in patients with severe asthma with an underlying T2-driven eosinophilic phenotype
180 [9–12]. Under these conditions, T2 biomarkers (FeNO and PBE) have been shown to perform well
181 both as prognostic biomarkers for exacerbation events (as shown in placebo arms of clinical trials)
182 and as predictors of good therapeutic responses to T2 biologic therapies. The RASP-UK study
183 population for the present analysis was purposefully enriched for patients with severe asthma

184 expressing a T2 biomarker low phenotype, within a clinical setting where residual exacerbation
185 events represent an unmet medical need as the mechanisms are poorly understood. In this cohort,
186 T2-biomarkers (FeNO, PBE and serum periostin) at randomisation were not prognostic for the
187 frequency of exacerbation events, an observation consistent with mechanisms other than type-2
188 inflammation being responsible. Baseline sputum eosinophil counts were significantly lower in
189 patients who went on to have an exacerbation, but as this biomarker was only obtained in
190 approximately one third of patients at baseline (which is a common limitation when using sputum as
191 source of a biomarkers), we cannot exclude some selection bias for sputum eosinophil counts in this
192 subgroup. However, the biomarker measurement data appear to confirm recruitment of an
193 exacerbation prone T2-biomarker low non-eosinophilic population as planned for the study.

194 In the present study, poor asthma symptom control, female sex, obesity, restrictive lung function
195 and multiple unscheduled prior healthcare visits for exacerbation events in the prior year to study
196 emerged as clinical factors associated with patients experiencing exacerbations. Poor asthma control
197 and prior exacerbation history has consistently been associated with exacerbation risk and
198 specifically in heavily treated severe asthma populations [25, 26].

199 The association between higher symptom burden and higher exacerbation rate was also seen in the
200 MEX study, which examined exacerbation phenotype in patients with severe eosinophilic asthma
201 established on mepolizumab [20], this association between higher symptom burden and higher pre-
202 biologic exacerbation rates was also seen in those patients who went on to exacerbate while being
203 treated with mepolizumab, suggesting that these factors are important for future risk despite
204 significant background treatment. There may be a smaller 'window' for symptom deterioration in
205 these highly symptomatic patients with severe asthma, prior to them self-identifying asthma
206 deterioration, as they more easily cross a threshold, whereby they revert to their personal action
207 plan and seek medical intervention.

208 The interaction between female sex, obesity and severe asthma needs further analysis but
209 importantly the obese female phenotype has been described previously in severe asthma cohorts,
210 and this group is often T2 biomarker low with a high symptom burden despite high dose ICS and

211 systemic CS [15, 26–28]. These data extend our knowledge for this high symptom burden clinical
212 group, identifying them (both retrospectively and prospectively) as being particularly exacerbation
213 prone. Defining the relationship between these clinical parameters and risk of exacerbation is key to
214 understanding the underlying mechanism.

215 Those patients who self-treated according to their asthma plan or were seen in primary care almost
216 always received systemic CS (97.4%), whereas those assessed by treating clinicians with broader
217 access to clinical assessments, specifically FeNO measurement (as blood eosinophil data would not
218 be available at the time of consultation) were less likely to receive these (70%). The similarity in
219 rates of hospitalisation for assessed and unassessed exacerbations suggests that the exacerbation
220 severity in both groups was similar. However, interpretation of the present data is limited by the
221 absence of markers of exacerbation severity in patients not attending clinical assessments, such as
222 symptom diary and peak flow measurements. Although the study did not mandate that FeNO be
223 used to decide on prescription of systemic CS, mean FeNO was significantly lower in those not
224 receiving OCS, and it is possible that this easily measured biomarker was being used to determine
225 the perceived requirement for OCS during exacerbations. Importantly, there was a low observed
226 incidence of treatment failure (defined as requirement for OCS or hospital admission in the 7 days
227 after clinical assessment) when OCS were not prescribed after study clinical assessment. This fits
228 with the findings of a recent study with mepolizumab (and assumed PBE suppression), FeNO
229 measurement emerged as a useful means of discriminating between eosinophilic and non-
230 eosinophilic events, with the latter more likely to be infection driven [20]. An important clinical
231 consideration is the nature of all exacerbation events and specifically whether treatment with OCS is
232 an appropriate treatment in T2 biomarker low events. Describing the clinical and demographic
233 features associated with the T2 biomarker low frequent exacerbator phenotype will allow these
234 patients to be better identified, and given the potential harm caused by regular systemic CS
235 exposure, we believe that the routine use of systemic CS for all exacerbation events in severe
236 asthma requires further study and that clinical assessment and biomarker measurement may be
237 helpful in making more targeted treatment choices. This hypothesis, and specifically the use of FeNO

238 to guide therapeutic use of OCS during exacerbation needs to be formally tested via controlled
239 clinical trials.

