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Bradley, J. M., Ferguson, K., Bailey, A., O'Neill, K., McLeese, R. H., Hill, A. T., Loebinger, M. R., Carroll, M., Chalmers, J. D., Gatheral, T., Johnson, C., De Soyza, A., Hurst, J. R., Downey, D. G., & Elborn, J. S. (2022). Clinimetric properties of outcome measures in bronchiectasis. *Annals of the American Thoracic Society*. Advance online publication. <https://doi.org/10.1513/AnnalsATS.202206-493OC>

Published in:

Annals of the American Thoracic Society

Document Version:

Peer reviewed version

Queen's University Belfast - Research Portal:

[Link to publication record in Queen's University Belfast Research Portal](#)

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1 **Clinimetric Properties of Outcome Measures in Bronchiectasis**

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28 This article has an online supplement, which is accessible from this issue's table of
29 contents online at www.atsjournals.org

30 **Total word count:** 3629

31 **Running head:** Clinimetrics of outcomes in bronchiectasis

32 **Author contributions**

33 JMB, KF, AB, KON, ATH, MRL, MC, JDC, TG, CJ, ADS, JRH, DGD and JSE were
34 responsible for study design. All authors were responsible for study acquisition,
35 analysis and interpretation of the data for the work. All authors reviewed the
36 manuscript critically and approved its final submitted version. All authors were
37 responsible for study data integrity.

38 **Funding**

39 The authors acknowledge the BronchUK consortium (www.bronch.ac.uk) supported
40 by the Medical Research Council grant (MR/L011263/1). The research leading to
41 these results has received support from the Innovative Medicines Initiative Joint
42 Undertaking under grant agreement n° 115721, resources of which are composed of

43 financial contribution from the European Union's Seventh Framework Programme
44 (FP7/2007-2013) and EFPIA companies' in kind contribution.

45 **Take Home Message**

46 Our new analysis finds that clinimetric properties of outcome measures are important
47 to consider when designing future bronchiectasis trials.

48 **ABSTRACT**

49 **Rationale:** There are a lack of outcome measures with robust clinimetric properties in
50 bronchiectasis.

51 **Objective:** To determine the clinimetric properties (reliability over one year during
52 clinical stability and responsiveness over a course of antibiotics for pulmonary
53 exacerbation) of objective and patient-reported outcome measures.

54 **Methods:** This multi-centre cohort study included adults with bronchiectasis from
55 seven UK hospitals. Participants attended four visits, four months apart over one year
56 while clinically stable and at the beginning/end of exacerbation and completed lung
57 function (spirometry and multiple breath washout), provided a blood sample for C-
58 reactive protein measurement and completed health-related quality of life (HRQoL)
59 questionnaires (Quality of Life-Bronchiectasis (QoL-B), St. George's Respiratory
60 Questionnaire (SGRQ) and EuroQoL (EQ-5D-5L).

61 **Results:** Participants (n=132) had a mean (SD) age of 66 (11) years, 64% were
62 female. Lung function parameters (forced expiratory volume in one second (FEV₁),
63 lung clearance index (LCI^{2.5})) were reliable over time (coefficient of variation
64 (CV):<10%). With regards to responsiveness, FEV₁ demonstrated better properties
65 than LCI, therefore a clear justification for use of LCI in future trials is needed. CRP
66 was less reliable (CV>20%) over time than FEV₁ and LCI^{2.5} and whilst CRP had a large
67 mean change between the start and end of an exacerbation, this may have been
68 driven by a small number of patients having a large change in CRP. Reliability of
69 HRQoL questionnaires/ questionnaire domains ranged from acceptable (CV:20-30%)
70 to good (CV:10-20%) and HRQoL were responsive to treatment of exacerbations.
71 Considering the specific questionnaire domain relevant to the intervention and its

72 associated clinimetric properties is important. Additional statistics will support future
73 power/sample-size analysis.

74 **Conclusions:** This information on the clinimetric properties of lung function
75 parameters, CRP and HRQoL parameters should be used to inform the choice of
76 outcome measures used in future bronchiectasis trials.

77 **Abstract word count:** 291

78

79 **INTRODUCTION**

80 Thousands of patients with bronchiectasis have been recruited to trials to explore the
81 effectiveness of new therapies but many have failed to demonstrate a change in their
82 primary end-point to support regulatory approval (1–7). The reasons for these failures
83 are multifactorial but of key importance is the lack of bronchiectasis specific, validated
84 outcome measures (8). Trials have been delivered at significant financial cost to
85 funders and have had a significant impact on clinical trial infrastructure/resources.
86 There is no agreed core outcome set for bronchiectasis and recent reviews/guidelines
87 have highlighted the extensive range of outcome measures/assessment tools used in
88 trials as end-points (9–11). These include well-established measures such as
89 spirometry, more recent measures such as lung clearance index (LCI), pulmonary
90 exacerbations, sputum microbiology, blood biomarkers of inflammation/lung injury and
91 patient-reported outcomes (PROs) (8, 12, 13). FDA regulators have emphasised the
92 need for robust outcome measures with particular emphasis on PROs (14, 15). The
93 psychometric properties of health-related quality of life (HRQoL) questionnaires are
94 uncertain and it is difficult to justify the use of one questionnaire over another. Several
95 HRQoL questionnaires have been used recently in trials (1–7, 16, 17).

