Navigating the complexity of ecological stability

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Abstract

Human actions challenge nature in many ways. Ecological responses are ineluctably complex, demanding measures that describe them succinctly. Collectively, these measures encapsulate the overall “stability” of the system. Many international bodies, including the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES), broadly aspire to maintain or enhance ecological stability. Such bodies frequently use terms pertaining to stability that lack clear definition. Consequently, we cannot measure them and so they disconnect from a large body of theoretical and empirical understanding. We assess the scientific and policy literature and show that this disconnect is one consequence of an inconsistent and one-dimensional approach that ecologists have taken to both disturbances and stability. This has led to confused communication of the nature of stability and the level of our insight into it. Disturbances and stability are multidimensional. Our understanding of them is not. We have a remarkably poor understanding of the impacts on stability of the characteristics that define many, perhaps all, of the most important elements of global change. We provide recommendations for theoreticians, empiricists and policymakers on how to better integrate the multidimensional nature of ecological stability into their research, policies and actions.
Introduction

Species live in a web of prey and other resources, mutualists, competitors, predators, diseases, and other enemies (Montoya et al. 2006; Bascompte 2009; McCann & Rooney 2009; Kéfi et al. 2012; Tilman et al. 2012). All encounter a profusion of diverse perturbations in their environment, both natural and human-induced, that vary in their spatial extents, periods, durations, frequencies and intensities (Tylianakis et al. 2008; Miller et al. 2011; Pincebourde et al. 2012; MacDougall et al. 2013). These multifaceted disturbances precipitate a range of responses that can alter the many components of ecological stability and the relationships among them (Donohue et al. 2013). This complexity necessitates a multidimensional approach to the measurement of stability. We examine the extent of our understanding of the multidimensional nature of both disturbances and stability.

We find that it is highly restricted. Consequently, our ability to maintain the overall stability of ecosystems for different management and policy goals is limited. If ecology is to support and inform robust and successful policy, we must rectify this.

At least three scientific communities use terms that map onto various dimensions of ecological stability. Theoreticians, for example, have developed an extensive literature on whether the population dynamics of multi-species systems will be asymptotically stable in the strict mathematical sense (May 1972; Thébault & Fontaine 2010; Allesina & Tang 2012; Rohr et al. 2014), or resilient, in the sense of a fast return to equilibrium following a small disturbance (Pimm & Lawton 1977; Okuyama & Holland 2008; Suweis et al. 2013), and other well-defined measures (see, for example, Pimm 1984; McCann 2000; Ives & Carpenter 2007). Empiricists observe and manipulate natural systems or variously perturb experimental ones to measure ecological responses in constant or naturally changing environments (Tilman et al. 2006; O’Gorman & Emmerson 2009; Grman et al. 2010; Carpenter et al. 2011; de Mazancourt et al. 2013; O’Connor & Donohue 2013; Hautier et al. 2014). Finally, many international bodies concerned with environmental conservation aspire to maintain, protect, and sustain nature and avoid altering and degrading it, all for informing
decision makers and aspiring to enrich people’s lives and well-being (Mace 2014; Díaz et al. 2015; Lu et al. 2015).

We explore whether the associated three scientific literatures engage each other in using the same terms and employ the same meanings for them when they do. Generally, they do not. We must remedy this. International bodies need terms that are simple and flexible, but surely not to the point of being meaningless. Theory cannot advance usefully in isolation from tests of it (Scheiner 2013), and theory, experiment, and observation must sensibly inform decision makers at all levels. Most importantly, the multidimensional complexity of natural responses to environmental change needs to be recognised by all communities, both separately and collectively.

We suggest solutions to help achieve these goals. For theoreticians, we provide suggestions on where to focus future research to incorporate the sort of complexities commonly encountered in natural systems. Empiricists will find useful our summary of the methodologies developed so far to study the different facets of ecological stability and our recommendations for better assessing stability in collaboration with theoreticians and policymakers. Finally, we provide suggestions for environmental policymakers on how to develop and frame objectives and targets that are not only relevant for policy but at the same time facilitate much closer links with the supporting, and evolving, science.

The multifaceted nature of disturbances and ecological responses

Disturbances are changes in the biotic or abiotic environment that alter the structure and dynamics of ecosystems. Although they occur at a variety of scales and vary in their direct and indirect effects on species, all disturbances comprise four key properties; their magnitude, their duration, their frequency and how they change over space and time (Sousa 1984; Benedetti-Cecchi 2003; García Molinos & Donohue 2011; Pincebourde et al. 2012; Tamburello et al. 2013). The magnitude of a disturbance is defined by how much the aspect of environmental change departs from its undisturbed state (i.e. “a measure of the strength of the disturbing force”; Sousa 1984). A
minor storm versus a once in 100-year hurricane is an example of disturbances that vary in magnitude. Their duration refers to a continuum with instantaneous pulses — short, sharp shocks — and sustained presses — constant, long-term change — at the ends of the spectrum (Fig. 1a). A discrete pollution event, such as a chemical spill, is a pulse, and the extinction of a species from an ecosystem is a press. Theoreticians focus primarily on one of these two extremes of the duration gradient (Ives & Carpenter 2007). Empiricists sometimes refer to these extremes as acute and chronic disturbances, respectively.

Natural disturbance regimes are clearly more complicated than this. Changes in the magnitude, duration and frequency of disturbances over time or in space can combine to give disturbances directionality (Fig. 1b). Directionality measures the trajectory of change, which can be highly dynamic and variable in terms of its mean and variance. Both can elicit distinct ecological responses (Bertocci et al. 2005; Benedetti-Cecchi et al. 2006; García Molinos & Donohue 2010, 2011; Pincebourde et al. 2012; Mrowicki et al. 2016). Many of the most globally important disturbances in nature are of this kind (Fig. 1c). Therefore, while a focus on pure pulse or press disturbances provides some important insight into mechanisms that can underpin biological responses to disturbances, the relevance of this to predicting responses to real disturbances in the natural world may be limited.