240 We were particularly interested in the T2^{LOW} phenotype in this cohort and wished to identify clinical
241 and demographic features associated with exacerbation events. Importantly, the T2^{LOW} events had a
242 similar increase in symptoms and fall in lung function compared to those in the T2^{HIGH} population,
243 suggesting that the T2^{LOW} events are clinically significant, albeit indistinguishable on the basis of
244 symptoms or lung function. In contrast, measurement of T2 biomarkers showed greater increases in
245 FeNO and PBE from baseline values at the time of exacerbation. In contrast, the T2 T2^{LOW} cohort
246 showed no increase in any T2 biomarker expression, despite similar physiological changes and
247 symptom increase.

248 A limitation of the current study was the use of spontaneous rather than induced sputum measures,
249 meaning that only a third of patients produced a spontaneous sputum sample at exacerbation.
250 Peripheral biomarkers (PBE and FeNO) were used primarily to determine T2 status, however, as
251 anticipated, the T2^{LOW} cohort as defined by FeNO and PBE, were non-eosinophilic on sputum
252 cytology, whereas the T2-Biology cohort had sputum eosinophilia, consistent with the use of
253 composite T2 biomarker profiling with FeNO and PBE as a surrogate of eosinophilic airways
254 inflammation [29,30]. Exacerbations with sputum data demonstrated a mixed inflammatory profile,
255 with evidence of eosinophilic, neutrophilic and mixed eosinophilic-neutrophilic inflammation on
256 sputum differential cell count, in keeping with previous reports of exacerbation analysis in mild-
257 moderate asthmatic patients [13, 14]. However, this contrasts with observations from the MEX
258 study, which explored exacerbation events on mepolizumab and where it was noted that
259 eosinophilic and non-eosinophilic events were predominantly mutually exclusive [20]. This
260 difference may reflect the greater PBE suppression with mepolizumab, allowing for clearer
261 differentiation of the inflammatory phenotype. It is not yet clear whether the neutrophilic, infection-
262 driven exacerbations seen in patients treated with mepolizumab reflect natural infections,
263 immunosuppression due to biologics-induced reduction in airway eosinophils, or a broader

264 suppression of the T2-cytokine axis and anti-infective pathways from high dose inhaled
265 corticosteroids.

266 The T2^{LOW} group had a greater number of sputum samples positive for virus at exacerbation, and all
267 of the T2^{LOW} cohort had a virus or bacteria detected on qPCR above threshold, although did not have
268 a concomitant elevated sputum neutrophil count or CRP. The proportion of exacerbations with
269 bacteria identified was similar between both groups at exacerbation, however, the number of
270 sputum samples available at exacerbation are a limiting factor for drawing conclusions on the role of
271 infectious agents being drivers of T2^{LOW} exacerbations. The dynamic nature of inflammatory
272 phenotype seen between the different study visits, (from stable to exacerbation, and from one
273 exacerbation to the next in the same individual), is in keeping with descriptions in the literature
274 [20,31].

275 The need to elicit the pathways underlying these T2^{LOW} exacerbations is a prerequisite to
276 establishing effective treatments, and minimising the unwanted side effects of treatments that are
277 known to have limited effectiveness, i.e. the use of OCS in T2^{LOW} exacerbations and asthma
278 management more generally. Within the T2^{LOW} cohort, exacerbation risk during the study, was
279 related to higher primary care asthma attendances and rescue systemic CS courses in the previous
280 year, and a higher ACQ-7 score suggesting that these parameters are consistently associated with
281 exacerbation risk in all patients with severe asthma.