96 The aim of this study was to determine the clinimetric properties of a range of outcome
97 measures (18). We hypothesized that outcome measures would have variable
98 clinimetric properties (repeatability and responsiveness). We also hypothesized for
99 lung function and HRQoL, parameters within these different assessment tools would
100 have variable clinimetric/psychometric properties. Specific objectives were to
101 ascertain the repeatability (with least noise) of these outcome measures over a one-
102 year timeframe; to ascertain the responsiveness of these outcome measures through

103 a pulmonary exacerbation to help ascertain the best signal-to-noise ratio; and provide
104 additional statistics to assist in future power and sample size analysis.

105 **METHODS**

106 **Study design and participants**

107 This was a multi-centre cohort study in adults with bronchiectasis involving 7 UK sites
108 (Supplement Appendix 1) (REC reference 15/NI/0077; ClinicalTrials.gov
109 (NCT02468271) between November 2015 and May 2018. The duration was 12
110 months as recommended for interventional bronchiectasis clinical trials (14, 15). The
111 study was in accordance with the Consensus-based Standards for the selection of
112 Health Measurement Instruments (COSMIN) recommendations (19) (Supplementary
113 Table E1 and E2).

114 Patients over 18 years, with bronchiectasis not caused by cystic fibrosis (CF) and
115 ascertained by the physician to be idiopathic or post-infective by British Thoracic
116 Society guidelines (9) and by high resolution computerised tomography scan, two or
117 more lobes and dilated airways compatible with bronchiectasis were eligible. Patients
118 were excluded if they were unable to perform an acceptable spirometry trace by
119 American Thoracic Society (ATS)/European Respiratory Society (ERS) guidelines
120 (20) or complete an acceptable multiple breath nitrogen washout (MBW) test (21).
121 Patients were not enrolled in any other therapeutic research study during the trial. All
122 patients gave informed consent.

123 **Procedures and outcomes**

124 Data were collected from participants during four clinically stable visits, four months
125 apart, over one year. If the participant experienced a pulmonary exacerbation (as
126 defined previously (22)), two further visits were performed: one within 48 hours of
127 commencement of oral/ intravenous (IV) antibiotics and the second within a maximum
128 of 14 days of antibiotic completion. The timing of stable visits impacted by recent
129 exacerbations followed a set procedure (see Supplement Appendix 1). Exacerbation
130 visits and procedures for assessment and prescription are described in full in
131 Supplement Appendix 1.

132 Demographics

133 Patient demographics, smoking history, co-morbidities and disease severity
134 (radiological severity, lung function, dyspnoea, chronic colonization with
135 *Pseudomonas aeruginosa*) were collected. These data were used to calculate
136 Bronchiectasis Severity Index (BSI), Charlson Comorbidity Index, the Bronchiectasis
137 Aetiology Comorbidity Index (BACI) and FACED. Medications were categorised under
138 respiratory, bronchodilators, anti-inflammatory, antibiotics, and mucoactives.

139 Multiple Breath Nitrogen Washout (MBW)

140 MBW test was performed by trained staff using the Ecomedics Exhalyzer® D
141 (Spiroware software v3.1.6) (21, 23). For data accuracy and up-to-date analysis, data
142 were re-calculated using Spiroware software v3.3.1 and spx files (24). MBW was
143 performed prior to spirometry and patients were instructed to withhold bronchodilators
144 prior to the visit. Over-reading was performed according to pre-defined criteria
145 (Supplement Appendix 1).

146 Spirometry

147 Spirometry was performed according to ATS/ERS guidelines (20) and the measures
148 of Forced Expiratory Volume in one second (L) (FEV₁), Forced Vital Capacity (L)
149 (FVC), FEV₁/FVC (ratio) and Forced Expiratory Flow at 25-75% (FEF₂₅₋₇₅) were
150 recorded (pre-bronchodilator encouraged). Global Lung Function Initiative (GLI)
151 standardised lung function reference ranges were used (25).

152 Sampling and processing

153 Venous blood and sputum were collected. Blood samples were analysed for C-
154 reactive protein (CRP). The analyses of sputum (total bacterial load and bacterial load
155 of *P. aeruginosa* and *H. influenza*) will be presented in a separate manuscript.

156 Health-related quality of life

157 Three HRQoL questionnaires were completed; the bronchiectasis-specific Quality of
158 Life-Bronchiectasis (QoL-B), the respiratory-specific St. George's Respiratory
159 Questionnaire (SGRQ), and the health status questionnaire, EuroQoL-5D-5L (EQ-5D-
160 5L) (10). The primary analyses focused on the questionnaire domains most commonly
161 reported including SGRQ total score, QoL-B respiratory symptom domain, and EQ-
162 5D-5L (VAS), however, data from other domains are reported in Supplementary
163 Tables E4, E5 and E6.

164 **Statistical analysis and Sample size**

165 Statistical analysis was performed with R3.5.1 (R Foundation for Statistical
166 Computing, Vienna, Austria). Reliability (noise) in stable measurements and mean
167 difference over a period of treatment for pulmonary exacerbation were assessed for
168 each outcome measure: CV was calculated between the stable visits (included

169 patients had at least three stable visits), defined as the ratio of the between-visit
170 standard deviation of these measurements to their mean. Arbitrary cut-off values were
171 used for CV: <10% very good, 10-20% good, 20-30% acceptable, and >30%
172 unacceptable. The signal-to-noise ratio (SNR) refers to the sensitivity of a measure
173 which helps to determine the true efficacy of a specific treatment. It allows identification
174 of biological fluctuations due to the intervention in contrast to effects of external biases
175 that can potentially alter this measure in ways not specific to the intervention influence
176 (26, 27). The SNR was calculated as the ratio of the mean effect size (i.e. mean
177 difference between measurements at start and end of pulmonary exacerbation) to the
178 between-stable visits standard deviation, averaged over patients. The 98% CIs were
179 calculated and for each of the main measures, the SNR was assessed for whether or
180 not it included zero.

