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1 **Aquatic Noise Pollution: Implications for Individuals, Populations and Ecosystems**

2

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17

18

19 Anthropogenically driven environmental changes affect our planet at an unprecedented scale,  
20 and are considered to be a key threat to biodiversity. According to the World Health  
21 Organisation, anthropogenic noise is one of the most hazardous forms of anthropogenically  
22 driven environmental change and is recognised as a major global pollutant. However, crucial  
23 advances in the rapidly emerging research on noise pollution focus exclusively on single  
24 aspects of noise pollution, e.g. on behaviour, physiology, terrestrial ecosystems or by  
25 focusing on certain taxa. Given that more than two thirds of our planet is covered with water,  
26 there is a pressing need to get a holistic understanding of the effects of anthropogenic noise in  
27 aquatic ecosystems. We found experimental evidence for negative effects of anthropogenic  
28 noise on an individual's development, physiology, and/or behaviour in both invertebrates and  
29 vertebrates. We also found that species differ in their response to noise, and highlight the  
30 potential underlying mechanisms for these differences. Finally, we point out challenges in the  
31 study of aquatic noise pollution and provide directions for future research, which will  
32 enhance our understanding of this globally present pollutant.

33 **1. Background**

34 Many species are currently experiencing anthropogenically driven environmental changes,  
35 which can negatively affect the persistence of populations or species [1,2]. One form of  
36 anthropogenically driven environmental change is the change in the acoustic environment  
37 through anthropogenic noise pollution. According to the World Health Organisation,  
38 anthropogenic noise is one of the most hazardous forms of pollution and has become  
39 omnipresent within terrestrial and aquatic ecosystems [3,4]. Anthropogenic noise is any  
40 unwanted or disturbing sound. In aquatic ecosystems, noise is intentionally produced for  
41 seismic exploration, harassment devices or sonar, or an unintentional by-product such as  
42 industry, shipping and recreational boating [5].

43

44 Sound is the propagation of a mechanical disturbance through a medium, such as air or  
45 water, taking the form of acoustic waves [6]. Underwater sound has both a pressure and a  
46 particle motion component, and hearing can be defined as the relative contribution of each of  
47 these sound components to auditory detection [7]. Therefore, hearing may involve the  
48 detection of pressure, and/or particle motion. Particle motion perception differs from pressure  
49 perception by limiting the detectable frequency range to a few hundred Hertz, by restricting  
50 the detectable sound intensities to higher levels, and also by shortening distances over which  
51 sounds can be perceived [8].

52

53 In recent years, a number of excellent reviews focusing on single aspects of noise  
54 pollution have been published, e.g. behaviour [9]; physiology [10]; conservation: [11-14],  
55 terrestrial ecosystems [15,16] or by focusing on certain taxa e.g.[17-25]. Given that more  
56 than two thirds of our planet is covered with water, there is a pressing need to specifically

57 understand the effects of anthropogenic noise in aquatic ecosystems. To close this gap, we  
58 review how noise pollution in the aquatic environment affects species across the taxonomic  
59 scale by looking how noise affects an individuals' development, physiology and/or behaviour.  
60 Then, we discuss why species may differ in their susceptibility to anthropogenic noise and  
61 critically evaluate challenges in the study of aquatic noise pollution; finally, we provide  
62 directions for future studies, which will enhance our understanding of this important global  
63 pollutant.

64

## 65 **2. Effects of anthropogenic noise**

66 Anthropogenic noise can affect an individual's anatomy, physiology, and/or behaviour in  
67 several ways [26]: (i) hearing damage, including permanent threshold shifts, and other non-  
68 auditory tissue damage from exposure to very loud sounds; (ii) temporary threshold shifts  
69 from acoustic overexposure; (iii) masking of sounds hindering the perception of acoustic  
70 information [27]; (iv) changing hormone levels, leading to stress responses and lack of sleep.  
71 At least for the first three of these, direct auditory effects strongly depend on the level and  
72 duration of noise exposure, which often correlates with the proximity of the individual to the  
73 noise source [25]. There is evidence that intense and impulsive sounds can damage tissues  
74 and potentially result in mortal effects when animals are close to a noise source, but far more  
75 individuals are likely to be exposed to sounds at some distance from the noise source where  
76 the intensity is lower, with effects being more likely to be behavioural rather than physical  
77 [25,26]. Thus, the effects of anthropogenic noise can range from small, short-term  
78 behavioural adjustments to large behavioural or physiological changes resulting in death  
79 (figure 1).