282 The present study demonstrated how asthma exacerbations in patients expressing the T2^{LOW}
283 phenotype are similar physiologically and symptomatically, and occur as frequently as those with
284 underlying T2 biology. T2^{LOW} asthma emerged as an unstable phenotype that needs to be assessed at
285 the time of exacerbation. These data highlight our limited understanding of the underlying
286 pathology and lack of effective, evidence-based management strategies for asthma in the absence of
287 T2 biology, as well as the need for further mechanistic and clinical studies.

288

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Table 1. Table of baseline characteristics of study exacerbators versus non-exacerbators (N=301).

		No Exacerbations (n=118)	≥1 Exacerbation (n=183)	P-value
Number of Exacerbations				
0		118 (100%)	0 (0.0%)	
1			77 (42.1%)	
2			47 (25.7%)	
3			33 (18.0%)	
4			15 (8.2%)	
5			6 (3.3%)	
6			5 (2.7%)	
Age At Study Enrolment (Y)	N=301	56.5 (13.1)	55.2 (13.2)	0.41
Gender	N=301			
Female		65 (55.1%)	129 (70.5%)	0.0064
Male		53 (44.9%)	54 (29.5%)	
BMI (kg/m²)	N=300	29.4 (5.4)	33.1 (7.8)	<0.0001
Smoking Status	N=301			
Never Smoked		83 (70.3%)	141 (77.0%)	0.19
Ex-Smoker		35 (29.7%)	42 (23.0%)	
Atopic Disease	N=300	81 (68.6%)	126 (69.2%)	0.91
Hospital Admissions for Asthma In Last Year	N=301	0.0 (0.0,0.0)	0.0 (0.0,0.0)	0.087
ED Visits in Last Year	N=301	0.0 (0.0,0.0)	0.0 (0.0,0.0)	0.23
GP Visits for Asthma in The Last Year	N=301	0.0 (0.0,2.0)	1.0 (0.0,4.0)	<0.0001
Rescue Courses of Oral Steroids In The Last Year	N=301	1.0 (0.0,3.0)	3.0 (1.0,4.0)	<0.0001
Prior Admission for Asthma to HDU/ICU	N=301	19 (16.1%)	45 (24.6%)	0.079
Number Of Prior Admission for Asthma to HDU/ ICU	N=63	1.0 (1.0,1.0)	1.0 (1.0,3.0)	0.027
Ever Been Ventilated	N=63	6 (31.6%)	25 (56.8%)	0.066
ACQ7 Score	N=301	1.7 (1.1)	2.1 (1.1)	0.0043
AQLQ Total Score	N=291	5.1 (1.3)	4.8 (1.4)	0.039
FEV₁ (L)	N=301	2.4 (0.7)	2.0 (0.7)	0.0002
% Predicted FEV₁	N=301	80.1 (18.8)	72.5 (19.0)	0.0008
FVC (L)	N=301	3.6 (0.9)	3.1 (0.8)	<0.0001
% Predicted FVC	N=301	96.1 (16.9)	87.9 (16.1)	<0.0001
FEV₁/FVC	N=301	0.66 (0.11)	0.65 (0.12)	0.83
PEFR (L/min)	N=298	403.8 (135.7)	358.4 (119.6)	0.0026
Sputum Eosinophils (%)	N=123	2.3 (1.0,8.0)	1.0 (0.3,8.0)	0.043
Sputum Neutrophils (%)	N=123	64.8 (35.5,79.3)	58.3 (31.0,78.0)	0.67
FeNO (ppb)	N=301	22 (13,30)	19 (13,28)	0.17
Blood Eosinophils (10⁹/L)	N=301	0.20 (0.12,0.35)	0.21 (0.10,0.33)	0.39
Periostin (ng/mL)	N=298	55.2 (16.7)	51.4 (15.8)	0.052
OCS User	N=301	35 (29.7%)	76 (41.5%)	0.037
OCS Dose	N=111	10 (6,10)	10 (5,10)	0.71
~ICS Dose (BDP*)	N=301	2207 (681)	2256 (739)	0.56
T2 Status at study enrolment	N=301			
T2-LOW		24 (20.3%)	47 (25.7%)	0.29
T2-BIOLOGY		94 (79.7%)	136 (74.3%)	
'maintenance oral corticosteroid ~Inhaled corticosteroid *Beclometasone Dipropionate equivalent ^Corticosteroid				
OCS = Oral corticosteroid; CS = corticosteroid; ACQ7 = asthma control questionnaire-7; FEV ₁ = forced expiratory volume in 1 second; forced vital capacity; peak expiratory flow rate = PEFR; High dependency unit = HDU; Intensive care unit = ICU				

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Table 2. Characteristics of features of T2^{LOW} versus T2^{HIGH} exacerbations at first assessed exacerbation (n=71).