181 A sample size of 120 was estimated based on SNR, considering the optimal
182 configuration to detect a maximum difference of at least 0.65, for approximately 90%
183 overall power. The sample size was calculated by simulating the analysis using R,
184 based on the assumption that data were normally distributed; the estimated SNR will
185 be approximately normally distributed with variance of 0.02.

186 To control for type 1 error rate, Tukey's honestly significant difference analysis was
187 used to test within each type of measure (e.g. PRO vs. PRO), then the largest from
188 each type of measure were compared using a Students t-test (e.g. PRO vs. objective
189 outcome); the significance levels (alpha values) were split for each step, 0.02 for
190 testing each of the two types of measures PRO and objective, and 0.01 for comparing
191 the largest PRO and objective measures (total alpha of 0.05). The analysis process
192 was repeated for both the SNR and CV. For the SNR, each of the measures is

193 calculated to ensure the signals are aligned in the same direction (positive), the CV
194 are all positive. A further analysis was performed which may assist in future power and
195 sample size analysis. Using stable and exacerbation visits data for FEV₁, QoL-B
196 respiratory domain, CRP and EQ-5D-5L (VAS), mixed effects models were fitted. The
197 outcome measure was included as the dependent variable, with visit as a fixed effect
198 and patient as a random effect. The fitted model includes random intercepts for patient
199 and no intercept for fixed effects of visit, this shows the expected value for each visit
200 while allowing for the correlated within patient measures. The model was fitted using
201 the R function lmer (R package: lme4, *'outcome' = 0 + Visit + (1|patient)*), this uses an
202 unstructured covariance structure, the correlations shown for the fixed effects are
203 derived from the model covariance matrix.

204 **RESULTS**

205 A total of 148 participants were recruited. The flow of patients is detailed in Figure 1.

206 At baseline, 132 participants had a mean (SD) age of 66 (11) years, were mostly
207 female (64%), had a mean FEV₁ (% predicted) of 70.7 (19.1) and had a mean BSI
208 score of 8.4 (2.9) (Table 1). The demographics for the 16 participants excluded at visit
209 1 were not significantly different to those included (Supplementary Table E3).

210 **Inter-visit Reliability**

211 Objective outcomes

212 Mean lung function measures across four stable visits are shown in Figure 2 and
213 Supplementary Figure E1 and E2. Mean CRP levels across four stable visits are
214 shown in Figure 3 and Supplementary Figure E3- E4.

215 The CVs between stable visits were <10% for both FEV₁ and LCI^{2.5} (Table 2). The
216 CVs for FVC, LCI⁵ and FRC were also <10%, whereas the CVs for FEF₂₅₋₇₅, S_{cond},
217 S_{acin} and CRP were >10% (Table E5).

218 Comparing the main objective outcomes, both FEV₁ and LCI^{2.5} had lower CVs
219 compared to CRP (p<0.02). The CVs between FEV₁ and LCI^{2.5} were not significantly
220 different (p=0.995), suggesting both had very good inter-visit reliability. FEV₁ was the
221 best performing objective outcome, having the lowest CV and when compared with
222 the other measures, the largest difference was between FEV₁ and CRP.

223 Patient-reported outcomes

224 Mean HRQoL scores across four stable visits are shown in Figure 4 and
225 Supplementary Figure E5 and E6.

226 The CVs between stable visits were higher for PROs than for objective outcomes
227 (Table 2 and Table E4-E5). Of the main PRO measures, EQ-5D-5L (VAS) had the
228 lowest CV (mean [98% CI] 13.8% [10.9 to 16.7]), followed by QoL-B respiratory
229 domain (14.5% [12.4 to 16.6]). SGRQ total score had the highest CV (19.9% [16.1 to
230 23.8]). The CV for other HRQoL domains ranged from 12.9 to 30.8% (Table E5).

231 For QoL-B, the emotional functioning domain had the lowest CV (12.9%, [10.7 to
232 15.1]), followed by the respiratory domain. For SGRQ, the total score had the lowest
233 CV compared to the other domains. For EQ-5D-5L, the descriptive domain had the
234 lowest CV (3.0% [-18 to 24]) and the VAS domain had the highest CV (Table E5).

235 Comparing the main PRO measures, both EQ-5D-5L (VAS) and QoL-B respiratory
236 domain had lower CVs compared to SGRQ total score (p<0.02 for both). The CVs

237 between EQ-5D-5L (VAS) and QoL-B respiratory domain were not significantly
238 different ($p=0.921$), suggesting both had good inter-visit reliability. EQ-5D-5L (VAS)
239 was the best performing PRO measure having the lowest CV and when compared
240 with the other measures, the largest difference was between EQ-5D-5L (VAS) and
241 SGRQ total score.

242 The final comparison was made between the best performing objective and PRO
243 measures. The CV for FEV₁ was lower than EQ-5D-5L (VAS) ($p<0.01$); FEV₁ was the
244 best performing outcome measure overall across stable visits.