While the multifaceted nature of disturbances creates a problem for assessing, understanding, and predicting how ecological systems respond (García Molinos & Donohue, 2010; Mrowicki et al. 2016), the ecological responses themselves are also complex. Ecological stability is a multidimensional concept that tries to capture the different aspects of the dynamics of the system and its response to perturbations. Pimm (1984) reviewed five components of ecological stability that are in common use. Asymptotic stability is a binary measure describing whether a system returns asymptotically to its equilibrium following small disturbances away from it. One measures variability, the inverse of stability, as the coefficient of variation of a variable over time or across space. Persistence is the length of time a system maintains the same state before it changes in some
defined way. It is often used as a measure of the susceptibility of systems to invasion by new species or the loss of native species. **Resistance** is a dimensionless ratio of some system variable measured after, compared to before, some perturbation. **Resilience** is the rate at which a system returns to its equilibrium, often measured as its reciprocal, the return time for the disturbance to decay to some specific fraction of its initial value. Systems with shorter (faster) return times are more resilient than those that recover more slowly. Holling (1973) introduced another definition of resilience that is currently in common use, particularly in policy fora (Walker *et al.* 2004; Hodgson *et al.* 2015). It “*is a measure of the persistence of systems and of their ability to absorb change and disturbance and still maintain the same relationships between populations or state variables.*” This definition is multidimensional. It integrates persistence, resistance and the existence of local asymptotic stability at multiple equilibria. It has come to mean whether or not a system returns to its former equilibrium following disturbance or moves to another one. This idea may be expanded further to compare systems in terms of what range of disturbances a system can withstand before being shifted to a new equilibrium (Ives & Carpenter 2007). If there is a limit beyond which a system cannot return directly to its former state, this is termed a **tipping point**.

The different components of stability are all based in some way on the composition, function and dynamics of communities. They are unlikely to be independent. Furthermore, the strength and even the nature of relationships among stability components can change when communities are disturbed in different ways (Donohue *et al.* 2013). This complexity has critical implications for our understanding of the impacts of disturbances on ecosystems. It means that restricting our focus to single measures of stability in isolation, or to amalgamated ones such as Holling’s resilience, when they are used to reduce the multidimensional complexity of stability to a single dimension and its measurement to a single number, risks significantly underestimating the impacts of perturbations. It also risks incomplete understanding of the mechanisms that underpin the overall stability of ecosystems. The multidimensionality of ecological responses demands explicit multidimensional measurement of both disturbances and stability.
The definitions of the various components of stability all come with underlying assumptions about the nature of ecosystems and the disturbances that affect them. Measures of variability, for example, commonly assume the presence of stationary fluctuations \(i.e.\) without an underlying directional trend (Tilman et al. 2006; Loreau & de Mazancourt 2013). The ecological definitions of resilience (Quinlan et al. 2016) argue for different worldviews, one where a single equilibrium dominates, the other where two or more equilibrium domains are possible, with tipping points between them. The Aichi Targets (UN 2010) that consider “safe ecological limits” may invoke the latter view, as do related concepts, such as planetary boundaries, that are the subject of considerable debate (Box 1). Other definitions may read into a simpler notion of, for example, preventing overexploitation. Irrespective of definitions, theoretical studies of stability are generally based on the dynamics of communities at, or very close to, some form of equilibrial state. Given the highly dynamic nature of the natural world and the strong directionality of many elements of global change, this limits the applicability of existing theory to the real world and creates significant challenges for empiricists trying to test its predictions.

What do ecologists measure?

To understand the differences in what theoreticians and empiricists study, we surveyed three high impact multidisciplinary journals and four leading general ecology journals: *Nature, Science, PNAS, Ecology Letters, Ecology, Oikos* and *American Naturalist*. Using relevant search terms (“ecolog* stability”; “ecolog* resilience”; “ecolog* resistance”; “stability and diversity”), this yielded 894 papers, 354 of which measured ecological stability in one or more ways. About half of these studies were purely theoretical, the other half empirical. Of the latter, there were nearly equal proportions of experimental and observational studies. Only 4% of papers combined both theory and empirical measurement.

In our survey, 93% of theoretical studies and 85% of experimental and observational studies focus on a single facet of stability (Fig. 2a). Some 83% of theoretical studies and 80% of
experimental and observational studies also focus on only a single disturbance component (Fig. 2b). This demonstrates a restricted, largely one-dimensional, perspective. It means that we have little understanding of either the multidimensional nature of ecological stability or the correspondence of different components of stability to different types of perturbations.

There is also a significant disjoint between theoretical and empirical approaches to, and understanding of, ecological stability. The majority (57%) of theoretical studies focus on asymptotic stability, whereas experimental (61%) and observational (72%) studies concentrate primarily on variability (Fig. 3a). In contrast, asymptotic stability comprises the focus of only 4% of empirical studies, while only 18% of theoretical studies quantified variability. Only a small minority of studies, either theoretical or empirical, examine persistence (10% of studies), resilience (7%) or resistance (7%). Within these latter three measures, there are notable differences. Theoretical studies most often examine persistence, resilience and a particular measure of resistance called robustness – the susceptibility to species extinctions, usually caused by the initial loss of a species (Solé & Montoya 2001; Staniczenko et al. 2010). Observational studies emphasise resistance, while experimental studies consider resistance and resilience in equal measure. Our survey identified very few empirical studies of robustness. Additional aspects of stability are potentially addressed in more specialized journals than those scanned in our survey. However, the literature we surveyed came from the general ecological journals most probably read by both theoreticians and empiricists, potentially making the divergence we found in terms and concepts even more significant.