80

81 (a) Development

82 Noise can affect both the anatomy and the morphology of an organism, by mechanically  
83 damaging single cells as well as entire organs. For example, noise can damage statocysts in  
84 invertebrates, ears and/or swim bladders in fish, and auditory organs in marine mammals  
85 [28,29]. Such noise induced damages can negatively affect perception and orientation, and/or  
86 buoyancy control, which may result in mass strandings in both invertebrates and vertebrates  
87 (e.g., [28,29]).

88

89 Noise can also affect organisms during various stages of ontogeny. While early life  
90 stages may be able to tolerate natural environmental fluctuations, anthropogenically induced  
91 environmental changes can reach beyond the natural range. Consequently, anthropogenic  
92 noise can lead to morphological malformations [30], reduce the successful embryonic  
93 development and increase larvae mortality [31]. This suggest that noise may affect  
94 developmental instability, i.e. the inability of the genome to buffer developmental processes  
95 against disturbances [32] and canalisation, i.e. the ability of a population to express the same  
96 phenotype regardless of variability of its environment or genotype [33]. Such changes early in  
97 life will result in fitness cost and may impact on population dynamics and resilience, with  
98 potential implications for community structure and function (figure 1).

99

100 However, not all species are affected by noise during early life stages: whilst  
101 anthropogenic noise did not affect crab larvae survival [34] it increased mortality in some fish  
102 larvae ([35], but see [36]). One explanation for these contrasting results is that the fry of some  
103 species rely on detection of reef noise for habitat selection [37], which may explain why  
104 embryonic coral reef fish respond to noise [38]. On the other hand, the lack of an effect on

105 early life stages in other species may be explained by embryos and fry developing hearing  
106 capacity to detect sounds later during ontogeny [36].

107

#### 108 (b) Physiology

109 One of the changes in response to noise that links anatomy, morphology and physiology is the  
110 impact on hearing. Noise exposure can change hearing capabilities by increasing the auditory  
111 threshold level [39,40]. Following noise exposure, several regions of saccules can exhibit  
112 significant loss of hair bundles demonstrating damage caused by noise, but with the potential  
113 of recovery [41], depending on both the duration of noise exposure and the frequency [39].

114 Anthropogenic noise can also influence the endocrine system, leading to an increase in  
115 secretion of the stress hormone cortisol in fish ([40,42] but see [43]) and mammals [44].

116 Although the exact mechanism remains unclear, physiological stress caused by noise is a  
117 likely source for developmental delays and growth abnormalities [30,31,35] but also may  
118 hamper reproduction, growth and immunity [45].

119

120 Anthropogenic noise can also affect the metabolism of both invertebrates and  
121 vertebrates. Crustaceans exposed to ship-noise consumed more oxygen than those exposed to  
122 ambient harbour noise [46]. In Perciformes, anthropogenic noise elicited a rise in cardiac  
123 output [47] and increased lactate and haematocrit levels reflecting increased muscle  
124 metabolism [48]. Since muscle activity can be a large part of the fish energy budget, noise  
125 may thus result in an increase of metabolic costs [49]. Thus, noise can affect various aspects  
126 of an individual's physiology, that are negatively associated with metabolism, immune  
127 responses, survival and recruitment as well as affecting development [10].

275

276 (c) Behaviour

277 Initial responses of individuals to changes in the environment are often behavioural [50].  
278 Consequently, noise pollution can induce a variety of behavioural changes by (i) overlapping  
279 with the hearing range of species (figure 2), (ii) overlapping with the bandwidth of acoustic  
280 information (figure 2), i.e. the acoustic information is masked, (iii) distracting individuals  
281 [51] even if acoustic information is not energetically masked [52], and (iv) affecting  
282 behaviour across sensory modalities: cuttlefish, for example, changed their visual signals  
283 when exposed to anthropogenic noise [53], and aquatic mammals may alter the use of their  
284 primary communication channel [54].