245 **Responsiveness**

246 Objective outcomes

247 Mean lung function measures at the start and end of an exacerbation are shown in
248 Figure 5 and Supplementary Figure E7 and E8. Mean CRP levels at the start and end
249 of an exacerbation are shown in Figure 6. Individual data Supplementary Figure E9
250 and E10 highlights that the differences in CRP may be driven by a small number of
251 patients having a large change in CRP during an exacerbation. Between the start and
252 end of an exacerbation, the mean change in FEV₁ was 2.9 (SD: 3.8) %, LCI^{2.5} was -
253 0.08 (0.6) turnovers and CRP was -13.3 (4.7) mg/L.

254 The SNR for FEV₁ was 1.035 [0.108 to 1.963] and for LCI^{2.5} was 0.319 [-0.591 to 1.228]
255 (Table 2). CRP had the largest SNR (11.67 [-1.593 to 24.932]). The SNRs were <1
256 for LCI⁵, FRC, S_{cond}, S_{acin}, and FVC, whereas the SNR for FEF₂₅₋₇₅ was 1.763 [1.309
257 to 4.835].

258 Comparing the main objective outcomes, none of the comparisons showed evidence
259 of a statistical difference. Considering the 98% CIs calculated for each of the main
260 measures suggests that only FEV₁ 1.035 [0.108 to 1.963] does not include a SNR of
261 zero, at the equivalent significance level (0.02) of the main comparisons. Of the three
262 measures (FEV₁, LCI^{2.5}, CRP), this suggests that FEV₁ may be the most useful
263 measure.

264 Patient-reported outcomes

265 Mean HRQoL scores during an exacerbation are shown in Figure 7 and
266 Supplementary Figure E11 and E12. Between the start and end of an exacerbation,
267 the mean improvement in QoL-B respiratory domain was 16.5 (SD: 9.0), EQ-5D-5L
268 (VAS) was 13.5 (8.8) and SGRQ total was -3.2 (5.9) points. 71.1% of patients had a
269 mean improvement of >8 points for QoL-B respiratory domain, 73.3% of patients had
270 a mean improvement of >4 points in EQ-5D-5L (VAS) and 71.1% of patients had a
271 mean improvement of >4 points in SGRQ total score indicating a clinically significant
272 improvement during an exacerbation.

273 For the main PRO measures, the SNR was highest for EQ-5D-5L (VAS) (3.166 [-0.009
274 to 6.341]) followed by QoL-B respiratory domain (2.501 [1.629 to 3.374]). The SNR
275 was lowest for SGRQ total score (0.584 [-0.253 to 1.421]).

276 For QoL-B, the respiratory domain had the largest SNR compared to the other
277 domains. For SGRQ, the impact domain had the highest SNR (1.525 [-0.228 to 3.279])
278 and the total score had the lowest SNR. For EQ-5D-5L, the VAS domain had the
279 largest SNR (3.166 [-0.009 to 6.341]) and the descriptive domain had the lowest SNR
280 (1.496 [0.492 to 2.5]) (Table E6).

281 Comparing the main PRO measures, none of the comparisons showed evidence of a
282 statistical difference. Again considering the 98% CIs calculated for each of the main
283 measures suggests that only QoL-B respiratory domain 2.501 [1.629 to 3.374] does
284 not include a SNR of zero, at the equivalent significance level (0.02) of the main
285 comparisons. Of the PRO measures (QoL-B respiratory domain, SGRQ total score
286 and EQ-5D-5L (VAS)), this suggests that QoL-B respiratory domain may be the most
287 useful measure.

288 No comparison of the SNR was made between the objective and PRO measures.

289 **Sample size analyses - Mixed effects models for FEV₁, QoL-B, CRP and EQ-5D-** 290 **5L**

291 Based on the mixed effects model described in the Statistical analysis and Sample
292 size section, Table 3A provides estimates including the variation for the random effects
293 (Patient, SD) and estimated fixed effects (expected values, with 95% CIs) for the visits,
294 and each of the measures. Table 3B provides estimates of the correlation between
295 each of the visits, derived from the model covariance matrix.

296 **Adverse Events**

297 There were a very small number of adverse events (Table E7).

298 **DISCUSSION**

299 This study is the first to prospectively report on the medium to long-term reliability and
300 responsiveness of objective outcomes and PROs in a large bronchiectasis cohort.
301 Spirometry parameters and LCI were reliable over time, but only FEV₁ changed in line
302 with patient symptoms at the time of a pulmonary exacerbation. Crichton reported a
303 statistical change in lung function in bronchiectasis patients following an intervention

304 in only 3/19 studies which had spirometry as a study end-point (28). In CF, clinical
305 trials have demonstrated a change in FEV₁ of 5 to 15% predicted during an
306 exacerbation or following an effective intervention. This is in contrast to bronchiectasis
307 where changes due to interventions considered to be effective are generally <1% (29).
308 Some treatments in bronchiectasis are not designed specifically to improve
309 measurements of lung function so the clinical relevance of choosing FEV₁ as an
310 efficacy end-point in bronchiectasis trials needs to be considered in addition to its clinimetric
311 properties (12). FEV₁ is accepted as an important surrogate outcome measure in
312 inflammatory airways diseases such as chronic obstructive pulmonary disease and
313 asthma where it has been well validated but its relevance in bronchiectasis is less
314 important than PROs (10).