		T2-LOW (n=19)	T2-BIOLOGY (n=52)	P-value
Characteristics at study entry				
Age At Study Enrolment	N=71	56.3 (12.8)	52.9 (14.0)	0.35
Gender				
Female		15 (78.9%)	33 (63.5%)	
Male	N=71	4 (21.1%)	19 (36.5%)	0.22
BMI (kg/m ²)	N=70	35.8 (10.2)	33.5 (6.7)	0.27
Atopic Disease	N=71	15 (78.9%)	36 (69.2%)	0.42
Rescue Courses of Oral Steroids in the Last Year	N=71	3.0 (2.0,3.0)	2.5 (2.0,4.0)	0.46
Prior Admission for Asthma To A HDU/ICU	N=71	4 (21.1%)	14 (26.9%)	0.61
Number Of Prior Admission for Asthma to HDU/ICU	N=18	1.0 (1.0,1.0)	1.0 (1.0,2.0)	0.24
At exacerbation				
FEV ₁ (L)	N=70	1.7 (0.7)	1.8 (0.8)	0.64
<i>Difference from Baseline in FEV₁ (L)</i>	N=70	-0.2 (0.4)	-0.2 (0.3)	0.93
% Predicted FEV ₁	N=70	64.0 (24.1)	61.4 (17.6)	0.63
<i>Difference from Baseline in % Predicted FEV₁</i>	N=70	-8.5 (12.8)	-8.3 (11.4)	0.96
FVC (L)	N=70	1.7 (0.7)	1.8 (0.8)	0.64
<i>Difference from Baseline in FVC (L)</i>	N=70	-0.4 (0.7)	-0.3 (0.4)	0.55
% Predicted FVC	N=70	81.9 (20.1)	78.0 (14.4)	0.37
<i>Difference from Baseline in % Predicted FVC</i>	N=70	-11.6 (22.7)	-8.1 (11.4)	0.40
FEV ₁ /FVC	N=69	0.61 (0.15)	0.63 (0.11)	0.60
<i>Difference from Baseline in FEV₁/FVC</i>	N=69	-0.01 (0.07)	-0.02 (0.06)	0.45
PEFR (L/min)	N=64	301.9 (109.5)	305.7 (109.6)	0.90
<i>Difference From Baseline in PEFR (L/min)</i>	N=63	-47.9 (52.7)	-46.6 (56.1)	0.93
Sputum Eosinophils (%)*	N=26	0.0 (0.0,1.5)	3.3 (1.3,15.8)	0.018
<i>Difference from baseline sputum eosinophils (%)</i>	N=21	-0.3 (-2.0,0.0)	2.9 (-3.8,10.3)	0.14
Sputum Neutrophils (%)*	N=26	56.2 (25.7,73.2)	53.3 (30.7,78.8)	0.85
<i>Difference from Baseline in Sputum Neutrophils (%)</i>	N=21	-5.6 (-37.3,4.2)	-5.1 (-13.8,11.0)	0.65
FeNO (ppb)	N=71	11 (9,14)	32 (22,44)	<0.0001
<i>Difference from Baseline in FeNO (ppb)</i>	N=71	-3 (-11,0)	9 (-2,20)	0.0005
Blood Eosinophils (10 ⁹ /L)	N=71	0.04 (0.02,0.10)	0.21 (0.12,0.41)	<0.0001
<i>Difference From Baseline in Blood Eosinophils (10⁹/L)</i>	N=71	-0.15 (-0.19,-0.01)	-0.00 (-0.11,0.16)	0.0028
Periostin (ng/mL)	N=70	47.2 (14.1)	53.7 (19.5)	0.19
<i>Difference from Baseline in Periostin (ng/mL)</i>	N=69	-1.0 (6.8)	1.2 (18.6)	0.62
ACQ7 Score	N=69	3.4 (1.1)	3.7 (0.9)	0.24
<i>Difference From Baseline in ACQ7 Score</i>	N=69	1.4 (0.8)	1.3 (0.8)	0.72
Temperature (C)	N=69	37.0 (36.6,37.4)	36.7 (36.3,36.9)	0.028
<i>Difference From Baseline in Temperature (C)</i>	N=68	0.3 (-0.1,0.7)	0.1 (-0.1,0.4)	0.072
CRP (mg/L)	N=55	4.8 (2.0,9.3)	7.7 (5.0,11.0)	0.31
Any Virus (PCR)	N=24	8 (88.9%)	6 (40.0%)	0.019
Any Bacteria (Spec qPCR)	N=23	4 (50.0%)	6 (40.0%)	0.65
Any Bacteria or Virus (Spec qPCR)	N=23	8 (100.0%)	10 (66.7%)	0.065
Oral/IV CS	N=71	12 (63.2%)	40 (76.9%)	0.25
ABX	N=71	12 (63.2%)	21 (40.4%)	0.089
Oral/IV CS & ABX	N=71	10 (52.6%)	19 (36.5%)	0.22
CS = corticosteroid; ACQ7 = asthma control questionnaire-7; FEV ₁ = forced expiratory volume in 1 second; forced vital capacity; peak expiratory flow rate = PEFR; High dependency unit = HDU; Intensive care unit = ICU; IV = Intravenous; ABX = antibiotics, PCR= Polymerase chain reaction. qPCR=quantitative polymerase chain reaction. Spec= specific bacteria threshold of ≥10 ⁶ genome copies/ml on quantitative PCR. *Sputum differential cell count at first exacerbation (n=26), 9/26 were T2 ^{LOW} , 17/26 were T2 ^{HIGH} .				