We found similar disparities between the focus of theory and empirical research on the different types of disturbance durations and frequencies. The majority (70%) of theoretical studies focus on the effects of single pulse perturbations on stability (Fig. 3b). In contrast, 83% of observational studies examine the effects of combined, multiple pulse disturbances (Fig. 1a), usually in the form of natural environmental fluctuations. Experimental studies prioritise the effects of press and multiple pulse disturbances in broadly equal measure (respectively, 38% and 47%).
Only 15% of studies we surveyed incorporate the effects of disturbance magnitude. The problem is more acute when we account for different components of stability. For example, our survey identified no theoretical studies of the effects of disturbance magnitude, pulse or multiple pulse disturbance frequencies on ecological resistance. Nor did we find any experimental or observational studies of the effects of pulse disturbances on asymptotic stability (Fig. S1). In spite of its importance to characterising disturbances in the real world, our survey identified only one study (van Nes & Scheffer 2004) that explored the effects of the directionality of a disturbance on ecological stability.

Almost exclusively, just two characteristics of communities provide the basis upon which studies measure ecological stability. Population or community biomass comprises the focus of approximately two-thirds (63%) of studies included in our survey, while almost all of the remaining studies (35%) examine the stability of taxonomic composition in some way (Fig. 3c). This pattern is broadly consistent across both theoretical and empirical studies and across all components of stability, except for persistence, where the majority of studies focus on composition, and robustness, whose definition is constrained to community composition (Fig. S2). We found few (six) studies that measured the resilience of community composition.

In spite of the strong policy focus on ensuring the sustained provision of ecosystem services (e.g. TEEB 2010; Díaz et al. 2015), we found remarkably few empirical or theoretical assessments of the stability of related ecosystem functions or processes. Only 2% of studies in our survey examined the stability of an ecosystem function or process, in spite of their importance to the perceived economic value of ecosystems (Armsworth & Roughgarden 2003). Of those, almost all measured the variability of ecosystem function in time or space. We found only one study (Zavaleta et al. 2010) that also examined thresholds for the persistence of multiple functions. Our survey identified no studies of the resilience, asymptotic stability or resistance of ecosystem functions.

There is significant bias towards terrestrial ecosystems (52%) among empirical studies of stability, of which most (53%) are from grasslands. Of the remaining studies, 29% are from...
freshwater ecosystems, while only 16% are from marine systems. Experimental and observational studies are represented approximately equally across all ecosystem types.

What are the conclusions we draw from this? Clearly, experimentalists and empiricists can estimate the clearly-defined measures used by theoreticians. The problem is that some things are easy to measure and other things not, a distinction that likely leads to the differences we have noted. The differences are even greater on closer inspection: theory does not always address what empiricists can measure. This is, at least in part, because the mathematics of dynamical systems lacks tools for evaluating quantities of interest to empirical ecologists. Take resilience, for example. Models measuring resilience use the engagingly simple idea of asymptotic stability. They calculate return times over long intervals — when transient changes have decayed — and close to the equilibrium — where one can use linear approximations to the underlying non-linear nature of the system (Pimm 1982). Empiricists, on the other hand, tend to look at short intervals and disturbances far from the equilibrium, where transient effects in the models may be significant (De Vries et al. 2012; Hoover et al. 2014; O’Connor et al. 2015). Here, the simplifying mathematics are unavailable, and so are ignored. The models may still provide broadly the right insights, but there is no guarantee that they do. Theoreticians could take the extra step and explore the dynamics of their models over short intervals away from equilibrium, even if only using simulations, to check their generality (e.g. Hastings 2004; Ives & Carpenter 2007; Ruokolainen & Fowler 2008). More generally, theoreticians might recognise that certain aspects of their theories are far more likely to be tested — and to be more widely useful — if they addressed metrics that empiricists can more easily measure (Shou et al. 2015).

A more fundamental problem arises from the lack of exploration of the multidimensional nature of either disturbances or stability. This gap in knowledge limits our ability to understand and predict the effects of disturbances on the overall stability of ecosystems. If the science of ecology is to support and inform robust and successful policy, we should close this gap.
The goals of policy and their measurement

Many consequences of human actions on nature are simple and have clearly defined units. For instance, the United Nations Convention on Biological Diversity (CBD) and related conventions sets targets that include the numbers of species and areas of habitat to be protected, and rates of extinction, habitat loss and fragmentation, and overexploitation of fisheries and rangelands to be minimised (UN 1992). Assisting developing countries reduce carbon emissions from deforestation and forest degradation is the simply stated goal of the United Nations REDD (Reducing Emissions from Deforestation and Forest Degradation in Developing Countries) Programme (UN 2008). These may neither be easy to measure in practice nor to manage effectively, but they do not pose conceptual challenges.

Much more problematic are associated terms. Sustainability is ubiquitous (Bosch et al. 2015), and has a large associated literature. For some, it is used in a normative way, that is, as some desired goal or set of goals. Thus, it is part of the mission of the Global Environment Facility (GEF), and about half of the CBD’s Aichi Biodiversity Targets for 2010-2020 include the word (UN 2010). IPBES includes conservation and sustainability of ecosystem services to provide long-term human well-being in its conceptual framework (Díaz et al. 2015). Responsibilities of the UK Department for Environment, Food and Rural Affairs include sustainable development, which China adopted explicitly as a national strategy in 1996 (Chinese Ministry of Finance et al. 2014). Most commercial enterprises now include statements about corporate and environmental sustainability in their mission statements. Normative definitions of sustainability therefore play an important role in policy, and environmental decision makers clearly do not only concern themselves with ecological components of stability. But neither should they ignore them.