315 LCI has been validated and has been accepted as a primary outcome measure in
316 younger people with CF and relatively normal spirometry (30). LCI has been shown to
317 be more sensitive to worse lung function than FEV₁ in people with CT confirmed
318 bronchiectasis and is repeatable (31). We have also shown good intra-visit
319 repeatability for both LCI^{2.5} and LCI⁵ (32). In agreement with studies in CF and primary
320 ciliary dyskinesia (33), LCI^{2.5} and LCI⁵ perform well in terms of repeatability but in this
321 study they were less responsive to changes during an exacerbation. LCI⁵ compared
322 to LCI^{2.5} is shorter and less burdensome for patients to complete. S_{acin} and S_{cond}
323 measurements do not have good clinimetric properties and are not recommended as
324 outcome measures. Another challenge for interpreting MBW parameters is the limited
325 normative datasets across the age range. There is also a developing understanding
326 of the performance of the different MBW equipment (24) and the influence of tissue N₂
327 and the resulting impact on LCI (34). The current results provide evidence that FEV₁

328 performs better than LCI, therefore due to the complexity of LCI, inclusion of this
329 outcome in future trials in bronchiectasis needs justification.

330 In previous studies (35, 36), CRP was responsive to treatment during exacerbations.
331 In the current study, despite CRP having a large SNR, the 98% CIs included zero,
332 therefore conclusions cannot be made about the usefulness of CRP to assess
333 responsiveness in this cohort.

334 A recent systematic review (10) highlighted the limited evidence on medium/long-term
335 repeatability and responsiveness using an appropriate positive platform for example
336 an acute exacerbation known to affect HRQoL. The current study found the
337 repeatability of HRQoL questionnaires ranged from acceptable (CV:20-30%) to good
338 (CV:10-20%) over four stable visits during a 12-month period. HRQoL scores were
339 responsive to treatment during exacerbations. In the current study, despite EQ-5D-5L
340 having the largest SNR, the 98% CIs included zero and therefore it was not the most
341 useful outcome measure to assess responsiveness in this cohort. The QoL-B
342 respiratory domain had the next largest SNR and the 98% CIs did not include zero
343 therefore we found it to be the most useful PRO measure to assess responsiveness
344 in this cohort. SGRQ total score had the lowest SNR. In contrast, a recent meta-
345 analysis found that treatment effect size was highest for SGRQ followed by EQ-5D-5L
346 and then QoL-B (10). There are a few possible explanations for these differences: the
347 SNR statistic (unlike the effect size reported in the meta-analysis) presented in this
348 study takes into account effect size and the between-stable visits standard deviation
349 and are a better reflection of responsiveness. The effect sizes reported in the meta-
350 analysis were from trials of a range of interventions and as such did not consider
351 whether the therapy was effective or not. A positive control such as an acute

352 exacerbation may be useful to assess responsiveness for some outcomes including
353 HRQoL, but even this has limitations for other outcomes such as lung function. Just
354 because certain symptoms change at exacerbation, does not necessarily mean the
355 same symptoms will change following an intervention. The recall periods are different
356 across questionnaires and while some may be more useful to assess HRQoL over
357 longer time periods, they may not be so useful (or their recall timeframe may need to
358 be modified) if used over the course of an exacerbation or shorter treatment periods.

359 The majority of bronchiectasis studies to-date have reported QoL-B respiratory domain
360 over other QoL-B domains. In support of the use of QoL-B respiratory domain in future
361 clinical trials, we found that QoL-B respiratory domain had the largest SNR compared
362 to other QoL-B domains and the 98% CIs did not include zero. Despite SGRQ total
363 being the most commonly reported in clinical trials, it had a lower SNR than other
364 SGRQ domains. Responsiveness and the usefulness of SNR may depend largely on
365 the intervention and its ability to affect symptoms in specific domain scores of HRQoL
366 questionnaires. Crichton et al. (37) found that QoL-B respiratory symptoms was
367 unresponsive to inhaled antibiotic treatment, despite improvements in cough and
368 sputum production. Other large randomised trials reported similar findings (5). When
369 selecting PROs, future clinical trials should consider the HRQoL questionnaire domain
370 relevant to the intervention and then consider the clinimetric properties of the relevant
371 HRQoL questionnaire domain.

372 **Strengths and Limitations**

373 The design of the study followed COSMIN for the standards of reliability and
374 responsiveness and the study was sufficiently powered to explore reliability (>100
375 stable-state participants), however, these participants had an insufficient number of

376 exacerbations to sufficiently explore responsiveness. Only 36% of participants
377 completed exacerbation visits during the trial; this is lower than anticipated considering
378 the BTS National Audit reported that over half of bronchiectasis patients had one or
379 more exacerbations in a 12-month period (38). The demographic characteristics are
380 reflective of populations in other bronchiectasis cohorts (39–41) so there may be a few
381 possible other reasons for this: there may have been participants who did not report
382 exacerbations to the study team and a low exacerbation rate during the trial may have
383 been a positive consequence of increased monitoring in the trial (42).

384 All MBW data were overread using pre-defined criteria and spirometry was performed
385 according to professional guidelines which ensured the data were high quality. LCI is
386 not widely used as a standard clinic measure in bronchiectasis, however it may be
387 useful in future trials, for example in mild disease.

388 Only three HRQoL questionnaires were utilized in order to minimize patient burden;
389 there are other HRQoL questionnaires that show promise in bronchiectasis e.g.
390 Bronchiectasis Health Questionnaire (16) and the Bronchiectasis Impact Measure
391 (BIM) (43).