285

286 Broadly speaking, species can use sound to provide or extract information by actively  
287 producing sound, e.g. in communication and/or echolocation, and passively by extracting  
288 information from environmental cues. Mitigating the effects of anthropogenic noise during  
289 communication is crucial because noise reduces the range at which a signal can be detected  
290 and processed. Ship noise, for example, reduces communication range of Ziphiidae by a  
291 factor of more than five [55]. One of the most common behavioural responses mitigating  
292 increasing noise levels is the adjustment of acoustic signals [56] to maintain their detection  
293 and efficiency [57]. In addition to communication, some species produce sound such as  
294 echolocation to gather information about their environment. In Delphinidae, noise decreased  
295 the accuracy to detect objects with sonar and increasing noise levels ceased the production of  
296 sonar clicks due to a decrease in effectiveness [58]. Thus, acoustic information used in  
297 navigation and prey location is disrupted by noise, individuals will have difficulties locating  
298 indispensable resources, e.g. suitable habitats and food.

299



300 Noise can affect the perception of environmental cues which many species use to gather  
301 information about the environment [59]. Acoustic cues play an important role for larval  
302 orientation and settlement decisions, e.g. in reef fish and crustaceans, because these cues can  
303 indicate both the presence and suitability of particular habitat types [60-62]. Furthermore,  
304 noise may affect predator-prey interactions: fish can use sound generated by prey to hunt  
305 efficiently [63], and prey, on the other hand, may suppress acoustic behaviour in response to  
306 predator sounds [64-67]. Moreover, noise can increase the risk of predation or affect anti-  
307 predator behaviour by reducing anti-predator defence in both invertebrates and vertebrates  
308 ([68,69] but see [70]).

309

310 Foraging might not only be affected through masking of cues that are important to detect  
311 prey (see above). When experimentally exposed to noise, fish showed increased handling  
312 errors and decreased discrimination between food and non-food items [71] or ceased feeding  
313 [72], whereas shore crabs disrupted their feeding [69]. Thus, anthropogenic noise can lead to  
314 significant impacts on an individual's foraging and feeding efficiency in both invertebrates  
315 and vertebrates. Noise pollution can also alter small scale movements leading to avoidance of  
316 noise, e.g. fish and squid which alter their position in the water column in response to  
317 anthropogenic noise [73,74], whereas large scale movements can lead to the abandonment of  
318 habitats [75].

319

320 Noise may also negatively affect the social structure between pairs and groups, leading to  
321 weakened social bonds and instability in group cohesion by increasing the aggression  
322 between individuals [68]. Such behavioural changes can impede defence against predators of  
323 eggs and fry [68], reduce the ability to maintain territories [76], or alter the reproductive

324 behaviour and output of individuals by negatively influencing mate choice, courtship and  
325 parental care [17]. An increase in agonistic behaviours, including the quantity and quality of  
326 contests between individuals, may increase the amount of energy used or the likelihood of  
327 injury or death [68].

328

### 329 **3. Challenges and directions for future studies**

330 There are a few challenges in the study of aquatic noise pollution, which fall into four broad  
331 categories: (a) linking proximate and ultimate individual responses to ecological effects; (b)  
332 interactions among multiple environmental stressors; (c) species-specific responses; and (d)  
333 study design, i.e. experiments with suitable controls and replicates. Only by addressing these  
334 issues we will be able to get a better understanding of the effects of noise pollution and set  
335 the right conservation actions.

336

337 (a) Bridging the gap: linking proximate and ultimate individual responses to ecological  
338 effects

339 Due to the complexity of ecosystem processes, we currently have only little understanding of  
340 how proximate and ultimate individual responses may translate into ecological effects (figure  
341 1). While we have found experimental evidence of how noise affects behaviour, development  
342 and physiology, we have only little experimental data how these changes may translate into  
343 individual fitness and population-level consequences. One example illustrating how  
344 increasing noise may affect ultimate individual responses is the effect of noise on predator-  
345 prey interactions: acoustic disturbance can impair anti-predator responses in fish, which

346 directly affects the likelihood of survival [77]. Whether these ultimate individual responses  
347 translate into ecological effects in the wild remains to be shown.