We defer to the Oxford English Dictionary that defines “sustainable” as “the quality of being sustainable at a certain rate or level” and environmentally sustainable as “the degree to which a process or enterprise is able to be maintained or continued while avoiding the long-term depletion of natural resources.” Following this, we take sustainability (in its non-normative sense) to mean
that a particular resource persists, or persists above (or below) some pre-determined level, or is resistant to disturbances. Its translation to ecological concepts is conceptually straightforward.

Other terms are less so. For example, the 20 Aichi Targets include: *safe ecological limits* (Targets 4 & 6), *degradation* (Target 5), *function* (Targets 8, 10 & 19), and *integrity* (Target 10) (UN 2010). These terms lack definitions, or have more than one definition, and have no clear units for quantification. This imprecision is unfortunate in itself (Bosch et al. 2015; Lu et al. 2015). It also denies the integration of the large body of empirical and theoretical literature that deals with broadly similar, but quantifiable, measures of multi-species systems that might provide key insights.

Differences among terms used, and in the meanings of common terms (Grimm et al. 1992; Grimm & Wissel 1997; Ives & Carpenter 2007; Hodgson et al. 2015), are likely a consequence of the different goals of theoretical and empirical ecologists and policymakers and practitioners. They also reflect the fact that ecologists have perhaps less influence on these terms and their use than we might hope. These differences create significant challenges for translating research findings into policy-relevant information, for communication among individuals from different groups, and for dealing with the complexity and multifaceted nature of ecological stability. We now examine the terms used by policymakers and practitioners, then explore the potential for common ground.

**How do ecologists and policymakers differ in the terms they use?**

We surveyed policy targets and mission and vision statements of 42 key international agreements, organisations and agencies (Table 1) that are concerned primarily with the conservation and protection of nature. We searched for terms that are associated positively with stability. The most common terms we found were, by some distance, ‘*sustain*’ and ‘*sustainability*’. These were present in more than half of the targets and statements examined (Table 2). They occurred almost twice as frequently as the next most common terms, ‘*conserve*’ and ‘*conservation*’. We identified 14 other terms that occurred less frequently across the documents we examined (Table 2). Of all of
the terms we identified, only two, ‘stabilise’/‘stable’ and ‘resilience’/‘resilient’, have clear ecological definitions. Unfortunately, their use in the documents implied different meanings to those widely used in ecological theory, relating most strongly to, respectively, variability and resistance.

In spite of the widely different terminologies used by ecologists and policymakers and practitioners, all of the terms we identified in policy targets and statements could be associated in some way with at least one, and frequently more than one, component of ecological stability (Table 2). In fact, the stability components that associate most strongly with these terms are among the least studied by ecologists (Fig. 3a). For some terms, the link with components of stability was clear, for others less so. For example, to ‘constrain impacts’ necessitates increasing the resistance of systems to disturbances. It also implies increasing their resilience (i.e. reducing their return times).

The fact that the majority of the terms used in policy integrate across different components of ecological stability means that they are also, at least implicitly, multifaceted. ‘Sustainable’ is a good example of this. In order to be sustainable, ecosystems must be resistant to disturbances. They must recover quickly from them (i.e. have high resilience). This implies that at least some properties (e.g. primary production) remain relatively unchanged through time (i.e. have high robustness, low variability) even though there may be considerable turnover in other properties (e.g. species composition; indeed, it may be the turnover in species composition that results in sustainable primary production).

Thus, key terms may lack unambiguous and clear definitions, and are not therefore directly quantifiable. Yet, the widespread use of such holistic terms implies that the multidimensionality of ecological stability is already integrated, even if unconsciously, in the language and targets of policymakers. This observation provides the motivation for closer integration with the science of ecology.

Solutions and recommendations
Nature responds to human pressures in complex ways. Conversely, political and governance decisions often demand simplicity (OECD 2001; Harwood & Stokes 2003; Lu et al. 2015). Acknowledging this dilemma is a first step towards enhancing the quality of the communication of “stability” at the science-policy interface and within both science and policy. It is incumbent upon ecologists to ensure that this process does not dilute the integrity of the underlying science.

The necessary second step involves the definition of terms and their measurement. There is a fundamental need for interdisciplinary discussions about both of these (Box 2). Policymakers have to attach measurable quantities to the terms used in their documents, while scientists must address these concepts directly in their studies. The proliferation of undefined and, indeed, unmeasurable ideals, such as many of the tasks that underpin the recently published United Nations Sustainable Development Goals (SDGs) for the conservation of ecosystems (Goals 14 and 15), hinders progress and is self-defeating. For example, SDG Task 14.2 sets the target that, “By 2020, (countries will) sustainably manage and protect marine and coastal ecosystems and avoid significant adverse impacts, including by strengthening their resilience”. This statement is ambiguous to the point of being meaningless. Not a single aspect of this target is measurable. What constitutes “significant”? What does resilience mean in this context? The goals of policy and the terminology used to describe them always need to be defined and measurable.

Consider two examples from the Aichi Targets that contrast how measureable are their aspirations. First, Aichi Target 11: “By 2020, at least 17 per cent of terrestrial and inland water, and 10 per cent of coastal and marine areas…are conserved through effectively and equitably managed, ecologically representative and well connected systems of protected areas”. These goals are explicit and measureable, but those for Aichi Target 6 are not: “By 2020 all fish and invertebrate stocks and aquatic plants are managed and harvested sustainably…so that …fisheries have no significant adverse impacts on threatened species and vulnerable ecosystems and the impacts of fisheries on stocks, species and ecosystems are within safe ecological limits”. This statement contains three particularly obscure terms that lack clear methods for measurement –
sustainably, significant adverse impacts and safe ecological limits – each of which appears to mean two distinct things. As used in this context (see also Table 2), sustainably has a compositional aspect – that species present in the system persist – and another related to biomass stability – that variability of biomass at both population and community level is minimised at least to a level that ensures the persistence of species. Significant adverse impacts requires that the persistence of both ‘threatened species’ and the functioning of ‘vulnerable ecosystems’ is ensured, while safe ecological limits requires ensuring the persistence of each of the biomass, composition and functioning of ecosystems, presumably by enhancing their resistance to fishing activities. Removing the obscure terms and replacing them with the clearly defined ones we suggest would make the goal measureable. This would enable closer links with the supporting science and highlight key research needs, which, in turn, make the goal attainable.