392 **CONCLUSION**

393 This study provides information on the clinimetric properties of a range of outcome
394 measures. With regards to repeatability across four stable visits, we found that FEV₁
395 was the best performing objective measure and EQ-5D-5L was the best performing
396 PRO measure. With regards to responsiveness, we found that FEV₁ may be the most
397 useful objective measure and QoL-B respiratory domain may be the most useful PRO
398 measure. Future clinical trials should consider the specific HRQoL questionnaire
399 domain relevant to the intervention and then consider the clinimetric properties of the

400 relevant HRQoL questionnaires. These results will help facilitate selection of outcome
401 measures and assist in future power and sample size analysis.

402 **Acknowledgements**

403 The authors thank the BronchUK participants and participating physicians,
404 investigators and research co-ordinators (Belfast, Denise Cosgrove and Kathryn
405 Ferguson; Edinburgh, Sam Donaldson, Andrea Clarke, Jane Crowe, Kadiga
406 Campbell; Brompton, Najwa Soussi; Southampton, Shobonna Akhter, Lorraine Hewitt
407 and Natasha Tucker; Ninewells, Megan Crichton; Lancaster, Rebecca Jeffery;
408 Papworth, Eleanor Hill, Dr Helen Barker, Isabel Gomes, Cathy Flatters, Jessica
409 Gronlund, Dr Chris Johnson, Dr Charles Haworth, Dr Mike Harrison, Dr Nadia Shafi,
410 Marlene Taveira) for their assistance with recruitment to the study. The work was
411 supported by the EMBARC Clinical Research Collaboration. The authors thank Mr
412 Ryan McChrystal from Queen's University Belfast for his assistance with designing the
413 figures used in this manuscript and for supporting recalculation of all MBW
414 parameters. The authors thank the European CF Society's MBW Central Training and
415 Over-Reading Centre, Royal Brompton and Imperial College London (Professor Jane
416 Davies, Clare Saunders, Chris Short) and the North American MBW training Centre,
417 Hospital for Sick Kids, Toronto (Professor Felix Ratjen, Renee Jensen, Sanja
418 Stanojevic) for the materials provided for the MBW training, certification and over-
419 reading processes and for the guidance provided during the over-reading processes.

420 **Competing interests**

421 JB, KF, AB, KON, RMCL, MC, TG, CJ, DD declare no competing interests.

422 ATH reports speaker fees from Insmmed Advisory Board.

423 MRL reports consultancy or speaker fees from Insmmed, Astra Zeneca, Grifols.

424 JDC reports grants from Astra Zeneca, Boehringer Ingelheim, GlaxoSmithKline,
425 Gilead Sciences, Novartis, Insmmed and consultancy or speaker fees from Astra
426 Zeneca, Boehringer Ingelheim, Chiesi, GlaxoSmithKline, Insmmed, Janssen, Novartis
427 and Zambon.

428 ADS reports grants from Astra Zeneca, 30T, GlaxoSmithKline, Novartis, Sanofi and
429 consultancy or speaker fees from 30T, Life ARC, Gilead Sciences, Astra Zeneca,
430 GlaxoSmithKline, Pfizer and advisory role for Bayer and trustee role for Action for
431 Pulmonary Fibrosis.

432 JH reports a leadership or fiduciary role for British Thoracic Society Council.

433 JSE reports grants from Novartis, Polyphor and consultancy fees from Vertex.

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552

553 **Figure 1** Participant flow for the study. 100 participants completed 4 stable visits, 17
554 participants completed 3 stable visits, 8 participants completed 2 stable visits and 7
555 participants completed 1 stable visit only. 15 participants were excluded following
556 consent as they were unable to perform an acceptable LCI and 1 participant withdrew
557 consent prior to visit 1. 45 participants experienced a pulmonary exacerbation during
558 the study period and completed visits at both the start and end of the exacerbation. 2
559 participants only completed the start of exacerbation visit and 85 participants reported
560 no exacerbations during the study period.

561 **Figure 2** Mean and standard error of the mean for lung function measures **A** FEV₁ %
562 predicted and **B** LCI^{2.5} (no. of turnovers) collected across four consecutive visit time
563 points from stable bronchiectasis participants (only participants with data from at least
564 three visits were included). The error bars represent the standard error of the mean,
565 derived from dividing the standard deviation by the square root of the sample size
566 (FEV₁: $n=113$; LCI^{2.5}: $n=95$) in each measurement. Visit 1-4 = Stable visit time points
567 three months apart over one year. FEV₁, Forced Expiratory Volume in 1 second; LCI^{2.5},
568 Standard Lung Clearance Index.

569 **Figure 3** Mean and standard error of C-reactive protein (CRP) serum levels (mg/L)
570 collected across four consecutive visit time points from stable bronchiectasis
571 participants (only participants with data from at least three visits were included). The
572 error bars represent the standard error of the mean, derived from dividing the standard
573 deviation by the square root of the sample size ($n=96$) in each measurement. Visit 1-
574 4 = Stable visit time points three months apart over one year.

575 **Figure 4** Mean and standard error of the mean scores for health-related quality of life
576 (HRQoL) questionnaires collected across four consecutive visit time points from stable

577 bronchiectasis participants (only participants with data from at least three visits were
578 included). The error bars represent the standard error of the mean, derived from
579 dividing the standard deviation by the square root of the sample size (EQ-5D-5L,
580 $n=113$; QoL-B, $n=116$; SGRQ, $n=117$) in each HRQoL measurement. Visit 1-4 =
581 Stable visit time points three months apart over one year; QoL-B Respiratory, Quality
582 of Life-Bronchiectasis Respiratory Symptoms (higher score equates to increased
583 HRQoL); SGRQ Total, St. George's Respiratory Questionnaire total score (higher
584 score equates to decreased HRQoL); EQ-5D-5L VAS, EuroQol 5-Dimensions 5-
585 Levels Visual Analogue Scale (higher score equates to increased HRQoL).