348

349 (b) Interactions among multiple environmental stressors

350 Anthropogenic stressors, such as noise pollution, have an ever increasing effect on the  
351 environment, but these stressors rarely act in isolation [78]. Often organisms are exposed to  
352 several environmental stressors and the resulting interactions among them simultaneously.  
353 For example, the impact of anthropogenic noise in the marine environment may be amplified  
354 by ocean acidification and/or an increase in water temperature both affecting transmission of  
355 sound in water. Ocean acidification has led to a decrease in pH, which reduces the absorption  
356 of sound in oceans, making them noisier by decreasing sound absorbing abilities for low  
357 frequencies [79,80]. Increasing temperatures, on the other hand, lead to a decrease of speed at  
358 which sound travels. Carefully planned experiments are needed to investigate the complexity  
359 of such multifaceted interactions of environmental stressors.

360

361 (c) Species specific responses

362 Anthropogenic noise affects a wide range of aquatic invertebrates and vertebrates and  
363 responses to noise can differ between species (figure 2). Non-mutually exclusive explanations  
364 why species respond differently to anthropogenic noise are: Firstly, differences in auditory  
365 capabilities and sensitivities to detect sound pressure and/or particle motion (e.g. [81-83]).  
366 Notably, the role that particle motion plays in the biology and ecology of species is still  
367 largely unknown [84]. The detection of pressure is well described in mammals and certain  
368 fish with morphological specialisations that use the swimbladder as a pressure-to-particle

369 motion converter [7]. In contrast, the detection of particle motion is found in cartilaginous  
370 and some teleost fish that do not have specialised adaptations to detect or process sound  
371 pressure [8,85]. At least a third of all teleost species developed structures for sound pressure  
372 detection where air-filled cavities within the body, e.g. the swim bladder, undergo volume  
373 changes because air is more compressible than fluids in a sound field [8]. These changes will  
374 result in oscillations transmitted to the inner ear improving hearing capabilities, functioning  
375 as pressure-to-particle motion transducers [8]. However, if a noise source is more than a few  
376 metres away from an organism, noise may have less impact on species relying on particle  
377 motion, because it can only be detected over short distances, in a small frequency range and  
378 at sound intensities at higher levels (see above). In contrast, species relying on sound pressure  
379 detection will detect sound pressure changes over large distances and thus may be more  
380 vulnerable to increasing noise levels than species relying on particle motion alone. Hence,  
381 aquatic mammals and fish species able to detect sound pressure may be more vulnerable to  
382 increasing noise than species relying on particle motion alone. Due to the variety of  
383 perception modes among species, more work is needed to understand the interplay between a  
384 species' sound detection mechanisms and its vulnerability to increasing noise levels. To  
385 unravel the link between hearing mechanisms and vulnerability to anthropogenic noise is  
386 particularly important for conservation and species management.

387

388         Secondly, species might also respond differently to different types of noise, e.g.  
389 whether it is chronic or not, and/or has daily fluctuations. To assess the effects of different  
390 types of anthropogenic noise in aquatic environments it is necessary to quantify the  
391 distinctive characteristics of individual noise sources because aquatic environments can be  
392 complex in their characteristics [19]. Some of the noise produced by human activities is  
393 impulsive and intense, particularly close to the sound source (e.g. explosions, seismic air

394 guns, impact pile driving), whereas other human noises are less pronounced but are chronic  
395 (e.g. wind farms, vessels). This added complexity, i.e. differences in response to different  
396 noise sources, is seen in both behavioural and physiological responses to noise. For example,  
397 Balaenopteridae reacted differently to ship noise and noise generated by air guns, with the  
398 latter causing avoidance behaviour and changes to communication, whilst the former only  
399 affected communication [86]. These differences in response could be related to temporal  
400 differences (e.g. [87]) or structural differences in the characteristics of the noise stimuli.  
401 Therefore, caution must be taken when extrapolating results from one species or noise type to  
402 another [25].