For their part, scientists need to take a coherent approach to quantifying stability, such as the one we describe here. The field will not advance by publishing more, partly overlapping, definitions of single terms used in isolation within a discipline. We need to employ broadly accepted terms and apply them consistently across different communities. Both theoreticians and empiricists also need to be more explicit about the basis upon which they are measuring stability. Conclusions drawn about the factors that drive biomass resilience, for example, are likely to be very different from those that underpin compositional resilience.

The third step is crucial. Both scientists and policymakers need to recognise that the multidimensional nature of environmental change always requires a multidimensional assessment of responses. To date, scientists and policymakers alike have tended to assess the response to one driver of change using one aspect of stability or amalgamated concepts such as Holling’s resilience. The hope is that this strategy provides a piece of the jigsaw that, in total, provides insight into the overall complexity of responses. Rather, such simplification blurs the overall picture. For example, increasing temporal variability of algal biomass may indicate transient dynamics in changing lake food-webs (Carpenter et al. 2011). It tells us little about any underlying changes in community
structure that may be undermining, or indeed enhancing, resistance to different kinds of
disturbances. The one-dimensional approach to disturbances and stability means that we
underestimate the impacts of perturbations and cannot identify the mechanisms that underpin the
overall stability of ecosystem structure or functions. The existence of trade-offs (*i.e.* inverse
correlations) between different components of stability exacerbates this situation. Such trade-offs
exist in nature (Donohue *et al.* 2013) and there is some theoretical insight into why they occur
(Harrison 1979; Loreau 1994; Dai *et al.* 2015). Their existence has profound implications for
policymakers and practitioners, necessitating decisions on which aspects of stability to prioritise for
different management goals. They also provoke an environmental cost to those decisions, where
some aspects of ecological stability are necessarily diminished to enhance others. The lack of
exploration of the multidimensional nature of ecological stability means that our ability to *optimise*
the overall stability of ecosystems for different management and policy goals is at present
extremely limited.

What science is needed to support these steps and enhance the efficacy of policy?

We make three recommendations. First, the necessity for improved and mechanistic insight
into the multidimensional nature of disturbances and stability requires more realistic theory and
experimental designs and an improved ability to integrate across studies from different spatial and
temporal scales and different kinds of ecosystem (*e.g.* Peters *et al.* 2011). Even single pulse
disturbances (*e.g.*, a chemical spill) often have a legacy (*e.g.*, contamination, loss of rare species)
that corresponds to a press disturbance. Pulse and press disturbances likely affect different
components of stability in different ways. Likewise, many press disturbances exhibit clear
directionality and dynamic variation around the mean, with single extreme events occurring more
frequently. For instance, the nature of climate disruption calls for new theory (Ives *et al.* 2010;
Stenseth *et al.* 2015) and long-term experiments. These need to consider the incrementally
increasing magnitude of, for example, temperature change, and the possibility of including large
variability up to extreme climatic events. They must employ stability metrics that do not require strong equilibrium assumptions \textit{(e.g. fixed point attractors)}. Moreover, they must be able to evaluate ecosystems in continuous transient dynamics (Fukami & Nakajima 2011). The research of theoretical and empirical ecologists has to include the complex nature of disturbances and stability, and the result of such multidimensional approaches has to inform policymakers.

Some existing theoretical approaches may be extended to deal with this range of natural complexity. For example, Floquet theory can be used to explore the stability properties of periodic (cyclical, non-single point equilibrium) systems \textit{(e.g.} Lloyd & Jansen 2004, Klausmeier 2008). This can be developed in a similar way to assess how locally stable, single point equilibria respond to perturbations. Lyapunov exponents can be used to investigate more complex, chaotic intrinsic dynamics in naturally variable systems (Ellner & Turchin 1995). Gao et al. (2016) have proposed general methods that can reduce the high dimensionality of multi-species systems to predict the loss of resilience (defined there as the ability to avoid switching from a relatively high to much lower mean value of a focal state variable). In parallel, new theoretical developments are starting to explore links between what empiricists measure \textit{(e.g. variability)} and what theoreticians analyse \textit{(e.g. asymptotic resilience)}, showing that some fundamental relationships can be established (Arnoldi et al. 2016). Together, these approaches offer promising new directions for further theoretical research that incorporate the sort of complexities empiricists commonly encounter in their study systems.

Second, we need simple, yet scientifically sound, ways to integrate across the multiple dimensions to quantify the overall stability of ecosystems. These methods will need to distil the most important elements of stability and make accurate quantitative measures on each dimension. Only then can we combine them (Fig. 4). These methods also need to be adaptable to the priorities of specific policies. Such adaptation is fundamental to optimising the overall stability of ecosystem structure and/or functioning for different management and policy objectives. Agricultural management, for example, aims to minimise variability of yield production and maximise
resistance of biomass to pathogens and insect pests. In contrast, many conservation programs might try to maximise the compositional persistence and resilience of communities (rare species are often the most endangered and they tend to determine the slowest return times of the system). Such semi-quantitative methods of holistic assessment may seem too broad-brush and inaccurate to satisfy many scientists. They may also be too complex for some policymakers. The solution has to be something that sits between the two.