586 **Figure 5** Mean and standard error of the mean for lung function measures **A** FEV₁ %
587 predicted and **B** LCI^{2.5} (no. of turnovers) collected across two consecutive visit time
588 points from bronchiectasis participants who experienced a pulmonary exacerbation
589 (only participants with data from both pulmonary exacerbation visits were included).
590 Change in objective measurements from start to end of exacerbation (mean (SD)):
591 FEV₁: 2.9 (SD: 3.8)%; LCI^{2.5}, -0.08 (0.6) turnovers. The error bars represent the
592 standard error of the mean, derived from dividing the standard deviation by the square
593 root of the sample size (FEV₁: $n=38$; LCI^{2.5}: $n=26$) in each measurement. FEV₁, Forced
594 Expiratory Volume in 1 second; LCI^{2.5}, Standard Lung Clearance Index. PEx Start =
595 Start of pulmonary exacerbation within 24 hours of commencing antibiotic therapy;
596 PEx End= End of pulmonary exacerbation within two weeks of completing antibiotic
597 therapy.

598 **Figure 6** Mean and standard error of C-reactive protein (CRP) serum levels (mg/L)
599 collected across two consecutive visit time points from bronchiectasis participants who
600 experienced a pulmonary exacerbation (only participants with data from both

601 pulmonary exacerbation visits were included). The error bars represent the standard
602 error of the mean, derived from dividing the standard deviation by the square root of
603 the sample size ($n=31$) in each measurement. PEx Start, Start of pulmonary
604 exacerbation within 24 hours of commencing antibiotic therapy; PEx End, End of
605 pulmonary exacerbation within two weeks of completing antibiotic therapy.

606 **Figure 7** Mean and standard error of the mean scores for health-related quality of life
607 questionnaires collected across two consecutive visit time points from bronchiectasis
608 participants who experienced a pulmonary exacerbation (only participants with data
609 from both pulmonary exacerbation visits were included). The error bars represent the
610 standard error of the mean, derived from dividing the standard deviation by the square
611 root of the sample size (EQ-5D-5L, $n=42$; QoL-B, $n=44$; SGRQ, $n=44$) in each HRQoL
612 measurement. Change in HRQoL measurements from start to end of exacerbation
613 (mean (SD)): EQ-5D-5L (VAS), 13.522 (8.798); QoL-B respiratory domain, 16.506
614 (8.981); SGRQ total, -3.156 (5.868). PEx Start = Start of pulmonary exacerbation
615 within 24 hours of commencing antibiotic therapy; PEx End = End of pulmonary
616 exacerbation within two weeks of completing antibiotic therapy; QoL-B Respiratory =
617 Quality of Life-Bronchiectasis Respiratory Symptoms (higher score equates to
618 increased HRQoL); SGRQ Total = St. George's Respiratory Questionnaire total score
619 (higher score equates to decreased HRQoL); EQ-5D-5L VAS = EuroQol 5-Dimensions
620 5-Levels Visual Analogue Scale (higher score equates to increased HRQoL).

621

622 **Table 1** Characteristics at study enrolment (visit 1) of 132 participants

Variable	N=132
Mean age (SD) (years)	65.61 (11.30)
N (%) M:F	47 (36): 85 (64)
Ex-smokers (n=44): Time stopped (years)	25.45 (14.75)
Current smokers (n=4): Pack years	20 (18.18)
Education	Frequency (n (%)): Professional or graduate degree – 20 (15.2), Secondary school qualifications – 41 (31.0), Secondary school or less – 12 (9.1), Some university – 5 (3.8), University degree – 23 (17.4), Vocational school – 31 (23.5)
Marital status	Frequency (n (%)): Divorced/Separated – 10 (7.6), Married/With Partner –99 (75), Single/Never married – 14 (10.6), Widowed – 9 (6.8)
Ethnic origin	Frequency (n (%)): African – 1 (0.7), Other – 3 (2.3), White – 128 (97.0)
Occupation	Frequency (n (%)): Full time homemaker – 2 (1.5), Not at school/work due to health – 8 (6.1), Not working/Not Working – 94 (71.2), Working full or part time – 28 (21.2)
FEV1 (% predicted)	70.7 (19.1)
FEV ₁ /FVC ratio (%)	70.1 (6.4)
Charlson Comorbidity Index [min-max:0-29]	1.64 (1.15)
MRC Breathlessness Score [min-max:1-5]	2.08 (0.92)
Bronchiectasis Severity Index [Mild 0-4, Moderate 5-8, Severe >9]	8.41 (2.88)
BACI score [Low risk 0, intermediate risk 1-5, High risk >6]	1.74 (2.50)
n (%) Low Risk	80 (60.6)
n (%) Intermediate Risk	35 (26.5)
n (%) High Risk	17 (12.9)
FACED score [Mild 0-2, Moderate 3-4, Severe-5-7]	3.70 (1.30)
Chronic colonization of <i>Pseudomonas aeruginosa</i> , n (%)	23 (17)
Medication	
n Total Number	919
n Total Respiratory	432 (47.0)
n Bronchodilator	118 (12.8)
n Anti-inflammatories	129 (14.0)
n Antibiotics	109 (11.9)
n Mucoactive	58 (6.3)
n Other (respiratory)	18 (2.0)
n Other (non-respiratory)	55 (6.0)

623 BACI, Bronchiectasis Aetiology Comorbidity Index; FACED, comprises FEV₁, age, *Pseudomonas*
624 *aeruginosa* colonisation, radiological extension and dyspnoea; MRC, Medical Research Council.