403

404         The importance of noise pollution has been recognised in conservation in both aquatic  
405 and terrestrial ecosystems [11-14]. Often, the aim of conservation is to protect entire  
406 ecosystems, but conservation can only be successful if we understand how and why species  
407 are affected by environmental changes, as individual changes can have population  
408 consequences [88]. While there are some attempts to understand why terrestrial species differ  
409 in their response [e.g. [89,90] and the how noise affects species composition [91,92], we still  
410 need such formal comparison for aquatic species. To fill this knowledge gap is important,  
411 because the effects of noise have often been oversimplified, by suggesting that species are  
412 either sensitive and abandon an area or are not and remain [14]. However, as our review  
413 shows there is compelling evidence that the effects of noise can be quite subtle by affecting  
414 developmental and physiological processes in species quite differently (see above).

415

416 (d) Demonstrating cause and effect relationships

417 A major challenge in understanding how anthropogenically induced environmental changes  
418 affect organisms is establishing cause and effect relationships. Only carefully designed  
419 experiments can control for potentially confounding factors [93], which allow to draw robust  
420 conclusions about the effects of noise. Noise exposure experiments in free ranging aquatic  
421 animals are difficult to conduct, therefore, tank-based experiments have been successfully  
422 used as an alternative (e.g. [77,94,95]), and alternative approaches in semi-open settings are  
423 starting to emerge (e.g. [96,97]).

424

425       There is an ongoing debate on how efficacious tank-based experiments can be [98]:  
426 Firstly, the sound field produced in small tanks is complex and is dominated by the particle  
427 velocity element of the sound field [99]. Thus, the noise animals are exposed to in a tank-  
428 based setup may differ from real world conditions e.g. [70,77]. Secondly, loud speakers do  
429 not have a linear response and thus change the spectral quality of the sounds played, resulting  
430 in a different balance between the sound pressure and particle velocity components of sound  
431 [100]. Thus, the particle motion generated from tank-based playback experiments may not  
432 closely mimic real-world situations. However, tank-based experiments also have some major  
433 advantages. Firstly, tank-based experiments mimic common ecological circumstances faced  
434 by many species where individuals cannot avoid noise polluted areas [72]. Secondly, in some  
435 situations only experiments carried out under controlled laboratory conditions allow us to  
436 understand the underlying mechanisms that lead to an animals' response, which is the basis  
437 for successful conservation [12]. Finally, most noise exposure experiments have been short-  
438 term, and there is only very little known about long-term effects of noise. To understand the  
439 long-term effects of noise pollution the repeated or long-term exposure of the same  
440 individuals to noise is necessary. This may prove particularly difficult in the field, but could

441 be achieved in laboratory settings. Work of this nature will highlight whether species  
442 habituate to noise over time, or become sensitised to the noise stimulus.

443

#### 444 **4. Conclusions**

445 Anthropogenic noise is rapidly becoming omnipresent in both aquatic and terrestrial  
446 environments. We found comprehensive evidence that noise affects an individual's  
447 development, physiology, and/or behaviour. As aquatic and terrestrial habitats differ in their  
448 sound propagation properties [6], i.e. sound in water travels faster and greater distances, and  
449 attenuates less than sound in air, noise pollution in aquatic ecosystems may be more far-  
450 reaching than in terrestrial ecosystems by covering larger areas. The interplay with other  
451 environmental stressors may also intensify the problems for species inhabiting noise-polluted  
452 aquatic habitats. The patterns highlighted here illustrate how noise in aquatic ecosystems  
453 causes major changes and potentially impacts a wide range of species. Given the mixed  
454 results from studies investigating the impact of aquatic noise pollution on different species  
455 and life history stages, care must be taken when extrapolating results between species. As  
456 many invertebrates and fish are sensitive to particle motion, rather than sound pressure, it is  
457 crucial to monitor particle motion along with sound pressure. However, as this field continues  
458 to grow, and research questions become more fine-tuned, we see that the impact noise has on  
459 aquatic species involves complexities, such as hearing abilities and noise types. These  
460 complexities will affect the nature of responses, and thus should be highlighted and examined  
461 if we are to develop effective noise mitigation strategies to conserve and protect the world's  
462 aquatic wildlife more efficiently.