Third, we need to evaluate and monitor stability through space and time. Ecologists have experience in doing this for single populations and key functional groups (e.g. Ives et al. 2008; Carpenter et al. 2011) and, more recently, for monitoring changes in the provision of ecosystem goods and services (Tallis et al. 2012). Monitoring the dynamic stability of whole networks has largely been the province of economists, among others, with numerous financial stability monitoring programs continuously tracking sources of systemic risk (Adrian et al. 2014).

Analogous programs for monitoring the dynamic multidimensional stability of whole ecological systems over time and space are essential to help assess the effectiveness of policy and management actions. These programmes are needed to help identify ecosystems whose stability is being compromised in the face of global change.

Conclusions

There are policies concerned with the protection of nature that set defined and measurable targets. Aichi Target 5 (UN 2010) constitutes a good exemplar: “By 2020, the rate of loss of all natural habitats, including forests, is (to be) at least halved and where feasible brought close to zero”. This statement is clear and unambiguous – progress can be quantified, success or failure evaluated. It exemplifies the only way that policies can effect meaningful change.

Such policies are in the minority. Many policy documents describe targets that may appear, on face value, explicit and measurable, yet contain terms that are ambiguous, or have multiple definitions that mean different things to different people. Such targets cannot be connected to
measureable ecological processes or properties. Policies aiming to increase “resilience” provide pervasive examples. In fact, the majority of policy documents we surveyed contain goals using terms that lack definition within ecology. Such ambiguity paralyses policy.

This incoherence is, at least in part, a consequence of the inconsistent and one-dimensional approach that ecologists have taken to ecological stability. This approach has led to confused communication of the nature of stability and the level of our insight into it. Disturbances and stability are multidimensional. Our understanding of them is not. We have a remarkably poor understanding of the impacts on stability of the characteristics that define many, perhaps all, of the most important elements of global change.

The solution requires a range of actions. We need more realistic theory based on measures that are of practical significance and empirically quantifiable. Empiricists need to test this theory at a range of spatial and temporal scales. Policymakers need to use these defined and measurable quantities in their targets. Most importantly, theoreticians, empiricists, policymakers and practitioners each need to incorporate the multidimensional complexity of natural responses to environmental change into their research, policies and actions.

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References


TEEB (2010). *The Economics of Ecosystems and Biodiversity: Mainstreaming the Economics of Nature: A Synthesis of the Approach, Conclusions and Recommendations of TEEB.*


Table 1. International agreements, organisations and agencies whose policy targets and mission and vision statements we searched for terms associated with ecological stability.

<table>
<thead>
<tr>
<th>Entity</th>
<th>Stability related term(s) found</th>
<th>Document link</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aichi biodiversity targets (CBD)</td>
<td>‘integrity’; ‘safe ecological limits’; ‘resilience’; ‘sustain’; ‘conserve’</td>
<td><a href="http://www.cbd.int/sp/targets/">http://www.cbd.int/sp/targets/</a></td>
</tr>
<tr>
<td>Biodiversity International</td>
<td>‘sustain’; ‘safeguard’</td>
<td><a href="http://www.biodiversityinternational.org/about-us/who-we-are/">http://www.biodiversityinternational.org/about-us/who-we-are/</a></td>
</tr>
<tr>
<td>Conservation International</td>
<td>‘healthy’; ‘sustainable’; ‘stable’</td>
<td><a href="http://www.conservation.org/about/Pages/default.aspx#mission">http://www.conservation.org/about/Pages/default.aspx#mission</a></td>
</tr>
<tr>
<td>Earthwatch</td>
<td>‘sustain’</td>
<td><a href="http://eu.earthwatch.org/about/earthwatch-mission-and-values">http://eu.earthwatch.org/about/earthwatch-mission-and-values</a></td>
</tr>
<tr>
<td>European Union Biodiversity Observation Network</td>
<td>None found</td>
<td><a href="http://www.eubon.eu/show/project_2731/">http://www.eubon.eu/show/project_2731/</a></td>
</tr>
<tr>
<td>Future Earth</td>
<td>‘sustainable’</td>
<td><a href="http://www.futureearth.org">http://www.futureearth.org</a></td>
</tr>
<tr>
<td>Global Environment Facility</td>
<td>‘sustainable’</td>
<td><a href="https://www.thegef.org/gef/whatisgef">https://www.thegef.org/gef/whatisgef</a></td>
</tr>
<tr>
<td>GreenPeace</td>
<td>‘protect’</td>
<td><a href="http://www.greenpeace.org/international/en/about/our-core-values/">http://www.greenpeace.org/international/en/about/our-core-values/</a></td>
</tr>
<tr>
<td>Intergovernmental platform on biodiversity and ecosystem services</td>
<td>‘conserve’; ‘sustain’</td>
<td><a href="http://dx.doi.org/10.1016/j.cosust.2014.11.002">http://dx.doi.org/10.1016/j.cosust.2014.11.002</a></td>
</tr>
<tr>
<td>Intergovernmental Panel on Climate Change</td>
<td>None found</td>
<td><a href="http://www.ipcc.ch/organization/organization.shtml">http://www.ipcc.ch/organization/organization.shtml</a></td>
</tr>
<tr>
<td>International tropical timber organisation</td>
<td>‘sustainable’; ‘conservation’</td>
<td><a href="http://www.itto.int/about_itto/">http://www.itto.int/about_itto/</a></td>
</tr>
<tr>
<td>LifeWatch infrastructure for biodiversity and ecosystem research</td>
<td>None found</td>
<td><a href="http://www.lifewatch.eu">http://www.lifewatch.eu</a></td>
</tr>
<tr>
<td>Organization/Project</td>
<td>Action(s)</td>
<td>URL</td>
</tr>
<tr>
<td>----------------------</td>
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<td>-----</td>
</tr>
<tr>
<td>Living with Environmental Change</td>
<td>None found</td>
<td><a href="http://www.lwec.org.uk/about">http://www.lwec.org.uk/about</a></td>
</tr>
<tr>
<td>Natural Capital Project</td>
<td>‘sustainable’</td>
<td><a href="http://www.naturalcapitalproject.org">http://www.naturalcapitalproject.org</a></td>
</tr>
<tr>
<td>Rainforest Alliance</td>
<td>‘conserve’; ‘sustain’; ‘safeguard’</td>
<td><a href="http://www.rainforest-alliance.org/about">http://www.rainforest-alliance.org/about</a></td>
</tr>
<tr>
<td>The Economics of Ecosystems and Biodiversity</td>
<td>None found</td>
<td><a href="http://www.teebweb.org/about/">http://www.teebweb.org/about/</a></td>
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<td>The Nature Conservancy</td>
<td>‘conserve’</td>
<td><a href="http://www.nature.org/about-us/vision-mission/index.htm?intc=nature.tnav/about.list">http://www.nature.org/about-us/vision-mission/index.htm?intc=nature.tnav/about.list</a></td>
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<tr>
<td>Kyoto protocol (UNFCCC)</td>
<td>‘stabilise’</td>
<td><a href="http://unfccc.int/kyoto_protocol/items/2830.php">http://unfccc.int/kyoto_protocol/items/2830.php</a></td>
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<tr>
<td>Stern Review on the Economics of Climate Change</td>
<td>None found</td>
<td><a href="http://mudancasclimaticas.cptec.inpe.br/~rmclima/pdfs/destaques/sternreview_report_complete.pdf">http://mudancasclimaticas.cptec.inpe.br/~rmclima/pdfs/destaques/sternreview_report_complete.pdf</a></td>
</tr>
<tr>
<td>Worldwatch Institute</td>
<td>‘sustainable’</td>
<td><a href="http://www.worldwatch.org/mission">http://www.worldwatch.org/mission</a></td>
</tr>
<tr>
<td>York Environment Sustainability Institute</td>
<td>‘resilient’; ‘maintain’; ‘conservation’</td>
<td><a href="http://www.york.ac.uk/media/yesi/downloaddocuments/YESI%20Brochure-WEB.pdf">http://www.york.ac.uk/media/yesi/downloaddocuments/YESI%20Brochure-WEB.pdf</a></td>
</tr>
</tbody>
</table>
Table 2. Stability-like terms used in policy targets and mission and vision statements of the international agreements, organisations and agencies highlighted in Table 1, ranked in order of frequency of occurrence, and the components of stability that they associate with in the context of their use.