625 **Table 2** Inter-visit reliability (CV%) over stable visits and signal to noise ratios (SNR)
 626 from beginning to end of exacerbations.

Outcome Measure	CV% (98% CI)	SNR (98% CI)
Objective:		
FEV ₁ (% predicted)	5.6 (4.8 to 6.6) N=113	1.035 (0.108 to 1.963) N=38
FVC (% predicted)	6.6 (5.7 to 7.6) N=113	0.691 (0.021 to 1.404) N=38
FEF ₂₅₋₇₅ (% predicted)	17.3 (14.6 to 20.1) N=114	1.763 (1.309 to 4.835) N=38
LCI ^{2.5} (no. of turnovers)	5.9 (4.9 to 6.9) N=95	0.319 (-0.591 to 1.228) N=26
LCI ⁵ (no. of turnovers)	5.0 (4.2 to 5.9) N=95	0.466 (-0.501 to 1.433) N=26
CRP (mg/L)	53.1 (44.55.9 to 61.7) N=96	11.67 (-1.593 to 24.932) N=31
PROs:		
QOL-B (Respiratory)	14.5 (12.4 to 16.6) N=116	2.501 (1.629 to 3.374) N=44
SGRQ Total	19.9 (16.1 to 23.8) N=117	0.584 (-0.253 to 1.421) N=44
EQ-5D-5L (VAS)	13.8 (10.9 to 16.7) N=113	3.166 (0.009 to 6.341) N=42

627 Only participants with data from at least three visits were included. CRP, C-reactive protein; CV,
 628 coefficient of variation; EQ-5D-5L (VAS), EuroQoL (Visual Analogue Scale); FEV₁, Forced expiratory
 629 volume in one second; FEF₂₅₋₇₅, Forced expiratory flow between 25 and 75% of FVC; FVC, Forced
 630 vital capacity; LCI^{2.5}, Lung Clearance Index standard; LCI⁵, Lung clearance index shortened; N, number
 631 of patients who performed each measurement; PROs, patient-reported outcomes; SGRQ, St. George's
 632 Respiratory Questionnaire; SNR, signal to noise ratio; QoL-B, Quality of Life-Bronchiectasis
 633 questionnaire.
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635 **Table 3A** Estimates for the variation (SD) and estimated effects (95% CI) of each of the measures

	FEV ₁ (% predicted)	QoL-B respiratory	CRP	EQ-5D-5L (VAS)
Random effects				
<i>(SD)</i>				
Patient (intercept)	19.392 (17.23 to 21.86)	16.599 (14.54 to 18.93)	6.658 (5.49 to 7.93)	14.255 (12.42 to 16.33)
Residual	4.39 (4.08 to 4.68)	10.306 (9.6 to 10.97)	10.505 (9.79 to 11.17)	11.086 (10.33 to 11.8)
Fixed effects				
<i>Estimate (95% CI)</i>				
Visit Stable 1	71.208 (67.92 to 74.5)	65.989 (62.8 to 69.18)	7.634 (5.55 to 9.72)	75.526 (72.57 to 78.48)
Visit Stable 2	71.277 (67.96 to 74.6)	66.859 (63.51 to 70.19)	7.329 (-2.93 to 2.33)	72.83 (69.69 to 75.96)
Visit Stable 3	71.965 (68.64 to 75.29)	68.648 (65.29 to 72)	5.952 (-4.36 to 0.99)	74.259 (71.11 to 77.4)
Visit Stable 4	70.843 (67.51 to 74.17)	65.61 (62.21 to 69)	8.469 (-1.85 to 3.52)	71.974 (68.79 to 75.15)
Exacerbation Start	68.391 (64.88 to 71.9)	48.39 (44.23 to 52.56)	17.939 (6.58 to 14.03)	57.061 (52.95 to 61.18)
Exacerbation End	71.487 (67.96 to 75.01)	65.048 (60.83 to 69.27)	5.716 (-5.71 to 1.88)	70.206 (66.04 to 74.39)

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Table 3B Estimates of the correlation between each of the visits

Correlation <i>(Fixed Effects)</i>	Visits				
Visits	Stable 1	Stable 2	Stable 3	Stable 4	Exacerbation Start
FEV₁ (% Pred)					
Stable 2	0.943				
Stable 3	0.941	0.938			
Stable 4	0.939	0.936	0.937		
Exacerbation Start	0.889	0.885	0.886	0.885	
Exacerbation End	0.887	0.884	0.884	0.883	0.857
QoL-B respiratory					
Stable 2	0.687				
Stable 3	0.684	0.679			
Stable 4	0.676	0.671	0.677		
Exacerbation Start	0.547	0.542	0.544	0.538	
Exacerbation End	0.540	0.535	0.536	0.531	0.507
CRP					
Stable 2	0.263				
Stable 3	0.255	0.255			
Stable 4	0.256	0.255	0.259		
Exacerbation Start	0.169	0.166	0.165	0.168	
Exacerbation End	0.164	0.164	0.160	0.162	0.186
EQ-5D-5L (VAS)					
Stable 2	0.581				
Stable 3	0.579	0.576			
Stable 4	0.572	0.569	0.578		
Exacerbation Start	0.440	0.435	0.439	0.434	
Exacerbation End	0.434	0.428	0.433	0.428	0.416

656