463

464

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469 **Figure 1.** The effects of anthropogenic noise on individuals' anatomy, physiology and  
470 behaviour. Changes in the acoustic environment through increasing noise levels can lead to  
471 immediate proximate responses, resulting in variety of emergent responses. Anthropogenic  
472 noise can have non-mutually exclusive interrelated effects on proximate and ultimate  
473 individuals responses leading to large scale ecological effects.

474

475 **Figure 2.** (a) Examples of hearing and signal production ranges of different taxa that can be  
476 affected by anthropogenic noise (modified and extended from [17]). We used the minimum  
477 and maximum value reported in the literature (hearing range: dark blue bars, signal  
478 production range: light blue). Note: fish have a huge diversity in hearing and production  
479 mechanisms [7]; therefore, examples were chosen to illustrate the variety of their hearing and  
480 perception. The noise ranges (shown in grey) indicate where the majority of sound sources  
481 have most of their energy [5]. Data obtained from various studies (for details see  
482 supplementary material ESM 1). (b) The effect of noise pollution across taxa. The majority of  
483 studies published found a relationship with noise. Dark grey bars indicate the number of  
484 cases that did find a significant effect and light grey bars those that did not (for details see  
485 supplementary material ESM 2).

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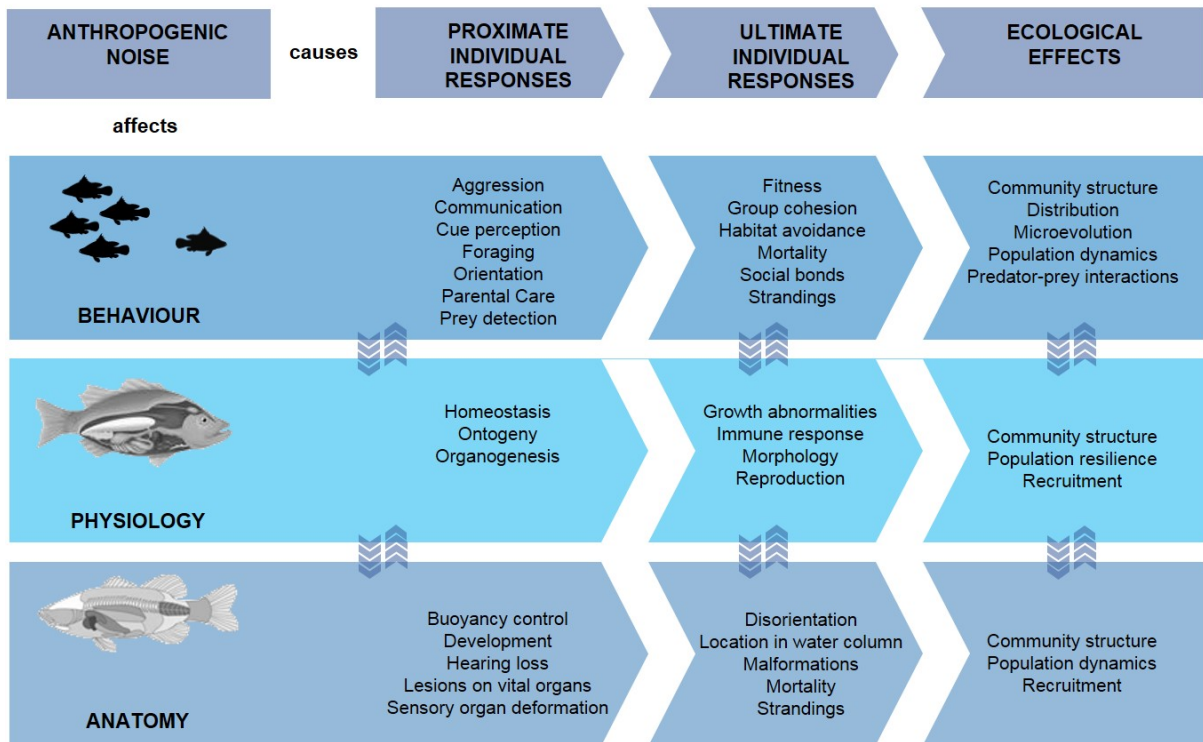