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423 **Table 3. T2^{LOW} cohort at study entry: factors associated with those who proceeded to**
 424 **exacerbation, and those who did not.**

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		No Exacerbation (N=24)	≥1 exacerbation during study (N=47)	P-value
Age At Inclusion	N=71	56.5 (12.5)	50.7 (12.5)	0.06
Gender	N=71	16 (66.7%)	32 (68.1%)	0.90
Female		8 (33.3%)	15 (31.9%)	
Male				
BMI (kg/m²)	N=71	30.7 (6.4)	32.4 (6.3)	0.29
Atopic Disease	N=71	17 (70.8%)	34 (72.3%)	0.89
Hospital Admissions for Asthma in Last Year	N=71	0.0 (0.0,0.0)	0.0 (0.0,1.0)	0.06
A&E Visits in Last Year	N=71	0.0 (0.0,0.0)	0.0 (0.0,1.0)	0.16
GP Visits for Asthma in the Last Year	N=71	0.5 (0.0,4.0)	3.0 (1.0,4.0)	0.050
Rescue Courses of Oral Steroids in the Last Year	N=71	2.0 (0.0,3.5)	3.0 (2.0,5.0)	0.012
Prior Admission for Asthma to HDU or ICU	N=71	4 (16.7%)	19 (40.4%)	0.043
Number of Prior Admission for Asthma to HDU or ICU	N=22	1.0 (1.0,2.0)	1.0 (1.0,4.0)	0.71
Ever Been Ventilated	N=22	1 (25.0%)	9 (50.0%)	0.36
ACQ7 Score	N=71	1.7 (0.8)	2.4 (1.1)	0.010
AQL Total Score	N=69	5.0 (1.1)	4.5 (1.3)	0.14
FEV₁ (L)	N=71	2.3 (0.7)	2.2 (0.8)	0.47
% Predicted FEV₁	N=71	82.7 (19.6)	74.8 (20.5)	0.12
FVC (L)	N=71	3.4 (0.9)	3.2 (0.8)	0.25
% Predicted FVC	N=71	94.3 (17.4)	86.7 (15.1)	0.06
FEV1/FVC	N=71	0.69 (0.11)	0.69 (0.14)	0.81
PEFR (L/min)	N=70	387.8 (136.7)	380.2 (132.3)	0.82
Sputum Eosinophils (%)	N=24	1.0 (0.0,2.8)	0.3 (0.0,0.8)	0.35
Sputum Neutrophils (%)	N=24	60.8 (43.0,83.0)	72.0 (53.4,87.8)	0.55
FeNO (ppb)	N=71	13 (10,17)	12 (9,16)	0.71
Blood Eosinophils (10⁹/L)	N=71	0.08 (0.05,0.11)	0.08 (0.03,0.12)	0.73
Periostin (ng/mL)	N=70	49.1 (14.6)	44.1 (11.7)	0.12
OCS User	N=71	8 (33.3%)	26 (55.3%)	0.08
OCS Dose	N=34	10 (8,13)	10 (10,12)	0.63
ICS Dose (BDP)	N=71	2208 (655)	2221 (726)	0.94
CS Study Change				0.24
Reduce	N=56	13 (65.0%)	20 (55.6%)	
Maintain		6 (30.0%)	8 (22.2%)	
Increase		1 (5.0%)	8 (22.2%)	
A&E = Accident and Emergency; OCS = oral corticosteroid; ACQ7 = asthma control questionnaire-7; FEV ₁ = forced expiratory volume in 1 second; FVC = forced vital capacity; peak expiratory flow rate = PEFR; FeNO = Fractional excretion of nitrous oxide; BMI = Body mass index; High dependency unit = HDU; Intensive care unit = ICU				