The use of resistance here incorporates robustness. We assume that the necessity for systems to be asymptotically stable around an equilibrium point or limit cycle is implicit in the use of every term.

<table>
<thead>
<tr>
<th>Terms used in policy</th>
<th>Occurrence</th>
<th>Stability component(s) associated most strongly</th>
<th>Other associated stability components</th>
</tr>
</thead>
<tbody>
<tr>
<td>‘sustain’/‘sustainable’</td>
<td>25/42</td>
<td>Persistence</td>
<td>Resistance, Resilience, Variability</td>
</tr>
<tr>
<td>‘conserve’/‘conservation’</td>
<td>13/42</td>
<td>Persistence</td>
<td>Resistance, Resilience</td>
</tr>
<tr>
<td>‘resilience’/‘resilient’</td>
<td>5/42</td>
<td>Resistance</td>
<td>Resilience, Persistence</td>
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<td>‘safeguard’</td>
<td>4/42</td>
<td>Persistence</td>
<td>Resistance</td>
</tr>
<tr>
<td>‘maintain’</td>
<td>3/42</td>
<td>Persistence</td>
<td>Resistance, Variability</td>
</tr>
<tr>
<td>‘secure’/‘security’</td>
<td>4/42</td>
<td>Persistence</td>
<td>Resistance, Resilience</td>
</tr>
<tr>
<td>‘stabilise’/‘stable’</td>
<td>2/42</td>
<td>Variability</td>
<td>Resistance, Resilience, Persistence</td>
</tr>
<tr>
<td>‘protect’</td>
<td>2/42</td>
<td>Persistence</td>
<td>Resistance</td>
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<tr>
<td>‘altered’</td>
<td>1/42</td>
<td>Persistence</td>
<td>Resistance</td>
</tr>
<tr>
<td>‘constrain impacts’</td>
<td>1/42</td>
<td>Resistance</td>
<td>Resilience</td>
</tr>
<tr>
<td>‘harmony’</td>
<td>1/42</td>
<td>Variability</td>
<td></td>
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<tr>
<td>‘healthy’</td>
<td>1/42</td>
<td>Resistance</td>
<td>Resilience</td>
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<tr>
<td>‘integrity’</td>
<td>1/42</td>
<td>Resistance</td>
<td>Persistence, Resilience</td>
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<tr>
<td>‘safety’</td>
<td>1/42</td>
<td>Resistance</td>
<td>Persistence</td>
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<tr>
<td>‘survival’</td>
<td>1/42</td>
<td>Persistence</td>
<td>Resistance, Resilience</td>
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<tr>
<td>‘safe ecological limits’</td>
<td>1/42</td>
<td>Resistance</td>
<td>Persistence, Resilience, Variability, Multiple locally stable equilibria</td>
</tr>
</tbody>
</table>
Figure legends

**Fig. 1.** Conceptual summary of multifaceted disturbances. Characterisation of pure pulse and press disturbances (a) that are the focus of most theoretical and experimental studies, and an intermediate multiple pulse form of disturbance (dotted blue line) that is also studied frequently, mostly in the form of natural environmental fluctuations in observational studies. Most disturbances are, however, neither pulse nor press and instead change in magnitude over time (b), frequently with shifting mean and variance components. We lack theory and have very limited empirical evidence on the impacts of these directional aspects of disturbances on ecological stability, yet they represent many of the most important and widespread aspects of human impacts (c).