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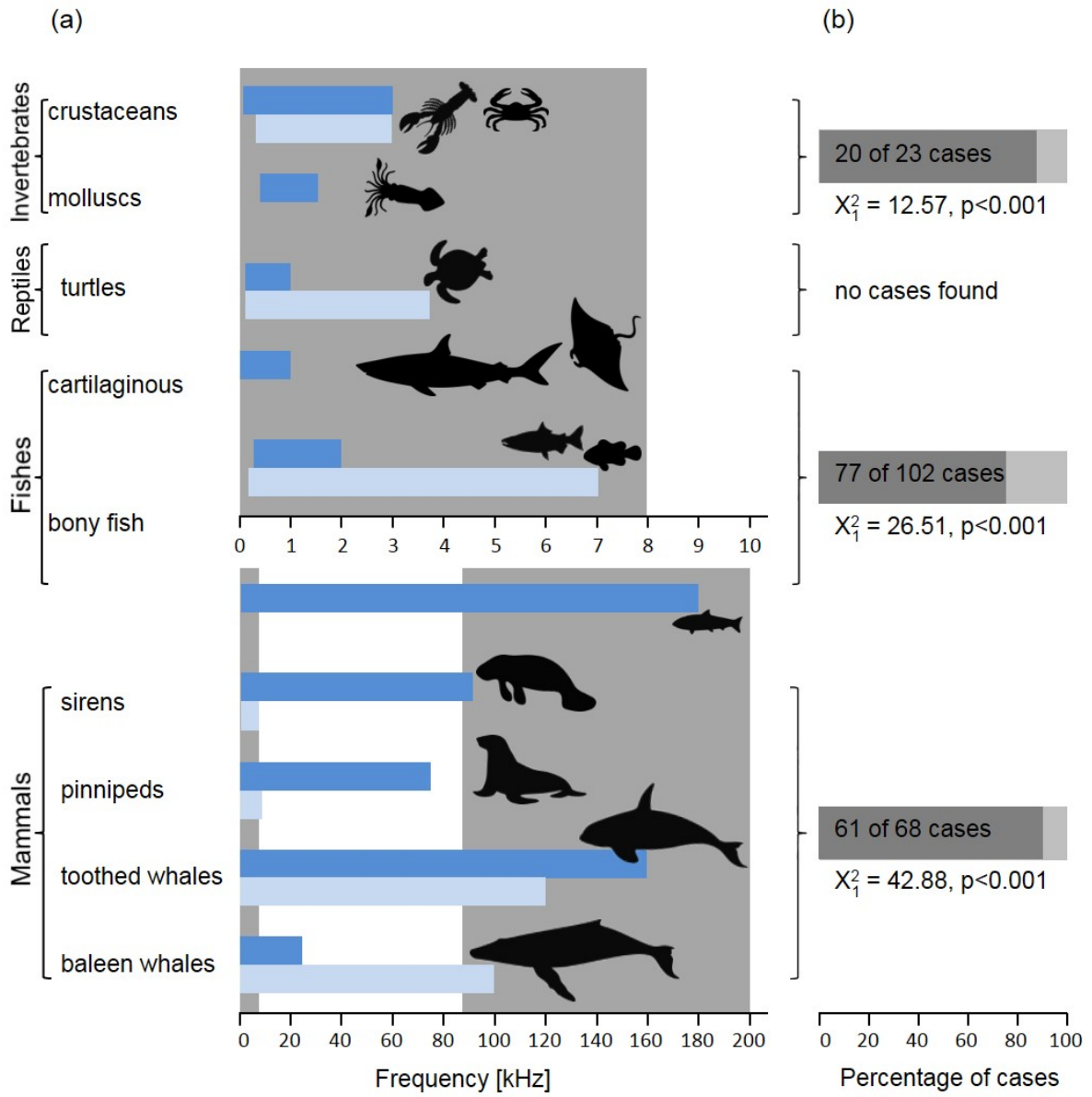
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