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Table 4A. Stability of inflammatory phenotype from baseline study entry to first assessed exacerbation.

	First Exacerbation		
Baseline	T2 ^{LOW}	T2 ^{HIGH}	Total
T2 ^{LOW}	6	11	17
T2 ^{HIGH}	13	45	58
Total	19	56	75

McNemars (P-value) = 0.84, Kappa = 0.12

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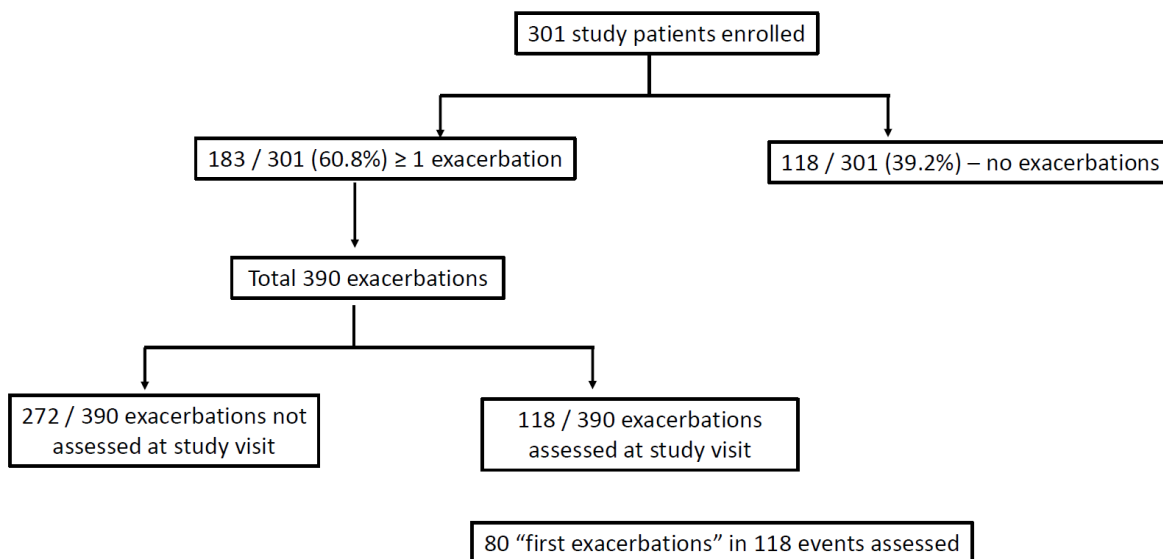
Table 4B. Stability of inflammatory phenotype from first to second assessed exacerbation.

	Second Exacerbation		
First Exacerbation	T2 ^{LOW}	T2 ^{HIGH}	Total
T2 ^{LOW}	2	3	5
T2 ^{HIGH}	4	16	20
Total	6	19	25

McNemars (P-value) = 1.00, Kappa = 0.19

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436 **Figure 1: Cohort flow diagram of all exacerbations during the study. A 'first exacerbation' was the**
437 **initial exacerbation assessed as a clinical study visit for any individual patient.**
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