**Fig. 2.** The restricted focus of studies on single components of stability (a) and disturbances (b). The total number of studies is slightly lower in (b) because some of the studies we surveyed did not incorporate an explicit disturbance.

**Fig. 3.** Overview of studies of ecological stability. Number of studies identified by our survey of the literature that quantified different facets of stability (a), examined the effects of different components of disturbance on those (b), and that used biomass, taxonomic composition or ecosystem functioning as a basis for measuring stability (c).

**Fig. 4.** Integrating across multiple dimensions to quantify overall ecological stability. We suggest a method that incorporates multiple stability facets and allows for their differential weighting. This method is based loosely on one developed for the assessment of biodiversity effects on multiple ecosystem functions (Byrnes et al. 2014). A multiple-criteria decision-making approach would also be suitable here. First, the method identifies which stability
facets can be quantified and provides a scoring system for each facet (a). This could be as simple as low, moderate and high, although more sophisticated scoring systems could be developed. It then applies a weighting factor to each score, depending on their perceived relative importance for a given policy or management practice (b). The sum of the weighted scores then corresponds to the stakeholder’s value of the stability of the system (c). Even though different facets of stability may be correlated, there is no need to assume this. Trade-offs and synergies among stability metrics can be incorporated, but the method does not assume dependencies.
Box 1: Why the attempt to define planetary boundaries is flawed

Human actions are changing the biosphere in unprecedented ways. One view is that, given the magnitude and novelty of these impacts, there will be thresholds, beyond which abrupt non-linear change will bring the biosphere to a new and undesirable equilibrium. This view of nature, founded upon Holling’s (1973) definition of resilience, explicitly engages policymakers with its invocation of catastrophic tipping points and the conclusion that Earth has already exceeded them. The view is becoming increasingly pervasive in the scientific literature.

Certainly, there may be systems that show the tipping points that underpin this worldview. Importantly, there is nothing to suggest they are ubiquitous and so demand their having logical primacy. Nature might work this way sometimes, but there is no compelling argument that it must.

In attempting to define global tipping points and, from those, “planetary boundaries”, Rockström et al. (2009) have extended this view to circumstances where it is unlikely to operate. We take as an example the variable they deemed already to be outside the planetary boundary arising from our work (Pimm et al. 1995; Pimm et al. 2014): the rate of species extinctions. The metric is simple — a fraction of species going extinct per unit time. The comparison to a natural background rate is also conceptually easy, though there are practical difficulties (De Vos et al. 2015). The notion that the current global species extinction rate — about a thousand times higher than background — has exceeded some tipping point where catastrophic ecological changes must follow is problematical in several ways (Mace et al. 2014).

First, it is not clear over what spatial and temporal scales extinction rates have exceeded the boundary. For example, how are the locally high rates of plant and animal extinctions on remote Pacific Islands following first contact with Polynesians and later with Europeans supposed to “tip” processes globally or (say) in the Amazon? And over what time period might these catastrophic changes unfold?

Subsequent clarifications by Rockström and colleagues (Stockholm Resilience Centre 2012; Steffen et al. 2015) indicate that the proposed ‘planetary’ boundary for extinctions operates at
regional scales, but they are not explicit in defining either the spatial or temporal extents of these regions. This leaves open the vitally important question for policymakers of what scales are most important.

Second, there are models of the consequences of losing species and how many more species will be lost consequently at local and regional scales (Pimm 1991). None shows the kind of runaway processes that Rockström and colleagues imagine. Certainly, there is both an extensive theoretical and empirical literature on how species richness (as opposed to its rate of change) affects a variety of ecosystem functions including primary productivity and nutrient cycling (Loreau et al. 2001; Cardinale et al. 2012). This literature shows degradation as species numbers decline (Cardinale et al. 2011), but no clear thresholds.
Box 2: Learning from experience: biodiversity-ecosystem functioning and service provision

Even when theoreticians and empiricists converge in what they quantify, there is no guarantee of immediate and successful translation into the policy and management arena. Research on Biodiversity-Ecosystem Functioning (BEF) and Biodiversity-Ecosystem Services (BES) relationships exemplifies this and, as such, we can learn from it.

A large body of experiments (> 600 since 1990) developed in close relation with mathematical theory and showed how genetic, species and functional diversity of organisms regulate basic ecological processes – functions – in ecosystems (Cardinale et al. 2012). As a result, there is now unequivocal evidence supported by theory that biodiversity loss reduces biomass production, decomposition and recycling of essential nutrients, and the efficiency at which ecosystems capture biological resources. In parallel, a strong policy impulse developed trying to guarantee the provision of ecosystem services to society, now under the umbrella of the recently established Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services (IPBES; Díaz et al. 2015). Despite the mechanistic understanding of the effects of biodiversity on functioning provided by theoreticians and empiricists, the mechanistic links between biodiversity and ecosystem services are far from being established. This disconnect effectively impairs the distillation of conclusions to inform policy on how biodiversity loss will affect service provisioning and regulation and, ultimately, human wellbeing.

An example is Payment for Ecosystem Services (PES), where beneficiaries of nature’s services pay owners or stewards of ecosystems that generate those services. Naeem et al. (2015) suggested recently that few PES studies get the science right, with most projects based on weak scientific foundations. The main reason for this was poor interdisciplinary communication and coordination. The absence of unifying definitions and associated metrics, baseline data, monitoring, recognition of the dynamic nature of ecosystems, and poor interdisciplinary communication and coordination helps to explain this gap. The BEF community measures functions without linking those to known services. The BES community commonly describe services without linking them to...
their underlying ecological function. A more active communication and convergence on what to
measure and at what scale, and how to monitor over space and time is needed (Cardinale et al.
2012; Naeem et al. 2015).